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# Cellular Mechanisms in Airways Inflammation

Clive P. Page Katharine H. Banner Domenico Spina

Editors

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# Preface

Airways disease encompasses a broad range of pathologies including bronchial asthma, rhinitis, chronic obstructive pulmonary disease, bronchitis, acute bronchiolitis, emphysema and fibrosing alveolitis. A characteristic feature of these diseases is the recruitment and activation of inflammatory cells and resident cells. The general consensus is that alterations in the function of these cells contribute to airways disease. A greater understanding of the mechanisms which lead to altered cell responsiveness in disease will hopefully enhance the development of new anti-inflammatory modalities for the treatment of airways disease.

In the first chapter, an exhaustive review of the composition and integrity of pulmonary cells in various pathophysiological conditions is given and is accompanied by an excellent selection of electron photomicrographs of various cell types thought to be important in the disease process. This is followed by individual chapters devoted to an in-depth analysis of the contribution of various cell types to airways inflammation and focus on the cellular structure, mechanism of activation, biological activity and pharmacological modulation of the major cells that can contribute to airways disease. It is evident that no single cell type can explain airways disease, but rather, cell to cell communication at various levels is a characteristic feature of these diseases and highlights the complex and chaotic nature that underlies the inflammatory process.

The last chapter is devoted to summarizing the state of play with regard to the current anti-inflammatory drugs that are available to clinicians, including providing an up to date review of emerging therapies.

We thank Katrin Serries, Janine Kern and Hans Detlef Klüber of Birkhäuser Verlag AG for their extreme patience and expert assistance in the preparation of this volume. We are also deeply indebted to the authors who have helped contribute to this volume which we believe will provide an important compendium for those interested in airways disease.

February 2000

Katharine H. Banner Domenico Spina Clive Page

# Pathological spectrum of airway inflammation

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#### Introduction

In man and other mammalian species, the upper (nasal passages) and lower airways are lined by a continuous moist mucosal layer. The mucosa forms the border between the external environment and host tissue where irritants, infection and allergens are first deposited. It is the site at which immune responses are initiated by immuno-competent cells in association with resident antigen presenting cells (APC). In humans, subsequent exposure to the relevant allergen initiates immune reactions, which may become chronic. These reactions are designed as defence mechanisms that normally protect the body; however, when they are inappropriate or misdirected they may injure host tissue. At rest, approximately 10,000 to 15,000 L of air, containing pollutants and allergens, moves daily over the human nasal and tracheobronchial airway mucosa. In the nasal passages and proximal conducting airways of the lung the air is sampled, conditioned, and rendered free of irritants and allergens before it reaches the respiratory portion of the lung. The cleansing function of the conducting airways depends upon its branching pattern and the dynamic interactions of structural cells, immuno-competent cells, and neural elements. Changes in the composition and integrity of airway-wall structural components may alter its effectiveness and predispose the respiratory portion of the lung to injury.

A prerequisite to understanding the pathogenesis of allergic inflammatory disorders is an appreciation of normal airway structure and function. The present chapter first outlines briefly the normal structure of the airways and the lining mucosa, considers the role and salient features of inflammation and then focuses on the structural changes and inflammatory events of several selected inflammatory conditions including rhinitis, bronchial asthma, acute bronchitis and bronchiolitis (particularly that due to respiratory infections), chronic bronchitis and chronic obstructive pulmonary disease (henceforth referred to as COPD) and briefly fibrosing alveolitis.

#### Normal micro-structure

#### Airway divisions

The larvnx is conventionally considered to mark the boundary between upper and lower respiratory tracts (henceforth referred to as URT and LRT): the upper extends from the external nares to the larvnx, and the lower from the larvnx to the visceral pleura. The upper respiratory tract consists of the nose and the pharynx: the former is divided into two nostrils by a median septum. The superior part of the nose is surrounded entirely by bone, and posteriorly it opens into the nasopharynx which continues into the oro- and laryngopharynx. Each nasal cavity is wider anteriorly than posteriorly. On each lateral wall there are three turbinates. A system of air sinuses also drains into the upper respiratory tract. Lymphoid tissue in the upper respiratory tract comprises the nasopharyngeal and palatine tonsils. The former, termed adenoids, are a diffuse aggregate of lymphoid cells in the mucosa lining of the nasopharynx, covered by folds of predominantly pseudostratified epithelium. Two palatine tonsils are situated in the lateral walls of the oropharynx, covered by a stratified, squamous, non-keratinizing epithelium invaginated to form deep crypts. Posterior to the pharvnx are the larvnx, the organ of speech, and the glottis, through which air enters into the lower respiratory tract and its tree of successively branching airways.

The larynx opens into the trachea, which enters the thorax and divides to form two main bronchi, one leading to each lung. The right and left lungs are lobed, occupy most of the thorax, are enclosed within the rib cage, and are enveloped by pleural membranes. Medially the lungs abut the mediastinum (which includes the pericardium) and posteriorly they rest on the diaphragm. Airways and vessels meet at the hilum of the lung at a point where it connects to the mediastinum. The pattern of airway branching is described as one of asymmetrical dichotomy, and from trachea to alveolus there are between 8–23 generations of airways, depending on the distance from the hilum to the pleural surface.

The summed cross-sectional area for each generation of airways increases logarithmically; thus, at the periphery, the resistance to air flow is negligible [1]. With inspiration, the velocity of air entering the lungs falls rapidly due to the marked increase in total cross-sectional area of the more peripheral airways. The surface of the alveolar walls available to gas transfer is about 60–70 m [2], i.e., about half the area of a singles tennis court. The respiratory zone is kept free of allergens, pollutants, and infection by airway defence mechanisms that include nervous reflexes leading to bronchoconstriction and/or cough, ciliary activity, secretion of mucus, lysozyme, lactoferrin, and secretory immunoglobulin A (IgA), and cellular immune response and reactions.

#### Mucosal structure

The airway wall is comprised of a surface epithelium supported by a reticular basement membrane (Fig. 1) and a poorly defined subepithelial zone consisting of bronchial vessels, connective tissue (Fig. 2), and lymphatics that merges with a submucosal zone of mucus-secreting glands, cartilage, and/or bronchial smooth muscle; external to this there is a thin adventitial coat (Fig 3). Tracheo-bronchial airways, by definition, have cartilage support whereas bronchioli do not. Mucus-secreting glands occur in the cartilagenous airways.

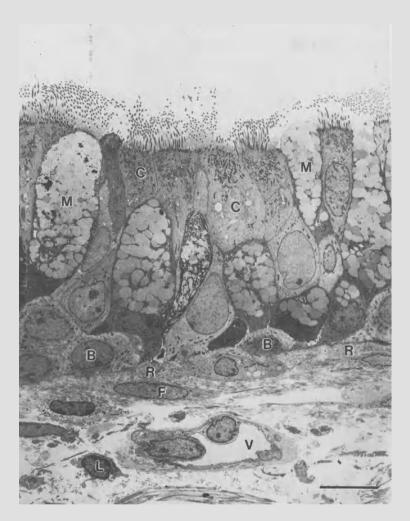
#### Surface epithelium

Upper respiratory tract epithelium is comprised mainly of ciliated, pseudostratified, columnar cells, interspersed with mucus-secreting cells. However, the anterior nares are lined by stratified keratinizing squamous epithelium. Areas of non-keratinizing squamous epithelium are found in the pharynx, whereas in the larynx, epithelium of this type is present on the epiglottis and the vocal chords. The stratified squamous epithelium covering the vocal chords gives way to one that is ciliated, pseudostratified, and columnar when the trachea is reached. The term "pseudostratified" refers to the appearance of more than one layer of cells and implies that all cells rest on the basement membrane but not all reach the airway lumen (see Figs. 1 and 2). However, basal cells also play a role in attachment of superficial cells to the basal lamina by acting as a bridge between columnar cells and the epithelial basement membrane. In humans, the appearance to the epithelium persists throughout the major bronchi, thereafter becoming simple cuboidal distally. Mucus-secreting cells are found regularly in the tracheo-bronchial tree in humans but are normally sparse in bronchioli less than 1 mm in diameter [2].

A variety of cell types are recognized in airway surface epithelium [3, 4]. There are at least eight morphologically distinct epithelial-cell types in the surface epithelium, determined by transmission electron microscopy (TEM): many of these have overlapping functions. The functions are many and are now known to include expression of cell surface adhesion molecules [5, 6] and synthesis and release of cytokines [7] which together may induce the selective recruitment of inflammatory cells from bronchial vessels and their tissue retention and accummulation. The terminal processes of nerve fibres whose cell bodies are present external to the epithelium also cross the epithelial reticular basement membrane to lie between and be enclosed by epithelial cells. Here they are thought to initiate airway reflexes such as bronchoconstriction and cough and also may initiate neurogenic inflammation [8, 9].



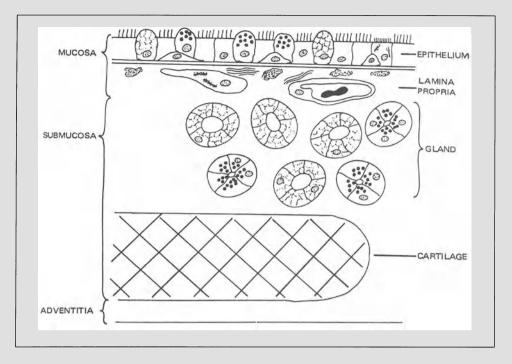
Cilia are abundant at the lumenal edge (arrowheads). All cells attach to the reticular basement membrane (arrows) but not all reach Scanning electron micrograph (SEM) of human bronchial epithelium which has been fractured to show the lateral surfaces of its cells. the airway lumen. Interstitial collagen (C) is present beneath the reticular basement membrane. Scale bar = 40 µm.



Transmission electron micrograph (TEM) of human bronchial mucosa in a biopsy demonstrating ciliated (C), mucous (M) and basal (B) cells which are the main cells of the surface epithelium. The subepithelial zone consists of a fibrillary layer referred to as the reticular basement membrane (R) beneath which there are fibroblasts (F), mast cells, lymphomononuclear cells (L) and a bronchial (systemic) capillary. Scale bar = 10 µm.

#### Inflammation

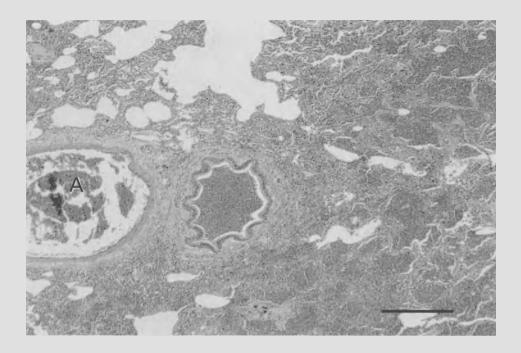
Inflammation is "the response of vascularized tissue to injury" and its purpose is to repair, restore and, if necessary, remodel the injured tissue. The key signs of acute



Diagrammatic representation of the airway wall showing surface epithelium supported by a subepithelial zone referred to as the lamina propria. The epithelium and lamina propria make up the mucosa. The underlying zone made up mainly of mucus-secreting glands, muscle and cartilage is often referred to as the submucosa. External to this there is an ill-defined adventitia.

inflammation, recognized by Celsus (30 BC-20 AD) are redness, swelling, heat and pain and loss or altered function, the last described by Galen (130-200 AD). In addition, Lord Florey recognized that acute inflammation at moist mucosal surfaces, such as the gut and airways, included injury (and sloughing) of surface epithelium and hypersecretion of mucus.

Apart from the reddening and swelling which may be observed macroscopically the microscopic changes of inflammation include changes in vascular calibre and blood flow, of tissue oedema (as a result of alterations of vascular permeability) and leukocyte emigration, referred to as "white cell events". If acute, there is oedema, the inflammatory cell infiltrate is predominantly of polymorphonuclear cells (mainly neutrophils) and the response to injury, infection or allergen is of short duration leading to resolution, healing and repair as in, for example, bacterial pneumonitis and viral induced bronchiolitis in children (Figs. 4 and 5). However, if the injury is repeated (low grade) or severe then there may be a switch to persistent or chronic

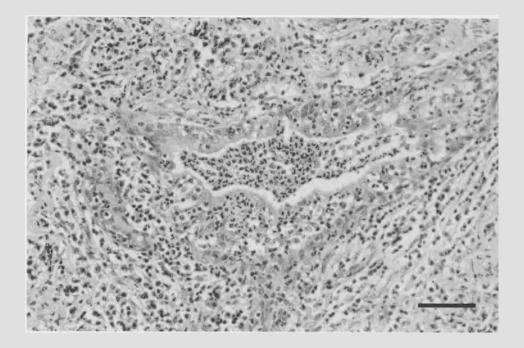


Haematoxylin and eosin (H&E) stained section through human lung of a patient with bronchopneumonia showing pulmonary artery (A) adjacent to a conducting airway whose lumen is filled with pus (neutrophils). The surrounding alveolar lumena show a similar copious neutrophilic exudation. Scale bar = 500  $\mu$ m.

(reproduced with kind permission of Prof. B. Corrin)

inflammation which may lead to an abnormal tissue remodelling (i.e., enlargement or destruction) such that there is altered function or failure to function normally as in bronchiolitis obliterans (Fig. 6), pulmonary fibrosis or emphysema (see below). The reasons and mechanism(s) involved in the switch to chronicity are, as yet, unclear: an understanding of this process is critical to the future effective treatment/prevention of several persistent inflammation conditions of the conducting airways and lung.

To examine and characterize the structural and inflammatory changes at distinct anatomical sites, nasal, bronchial, transbronchial and open lung biopsies provide a way of directly sampling the tissues *in vivo*: biopsy is a powerful technique, and it provides information which is distinct and complementary to that obtained by bronchoalveolar lavage and other indirect methods of assessment of lung inflammation (e.g., exhaled NO) [10]. In addition, studies of airway tissues obtained *post mortem* are invaluable but interpretation may be difficult due to the effects on tissues of less



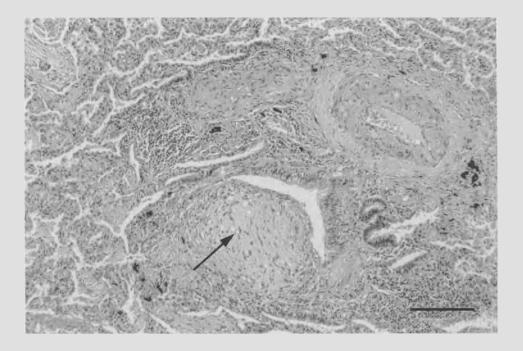
H&E stained section of a small airway (bronchiole) showing the changes of acute bronchiolitis: i.e. partial necrosis of the lining epithelium, airway lumen and wall extensively infiltrated by neutrophils. Scale bar =  $100 \ \mu m$ . (reproduced with kind permission of Prof. B. Corrin)

specific changes associated with end-stage disease such as terminal infection, vascular events and *post mortem* artefact. With these caveats in mind, examination of tissues obtained *post mortem*, during flexible fibre-optic bronchoscopy or at open lung biopsy, is the basis for the descriptions which follow.

### Common inflammatory conditions of the airways

#### Rhinitis

The upper (URT) and lower respiratory tracts (LRT) share similar cellular and humoral defence mechanisms and it is common to find that diseases of the nose and paranasal sinuses (e.g. rhinosinusitis), pharynx, larynx and LRT occur in association. For example, there is some evidence that active allergic rhinitis may induce in an unexplained way a remodelling process (i.e. thickening of the reticular basement



H&E of the remains of a small airway showing the alterations of bronchiolitis obliterans: pale staining granulation tissue severely compromises the airway lumen (arrow). Scale bar =  $1000 \mu m$ .

(reproduced with kind permission of Prof. B. Corrin)

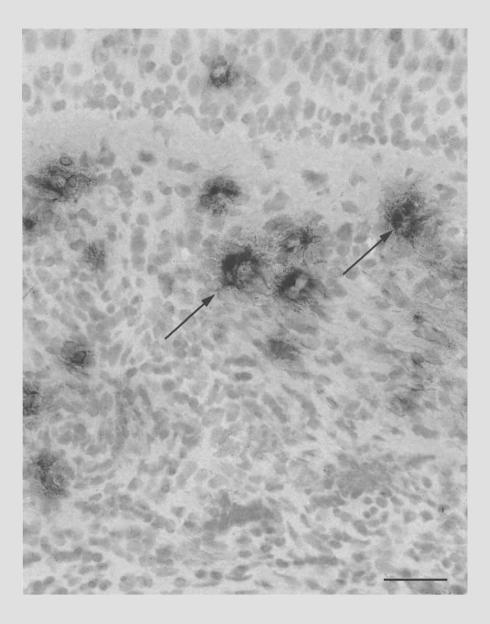
membrane) in the lower airways in subjects who are otherwise non-asthmatic [11]. The hallmark of allergic rhinitis is sneezing and underlying this there is an inflammatory process in which there is oedema, production of mucus and increased vascular permeability.

Infections of the URT may induce acute or chronic inflammation and seasonal, perennial or occupational allergy may contribute to allergic inflammation involving similar mechanisms as these which occur in the LRT. In addition, intrinsic rhinitis (also referred to as vasomotor rhinitis) may be present in the absence of infection or allergy. The common cold is probably the most frequent viral infection and as with the LRT a variety of viruses and serotypes may cause it. At the earliest stage of infection there is transient vasoconstriction, this is then followed by vasodilation, oedema and an increase of sero-mucous secretions. Leucocytic (lympho-mononuclear) infiltration of the nasal mucosa is accompanied by swelling and desquamation of surface epithelial cells. The secretions, at first clear, watery and sterile, later become coloured and viscid as bacteria invade and neutrophils are recruited to the tissues and lumen. Complications may include nasopharyngitis, pharyngitis, sinusitis, tonsillitis and on occasion, bronchitis and/or pneumonia. Importantly, such infections may also precipitate attacks of asthma or result in exacerbations of bronchitis in patients already compromised by chronic obstructive pulmonary disease (COPD).

There are many similarities between allergic rhinitis and asthma and there are important differences. Rhinitis, like asthma, has an increasing prevalence [12]. It occurs in 75% of patients with allergic asthma whereas the prevalence of asthma in those with rhinitis is only 20% [13]. The allergic manifestations and inflammation of allergic rhinitis and asthma are similar (both are IgE-mediated conditions of hypersensitivity) but the resultant effect of the allergic reaction may be altered by the differing anatomy and histology of the upper and lower airways. Accordingly, as in asthma, during seasonal exposure there is local accumulation of CD4 positive T lymphocytes, mast cells, eosinophils, basophils and neutrophils [14, 15] (Fig. 7). The inflammation is also present in chronic (perennial) rhinitis [16]. There are also increases in the numbers of circulating mast cells/basophil progenitors and seasonal epithelial mast cell migration [17]. The tissue eosinophilia associated particularly with the "late" nasal response is regulated by the presence of activated (CD25<sup>+</sup>) T helper lymphocytes and the production of Th2-like cytokines, particularly interleukin (IL)-4 and IL-5 [18]: the majority are produced by T lymphocytes but mast cells and even eosinophils per se may participate.

Whilst the pattern of allergic inflammation is similar in allergic rhinitis and atopic asthma the thickening of the reticular basement seen in the bronchi in asthma is not as prominent in rhinitis and the increase in smooth muscle mass is a particular feature of asthma and is restricted to the lower airways by its airway distribution. In addition, the anatomic features of the URT prohibit the closure of nasal passage due to constriction but blockage instead depends on swelling of the nasal mucosa due to smooth muscle vascular changes and oedema.

There is mast cell and eosinophil accummulation and "activation" in both seasonal and perennial rhinitis and in the former there may be increases of antigen presenting cells (cells of Langerhan) also. The recruitment of these cells to the mucosa in rhinitis is as the result of increased expression of endothelial cell surface adhesion molecules and IL-4 following natural exposure to allergen or increased gene expression of IL-4, IL-5, GM-CSF and tumour necrosis factor  $\alpha$  (TNF $\alpha$ ) after experimental allergen challenge [19]. Of the many pro-inflammatory mediators produced during the allergic reactions of airways in both upper and lower respiratory tracts, products of eosinophil degranulation appear to be the most injurious to the mucosa. Gleich and colleagues have developed methods to study this using nasal epithelia [20]. They have demonstrated that the combined addition of eosinophil peroxidase (EPO), glucose/glucose oxidase (but neither acting alone) and bromide produce marked target cell lysis: the effect is time and EPO dose-dependent. Longer incubation periods of nasal mucosal with human eosinophil major basic protein also cause time and dose-dependent epithelial cell lysis.



An immunostained (by the APAAP technique) section of the nasal mucosa of a patient with allergic rhinitis using an antibody directed against major basic protein, a characteristic constituent of eosinophils. The stained eosinophils (arrows) have accumulated beneath the pale staining reticular basement membrane and there is a single eosinophil within the surface epithelium. Scale bar =  $25 \mu m$ .

(Reproduced with kind permission of Drs. M. Jacobson and S. Durham)

The role and importance of chemoattractant molecules (chemokines) in the selective recruitment of eosinophils and other inflammatory cells to the airway mucosa following allergen challenge to the nose and lower airways has recently been demonstrated in man and experimentally in the guinea pig [21, 22]. In this regard eotaxin, a selective chemoattractant for eosinophils was shown to be expressed by nasal epithelium and in man and guinea pigs in both large (proximal) and small (distal) airway surface epithelial cells, bronchial smooth muscle and airway and alveolar macrophages (Fig. 8). RANTES and MIP-1 $\alpha$  are chemoattractants for lymphocytes, monocytes and eosinophils whereas MCP-1 is chemoattractant for monocytes, lymphocytes and basophils and these are also increased in both nasal and bronchial secretions/washes in response to allergen [22–25].

Acute inhalation of toxic chemicals (irritants) can also elicit an inflammation which may become chronic, referred to as "reactive airways dysfunction syndrome" [26]: the mechanisms involved in this interesting condition are, as yet, unclear.

#### Bronchial asthma

To date the pathologist recognizes only one form of asthma yet clinically the condition is clearly heterogeneous.

#### Appearances post mortem

Examination, post-mortem, of cases of fatal asthma has shown that the lungs are hyperinflated and remain so on opening the pleural cavities due to the widespread presence of markedly tenacious plugs in intrapulmonary bronchi. On intrabronchial inflation with fixative even a 1.5 m head fails to move these airway plugs [27, 28]. Histologically the airway plugs in asthma are a mixture of inflammatory exudate and mucus in which lie desquamated surface epithelial cells, lymphocytes and eosinophils. The arrangement of the cellular elements of the plug often takes the form of several concentric lamella suggesting that several episodes of inflammation have led to their formation rather than a single (terminal) event. The non-mucinous, proteinaceous contribution is the result of increased vascular permeability and includes a fibrinous component. Interaction of constituents of serum and mucin is likely to lead to increased viscosity of the airway plug [29]. The combination of tissue, blood and BAL/sputum eosinophilia is strongly associated with asthma but there may also be marked heterogeneity in the numbers of tissue eosinophils identified in fatal asthma [30]. This may be due, in part, to eosinophil degranulation, which makes cell identification difficult, or to the reported variation in the numbers and relative proportions of neutrophils and eosinophils with progressive duration of the terminal episode [31, 32]. Unlike chronic obstructive pulmonary disease (COPD) there is little evidence of destruc-



clonal antibody to guinea pig eotaxin shows marked up-regulation of eotaxin protein present, as densely stained areas, in the surface Bronchial mucosa in a guinea pig sensitised and then challenged with ovalbumin. The section which is immunostained with a polyepithelium (arrows). Scale bar =  $40 \ \mu m$ .

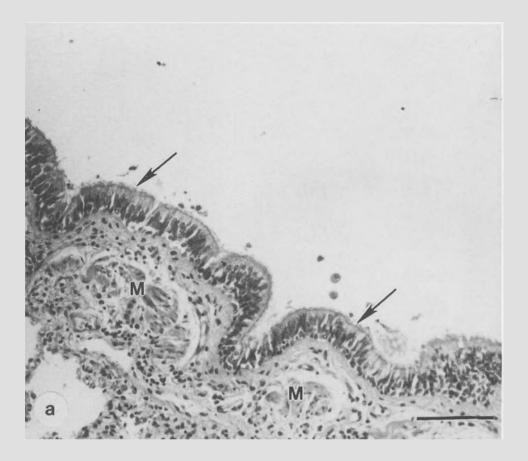


Figure 9a H&E sections of human intrapulmonary bronchial mucosa: A road traffic accident death showing intact ciliated surface epithelium (arrows) with indistinct underlying reticular basement membrane, few inflammatory cells, small amounts of bronchial smooth muscle (M). Scale bar = 120  $\mu$ m.

tive emphysema in fatal asthma and right ventricular hypertrophy is uncommon when the diagnosis of asthma is uncomplicated by COPD.

#### Loss of surface epithelium

Histologically, shedding and damage of airway surface epithelium is prominent in asthma, both in fatal asthma (Fig. 9a and b) and in biopsy specimens of patients with mild disease [33–35]. Loss of epithelium is followed by areas of mitotic activity (see [36]), secretion of fibronectin [37, 38] and epithelial regeneration which first



#### Figure 9b

By comparison, in the airway of a patient who died in status asthmaticus there is sloughing of the surface epithelium with cells and exudate in the lumen. The reticular basement membrane (arrowheads) is now prominent due to homogeneous thickening. There is increased infiltration of the mucosa by affording an increased mass of bronchial smooth muscle (M) and dilatation and congestion of mucosal vessels (V). Scale bar = 120  $\mu$ m.

appears in the form of simple or stratified squamous epithelium [27] prior to its differentiation and maturation to form new ciliated and mucous (goblet) cells. In symptomatic asthma, there may be platelet aggregation and the role of platelets in the asthmatic process has been understudied. There may also be fibrin, at sites of damage and such deposits of fibrin are also seen during the late phase following allergen challenge (own unpublished results). Again, the involvement of fibrinogen and fibrin in the inflammation of asthma requires further study. The greater the loss of surface epithelium in biopsy specimens the greater appears to be the degree of airways hyperresponsiveness (AHR) [33]. It is recognised that there is an inevitable artefactual loss of surface epithelium during the taking and processing of these small (2 mm diameter) biopsy pieces, even in normal, healthy subjects, which makes interpretation of the extent of epithelial sloughing controversial [39]. The suggested fragility of the epithelium in asthma in vivo is supported by the frequent reports of Creola bodies in the sputa [40] and the reported association between the numbers of bronchial epithelial cells recovered by bronchoalveolar lavage (BAL) and the degree of AHR in asthmatics with mild disease [34].

The fragility of the surface may involve alteration of cell-cell adhesive molecules, such as cadherin, and disruption of tight junctions [41, 42] which act as a selective epithelial barrier to the passage of ions, molecules and water between cells: this disruption may enhance stimulation of intraepithelial nerves leading to axonal reflexes, stimulation of secretion by mucous glands, vasodilatation and oedema through the release of sensory neuropeptides, the last referred to as neurogenic inflammation [9, 43]. Experimentally there is also evidence that the sensitivity of bronchial smooth muscle to substances placed in the airway lumen correlates strongly with the integrity of the surface epithelium [44]. Loss or damage of surface epithelium would thus lead to a reduction in the concentration of factors normally relaxant to bronchial smooth muscle with resultant increased sensitivity and "reactivity" [45, 46].

#### Thickening of the epithelial "basement membrane"

Observed by light microscopy, thickening of the reticular basement membrane (i.e. lamina reticularis), has long been recognised as a consistent change in all forms of asthma [27, 33, 47–51] (see Fig. 9b). Whilst there may also be focal and variable thickening in COPD, and other inflammatory chronic diseases of the lung such as bronchiectasis and tuberculosis [51], the lesion, when homogenous and particularly when it is hyaline in appearance, is highly characteristic and present in both fatal and mild asthma and in patients with a long history of asthma but who have not died of their asthma. The thickening of the reticular layer which is immuno-positive for collagen types III and V together with fibronectin but not laminin has been referred to as "subepithelial fibrosis" [48]. However, its thickening is distinct from the fibrosis associated with scar formation as ultrastructurally it does not resemble

the underlying interstitial collagen or a scar. The reticular layer is comprised of thinner fibres of reticulin linked to a matrix rich in sugars together with entrapped exogenous molecules such as tenascin, heparin sulphate and serum-derived components. In the author's opinion, swelling of this layer may also contribute to its thickening and it is curious that its thickening is maximal early on in the course of the disease and it does not appear to thicken further as the condition worsens or becomes fatal. In contrast, the "true" epithelial basement membrane (i.e. the basal lamina) which consists mainly of type IV collagen, glycosaminoglycans and laminin is not thickened, either in mild or severe disease.

Adjacent subepithelial fibroblasts may, of course, contribute to the thickening of the reticular layer (Fig. 10). In this regard, an association between the numbers of myofibroblasts underlying the reticular layer and thickening of the reticular layer has been demonstrated in asthma [52]. Gizycki and colleagues [53] have also observed that myofibroblast-like cells appear in substantial numbers during the late phase reaction following allergen challenge: these may contribute, *via* secretion of additional reticulin, to the thickening of the reticular basement membrane.

#### Increased numbers of mucus-secreting cells

Bronchial goblet cell hyperplasia and submucosal gland enlargement have been reported as the histological hallmarks and the correlate of hypersecretion of mucus in chronic bronchitis [54]. There is also significant submucosal gland enlargement seen in fatal asthma [28] and this may contribute to excessive production of mucus which thickens as it mixes with plasma-derived molecules and induces the plugging of airways usually associated with a fatal attack [55]. Dilatation of gland ducts, referred to as bronchial gland ectasia is also described [56].

#### Enlargement of bronchial smooth muscle mass

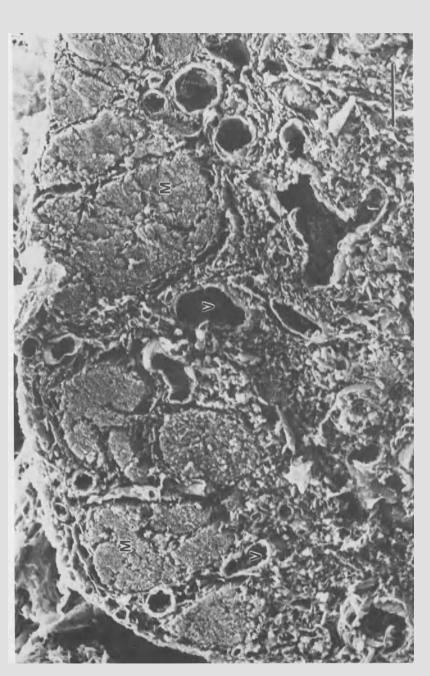
The percentage of bronchial wall occupied by bronchial smooth muscle shows a marked increase in fatal asthma [28] (Fig. 11). Importantly, the increase in muscle mass is reported to be in larger rather than in smaller intrapulmonary bronchi of lungs obtained following a fatal attack as compared with those of asthmatic subjects dying of other causes [57]: it is likely a major contributor to the thickening of the airway wall and hence to the increased resistance to airflow [58–61].

Whether the increase in muscle mass is due to muscle fibre hyperplasia [62] or hypertrophy is at present unclear. Interestingly, recent observations of the late phase response to allergen have demonstrated the increased presence of cell forms which share ultrastructural features of fibroblast, myofibroblast and bronchial smooth muscle [53]. In particular they contain bundles of filaments with electrondense condensations identical to those found in the contractile apparatus of



Transmission electron micrograph (TEM) of a biopsy of a subject with mild atopic (allergic) asthma showing a fibroblast (F) with long extensions beneath the reticular basement membrane to which are attached epithelial basal cells (B). An eosinophil (E) is in the process of "cytolytic" degranulation and there is a lymphomononuclear cell (L) nearby. Scale bar =  $5 \mu m$ .

bronchial smooth muscle (Fig. 12). These cells may represent the precursors of the additional blocks of bronchial smooth muscle reported in fatal asthma. Such remodelling in asthma [63] shows much similarity to that seen in vascular disease (i.e. atheroma) [64]. These new discoveries in the mucosal responsive to allergen



SEM of part of the airway wall in a case of fatal asthma. The surface epithelium has been completely lost. The airway wall is thickened due to vasodilatation (V) and to the blocks of bronchial smooth muscle (M) which are increased in size and number and appear to lie close to the surface. Scale bar =  $60 \mu m$ .



TEM of a myofibroblast from a bronchial biopsy of a subject with allergic asthma, taken during the late response 24 h after allergen challenge. In contrast to the fibroblast shown in Figure 10, this cell, referred to as a myofibroblast or "synthetic smooth muscle cell" is about twice the size, has an irregular outline, contains much dilated rough endoplasmic reticulum (arrowheads) and elongate bundles of myofilaments (arrows) with electron-dense condensations identical to those of smooth muscle. The cell has made contact with a lymphomononuclear cell (L). Scale bar = 5.0  $\mu$ m.

are exciting and indicate that myofibroblast/myocyte differentiation and their role in bronchial smooth muscle mass enlargement may become a novel target for antiasthma treatment in the future [63, 65].

#### Bronchial vasculature, congestion and oedema

The increase in thickness of the bronchial wall in asthma is unlikely accounted for by the increase in bronchial smooth muscle and mucous gland mass alone. Dilatation of the mucosal bronchial vasculature, congestion of its vessels, new vessel growth and wall oedema are also features of fatal asthma (see Fig. 11). Subepithelial oedema has been suggested to be responsible for lifting and sloughing of the surface epithelium [27]. The onset of vasodilatation, congestion and mucosal oedema in response to a variety of mediators of inflammation [66] and perhaps that which occurs in response to exercise can be rapid and, equally, should be relatively rapid-ly reversed by appropriate treatment.

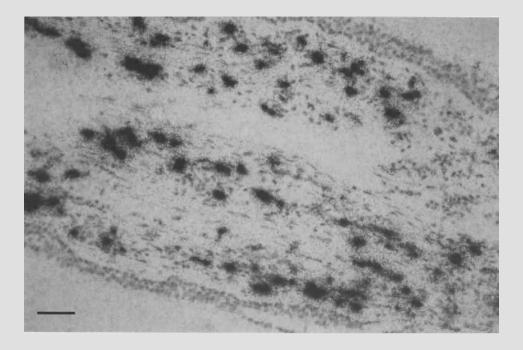
James and colleagues have shown that airway wall thickening (due to one or more of the above changes) need only be relatively minor to have dramatic consequences on airflow limitation [58]. The association of structure and function is an interesting and important area requiring much further study.

#### Recruitment of inflammatory cells

In fatal asthma there is a marked inflammatory cell infiltrate throughout the airway wall (see Fig. 9b) and also in the occluding plug: lymphocytes are abundant [27, 31, 67], eosinophils are characteristic and neutrophils are usually absent or retained within vessels. The inflammation may spread to surrounding alveolar septae and affect adjacent arteries [67]. There is an association of tissue eosinophilia varies with each case and, interestingly, with the duration of the terminal episode [30–32]. The longer the terminal episode the higher the concentration of eosinophils [31]; these are particularly abundant in the large (central) airways [68]. In contrast acute sudden death in asthma is associated with high numbers of neutrophils and plugging of the airways [32, 69].

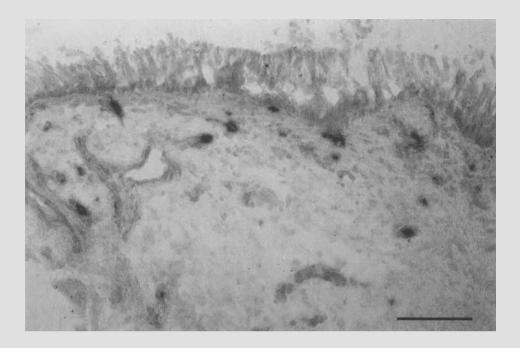
As with allergic rhinitis, atopic asthma is now recognized as an inflammatory condition of the airways in which there is tissue eosinophilia and a predominance of T lymphocytes of the CD4 (T helper) subset [70]. The activation of the T helper (Th) cells results in the release of cytokines, particularly IL-4, -5 and -10 which characterize an "allergic" profile of inflammation (Fig. 13). Release of these pro-inflammatory cytokines together with chemokines specific for eosinophils (see Figs. 8 and 14) [71] leads to the recruitment of eosinophils (not neutrophils) from bronchial vessels (Fig. 15) their activation and the release of a range of highly charged molecules which damages mucosal tissue. Extensive eosinophil degranulation (Fig. 16) and cytolysis with the release of clusters of free eosinophil granules (cfegs) may make cell identification difficult [72, 73] (see Fig. 10). Whilst there are increased numbers of T cells in fatal asthma this is not unique to asthma as it occurs also and to a similar extent in cystic fibrosis [31].

Studies of biopsies obtained by flexible fibreoptic bronchoscopy or at open lung biopsy in asthma demonstrate the very early involvement of inflammatory cells [74] and this includes the presence and an interaction between (T) lymphocytes, eosinophils and plasma cells (Fig. 16) [33–35, 75]. The increase in leucocytes, including lymphocytes and eosinophils, occurs similarly in relatively mild atopic, occupational and intrinsic asthma and it is associated with an increase in "activation" markers for both lymphocytes (CD25<sup>+</sup> cells) and eosinophils (EG2<sup>+</sup> cells) [33, 70, 75–77]. EG2 is a marker for the cleaved ("secreted") form of eosinophil cation-



An autoradiogram of a section through a bronchial biopsy of an (intubated) patient with severe asthma. The section has been hybridised with a radiolabelled ( $^{35}$ S) probe for IL5 mRNA (a TH2 cytokine) which is strongly and frequently expressed in the sub-epithelial zone (demonstrated by intense and frequent labelling of cells). Scale bar = 30  $\mu$ m.

ic protein which can be found both within eosinophils and diffusely in the wall, often in association with the reticular layer beneath the epithelium [70] (see also Fig. 10). Eosinophil-derived products such as major basic protein [78] together with toxic oxygen radicals and proteases probably all contribute to epithelial fragility: release of granules and of pro-fibrotic cytokines such as IL-4 and tumour grwoth factor  $\beta$  (TGF $\beta$ ) may also stimulate nearby fibroblasts to produce additional reticulin and thicken the reticular basement membrane. Studies of bronchoalveolar lavage show increased numbers of eosinophils and T helper cells with evidence of mast cell and eosinophil degranulation [79–81]. Macrophages may also increase in number, particularly in the more severe intrinsic form of asthma [77]. Mast cells initiate the immediate response to allergen exposure. Mast cells may also be an important source of IL-4 and other pro-inflammatory cytokines whose secretion may act as a trigger to the induction of subsequent persistent production of IL-4 and IL-5 by

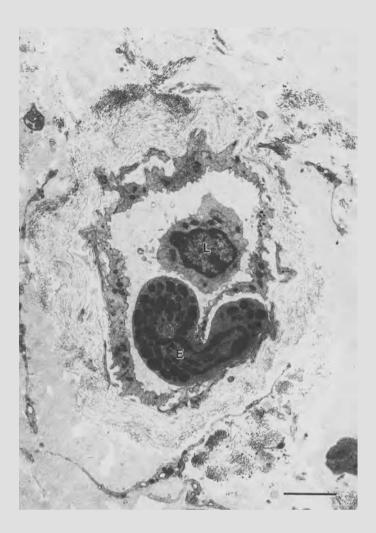


In situ hybridisation using a digoxigenin-labelled ribo-probe for the C-C chemokine MCP-4 in a biopsy from a patient with atopic asthma. Mononuclear cells beneath the surface epithelium are heavily labelled for this eosinophil chemoattractant. Scale bar = 100  $\mu$ m. (Produced by Dr. D. Li)

lymphocytes [16, 82]. Little is known of the role of basophils in asthma albeit there is evidence for increased recruitment of basophils and their precursors to sites of allergic reaction in atopic patients [83].

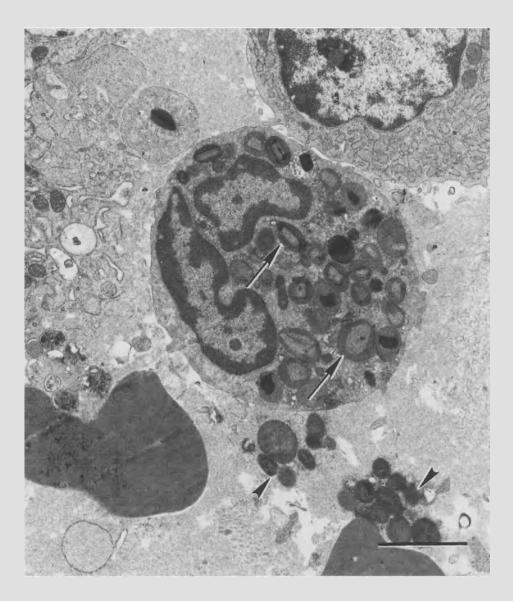
#### Airway wall nerves

The topic of airway wall innervation and its relationship with asthma is a large one [9, 43]. There are data showing that in fatal asthma there is an absence of (relaxant) vasoactive intestinal polypeptide-containing nerve fibres and an increase in the numbers of substance P-containing fibres (stimulatory to bronchial smooth muscle) contrasting markedly with the innervation of the control lungs taken at resection from chronic smokers [84, 85]. The reduction has not, however, been confirmed in examination of bronchial biopsies in mild asthma [86]. Whilst Sharma and col-



TEM of a biopsy showing a bronchial vessel in which there is both an eosinophil (E) and lymphomononuclear (L) cell. In this case of atopic asthma the eosinophil has adhered to the endothelial surface and is in the process of migrating between two endothelial cells to enter the interstitium. The eosinophil granules are intact and contain an electron-dense core. Scale bar =  $2.0 \mu m$ .

leagues have described a reduction of airway VIP and  $\beta$ -adrenoreceptors in cystic fibrosis, the densities of both VIP receptors and  $\beta$ -adrenoreceptors are reported to be similar in asthma to those of grossly normal tissue of lungs resected for carcinoma [87, 88].



TEM of a bronchial biopsy in atopic asthma showing "piecemeal eosinophil degranulation". The granules within the cell have lost their major basic protein-rich and electron-dense core (arrows). This molecule is thought to damage airway tissues in asthma. Clusters of free eosinophil granules (C fegs) are also seen (arrowheads) as the result of eosinophil "cytolysis" (see Fig. 10). Scale bar =  $2.5 \mu m$ .

#### **Bronchitis**

#### Acute bronchitis (or tracheobronchitis) and bronchiolitis

Acute inflammation of the conducting airways of the lower respiratory tract is common, (especially in young children and the elderly) varying much from year to year dependent upon the interactions of cold weather, atmospheric pollutants and the prevalence of infections such as influenza and measles. Gases including ammonia, sulphur dioxide, ozone, particularly those which are highly water soluble, induce inflammation in the larger conducting airways whereas those which are less soluble, such as oxides of nitrogen, high concentrations of oxygen and metal fumes affect the small airways and alveoli. Hydrocarbon combustion products and self-pollution by tobacco smoke also induce inflammation but these are usually associated with more chronic inflammation and its sequelae.

*Viruses*, including the influenza virus, parainfluenza viruses, respiratory syncytial virus (RSV), adenoviruses and herpes may induce acute airway inflammation at characteristic sites in the airway tree: e.g., RSV and adenovirus are especially prone to cause bronchiolitis in young children (see Fig. 5). The consequent pathology is to some extent dependent upon the type of virus involved but in general they either have a cytopathic effect on, usually, epithelial cells or induce their mitotic proliferation. In necrotizing bronchiolitis the bronchiolar epithelium is destroyed whereas in viral pneumonia it is the alveolar epithelium with consequent formation of hyaline membranes. During viral invasion, there is swelling and vascuolation of cell cytoplasm and nuclear degeneration and much or most of the surface epithelium is destroyed. There is oedema and hyperaemia of deeper tissues and moderate to marked infiltration by lymphocytes. Neutrophils are usually less common unless there is (as is often) the complication of secondary bacterial infection when neutrophils become the dominant inflammatory cell.

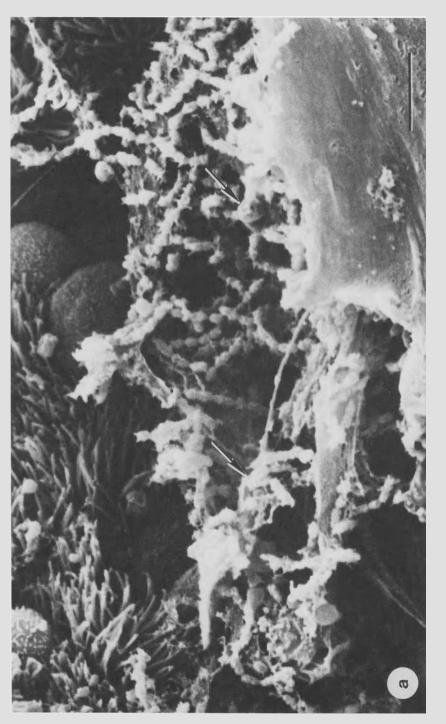
Acute *bacterial* infections of the lungs are still one of the commonest causes of death, especially in the young and elderly. Like viruses, bacteria may also be site specific as in, e.g., diphtheria which is generally limited to the pharynx. In complicating viral infections *Streptococcus pneumoniae* and *Staphylococcus aureus* are commonly involved whereas in chronic bronchitis it is commonly *Streptococcus pneumoniae* or *Haemophilus influenzae*. These bacteria and adhesive molecules on their cell walls have a great avidity for the mucus in the airway lumen and thrive on it (Fig. 17a). Providing the mucus is wafted by the cilia to the throat and swallowed or expectorated as sputum, the mucocilary system ensures the surface of the epithelium remains relatively free of bacteria. But if ciliary beating is compromised by viruses, bacterial exotoxins or atmospheric pollutants then the mucus stagnates, bacteria multiply and the exotoxins (e.g. pyocyanin) produced by them may induce sloughing of surface epithelial cells to which bacteria may then attach in large numbers (Fig. 17b) In lobar pneumonia there is congestion (lasting less than 24 h), dilation of alveolar capillaries and flooding of alveolar lumena (i.e., alveolar oedema)

with fibronogen-rich fluid (and or erythrocytes) which clots to form interlacing strands of fibrin (referred to as red hepatisation). After 2–3 days, large numbers of neutrophils and then macrophages are recruited into the fibrinous matrix and there is reduction of capillary congestion (referred to as grey hepatisation). After the 8th or 9th day of illness, there then follows a spontaneous phase of resolution, lasting several weeks, which can be accelerated with treatment, during which there is liquefaction of the previously solid fibrinous constituent by enzymes thought to be released from neutrophils.

In bronchopneumonia there are patchy areas of inflammation which begin as widely dispersed bronchitis or bronchiolitis and are thus focused initially on the centres of the respiratory acinus. However, as the lesions spread, the bacteria induce an acute alveolar inflammation characterized by a copious exudation of fluid and neutrophil recruitment to alveolar walls and spaces. In these cases, healing by fibrosis, bronchiolar obliteration or emphysema rather than resolution is common. Similar inflammatory changes can be induced experimentally by the intratracheal instillation of lipopolysaccharide [89, 90].

## Chronic (smokers) bronchitis

Chronic bronchitis (mucous hypersecretion) is defined by the presence of chronic cough and recurrent increases in bronchial secretions sufficient to cause expectoration. The secretions are present on most days for a minimum of 3 months a year, for at least two successive years, and cannot be attributed to other pulmonary or cardiac causes [91-93]. Chronic airways hypersecretion can occur in the absence of airflow limitation. Analysis of sputum in smokers chronic bronchitis shows a pattern of inflammation in which macrophages predominate and eosinophils and metachromatic (mast) cells are scarce [94]. Electron microscopic and immunohistochemical techniques are only just beginning to be applied to examine the nature of the inflammatory infiltrate in chronic bronchitis. There is evidence of inflammation in bronchial biopsies of subjects with stable disease and in exacerbations of bronchitis (Fig. 18) [95–99]. Bronchial mononuclear cells appear to form a predominant cell type with few neutrophils and in contrast to asthma there are relatively few eosinophils (in the absence of an exacerbation of infection). The mononuclear component comprises lymphocytes, plasma cells and macrophages [97, 100]. Significant increases are reported in the numbers of CD45 (total leucocytes), CD3 (T lymphocytes), CD25 activated and VLA-1 (late activation) positive cells and of macrophages [97]. There is a moderate increase in the number of tissue eosinophils compared to that found in normal healthy controls and it has been suggested that, in contrast to asthma, the tissue eosinophils found in chronic bronchitis do not degranulate [95]. However, Saetta and co-workers find that the numbers of tissue eosinophils are only increased when there are exacerbations of bronchitis [99, 101].



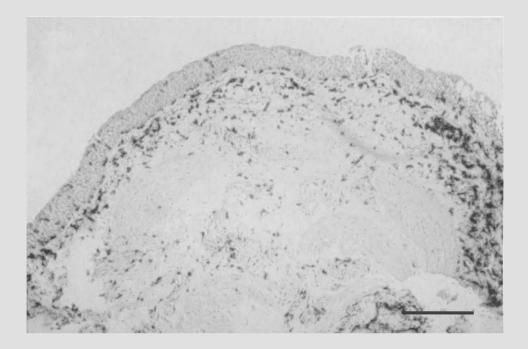
# Figure 17a

SEM of human airway epithelial surface. There is colonisation of the overlying mucus but not the underlying epithelial cells by bacteria (Pneumococcus sp) (arrows). Scale bar =  $5 \mu m$ .



Figure 17b

SEM of sloughing airway epithelial cells illustrating the effects of bacterial toxins (Haemophilus sp). The ciliated (C) and non-ciliated cells are damaged and bacteria now attach avidly to their surfaces (arrows). Scale bar =  $5 \mu m$ .



## Figure 18

Histological section of a mucosal biopsy obtained from a patient with smokers bronchitis during an exacerbation. There are large numbers of CD8 positive cells infiltrating the mucosa. Scale bar =  $150 \mu m$ .

(Immunostained by Dr. D. Li using the APAAP technique to stain CD8<sup>+</sup> cells red; biopsy specimen kindly obtained by Dr. M. Saetta, Padua)

The same group of workers together with another report increases in the cell surface adhesion molecules associated with such inflammation [98, 99].

The increase in sputum production may be initiated by the inflammatory process [102]. Cough and sputum production are the symptoms most frequently experienced by the 15–20% of smokers who succumb to respiratory disease: both mechanisms are effective in clearing large proximal airways (down to about the sixth generation of branching), acting to protect the more distal respiratory portion of the lung from damage. Sputum and respiratory tract secretions are a mixture of constituents including glycoproteins, glycosaminoglycans, lipids and transudate. Normally, respiratory tract secretions probably amount to less than 10 ml/day [103] and has been suggested to consist primarily of glycosaminoglycans [104, 105]. Chronic irritation by pollutants including cigarette smoke causes alterations in the number and activity of secretory cells in the mucosa, i.e. an enlarge-

ment of submucosal glands (by an increase in both the number and size of their cells) and an increase in the number of secretory cells in the surface epithelium. Mucous gland enlargement and hyperplasia of secretory cells are the histological hallmarks of chronic bronchitis and the tissue correlate of sputum production [106]. Epithelial changes may include atrophy [107], focal squamous metaplasia108 and decreases of both ciliated cell number and mean ciliary length [109-111]. Ultrastructural changes in cilia such as the development of compound cilia have been attributed directly to the effects of cigarette smoke [112] but in the author's opinion these changes are non-specific or more likely consequences of complicating exacerbations of infection and due to the bacterial exotoxins known to be ciliotoxic [113]. The presence of a gel-like mucus is essential to mucocilary clearance – normally the mucus is present as discrete flakes but in bronchitis it is thought to be present as a continuous sheet or blanket. Whilst bronchial goblet cell hyperplasia and submucosal gland enlargement are reported in chronic bronchitis [54], in emphysema (see above), gland enlargement is very much less marked and shows extensive overlap with the normal range [28]. Disproportionate reduction of serous acini of the submucosal glands which contain lysozyme, lactoferrin antibacterial agents and a small molecular weight anti-protease, tends to favour bacterial colonisation and also proteolytic damage to airways. Whilst bronchial goblet cell hyperplasia may be a feature of both asthma and bronchitis, the appearance of goblet cells in bronchioli where goblet cells are normally absent or sparse (referred to as mucous metaplasia) and their increase in number, and consequent hypersecretion of mucus in airways of less than 2 mm diameter is a key alteration contributing to small airways disease and the airflow obstruction which is the feature of COPD [114, 115].

## Chronic obstructive pulmonary disease (COPD)

Chronic obstructive pulmonary disease (COPD) is a major cause of morbidity and mortality. In Europe, COPD and asthma, together with pneumonia, are the third most common cause of death. In North America, COPD is the fourth leading cause of death, and mortality rates and prevalence are increasing. The incidence and morbidity from COPD are rising. The main risk factors are cigarette smoking and occupational exposure.

The definition of COPD is much debated and the clinical definitions are still imprecise (see ERS guidelines [116]). The difficulties of definition are compounded by the recognition that both COPD and asthma are not disease entities but rather each is a complex of conditions which contribute to airflow limitation (obstruction). Unlike asthma, in COPD the limitation, particularly to expiratory airflow, is usually, but not always, persistent and typically shows a more rapid progressive deterioration with age than is normal. Accordingly the most recent and generally accepted definition in Europe is: "Chronic obstructive pulmonary disease (COPD) is a disorder characterized by reduced maximum expiratory flow and slow forced emptying of the lungs; features which do not change markedly over several months" [116]. Three conditions may contribute to airflow limitation to varying degree in each patient: (i) chronic bronchitis defined clinically (see above), (ii) adult chronic bronchiolitis (small or peripheral airways disease) which is difficult to define clinically but which may be recognized by sophisticated tests of small airway function (i.e. airways of 2 mm diameter or less), and (iii) emphysema which is defined anatomically by permanent, destructive enlargement of airspaces distal to the terminal bronchioli without obvious fibrosis [117]. Those changes which contribute most to the progressive and accelerated decline in lung function are chronic bronchiolitis and emphysema.

## Inflammation associated with airflow limitation (large airways)

Biopsy studies of 2-3 order bronchi demonstrate few neutrophils and eosinophils in bronchial biopsies of stable bronchitic smokers with or without chronic bronchitis pulmonary disease (COPD). However as airflow limitation progressively worsens (measured by assessment of the forced expiratory volume in one second), T lymphocytes and neutrophils increase in the surface epithelium as do T lymphocytes and macrophages in the subepithelium. We have reported that it is the CD8+ve lymphocyte subset which increases in number and proportion in COPD and have shown that the increase of CD8+ cells is significantly associated with decline in lung function [100]. Importantly this contrasts with the predominance and activation of the CD4+ T cell subset which is characteristicly increased in mild atopic asthma. Increasing pigmentation of sputum-derived macrophages and increased numbers of neutrophils are also associated with poor lung function [118]. Broncho-alveolar lavage fluid (BALF) from subjects with chronic bronchitis also demonstrates high numbers of neutrophils [95, 119]. The total number of inflammatory cells recovered by lavage is lower in COPD than in chronic bronchitis without airflow obstruction, however increased glutathione (GSH), myeloperoxidase (MPO, a marker of neutrophils) and eosinophil cationic protein (ECP) are associated with reduced FEV<sub>1</sub> [120]. Increased GSH may represent an airway epithelial response to persistent oxidant attack by both cigarette smoke and the highly toxic oxygen species released by inflammatory cells recruited from the vasculature, which migrate through tissues to the airway lumen. Interestingly the high numbers of neutrophils and MPO found in lavage fluid from subjects with COPD is not reflected in their numbers in the bronchial mucosa, at least in the subepithelial zone (often referred to as the lamina propria) of biopsies obtained from the same subjects [95, 100, 121]. This may represent the inability of bronchoscopy to sample distal portions of the lung to which neutrophils may be preferentially recruited in COPD or it might be due to the relatively rapid migration of neutrophils across the airway wall of the more proximal airways, sampled by bronchoscopy. Alternatively, the location of the histological section in which the inflammatory cells are counted may not be that in which neutrophils accumulate. It is our (unpublished) experience that whilst neutrophil counts in the subepithelium may be low, they preferentially accumulate within the surface epithelium during their presumed passage to the airway lumen: this is a biopsy site often not included in counts of inflammatory cells [122].

## Adult chronic bronchiolitis (small airways disease)

Airflow limitation, as determined by FEV<sub>1</sub>, usually occurs late in the course of cigarette smoke-related events, whereas inflammation in small airways (i.e. bronchioli < 2-3 mm diameter) occurs relatively early and may be detected physiologically well before the age of 30 years [123, 124]. The small airway defect is characterised by persistent airflow limitation which may show progressive deterioration in the absence of emphysema. Whilst the site of the lesion and diagnosis is, as yet, difficult to pinpoint by lung function, experimental physiologists (inter alia [125, 126]) have indicated that the dominant site lies in bronchioli of less than 3 mm diameter. Histologically one of the most consistently observed early effects of cigarette smoke is a marked increase in the number of macrophages and neutrophils, both in man and experimentally in animal studies. The increase is seen within both the lung interstitium and alveolar air space and can be detected in bronchoalveolar lavage fluid (BAL) [127]. Early smoking-related inflammatory changes occurring in small airways have been described in studies comparing lungs of young smokers and controls of similar age from a group who had experienced sudden non-hospital deaths [114, 128, 129]. It is suggested that the primary lesion is progressive inflammation leading to peribronchiolar fibrosis. Evidence of destructive emphysema and right ventricular hypertrophy is common in COPD; in contrast, both are uncommon findings in asthma. The resultant narrowing of small bronchioli has been well demonstrated in bronchiolar casts of patients with COPD by Bignon and colleagues [130]. The peribronchiolar inflammation consisting of lymphocytes and fibrosis may also predispose to the development of centrilobular emphysema and may be responsible for the subtle abnormalities detected by lung function (Fig. 19). The T cell functional phenotype and cytokine profile of bronchiolar inflammation in smokers is yet to be characterized. Associated loss of alveolar attachments to the airway perimeter contribute to loss of elastic recoil and favour increased tortuosity and early closure of bronchioli (which lack cartilagenous support) during expiration [131-133]. Cosio and colleagues [114] have described lesions in smokers dying suddenly: inflammation in bronchioles and a respiratory bronchiolitis consisting of pigmented macrophages associated with mucous metaplasia, smooth muscle hypertrophy, mural oedema, peribronchiolar fibrosis and an excess of air-

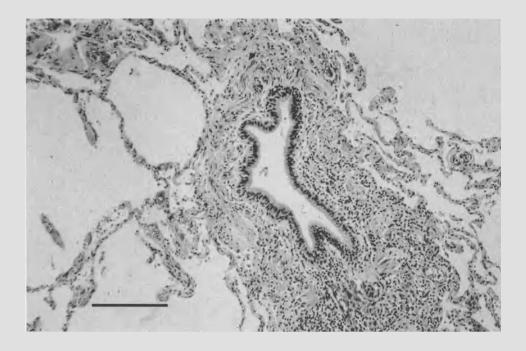


Figure 19a

H&E stained section of the centriacinar region of a case of COPD in which there is a small airway with marked peribronchiolitis surrounded by abnormally large alveolar spaces. Scale  $bar = 1000 \ \mu m$ .

ways < 400  $\mu$ m diameter were the main lesions in these smokers [114, 128, 134]. Associated stenotic narrowing of bronchioli have been demonstrated and inflammatory changes to small airways appear to be related to clinical airflow obstruction in COPD.

In bronchioli, secretory and ciliated cells are the main cell types [135, 136] and, of them, the Clara cell is the major secretory and progenitor cell. It has been suggested that the Clara cell normally produces both a hypophase component of bronchiolar surfactant [137] and a low molecular weight protease inhibitor (syn. antileukoprotease or bronchial mucosal protease inhibitor [138]). The latter is the main anti-elastase screen in sputum and normally prevents autolysis of airway tissues [139]. In smokers, Clara cells are replaced by mucous cells [115] and mucus appears in peripheral airways and its secretion is abnormally increased therein [140]. The increase in mucus at this distal site is difficult to clear by cough and dramatically increases surface tension favouring early closure of airways during expiration [141].



## Figure 19b

H&E stained section through emphysematous lung in which there is destruction of alveolar attachments to the bronchiolar wall, resulting in a tortuous appearance and probably early collapse during expiration. Scale bar = 2000  $\mu$ m.

## Emphysema

Laennec gave us the first clear anatomic descriptions of emphysema in 1826. He also recognized that cough, expectoration of mucus, air-flow obstruction, and shortness of breath on effort were the clinical correlates in life of the finding of emphysema at autopsy.

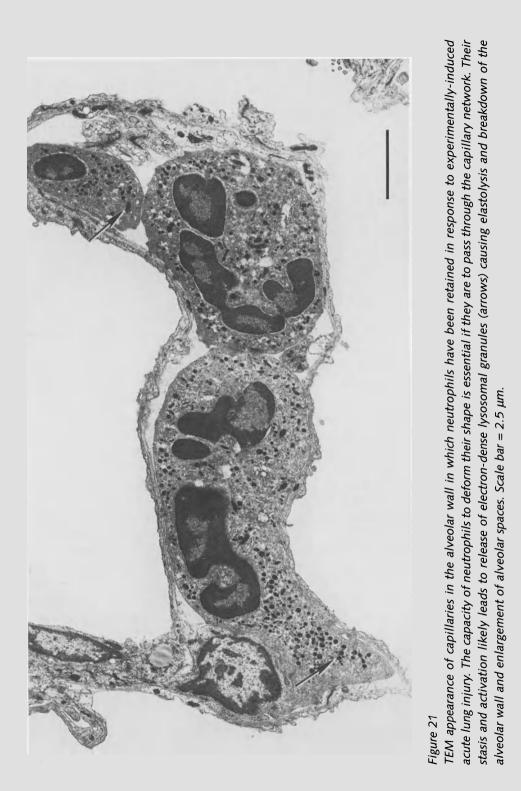
The early changes leading to emphysema have been thought to include subtle disruption to elastic fibres with accompanying loss of elastic recoil, bronchiolar and alveolar distortion and the appearance of fenestrae which enlarge [142] eventually leading to loss of interalveolar septa. In smokers with emphysema there is loss of alveolar wall tissue even in regions removed from those with obvious macroscopic lesions: recent data have shown that this is accompanied by a net increase in the mass of collagen. This suggests that, contrary to the current internationally accepted definition (see above) that there is active alveolar wall fibrosis in the tissues which remain in otherwise emphysematous lungs [143].



## Figure 20

Gross appearance of the cut surface of a lung resected from a smoker showing severe centriacinar emphysema with destruction predominantly present in the upper aspects of each lobe. Scale bar = 5 cm. (Courtesy of Prof. B. Heard) Two main forms of emphysema are described. They are distinguished by the part of the acinus affected. *Centriacinar* emphysema is characterised by focal destruction restricted to respiratory bronchioli and the central portions of the acinus, each focus surrounded by areas of grossly normal lung parenchyma. This form of emphysema is usually more severe in the upper lobes of the lung (Fig. 20). *Panacinar* emphysema involves some degree of destruction of the walls in a fairly uniform manner of all the air spaces beyond the terminal bronchiolus. This form of emphysema is characteristic of patients who develop smoking-related emphysema relatively early in life and, in contrast to the centriacinar form, has a tendency to involve the lower lobes more than the upper. In the familial form of panacinar emphysema it is usually associated with  $\alpha_1$ -antitrypsin deficiency [144].

Epidemiological studies have demonstrated a significant relationship between cigarette smoking and severity of emphysema [145] but the mechanism(s) by which cigarette smoke causes such damage is still the subject of much research. One current working hypothesis is that emphysema is the result of an imbalance between proteolytic enzymes and protease inhibitors in the lung, favouring an excess of enzyme and in particular elastases. In addition, the imbalance between oxidants and antioxidants contributes also by allowing an excessive oxidant burden to degrade the normal protease inhibitor screen [146, 147]. The proposed mechanism involves interactions between cigarette smoke, alveolar macrophages, chemoattractants, neutrophils, elastases, endogenous and exogenous oxidants, protease inhibitors, antioxidants and lung connective tissue, primarily elastin, which undergoes repeated destruction, synthesis and degradation [148]. The in vitro effects of cigarette smoke on pulmonary connective tissue are consistent with the protease/antiprotease and oxidant/antioxidant hypotheses. In spite of this, experimental animal models of cigarette smoke-induced emphysema have proved difficult to develop. The destruction of the respiratory zone in emphysema is also considered to be the result of an inflammatory reaction, much of this centred on respiratory bronchioli and largely initiated by products of inhaled tobacco smoke [147, 149]. As with the more proximal airways T lymphocytes (recently suggested to be of the CD8 phenotype) and macrophages appear to play a role in the lung parenchyma also [150, 151]. Factors chemotactic for neutrophils, and which will induce their emigration from the microcirculation are released by smokers' alveolar macrophages [152] and the alveolar neutrophil population may increase from 1% to 5% of inflammatory cells. Cigarette smoke may, itself, contains substances chemoattractant for neutrophils [153], a possibility that is supported by the associated peripheral blood leukocytosis which is widely reported [154]. Cigarette smoke or factors released from cigarette smokeexposed macrophages induce the release elastases from neutrophils which degrade lung elastin even in the presence of antiprotease [155–157]. The approximation of neutrophils with interstitial connective tissue is a close one even when they are retained in the pulmonary circulation (Fig. 21). The average diameter of circulating neutrophils is 7.0 µm which necessitates their deformation as they squeeze through



capillary segments of 5  $\mu$ m diameter. Neutrophil traffic through the capillaries of the lung is normally slower (i.e. there is a higher transit time) than that of red blood cells as they are 700 times less deformable than RBCs [158]. Recent studies with radioactively labelled neutrophils have demonstrated that the normal delay in neutrophil transit is further exaggerated, transiently, even in healthy subjects during smoking [159]. Exposure of neutrophils to cigarette smoke *in vitro* and *in vivo* results in decreased deformability associated with polymerisation of actin microfilaments [158, 160]. This is the likely mechanism of the observed cigarette smoke-induced increase in transit time.

Elastin fragments created by the elastase activity of both neutrophil and macrophages attract monocytes from the circulating pool of blood leukocytes from which alveolar macrophages mature [161]. When activated, alveolar macrophages release a variety of oxidants which damage tissues [154, 162]. Cigarette smoke itself also contributes significantly to the exogenous oxidant burden and may reduce antiprotease activity of the lungs anti-elastase screen. It is estimated that each puff contains  $10^{14}$  free radicals in each of the soluble and particulate phases and that many of these are relatively long-lived [163]. Cigarette smoke-derived oxidants may damage directly host tissue or act by inhibiting  $\alpha_1$ -antitrypsin.

Whilst the major pathological changes are thought to occur in the small airways and parenchyma, in patients with more advanced COPD, changes also occur to the pulmonary circulation, the right heart, and respiratory muscles. With alveolar hypoxia, the medial vascular smooth muscle of pulmonary arterioles extends distally to vessels that normally lack muscle and there is intimal thickening. In addition, loss of the vascular bed occurs as a consequence of emphysema. Right ventricular enlargement due to dilatation and/or hypertrophy is not uncommon and atrophy of the diaphragm occurs in some cases. In contrast, these changes are not features of asthma.

Lastly, but importantly, many life-long smokers do not succumb to the development of emphysema and constitutional factors are likely to predispose individuals and make them especially susceptible to the effects of tobacco smoke. Genetic deficiency of  $\alpha_1$ -antitrypsin is a well documented extreme example and smoking in this group clearly advances the onset of emphysema and accelerates its subsequent progression. Other genetic factors such as variation in cellular response to cytotoxicity, phagocytosis and enzyme release may also be important determinants of an individual's susceptibility to cigarette smoke [164]. O'Shaughnessy and colleagues [100] suggest that susceptibility to the effects of cigarette smoke will be greater in those individuals who already have a genetically determined low CD4/CD8<sup>+</sup> cell ratio in their peripheral blood [165]. This is a novel explanation as to why only a relatively small proportion of smokers succumb to its deleterious effects: the hypothesis requires, however, testing and epidemiological proof. Long-term studies (soon to be reported) of inhaled corticosteroids in COPD are currently in progress to test the hypothesis that airways inflammation bears a relationship with rate of decline in  $FEV_1$ : if the relationship is a direct one then there should be a slowing of the rate of decline following attenuation of the inflammatory reaction.

Table 1 summarizes the main distinctions between COPD and asthma. There is evidence of inflammation in both but there are marked differences in terms of the predominant inflammatory cell phenotype and the site and functional consequence of such inflammation. The distinctions are however not absolute and the two conditions may co-exist in any one patient.

## Fibrosing alveolitis

Finally fibrosing alveolitis (FA) represents scar formation of the lung and fibrous thickening of the alveolar walls. The fibrosis may be intra-luminal or interstitial. In the former case it may represent organization of eosinophilic or bacterial pneumonia or its aetiology may be unknown (i.e., cryptogenic). In its interstitial form it may include (i) the organization of exudates, hyaline membranes or of a chronic interstitial oedema (such as that which may follow diffuse alveolar damage caused by toxic fumes, irradiation, virus or regurgitated gastric acid or (ii) the granulomatous conditions (including sarcoidosis, extrinsic allergic alveolitis and eosinophilic granuloma). Cryptogenic fibrosing alveolitis (CFA; also referred to as idiopathic pulmonary fibrosis) and that associated with the collagen-vascular disease, systemic sclerosis (FASSc), are also inflammatory conditions of the lung which result in collagenous thickening of the alveolar wall rather than its emphysematous destruction. An understanding of the reasons for the very different outcomes of the inflammatory processes of emphysema and FA is required. We know that activated T lymphocytes (i.e. CD25 and CD45Ro positive cells) are also present in increased numbers in FA. Gene expression for IL-4 and IL-5, whilst characteristic, is not unique to asthma and this Th2 pattern occurs in CFA also [166]. By contrast the inflammation of FASSc is associated with gene expression for IL-4, -5 and interferon  $\gamma$  (IFN $\gamma$ ) (i.e. a mixed Th2/Th1 phenotype). Interestingly both fibrotic conditions are associated with increases of IL-8 gene expression [167].

# Conclusion

In conclusion the severity and nature of the inflammation and its consequences (be they obstructive or restrictive) depend much on the type, dose and persistence of the insult and the predominant site in the lung at which it occurs. By comparing and understanding the subtleties of the inflammatory and molecular processes of these pathologically distinct conditions and the contributions made by different inflammatory, and also structural cells, we will be able to understand better their interaction with the genetic factors which predispose an individual to the development of

| Astrima  |
|--|
| Progressive deterioration of lung function Variable ( $\pm$ irreversible component)  |
|  |
| Excessive mucus (mucoid/purulent) Hyperinflation airway plugs (exudate +             |
| Small airway disease, emphysema  |
| No or little emphysema   |
| Eosinophilia   |
| Neutrophil (infective exacerbation) Metachromatic cells                              |
| Creola bodies  |
| Fragility/loss   |
| Mucous metaplasia is debated   |
| Homogeneously thickened and hyaline  |
| Present  |
| Enlarged mass (small airways) Enlarged mass (large ainNays) Enlarged mass            |
| Enlarged mass (increased acidic glycoprotein) (no change in mucin histochemistry)    |
| Predominantly CD3, CD8, CD68, CD25 Predominantly CD3, CD4, CD25 (IL-2R) +ve          |
| Marked eosinophilia (EG2 +ve) (degranulated)   |
| Mild eosinophilia (? not degranulated) Mast cell increase (decrease in severe/fatal) |
|  |
| GM-CSF protein, IL-4 & IL-5 gene expression IL-4 & IL-5 but not IFN gene expression  |
| 5 gene expression  |

Table 1 - Simplified comparison of COPD and asthma

these inflammatory conditions of the airways and lung and know better how to design more effective and incisive treatment.

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# Role of basophils in airways inflammation

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## Introduction

Human basophils were first identified by Paul Ehrlich (1878) thanks to the metachromatic staining properties of their cytoplasmic granules [1, 2]. In all mammalian species analysed so far, basophils and mast cells are the only cells that synthesize histamine and express plasma membrane receptors that bind with high affinity the Fce portion of IgE (FceRI) [3]. Human basophils derive from precursors that originate in the bone marrow and in foetal liver and that circulate in peripheral blood. Under normal conditions, basophils are never found in human healthy tissues. Basophils differentiate and mature in the bone marrow and circulate in the blood with a prevalence of  $\cong 0.5\%$  of total leukocytes [4]. Under certain circumstances, basophils can be recruited into the inflamed tissue during specific IgE-dependent reactions and in association with a variety of pathologic conditions [5–8]. Increasing evidence suggests that basophils and their mediators are involved in delayed-type hypersensitivity reactions in the human skin and in the lung [5, 6, 8, 9].

# Identification of basophils in the airways of asthmatics

Basophils have been identified in human bronchoalveolar lavage fluid in late phase responses [8]. Basophils have also been found *post mortem* in the airway's lumen, in the bronchial epithelium and in the submucosa of fatal asthma patients [7]. Interestingly, basophils in sputum of asthmatics increase just before an asthmatic attack and after allergen-induced asthmatic responses [5]. Finally, annual changes in basophils in peripheral blood have been correlated to airways responsiveness [9]. These anatomical observations suggest that basophils and their mediators play a role in various aspects of allergic inflammation. It is important to note that degranulated basophil leukocytes can no longer be recognized by routine light microscopy.

## Table 1 - Effects of IL-3 on human basophils

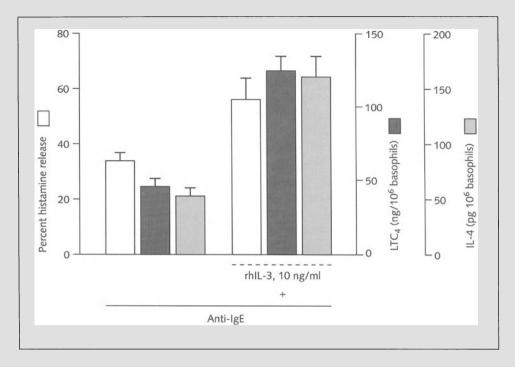
Growth factor Increases survival Increases basophil releasability Activates basophils to release histamine Potentiates the release of histamine and LTC<sub>4</sub> Potentiates the immunologic release of IL-4 Induces chemotaxis Stimulates adherence to vascular endothelium

## Growth factor for human basophils

The principal growth and differentiation factor for human basophils appears to be IL-3 [10, 11]. IL-3 exerts multiple effects on human basophils (Tab. 1). IL-3 increases the survival of basophils *in vitro*, and is also a chemotactic and activating factor for human basophils [10–13]. In addition, preincubation of human basophils with IL-3 significantly potentiates the release of histamine, cysteinyl leukotriene C<sub>4</sub> (LTC<sub>4</sub>) and IL-4 from human basophils immunologically challenged with anti-IgE or anti-FceRI [12, 13] (Fig. 1).

## **Basophil adhesion molecules**

Although the human basophil is predominantly a circulating cell, they have also been identified in skin at sites of contact hypersensitivity, erythema multiforme, bullous pemphigoid, and cutaneous basophil hypersensitivity reactions and in the airways of subjects with allergic rhinitis and asthma [5-8]. In addition, an influx of basophils accompanies the allergic late phase response that occurs after antigen challenge of the skin and airways [5, 6]. Thus, basophils, like other granulocytes, can migrate from the intravascular compartment into specific tissues. The observation that basophils accumulate at certain inflammatory sites suggests that they are activated and recruited to these locations. This process implies that basophils interact with endothelial cells and extracellular matrix proteins as they undergo margination and transendothelial migration, and enter the tissue space. This process involves the interaction of basophil cell surface adhesion molecules with specific adhesion molecule counter-receptors [14]. Two publications provide excellent overviews of basophil adhesion molecule biology [4, 15].



### Figure 1

Effects of priming of basophils with rhIL-3 on anti-IgE-induced release of histamine,  $LTC_4$  and IL-4. Basophils were treated or not with IL-3 (10 ng/ml for 2 h at 37°C) before the addition of anti-IgE (0.3 µg/ml). After 4 h, the cell-free supernatants were harvested for histamine,  $LTC_4$  and IL-4 measurements. The results are presented as the mean  $\pm$  SEM of four preparations of basophils.

With respect to the expression of cell adhesion molecules, basophils have on their surface several molecules belonging to the integrin, selectin, and immunoglobulin gene superfamilies, as well as carbohydrate molecules that are ligands for selectins (Tab. 2). These molecules are primarily constitutively expressed and their expression is not usually altered by cell activation. However, a major exception to this is the finding that activation by certain secretagogues, cytokines, or chemoattractans results in a rapid increase in the cell surface expression of  $\beta$ 2 integrins (e.g., CD11b but not CD11a) and CD35 (CR1). Associated with these changes is a more gradual decline in surface expression of L-selectin [16, 17]. Identical phenotypic changes in the expression of CD11b and L-selectin appear to have occurred in basophils that have been recruited into the lower airways after segmental allergen challenge *in vivo* [18].

Human basophils express sialyl Lewis<sup>x</sup>, a counter-receptor for selectins that mediates rolling of leukocytes on vascular cell surfaces before adhesion and trans-

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| Type (Integrins) | Name (CD designation)             | Ligands                            | Expressed on basophils |
|------------------|-----------------------------------|------------------------------------|------------------------|
| β1 (VLA) family  | VLA-1, α1β1 (CD49a/CD29)          | Collagen, laminin                  | No                     |
|                  | VLA-2, α2β1 (CD49b/CD29)          | Collagen, laminin                  | No                     |
|                  | VLA-3, α3β1 (CD49c/CD29)          | Collagen, laminin, others          | No                     |
|                  | VLA-4, α4β1 (CD49d/CD29)          | VCAM-1, fibronectin                | Yes                    |
|                  | VLA-5, α5β1 (CD49e/CD29)          | Fibronectin                        | Yes                    |
|                  | VLA-6, α6β1 (CD49f/CD29)          | Laminin                            | No                     |
| β2 family        | LFA-1, αLβ2 (CD11a/CD18)          | ICAM-1, ICAM-2, ICAM-3             | Yes                    |
|                  | Mac-1, αMβ2 (CD11b/CD18)          | C3bi, ICAM-1, others               | Yes                    |
|                  | p150,95, αXβ2 (CD11c/CD18)        | C3bi, fibrinogen, others           | Yes                    |
|                  | αdβ2                              | ICAM-3                             | Yes                    |
| β3 family        | αllbβ3 (CD41/CD61)                | Fibrinogen, fibronectin, others    | No                     |
|                  | ανβ3 (CD51/CD61)                  | Vitronectin, others                | No                     |
| Others           | α6β4 (CD49f/CD104)                | Laminin                            | No                     |
|                  | Act-1, α4β7                       | MAdCAM-1, VCAM-1, fibronectin      | Yes                    |
|                  | HML-1, αΕβ7 (CD 103)              | E-Cadherin                         | No                     |
| Selectins        | L-selectin (CD62L)                | GlyCAM-1, CD34, MAdCAM-1           | Yes                    |
|                  | E-selectin (CD62E)                | Sialyl-Lewis <sup>x</sup> , others | No                     |
|                  | P-selectin (CD62P)                | Sialyl-Lewis <sup>x</sup> , others | No                     |
| Immunoglobulin   | PECAM-1 (CD31)                    | CD31, others                       | Yes                    |
| gene superfamily | ICAM-1 (CD54)                     | LFA-1                              | Yes                    |
|                  | ICAM-2 (CD102)                    | LFA-1                              | Yes                    |
|                  | ICAM-3 (CD50)                     | LFA-1, αdβ2                        | Yes                    |
| Carbohydrates    | Lewis <sup>x</sup> (CD15)         | P-selectin                         | No                     |
|                  | Sialyl-Lewis <sup>x</sup> (CD15s) | E- and P-selectin                  | Yes                    |
|                  | Sialyl-dimeric Lewis <sup>x</sup> | E-selectin                         | Yes                    |
|                  | PSGL-1                            | P-selectin                         | Yes                    |

<sup>\*</sup>Modified from S.S. Saini, K. Matsumoto and B.S. Bochner (Ref. [15])

migration. Endothelial cell receptors expressed on human basophils include the  $\beta$ 1 integrin very late activation antigen-4 (VLA-4) and the  $\beta$ 2 integrin leukocyte function antigen-1 (LFA-1) [4, 15]. These integrins are composed of an  $\alpha$  and  $\beta$  chain. Human basophils express the common  $\beta$  chain of  $\beta$ 1 integrins (CD29) and  $\beta$ 2 integrins (CD18), as well as VLA-4 $\alpha$  (CD49d) and LFA-1 $\alpha$  chain (CD11a). VLA-4 is a receptor for vascular cell adhesion molecule-1 (VCAM-1) expressed on activated endothelial cells and LFA-1 is a receptor for intercellular adhesion molecule-1 (ICAM-1). Binding of basophils to activated endothelium is primarily mediated *via* VCAM-1, E-selectin and ICAM-1 interactions [4]. Basophils also express the laminin receptor VLA-5, but lack CD49f, CD51/CD61 (VNR), and the  $\beta$ 4 integrin. Human mast cells express VLA-4, VLA-5, and the vitronectin receptor  $\alpha$  and  $\beta$  chain, but lack LFA-1 (CD11a/18) and CD104 [19].

Another adhesion receptor on basophils is the Pgp-1 homing receptor (CD44). The ICAM-1 antigen (CD54) is expressed on basophils. Basophils and mast cells also express ICAM-2 (CD102), ICAM-3 (CD50), and the LFA-3 antigen (CD58) on their surface, but not LFA-2 (CD2) [4]. In addition, basophils bear PECAM-1 (CD31) and L-selectin (CD62L). The x-hapten Lewis X, (CD15) is located on the basophil surface but is masked by sialic acid. Thus, basophils express CD15 when exposed to neuraminidase or to sialidase-producing viruses. Table 2 provides a summary of many of the adhesion receptors expressed on human basophils.

## Immunologic and non-immunologic stimuli activating human basophils

Human basophils purified from peripheral blood can be activated by a large number of immunologic stimuli. Antigen challenge of human FceRI<sup>+</sup> cells results in the release of preformed mediators stored in the cytoplasmic granules (e.g., histamine ) and in the *de novo* synthesis and release of lipid mediators such as LTC<sub>4</sub> and platelet-activating factor (PAF). Antibodies raised against human Fce (anti-IgE) or against an epitope of the  $\alpha$  subunit of FceRI also induce a secretory process in human basophils called "reverse anaphylaxis", similar but not identical to direct anaphylaxis [20–22].

The latter process might be important *in vivo* because there is increasing evidence that autoantibodies anti-IgE and anti-FceRI are present in some patients with chronic urticaria and atopic syndromes [23–27]. IgG anti-IgE purified by affinity chromatography from serum of some atopic patients can induce mediator release from human basophils and mast cells [25].

Activation of basophils can also be induced by a growing list of immunological stimuli including anaphylatoxins (C3a and C5a), cytokines (IL-3, etc.), chemokines and naturally occurring (pepstatin A) or synthetic peptides (f-Met-Leu-Phe: FMLP), all of which interact with specific membrane receptors independent of the IgE receptor [28–33] (Tab. 3). Interestingly, most of the immunologic stimuli that activate

| Activators               | Basophils | HLMC | BAL-MC |
|--------------------------|-----------|------|--------|
|                          |           |      |        |
| Antigen                  | +         | +    | +      |
| Anti-IgE                 | +         | +    | +      |
| Anti-FceRI               | +         | +    | +      |
| СЗа, С5а                 | +         | -    | -      |
| rhSCF                    | -         | +    | +      |
| MCP-1, MCP-2             | +         | -    | -      |
| MCP-3, MCP-4             | +         | -    | -      |
| RANTES                   | +         | -    | -      |
| Eotaxin                  | +         | _    | _      |
| MCAF                     | +         | -    | -      |
| Concanavalin A           | +         | _    | _      |
| rhIL-3                   | +         | -    | -      |
| rhIL-8                   | ±         | _    | -      |
| Protein L from P. magnus | +         | +    | +      |
| Protein A from S. aureus | +         | -    | _      |
| Protein Fv               | +         | +    | +      |
| PAF                      | +         | -    | _      |

Table 3 - Immunological activators of human basophils and mast cells isolated from lung parenchyma (HLMC) and bronchoalveolar lavage (BAL-MC)

human basophils do not induce mediator release from mast cells isolated from lung parenchyma (HLMC) and bronchoalveolar lavage (BAL-MC).

Several cytokines activate human Fc $\epsilon$ RI<sup>+</sup> cells by interacting with specific membrane receptors. Human basophils, but not mast cells, from 30% of normal and atopic donors can be activated by IL-3 [34–36]. IL-3 not only induces basophil degranulation *per se* but enhances mediator release in response to immunological and non-immunological stimuli [37–39]. In addition, IL-3 primes basophils to synthesize LTC<sub>4</sub> in response to stimuli such as C5a, which by themselves are unable to trigger the release of *de novo* synthesized mediators [38]. Interestingly, human basophils primed by IL-3 produce IL-4 in response to IgE receptor stimulation [40].

Chemotactic cytokines of the CC subfamily (CC chemokines) are major mediators of allergic inflammation. Several chemokines activate human basophils by interacting with at least three specific CCR receptors [41]. Basophils can be activated by the monocyte chemotactic protein (MCP) 1, MCP-2, MCP-3, MCP-4, RANTES and eotaxins to release histamine [41–47]. In particular, CCR3 receptors appear important for basophils chemotaxis, and CCR2 for mediator release from basophils [41]. Basophils can also be activated by such lipid mediators as PAF [48].

|          | Human basophils |           | Human lung mast cells |           |
|----------|-----------------|-----------|-----------------------|-----------|
| Cytokine | Trigger         | Modulator | Trigger               | Modulator |
|          |                 |           |                       |           |
| rhIL-1α  | -               | +         | -                     | -         |
| rhIL-1β  | -               | +         | -                     | -         |
| rhIL-2   | -               | -         | -                     | -         |
| rhIL-3   | +               | ++        | -                     | -         |
| rhIL-4   | -               | -         | -                     | -         |
| rhIL-5   | -               | +         | -                     | -         |
| rhIL-6   | -               | -         | -                     | -         |
| rhIL-7   | -               | -         | -                     | -         |
| rhIL-8   | ±               | -         | -                     | -         |
| rhIL-9   | -               | _         | -                     | _         |
| rhIL-10  | -               | -         | -                     | -         |
| rhGM-CSF | -               | +         | -                     | -         |
| rhSCF    | -               | +         | +                     | +         |
| NGF      | -               | +         | -                     | -         |
| ΤΝFα     | _               | _         | _                     | -         |
| IFNγ     | -               | _         | -                     | -         |

#### Table 4 - Basophil and mast cell mediator-releasing cytokines

The fact that a wide range of stimuli other than antigens and anti-IgE can induce mast cell and basophil activation suggests that these cells might be critical effectors not only in IgE-dependent immediate hypersensitivity disorders (allergic rhinitis, bronchial asthma and atopic dermatitis), but in a variety of diseases not involving IgE.

Besides IL-3, other cytokines, such as IL-1 $\alpha$  and IL-1 $\beta$ , GM-CSF, IL-5 and NGF modulate the release of mediators from basophils [34, 37, 49] (Tab. 4). Interestingly, these cytokines do to induce or modulate mediator release from HLMC and BAL-MC. Stem cell factor (SCF) or *c-kit* receptor ligand (KL), a potent stimulator of human bone marrow progenitor cell proliferation, particularly in synergy with other growth factors (IL-6) [50], is the only cytokine so far identified that induces mediator release fron human skin, lung, heart and synovial mast cells and enhances histamine secretion induced by anti-IgE [32, 51, 52].

The results listed in Tables 3, 4 and 5 indicate that many of the activators of human basophils do not activate mast cells isolated from human tissues. For example, basophils can be activated by naturally occurring (pepstatin A) or synthetic peptides (FMLP), phorbol esters and bryostatins [53], and anaphylatoxins C3a and C5a

| Activators   | Basophils | HLMC | BAL-MC |
|--|-----------|------|--------|
|  |           |      |        |
| Ca <sup>2+</sup> ionophores (A23187 and ionomycin) | +         | +    | +      |
| Mitotoxin  | +         | +    | +      |
| Compound 48/80                                     | -         | -    | -      |
| Deuterium oxide                                    | +         | -    | -      |
| Eosinophil major basic protein                     | +         | -    | _      |
| FMLP and Pepstatin A                               | +         | -    | _      |
| General anesthetics <sup>*</sup>                   | -         | +    | +      |
| Hyperosmolality                                    | +         | ±    | +      |
| Morphine   | _         | -    | -      |
| Muscle relaxants**                                 | -         | +    | N.D.   |
| PAF  | +         | -    | -      |
| Phorbol esters and bryostatins                     | +         | -    | -      |
| Substance P  | -         | -    | N.D.   |

Table 5 - Non-immunologic activators of human basophils and mast cells isolated from lung parenchyma (HLMC) and bronchoalveolar lavage (BAL-MC)

N.D., not demonstrated; \*, ketamine and propofol; \*\*, atracurium and vecuronium

[28] or by bioactive lipids such as PAF [48], which do not induce the release of mediators from lung mast cells. Conversely, anaesthetic drugs selectively induce histamine release from lung and skin mast cells, but not from basophils [54–56]. These results highlight some of the biochemical and immunological differences between human basophils and mast cells.

# Preformed mediators of human basophils

Histamine is the only biogenic amine detected so far in human mast cells and basophils. Each basophil contains, on average, 1 pg of histamine, whereas human mast cells contain approximately 3 pg of histamine/cell [21, 22] (Tab. 6). Mast cells recovered from BAL from normal donors and asthmatics contain less histamine than parenchymal lung mast cells [21]. Mast cell granules contain high concentrations of proteases such as tryptase and chymase [22, 57, 58]. Negligible amounts of tryptase are found in human basophils, making this enzyme a specific mast cell marker.

Staining of FceRI<sup>+</sup> cells with basic dyes and the expression of metachromasia are due to the highly sulphated proteoglycans contained in the secretory granules. Two classes of proteoglycans, heparin and chondroitin sulphate, have been described.

| Mediators                                       | Basophils | HLMC |  |
|---|-----------|------|--|
|   |           |      |  |
| Preformed                                       |           |      |  |
| Histamine (pg/cell)                             | ~ 1       | ~ 3  |  |
| Tryptase (pg/cell)                              | < 0.04    | ~ 10 |  |
| Chymase (pg/cell)                               | < 0.04    | ±    |  |
| Heparin   | -         | +    |  |
| Chondroitin sulphate A                          | +         | -    |  |
| Chondroitin sulphate E                          | -         | +    |  |
| De novo synthesized                             |           |      |  |
| $LTC_4$ (ng/10 <sup>6</sup> cells) <sup>*</sup> | ~ 60      | ~ 60 |  |
| $PGD_2$ (ng/10 <sup>6</sup> cells) <sup>*</sup> | < 0.006   | ~ 60 |  |
| $TxA_2$ (ng/10 <sup>6</sup> cells) <sup>*</sup> | ≈ 0.005   | ~ 5  |  |
| PAF (ng/10 <sup>6</sup> cells)*                 | ~ 0.2**   | ~ 1  |  |
| AAGPC (ng/10 <sup>6</sup> cells)*               | ~ 0.3**   | ~ 3  |  |

Table 6 - Chemical mediators synthesized by human basophils and mast cells isolated from lung parenchyma (HLMC)

N.D., not demonstrated; \*, amount released upon IgE-mediated challenge; \*\*, as measured by the incorporation of radiolabelled acetate.

Heparin is the major proteoglycan in human lung and skin mast cell granules, whereas different chondroitin sulphates are prevalent in the granules of basophils and of intestinal mast cells [59–61].

## Lipid metabolism in human basophils

Large quantities of arachidonic acid are stored in membrane glycerolipids of human basophils. Upon cell activation, arachidonic acid is mobilized from the storage pools by various phospholipases and is converted to leukotrienes by 5-LO and to prostanoids by cyclooxygenases (COX) [62]. The profile of eicosanoids generated by activated human basophils and mast cells has been extensively studied [62–64].

Lung and skin mast cells synthesize approximately 60 ng of PGD<sub>2</sub>/10<sup>6</sup> cells when challenged with IgE-mediated stimuli [55, 65]. In contrast, basophils do not produce PGD<sub>2</sub> or any other known cyclo-oxygenase metabolite. Arachidonic acid can also be metabolized by 5-lipoxygenase (5-LO) to the unstable metabolite leukotriene A<sub>4</sub> (LTA<sub>4</sub>) [64]. The enzyme, normally located in the cytosol, is translocated to the membrane upon cell activation to form a stable complex with other components of the leukotriene synthetic pathway and with a membrane protein named "5-lipoxygenase activating protein" (FLAP). Formation of the FLAP-5-LO complex is essential to regulate the interaction of 5-LO with its substrate, arachidonic acid. Once formed, LTA<sub>4</sub> can be converted either to leukotriene B<sub>4</sub>, a potent chemotactic factor for polymorphonuclear leukocytes, by LTA<sub>4</sub> hydrolase [66], or to LTC<sub>4</sub> by conjugation with reduced glutathione, catalyzed by the specific enzyme LTC<sub>4</sub> synthase [67]. Once secreted, LTC<sub>4</sub> is metabolized sequentially to the two metabolites leukotrienes D<sub>4</sub> (LTD<sub>4</sub>), by removal of glutamine, and E<sub>4</sub> (LTE<sub>4</sub>), by removal of glycine. Cysteinyl-leukotrienes C<sub>4</sub>, D<sub>4</sub>, and E<sub>4</sub> make up the biological mixture that used to be referred to as the "slow-reacting substance of anaphylaxis" (SRS-A) [68].

Immunologically activated human basophils and lung mast cells generate approximately 60 ng of  $LTC_4/10^6$  cells on average [69], whereas skin mast cells challenged with IgE- and non-IgE-mediated stimuli generate little or none [70].

Cysteinyl-leukotrienes  $C_4$ ,  $D_4$  and  $E_4$  have been implicated in the pathogenesis of allergic rhinitis and bronchial asthma. Upon inhalation, they reduce airway conductance in asthmatics [71]. Levels of cysteinyl-leukotrienes are elevated in biological fluids from asthmatics. Moreover,  $LTD_4$  receptor antagonists [72, 73] or inibitors of 5-LO activity [74, 75] have an appreciable effect on bronchial and nasal antigen challenge.

The biochemical basis of the different profiles of eicosanoids released by basophils and mast cells isolated from different anatomical sites is not known. However, our knowledge of the mechanisms of eicosanoid synthesis in basophils and mast cells took a step forward with the identification of novel enzymes and regulatory molecules involved in arachidonic acid metabolism [65, 76]. At least two major phospholipases  $A_2$  (PLA<sub>2</sub>) are involved in arachidonate mobilization in mast cells: a high molecular weight cytosolic PLA<sub>2</sub> (cPLA<sub>2</sub>) and a low molecular weight secretory PLA<sub>2</sub> (sPLA<sub>2</sub>) [77, 78]. cPLA<sub>2</sub> is an arachidonate-selective PLA<sub>2</sub> activated by micromolar Ca<sup>2+</sup> concentrations [79]. Upon translocation to the nuclear membrane, cPLA<sub>2</sub> generates free arachidonate that is mostly converted to leukotrienes. There are two mechanisms of upregulation of cPLA<sub>2</sub> in mast cells activated by IgE-dependent stimuli: a rapid mechanism occurring within minutes after cell activation based on phosphorylation mediated by MAP kinase, and a delayed mechanism based on transcription and expression of new enzyme molecules [80]. The latter mechanism requires several hours to be effective and is strongly modulated by cytokines [81].

sPLA<sub>2</sub> is stored within cytoplasmic granules of quiescent mast cells [82] and is rapidly released when the cells are activated by immunological stimuli or by such cytokines as SCF. sPLA<sub>2</sub> is a non arachidonate-selective enzyme and requires for its activation millimolar concentrations of  $Ca^{2+}$ , such as those found in the extracellular milieu. sPLA<sub>2</sub> can be considered for many aspects a mediator of inflammation because: (1) it is released in discrete amounts in the extracellular environment after immunological activation of mast cells [82]; (2) it can be measured *in vivo* in such inflammatory fluids as the bronchoalveolar lavage of asthmatic patients [83] and the synovial fluid of patients with rheumatoid arthritis [84] and (3) its administration reproduces signs and symptoms of inflammation [85]. Once released, sPLA<sub>2</sub> can hydrolyze phospholipids on the outer layer of the cytoplasmic membrane of mast cells thereby generating arachidonate that is mostly converted to prostanoids such as PGD<sub>2</sub>. In addition, recent evidence suggests the presence of a specific membrane receptor for sPLA<sub>2</sub> on murine mast cells [86]. Activation of this receptor, which is blocked by heparin, induces the release of both preformed (histamine and proteases) and *de novo* synthesized mediators (eicosanoids). The existence of an sPLA<sub>2</sub> receptor on human mast cells has not yet been demonstrated.

PAF, originally discovered as a factor synthesized by immunologically activated rabbit basophils [87], an ether-linked phospholipid (1-alkyl-2-acetyl-*sn*-glycero-3-phosphocholine: AGEPC) [88], can be synthesized by human lung mast cells challenged with anti-IgE (~ 1 ng/10<sup>6</sup> cells) [89]. Human basophils produce much smaller amounts of PAF than do mast cells [90]. Besides PAF, the 1-acyl analog of PAF (1-acyl-2-acetyl-*sn*-glycero-3-phosphocoline: AAGPC) is synthesized by human lung mast cells, and basophils in amounts two to four times greater than PAF [90]. These results introduced the concept of "2-acetylated phospholipids", i.e., molecules having the common feature of an acetyl group at the *sn*-2 position of the glycerol backbone.

### Cytokine and chemokine expression in human basophils

Several groups of investigators have demonstrated the generation and secretion of IL-4 by human basophils after IgE-dependent activation. Brunner et al. presented the first evidence for the release of IL-4 from normal basophils purified by combining countercurrent elutriation and negative selection techniques [40]. IL-4 protein levels increased substantially, as did leukotriene  $C_4$  (LTC<sub>4</sub>) generation, if basophil cultures were pretreated with IL-3 for 18 h before stimulation. Arock et al. using human leukemic and bone marrow-derived basophils, found that IL-3 was essential for the development of cells expressing IL-4 message and secreting protein [91]. Sustained pretreatment with IL-3 before stimulation with anti-IgE antibody was not an absolute requirement for the secretion of IL-4 from pure (88% to 99%) basophils [92]. However, preincubation with IL-3 markedly potentiated the immunologic release of IL-4 from purified basophils.

The kinetics of IL-4 secretion from basophils suggest that this cytokine is generated de novo on immunologic activation and is not stored and released on degranulation, as has been suggested for some mast cell-derived cytokines. Unlike the release of histamine that occurs within minutes after IgE cross linking, approximately 2 h are needed before IL-4 protein is first detected in basophil cultures, with levels peaking at 4 to 5 h. The ability of cycloheximide to ablate the secretion of IL-4 indicates that protein synthesis is necessary for the generation of this cytokine in basophils. However, the kinetics of IL-4 release from basophils is considerably less than the 12 to 16 h required for IL-4 production by antigen-stimulated T lymphocytes. Resting basophils constitutively express IL-4 mRNA, as shown by Northern blot and reverse transcriptase polymerase chain reaction analysis. In addition, IL-4 mRNA accumulates in response to stimulation. Experiments investigating the doseresponse relationship of anti-IgE stimulation showed that IL-4 secretion is optimal at lower concentrations than those necessary for optimal histamine release. Interestingly, highly purified preparations of basophil suspensions generated less IL-4 in response to IgE-mediated stimulation. These observations suggest that basophils subjected to the stress occurring during purification produce less IL-4 protein in response to IgE-dependent stimulation than do those in freshly isolated enriched cultures, and that IL-3 pretreatment may function to restore cytokine releasability after purification.

There is compelling evidence that immunologically activated human basophils also release IL-13 [93, 94]. Expression of IL-4 and other cytokines typically associated with a Th2 phenotype (IL-3, IL-5, IL-13, and GM-CSF) has been so far documented in mast cells from different human tissues [95–99]. Immunohistochemical data indicate that human mast cells contain IL-4, IL-5, IL-6 and TNF $\alpha$  [95, 96]. We have recently demonstrated by immunogold staining the presence of SCF, the principal growth differentiating, chemotactic and activating factor of human mast cells, in secretory granules of human mast cells [100, 101].

Basophils purified from peripheral blood express immunoreactive MIP-1 $\alpha$  upon stimulation with anti-IgE [102]. Preincubation with IL-3 (15 min to 18 h) augmented anti-IgE-induced MIP-1 $\alpha$  production from basophils. MIP-1 $\alpha$  has potent proinflammatory and histamine-releasing activities and, therefore, its production by basophils suggests the existence of a positive feedback for allergic inflammation.

Several studies have focused on IL-4 gene transcription in transformed mouse mast cell lines. However, few studies have been conducted to date to analyze the mechanisms of gene expression in basophils. Human basophils express mRNA for nuclear proteins GATA-2 and GATA-3, suggesting a role of these proteins in gene regulation in these cells [103]. The role of nuclear factor of activated T cells (NFAT)-1 and related proteins has been investigated in cytokine expression in FceRI<sup>+</sup> cells. NFAT-1 has been involved in the transcription of most cytokine genes expressed in mast cells and basophils, among which IL-3, IL-4 IL-5, GM-CSF, TNFa, and, perhaps, IL-13 [103, 104]. An NFAT-1-immunoreactive protein has been recently detected by immunofluorescence staining of human basophils (V. Casolaro, personal communication). Like NFAT-1, this protein is constitutively expressed in the cytoplasm, while IgE- or Ca<sup>2+</sup>-mediated stimulation induces its translocation to the nucleus. This phenomenon occurs rapidly (within 5 min) and is typically blocked by cyclosporin A or FK-506. Taken together, these findings raise the possibility that unique transcription factors contribute to basophil- or mast cell-restricted cytokine gene expression.

The observation that several cytokines can be synthesized by human basophils and mast cells indicates that these cells play a complex role in chronic inflammation of the upper and lower airways in man.

#### Basophils and mast cells as effector cells of allergic inflammation

Histological, biochemical, and pharmacological findings supports the involvement of basophils and mast cells and their mediators in the pathogenesis of allergic disorders of the upper and lower respiratory tract. Airway inflammation has long been recognized as a prominent feature of fatal asthma attacks [7, 105, 106]. Recently, inflammatory findings have been reported in bronchial biopsies of even mild asthmatics [107]. There is now evidence that inflammation of the airways is a critical feature presumably leading to bronchial hyper-responsiveness [108], which is a typical feature of asthma. These changes are very likely produced by the proinflammatory mediators, cytokines and chemokines released from inflammatory cells residing in the airways.

Kimura et al. reported an increase in the number of basophils in the sputum from asthmatic patients before the onset of an asthmatic attack, and the number of basophils was related to the severity of the relapse [109]. Basophils have been observed in nasal secretions of allergic patients challenged with antigens, and their presence has been closely related to mediator release and clinical symptoms [110, 111]. A higher percentage of mast cells is recovered from the BAL of asthmatics than controls [112] and the histamine and  $PGD_2$  concentrations in the BAL are also higher in these patients [21].

The release of chemical mediators from FceRI+ cells is influenced both by surface density of IgE molecules, and by a biochemical process, defined "releasability" [113-115]. Basophil and mast cell releasability are independently controlled [21] and the former appears to be under genetic control [114, 116]. An increase in IgEand non-IgE-mediated basophil releasability has been detected in patients with allergic rhinitis and bronchial asthma [21]. Interestingly, basophil reactivity to anti-IgE was significantly higher in asthmatics than in patients with allergic rhinitis [21]. The IgE-mediated releasability of BAL mast cells from mild asthmatics is also greater than in normal age-matched donors [21]. Thus, basophil and mast cell releasability in response to IgE-mediated stimuli is increased in respiratory allergy, and more so in bronchial asthma. Infiltrating basophils contribute with eosinophils and other cells to the chronic inflammatory events of allergic disease. The growing evidence that IgE cross-linking initiates synthesis and secretion of several cytokines and chemokines by human basophils [91-94, 102] and mast cells [95-99, 101] supports the hypothesis that these cells play not only a proinflammatory but an immunomodulatory role in allergic disorders.

The role of mast cells and basophils in the pathogenesis of allergic disorders is indirectly supported by pharmacological evidence. Drugs active in the prevention or treatment of these disorders such as methylxanthines [117], corticosteroids [118],  $\beta$ -adrenergic agents [29, 30], cyclosporin A [119–121], and FK-506 [122–124] inhibit the release of histamine and other mediators from FceRI<sup>+</sup> cells *in vivo* and *in vitro*.

### Role of basophils in bacterial and viral infections

There is compelling evidence that viral respiratory tract infections are a major cause of wheezing in infants and adults with asthma. Viruses can exacerbate bronchial inflammation and provoke asthma through different immunologic mechanisms [125]. There is also the possibility that bacterial infections play a role in some allergic disorders [126, 127].

Although human basophils possess surface receptor for formyl-containing bacterial peptides [128], no evidence existed as to the *in vivo* significance of these cells in bacterial infections. Several reports have demonstrated that mast cells, which are selectively located at the portals of bacterial entry, are important for host defence [129, 130]. Mast cell-deficient mice (W/W<sup>v</sup>) are significantly less efficient than normal +/+ littermates in clearing enterobacteria and this phenomenon is, at least in part, due to decreased TNF $\alpha$  production [129, 130]. Moreover, leukotriene-deficient mice manifest enhanced lethality from *Klebsiella pneumoniae* [131]. These results revealed a previously unrecognised role of mast cells in bacterial infections.

It is important to note that such bacterial factors as pepstatin A [128, 132], type 1 fimbriae of *Escherichia coli* [133], protein A of *Staphylococcus aureus* [29], and protein L of *Peptostreptococcus magnus* [32] can activate human basophils and/or mast cells through different immunologic mechanisms. Interestingly, such viral products as gp120 of HIV-1 and protein Fv, *in vivo* induced during viral hepatitis, can also activate human basophils and mast cells to release proinflammatory mediators and immunoregulatory cytokines [33, 33a]. In collaboration with Jean-Pierre Bouvet, we have found that protein Fv, which is an endogenous superantigen released *in vivo* in patients suffering from viral hepatitis [134], stimulated not only the release of histamine from purified peripheral blood basophils, but also the *de novo* synthesis of IL-4 [100, 100a]. Taken together, these observations suggest that basophils can play a role during viral and bacterial infections.

## Immunopharmacology of human basophils and mast cells

Numerous studies have focused on the *in vitro* and *in vivo* effects of drugs that modulate the release of preformed and *de novo* synthesized mediators from human basophils and mast cells isolated from different tissues [29, 117–124]. Significant differences have been documented: (a) between mast cells and basophils as regards the pharmacological agents and the mediators they produce; (b) between mast cells isolated from different anatomical sites; and (c) between compounds of the same pharmacologic class. In addition, this approach has significantly contributed to clarifying the biochemical mechanisms by which these cells release mediators in response to immunologic and non-immunologic stimuli [29, 117–124].

#### Closing remarks

Basophils, which are absent from healthy human tissues, are found at sites of allergen challenge in the nose, and in the upper and lower airways [5, 7-9]. In particular, the density of basophils at sites of allergen-induced inflammation is relatively large compared with their limited numbers in the circulation. Activated basophils have been detected in the airways of post-mortem cases of fatal asthma [7].

These *in vivo* observations suggest that basophils, like other granulocytes, can emigrate from the intravascular compartment into specific tissues. Tremendous effort has been put into trying to understand the molecular mechanisms mediating the adhesion of basophils to endothelial cells and their recruitment to allergic and other inflammatory lesions [15]. The availability of large panels of monoclonal antibodies has prompted extensive phenotypic analyses of human basophils [4, 15–18].

Basophils express a unique of pattern of cell surface adhesion molecules and the expression of some of these molecules, such as  $\beta 2$  integrins and L-selectin, is altered during cell activation [17]. Interestingly, similar phenotypic changes occur in basophils recruited into the upper and lower airways after allergen challenge *in vivo* [18]. Parallel studies have identified two types of stimuli that are responsible for the preferential recruitment of basophils at sites of allergic inflammation. Several chemotactic factors (C5a, FMLP and PAF), cytokines (IL-3) and IgE-dependent stimuli (allergen and anti-IgE) can stimulate basophil adhesion to endothelial cells *in vitro*. In addition, several C-C chemokines (RANTES, MIP-1 $\alpha$ , MCP-1, MCP-2, MCP-3 and MCP-4) can promote basophil chemotaxis and/or activation [41–43]. Taken together, these findings account, at least in part, for the selective basophil recruitment and activation during late-phase allergic reactions of the upper and lower respiratory tract [5, 8, 18].

Another interesting feature of human basophils, distinct from mast cells, is their susceptibility to activation and priming by several cytokines. Besides IL-3, which is the most effective cytokine in terms of basophil activation and priming, other such cytokines as IL-1 $\alpha$  and IL-1 $\beta$ , GM-CSF, IL-5 and NGF can modulate the release of pro-inflammatory mediators (histamine and cysteinyl leukotriene C<sub>4</sub>) and indeed cytokines themselves, i.e., IL-4 [34, 37–40, 49]. These observations imply that once basophils are recruited at sites of allergic inflammation and exposed to high levels

of local cytokines, they become hyper-responsive to a variety of immunologic stimuli.

The clinical relevance of the basophils/cytokine inter-relationship clearly emerges from the demonstration that immunologically activated basophils synthetise and release cytokines, i.e., IL-4 and IL-13 [40, 92, 93] and the MIP-1 $\alpha$  chemokine [102].

Finally, it is now evident that human basophils play a major and dual role in the immediate and late phases of allergic inflammation of the upper and lower airways. First through the release of pro-inflammatory and fibrogenetic mediators (histamine, leukotrienes etc.), and second through the production of immunoregulatory cytokines and chemokines. These observations provide the rationale for the concept that basophils and their mediators represent critical targets for an immunopharmacological approach to allergic disorders of the airways.

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# Platelets

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## Introduction

With the increasing knowledge of the role that platelets play in the pathogenesis of ischemic cardiovascular disorders [1, 2] a great deal of attention has been focussed on these blood elements. This large research effort has lead to a series of significant advances in the understanding of the physiology of platelets and on their participation not only to haemostasis and thrombosis but also to non-haemostatic processes.

Important new achievements have been gained from the application of new methodologies, such as cytofluorimetry, molecular biology and gene manipulation, to the study of platelets or their precursors and the picture emerging is more and more that of the platelet as a multifunctional blood element. The aim of this chapter is to summarize the state of the art on platelet structure, physiology and pathophysiology with special emphasis on those aspects that appear of particular relevance for the potential involvement of these blood elements in airways inflammation.

## Cellular structure

In circulating blood, platelets have a discoid shape with a diameter of about  $2-4 \mu m$  [3]. From a morphologic point of view, several zones can be identified in the cellular structure of platelets [4].

## (1) Peripheral zone

The peripheral zone represents the surface of the platelet and consists of the plasma membrane and associated structures. The most external part of the peripheral zone is called glycocalix and it is particularly rich in glycoproteins (see later); the middle layer is a typical plasma membrane, rich in phospholipids and it is particularly important for the interaction with coagulation factors; the inner layer is formed by short actin filaments, making up the membrane cytoskeleton. Longer actin filaments form the cytoplasmic cytoskeleton [4].

### (2) Sol-gel zone

The sol-gel zone represents the platelet cytoplasm and its detergent-resistant elements referred as cytoskeleton. Different systems of fibers are present in the platelet matrix and, in particular, submembrane filaments, microtubules and microfilaments. Microtubules are formed by polymerized tubulin and are involved in maintaining the platelet in a discoidal shape. Microtubules allow the normal platelet contraction, although they are not contractile elements. Microfilaments are formed by actin, which is the most abundant platelet protein. Although actin is polymerized to some extent even in resting platelets, its polymerization state increases upon platelet activation; the organization of actin filaments in parallel bundles is important for platelet spreading and pseudopod formation [4].

### (3) Organelles zone

The organelles zone consists of alpha granules, delta (or dense) granules, peroxysomes, lysosomes and mitochondria, that are dispersed in the cytoplasm. Organelles are involved in metabolic processes and store non-metabolic adenine nucleotides, enzymes, serotonin, calcium, and a variety of proteins.

#### (4) Membrane system

The open canalicular system consists of invaginations of the plasma membrane and, thus, it is surface connected. Canaliculi of the open canalicular system greatly increase the total surface area of the platelet exposed to plasma and to the chemicals present in it. In addition, these canaliculi represent conduits for substances extruded by platelets during the release reaction. The dense tubular system originates from the endoplasmic reticulum of megakaryocytes. Together with the dense granules, the dense tubular system is a site for calcium storage in platelets. Another important feature of the dense tubular system is that the enzyme cyclooxygenase is located on the membrane of these tubules. Although two cyclooxygenase 1, the constitutive isoform of the enzyme [6, 7]. In fact, since cyclooxygenase 2 is an inducible enzyme, i.e. its expression requires protein synthesis, it is not present in the anucleated platelet.

## The glycocalix

The glycocalix is the most external layer of the platelet membrane. It is rich in glycoproteins (GP) which function as receptors for a wide variety of stimuli and can be classified into five families [8].

- (1) Integrins are heterodimeric proteins that mediate interactions between different cells or between cells and adhesive molecules.
- (2) Leucine-rich glycoproteins (LRG) form the GPIb/V/IX complex, important as a receptor for von Willebrand factor (vWf) and thrombin.
- (3) Selectins mediate the interaction of platelets and endothelial cells with leucocytes.
- (4) Immunoglobulins, among which PECAM-1, which are present on the surface of platelets and in the gaps between endothelial cells.
- (5) Quadraspanins, proteins of unknown function that span the membrane four times. Members of this family are p24/CD9 and the  $\alpha$  granule protein ME491.

Another platelet glycoprotein that can not be classified as a member of the above described families, due to the low structural homology, is GPIV (or CD36) that functions as a collagen receptor.

The majority of the platelet glycoproteins belong to the integrin family. Integrins bind adhesive proteins and they are expressed, besides platelets, on endothelial cells, smooth muscle cells, leucocytes and fibroblasts. The name integrins implies that they integrate the ligands on the outside of the cell with the cytoskeleton apparatus in the inside of the cell [9].

Integrins are heterodimers formed by an  $\alpha$  and a  $\beta$  subunit linked by non covalent bonds. Several  $\alpha$  and one  $\beta$  subunits have been identified; the different heterodimers that can be formed are grouped into families with a common  $\beta$  subunit ( $\beta$ 1,  $\beta$ 2,  $\beta$ 3 families) [8, 9]. The  $\beta$ 1 family consists of 6 members formed by  $\beta$ 1 that dimerizes with  $\alpha$ 1,  $\alpha$ 2,  $\alpha$ 3,  $\alpha$ 4,  $\alpha$ 5 and  $\alpha$ 6. Not all the members of this family are expressed in platelets (Tab. 1). The three members of the  $\beta$ 2 family ( $\alpha$ M $\beta$ 2 or Mac-1;  $\alpha$ L $\beta$ 2 or LFA-1 and  $\alpha$ 150 $\beta$ 2 or p150/95) are not expressed in platelets, but in leucocytes and mediate the adhesion of the latter cells to other leucocytes and to endothelial cells. Members of the  $\beta$ 3 family present in platelets are  $\alpha$ IIb $\beta$ 3 (GPIIb/IIIa) and  $\alpha$ V $\beta$ 3 (Tab. 1).

#### GPIIb/IIIa

The most abundant integrin in platelets is a member of the  $\beta$ 3 family ( $\alpha$ IIb $\beta$ 3) also called GPIIb/IIIa: it is the receptor for fibrinogen and it is essential not only for platelet adhesion, but also for aggregation. Indeed, patients with Glanzmann's thrombasthenia, characterized by defective or abnormal GPIIb/IIIa, have a dramatically impaired platelet aggregation and haemorrhagic diathesis [8]. A minority of patients possesses nearly normal amounts of GPIIb/IIIa but still fail to bind fibrinogen: point mutations in the cDNA for this receptor give dysfunctional proteins [10].

GPIIb/IIIa is present at approximately 50,000–100,000 copies per platelet [8] and is expressed only in cells of megakaryocytic lineage. 80% of the platelet GPIIb/IIIa is randomly exposed on the surface; the remaining 20% is located on the

| Table 1 - A summary of the integrin families on platelets and their cour | nterligands |
|--|-------------|
|--|-------------|

| Integrin                        | Ligand   |
|---------------------------------|--|
|                                 |  |
| β1 family                       |  |
| α2β1 (VLA-2, GPIa/IIa, ECMR-II) | Collagen, laminin                              |
| α5β1 (VLA-5, GPIc/IIa)          | Fibronectin                                    |
| α6β1 (VLA-6, GPIc/IIa region)   | Laminin, fibronectin                           |
|                                 |  |
| β2 family                       |  |
| None                            |  |
|                                 |  |
| β3 family                       |  |
| αIIbβ3 (GPIIb/IIIa)             | Fibrinogen, vWf, fibronectin, vitronectin,     |
|                                 | thrombospondin                                 |
| αVβ3                            | Vitronectin, thrombospondin, vWF, fibronectin, |
|                                 | fibrinogen                                     |

surface of the open canalicular system and in the inner membrane of the  $\alpha$  granules [11].

The  $\alpha$ IIb subunit consists of a heavy (GPIIba; 125 kDa) and a light (GPIIbb; 25 kDa) chain linked by a disulphide bond. The  $\alpha$ IIb and  $\beta$ 3 chains interact through their N-terminal (extracellular) portions and bivalent cations are necessary for this interaction [12]. The  $\alpha$ IIb and  $\beta$ 3 subunits have long extracellular domains while only 26 aminoacids of the light chain of  $\alpha$ IIb and 45 aminoacids of  $\beta$ 3 form the intracellular domains. The intracellular tails interact with the actin cytoskeleton through a series of linking proteins, among which talin and vinculin [10].

The N-terminal portion of GPIIb/IIIa contains the ligand binding site for several adhesive molecules usually containing a RGD sequence, such as fibrinogen, von Willebrand factor (vWf), vitronectin, fibronectin and thrombospondin [12]. In resting platelets, however, GPIIb/IIIa is unable to bind plasma fibrinogen or matrixbound vWf with high affinity, preventing adhesion or aggregation in circulating blood. In addition, GPIIb/IIIa binding to vWf requires initial interaction of vWf with another integrin receptor, GPIb/V/IX (see later). Platelet activation by a wide variety of agonists leads to a conformational change of GPIIb/IIIa and to its activation; activated GPIIb/IIIa can bind fibrinogen or vWf with high affinity. Although the specific pathways leading to GPIIb/IIIa activation following platelet stimulation (insideout signaling) are still not completely unravelled, protein kinase C (PKC) seems to play a role and phosphorylation of the integrin correlates with its activation [13]. Also other kinases, such as the phosphoinositide 3-kinase (PI 3-kinase), and the

small GTP binding protein rhoA seem to be involved in GPIIb/IIIa activation, although the mechanism is not clear. Several lines of evidence demonstrate that the portion of the GPIIb/IIIa molecule important for activation mediated by intracellular signaling is the cytoplasmic domain [11,12]. This region is also important in mediating other events that follow GPIIb/IIIa activation and that are indicated as outside-in signaling. Indeed, once activated, GPIIb/IIIa induces reorganization of the cytoskeleton, elevation of intracellular calcium and pH, phosphoinositide metabolism, activation of serine/threonine and tyrosine kinases. Among the tyrosine phosphorylated proteins, the tyrosine kinase pp125<sup>FAK</sup> (focal adhesion kinase) has been described [11].

#### GPIa/IIa

Another integrin of the platelet glycocalix is the GPIa/IIa or  $\alpha 2\beta 1$  that represents one collagen receptor and it is important in mediating platelet adhesion to the collagen fibers (but not collagen monomers) of the subendothelium. The  $\alpha 2$  subunit has only one polypeptide chain with a short intracellular domain and a longer extracellular domain. The N-terminus of  $\alpha 2$  presents a I domain that is important for collagen binding, however it is not known if  $\alpha 2\beta 1$  changes its conformation and becomes active when platelets react with collagen fibers or other agents, or if the activation of  $\alpha 2\beta 1$  is required for adhesion and aggregation of platelets to collagen [14].

Other collagen receptors on platelets are the glycoproteins GPVI and GPIV (or GPIIIb or CD36) [14]. While patients with abnormalities in GPIa have a marked bleeding tendency, abnormalities in GPVI cause only a mild bleeding disorder; GPIV defect is not responsible for any clinical disorder, indicating that GPIV is probably not an essential factor for the interaction of platelets with collagen, although it might have some stimulatory effect in this reaction. It is important to note that in experimental conditions of flow, closely resembling a physiological situation under which thrombus occurs, platelets interact with vWf molecules bound to collagen; after this initial step, adherent platelets react with collagen through their collagen receptors, resulting in activation and aggregation [14].

Although the activation pathways initiated by platelet binding to collagen have not been fully elucidated, activation of phospholipase C (PLC) has been reported [15]. More recently, it has been found that stimulation of platelets by collagen leads to the phosphorylation of several proteins [16]. In particular, the non-receptor tyrosine kinase Syk, and PLC $\gamma$ 2 are phosphorylated and activated following platelet stimulation with collagen. The phosphorylation of Syk and PLC $\gamma$ 2 is dependent of  $\alpha 2\beta 1$ , although this integrin is not sufficient to induce these phosphorylation events, suggesting that  $\alpha 2\beta 1$  functions in a co-stimulatory way with other collagen receptors [17]. Phosphorylation of the Fc receptor Fc $\gamma$ RII by collagen has also been described [17] (see later).

#### GPIb/V/IX complex

While receptors for fibrinogen, vitronectin, laminin and the GPIa/IIa for collagen belong to the integrin family, other glycoproteins of the glycocalix are classified as leucine-rich glycoproteins (LRG) because they contain one or more sequences of 24 aminoacids rich in leucine. LRG proteins form the GPIb/V/IX complex, or CD42 [18], which is one of the major adhesion receptors on the platelet surface.

GPIb is formed by two subunits,  $\alpha$  and  $\beta$ , covalently linked by a disulphide bond; GPIX is non covalently bound to GPIb in a 1:1 ratio, while GPV is only weakly associated to GPIb/IX in a 1:2 ratio [18, 19]. GPIb is the most important component of the complex in terms of mass and functional sites: it contains binding sites for vWf and thrombin in the N-terminal region and for 14-3-3 $\zeta$  protein in the cytoplasmic tail. The association of GPIb $\alpha$  with the 14-3-3 $\zeta$  protein may serve to transduce platelet activation signals initiated by adhesion of the cells to vWf [20].

In resting platelets, GPIb does not bind plasma vWf, but binding can occur in conditions of high shear associated with constricted vessels in advanced atherosclerosis.

Soluble vWf can bind to collagen and other molecules of the subendothelium, thus being immobilized onto complex extracellular matrices. Immobilized vWf plays important roles in platelet adhesion under high shear rates. The first step of this process is mediated by the binding of vWf to GPIb $\alpha$  through the A1 domain present in the vWf molecule. The interaction of vWf with GPIb $\alpha$  is rapid but has a fast dissociation rate: since this interaction is not stable, it can not provide bonds supporting irreversible adhesion, although it can slow down platelet velocity. This phenomenon is called rolling and new bonds between GPIb $\alpha$  and immobilized vWf are formed as different regions of the membrane of rolling platelets come in closer contact with the subendothelium. The platelet rolling continues until GPIIb/IIIa becomes activated and binds to vWf through the Asp-Gly-Arg-Ser (RGDS) sequence in the Cterminal domain of the vWf molecule. The bonds between vWf and GPIIb/IIIa have a low dissociation rate and, thus, they mediate irreversible adhesion [21].

Although binding of vWf to GPIb was thought to be a passive phenomenon (called agglutination), there are evidences that the stress caused by this binding causes further activation of platelets and GPIIb/IIIa activation [18]. One of the early events associated with vWf binding to platelets is the tyrosine phosphorylation of several proteins, including tyrosine kinases themselves.

GPIb also binds thrombin and, in particular, it represents the high affinity binding site for thrombin, while the G protein coupled receptor binds thrombin with a moderate affinity (see later). Little is known about the signal transduction events linked to thrombin binding to GPIb [19].

#### P selectin

Another glycoprotein of the platelet glycocalix is a member of the selectin family and is called P selectin or granule membrane protein 140 (GMP-140) or PADGEM.

Other selectins (E selectin and L selectin) are expressed in endothelial cells and leucocytes, but not in platelets.

P selectin is stored in platelet  $\alpha$  granules and becomes expressed on the surface following platelet activation with, for example, thrombin, histamine, complement fragments, oxygen-derived free radicals and cytokines. The ligand for P selectin has not been identified, and also its functions are not well understood [8]. It has been hypothesized that P selectin expression on the platelet surface could lead to the formation of platelet-neutrophils intravascular aggregates through the combination of P selectin domains on platelets with specific ligands on neutrophils. P selectin can also participate in the attachment of platelets to the endothelium [22] and thus possibly in the diapedesis of platelets through the junctions between endothelial cells, explaining the platelet infiltrates which characterize some inflammatory tissue responses [23].

#### The cytoskeleton

The platelet cytoskeleton is formed by a network of cytoplasmic actin, a peripheral microtubule coil and the membrane skeleton that coats the internal face of the plasma membrane. The membrane skeleton by lining the plasma membrane regulates its contours and contributes to its stabilization, preventing it from fragmentation. Membrane skeleton is attached to glycoproteins and it may regulate their lateral distribution; GPIb/IX, for example, is present over the entire surface of the membrane in resting platelets, but it clusters when the membrane skeleton is disrupted [24].

Mainly formed by actin, the cytoskeleton also contains other proteins, such as actin-binding protein (ABP),  $\alpha$ -actinin, tropomyosin, talin, vinculin and cortactin. In resting platelets, 30–40% of the total actin is in a polymerized form; upon activation, this figure rises up to 70–80%. The new filaments of polymerized actin form in at least two locations: a network is localized at the periphery of the cell, and bundles of filaments form in developing filopodia. Several mechanisms are involved in preventing actin polymerization in resting cells and, in particular, thymosin  $\beta$ 4 seems to play an important role in sequestering monomeric actin in resting platelets, thus avoiding its massive polymerization. The release of actin monomers from thymosin  $\beta$ 4, in addition to other mechanisms, allows actin polymerization following activation. Also other proteins, such as profilin and gelsolin, keep actin in a depolymerized state [3].

When platelets are activated, a reorganization of the cytoskeleton occurs, both in an aggregation-independent manner and in aggregating platelets. Within seconds of stimulation the cytoskeleton undergoes a reorganization which is observed even in non stirred platelets that, lacking cell-cell contacts, do not aggregate. This aggregation-independent reorganization consists of a redistribution of the cytoskeletal proteins: tropomyosin, distributed diffusely in resting platelets, is concentrated in filopodia in activated cells; also actin-binding protein becomes concentrated in the filopodia while  $\alpha$ -actinin becomes concentrated in filopodia and in a submembrane location. Polymerized actin in the cytoplasm (and not that in the filopodia) can bind to phosphorylated myosin; this leads to platelet contraction and organelles centralization.

During platelet aggregation, other changes in the platelet cytoskeleton occur, following fibrinogen binding to GPIIb/IIIa. GPIIb/IIIa molecules cluster and the membrane skeleton associates with the underlying actin filaments leading to a more complex cytoskeleton reorganization. In addition to GPIIb/IIIa other proteins can be recovered in the organized cytoskeleton of aggregated platelets and, among these, protein kinase C, phosphoinositide 3-kinase, pp60<sup>c-src</sup> (the major tyrosine kinase present in platelets), pp62<sup>c-yes</sup>, pp59<sup>fyn</sup>, pp125<sup>FAK</sup>, p21<sup>ras</sup>, the small GTP-binding protein rap1b, phospholipase C, diacylglycerol kinase, the glycoprotein PECAM-1 [11, 12, 24–26].

#### Main receptors

In addition to glycoproteins, that are principally receptors for adhesive proteins, other receptors for a wide variety of platelet agonists are present on platelets. These receptors are located in the plasma membrane and most of them belong to the family of the G protein-coupled receptors (GPCR), since they activate effector systems through an interaction with G proteins. GPCR are formed by a single polypeptide chain with an extracellular N-terminus, an intracellular C-terminus and seven hydrophobic transmembrane domains that are separated by three extracellular and three intracellular loops. Receptors for thromboxane  $A_2$  (TxA<sub>2</sub>) and other prostanoids (prostaglandin (PG)  $E_2$ , PGD<sub>2</sub>, PGI<sub>2</sub>), for thrombin, epinephrine, platelet activating factor (PAF), vasopressin, serotonin, all belong to the GPCR family. Less is known about the ADP receptors, although data exist that indicate that also one of the possible ADP receptors is a GPCR.

In this section, we will briefly review the characteristics of the main platelet receptors. It is noteworthy that some platelet stimuli, such as serotonin,  $TxA_2$  and other prostanoids, in particular PGE<sub>2</sub>, and thrombin, are also immunological/ inflammatory stimuli.

#### $TxA_2$

 $TxA_2$  is synthesized by activated platelets upon metabolism of the arachidonic acid liberated from the plasma membrane. Arachidonic acid is cleaved from phospholipids by phospholipase  $A_2$  (PLA<sub>2</sub>) and, in platelets, it is transformed by cyclooxygenase 1 in the prostaglandin endoperoxides PGG<sub>2</sub> and PGH<sub>2</sub>. These reactions are accompanied by free radical generation that contributes to the participation of platelets to inflammatory reactions [27].

Different enzymes, among which thromboxane synthase, transform  $PGH_2$  into different prostanoids (TxA<sub>2</sub>, PGE<sub>2</sub>, PGD<sub>2</sub> and, in endothelial cells, PGI<sub>2</sub>) [28]. Once formed, TxA<sub>2</sub> can diffuse across the plasma membrane and, being a powerful aggregating agent, it amplifies the initial platelet response to stimulation [29]. TxA<sub>2</sub> is also a powerful vasoconstrictor and a bronchoconstrictor [29, 30].

Prostanoid receptors specific for  $TxA_2$ , PGE<sub>2</sub>, PGD<sub>2</sub>, PGI<sub>2</sub> and PGF<sub>2 $\alpha$ </sub> are named TP, EP, DP, IP and FP, respectively [31].

Two TxA<sub>2</sub> receptors have been cloned to date [32, 33] and called TP $\alpha$  the first cloned isoform, and TP $\beta$  the second. TP $\alpha$  and TP $\beta$  are splice variants of the same gene product and they differ at the level of the carboxy terminal tail: the 15 aminoacids sequence in the COOH terminus of TP $\alpha$  are replaced by a 79 aminoacids sequence in TP $\beta$ . TP $\alpha$  has been cloned from a placental library and also from megakaryocytic cell lines [32, 34] while TP $\beta$  has been cloned from an endothe-lial library [33].

Recently, using RT-PCR techniques, it has been demonstrated that platelets express both TP $\alpha$  and TP $\beta$  although it seems that they do not correspond to the two classes of TxA<sub>2</sub> binding sites described previously in platelets that have been extensively studied using ligand binding and biochemical approaches [35].

Although TP $\alpha$  and TP $\beta$  are differently coupled to adenylate cyclase, they both activate PLC in an overexpression system [35]. In platelets, stimulation with TxA<sub>2</sub> or its analogues causes activation of PLC (in particular the PLC $\beta$  isoform) with consequent diacylglycerol (DG) and inositol 1,4,5 triphosphate (IP<sub>3</sub>) formation and calcium mobilization, activation of PKC, phosphorylation of pleckstrin and of myosin light chain, activation of GPIIb/IIIa. Platelets express at least PLC $\beta$  and PLC $\gamma$ , although only PLC $\beta$  seems to be activated by G proteins. PLC $\beta$ 1 and PLC $\beta$ 3 respond predominantly to the  $\alpha$  subunit of G proteins, while PLC $\beta$ 2 may respond better to the  $\beta\gamma$  subunits. Thromboxane receptors are apparently coupled to PLC $\beta$ 1 through G $\alpha$  subunits [36]. In platelets, TxA<sub>2</sub> also activates PLA<sub>2</sub> [35].

Several G proteins have been demonstrated to couple to the  $TxA_2$  receptor, in platelets or in overexpression systems. Among these, Gq [37, 38], G12 and G13 [39], G16 and its murine counterpart, G15 [40]; contrasting data exist about the coupling with Gi [37, 41, 42], while recent studies suggest a coupling of the thromboxane receptor with a newly described G protein called Gh [43].

#### Other prostanoids

 $PGH_2$  can be substrate not only for thromboxane synthase, but also for other enzymes that, in platelets, form small amounts of  $PGE_2$ ,  $PGD_2$  and  $PGF_{2\alpha}$ . In endothelial cells  $PGI_2$  (and in the microvasculature  $PGE_2$ ) is the major product of arachidonic acid metabolism [28].

 $PGD_2$  and  $PGI_2$  act on platelets as antiaggregatory substances through DP and IP receptors, respectively, while  $PGE_2$  exerts a proaggregatory effect when used in combination with other stimuli [44].  $PGF_{2\alpha}$  appears to be inactive in platelets, so the characteristics of its receptor will not be discussed in this chapter.

All the prostanoid receptors belong to the GPCR family and they have some conserved regions, in particular in the seventh transmembrane domain, second intracellular loop and third transmembrane domain. The overall homology is quite low (20–30%) but higher homology is observed when comparing receptors for the same ligand from different species, such as human and mouse [45]. While only one DP and only one IP receptor have been described, several EP receptors exist and they are called EP1, EP2, EP3 and EP4. The EP3 receptor exists in different splice variants. Six different splice variants of EP3 receptor have been cloned from human uterus and called EP3a, EP3b, EP3c, EP3d, EP3e, EP3f [46], while bovine EP3 receptors are called EP3A, EP3B, EP3C and EP3D. Alternative splicing has been demonstrated also in the mouse and rabbit EP3 receptor [45].

An EP3 receptor has been cloned from human erythroleukemia cells (HEL), a megakaryocytic cell line and, when expressed in COS-1 cells, found to be coupled to inhibition of adenylate cyclase [47]. It should be noted that in mock transfected cells,  $PGE_2$  increased cAMP indicating that COS-1 cells have, *per se*, an EP receptor that stimulates adenylate cyclase [47]. This circumstance can confuse the picture; in addition, a sole inhibition of adenylate cyclase cyclase can not fully explain the proaggregatory activity of  $PGE_2$  observed in platelets [44]. For these reasons, it is not yet clear which EP receptor subtype is present in platelets; it is possible that only one receptor, coupled to different signal transduction mechanisms, exists or that more than one EP receptor, still to be identified, is expressed in human platelets.

While several details on the signal transduction mechanism(s) linked to the activation of the EP receptor(s) in human platelets await clarification, it is well known that the IP receptor is linked to Gs and, thus, it causes an increase of intraplatelet cAMP. The physiologic role of PGI2 and its receptor in limiting platelet activation *in vivo* is highlighted by the recent report that mice lacking the prostacyclin receptor (IP receptor knock-out) present an enhanced susceptibility to arterial thrombosis [48]. Although less potent, also PGD<sub>2</sub> causes a cAMP increase through Gs [45], resulting in inhibition of platelet functions [49]. Consistent with the fact that PGF<sub>2α</sub> does not play relevant roles in platelet functions, FP-deficient mice do not seem to have platelet abnormalities [50].

#### Thrombin

Thrombin is a serine/threonine protease generated by the sequential activation of the enzymes of the coagulation cascade. Since phospholipids of the activated platelets accelerate the blood clotting cascade, platelets promote thrombin generation. Thrombin, in turn, activates platelets. In addition to high affinity thrombin receptors represented by GPIb (see above), platelets have a GPCR with moderate affinity (roughly 1,800 copies/platelet) also referred as PAR-1 [51]. Another protease receptor has been described and cloned and called PAR-2, but this receptor is not present in human platelets [52].

PAR-1 in its N-terminus contains a site for cleavage by thrombin, located between Arg41 and Ser42. The region immediately C-terminal to the cleavage site is a tethered ligand able to activate the receptor, apparently interacting with sites located in the second extracellular loop and in the N-terminus near the first transmembrane domain [51, 53].

Not only thrombin, but also other proteases can cleave the thrombin receptor, although at sites different from that cleaved by thrombin. In particular, following tissue injury or inflammation, proteases such as cathepsin G, granzyme and tryptase can be secreted locally by leucocytes and mast cells. Cathepsin G and other proteases (chymotrypsin and plasmin) cleave the thrombin receptor at sites different from that of thrombin, thus disabling the receptor. Cathepsin G can cleave the thrombin receptor also at the same site where thrombin cleaves, thus explaining why cathepsin G can activate platelets. If the platelet activation induced by cathepsin G is due to a preferential cleavage at the Arg41-Ser42 site (instead of at Phe55-Trp56), or if it is due to the presence, in platelets, of additional receptors, remains to be determined [51].

Activation of platelets with thrombin causes activation of phospholipases such as PLC, PLA<sub>2</sub> (with consequent TxA<sub>2</sub> synthesis) and PLD, of kinases such as PKC and mitogen activated protein kinase (MAPK), calcium mobilization, protein phosphorylation and decrease of cAMP. Thrombin is thought to activate PLC $\beta$ , in particular the isoform PLC $\beta$ 2, through the  $\beta\gamma$  subunits released by activated Gi, while the  $\alpha$  subunit of this G protein inhibits adenylate cyclase. Thrombin can also activate PLC $\beta$  isoforms through the  $\alpha$  subunit of Gq, although in platelets the Gi route seems to be predominant; indeed, thrombin-induced phosphoinositide hydrolysis in platelets can be inhibited by pertussis toxin, that inhibits Gi [36, 51]. Also the thrombin receptor, as the thromboxane receptor, can couple with G16 and its murine counterpart G15 [40] and G12 and G13 [39, 54]. Using membranes of infected Sf9 cells, it has been recently demonstrated that thrombin also activates Gz, a G protein only expressed in platelets and in some neural tissues. Similar experiments did not show, as expected, coupling of the thrombin receptor with Gs [54].

#### ADP

ADP is an aggregating agent released by damaged endothelial or red blood cells. Platelets store ADP in their dense granules, and release it upon stimulation with other agonists, thus reinforcing aggregation. Platelet stimulation with ADP causes shape change, calcium influx, activation of GPIIb/IIIa and reversible aggregation if physiological concentrations of calcium are present in the medium (see below). Irreversible aggregation occurs following synthesis of  $TxA_2$  from the activated platelets. ADP is, at most, only a weak activator of platelet PLC; ADP inhibits stimulated adenylate cyclase although this effect can not explain ADP-induced aggregation.

The nature of the platelet ADP receptor is still not yet completely elucidated, although recent studies have contributed to our understanding on this issue. The platelet ADP receptor has been initially called P2T purinoreceptor [56] but recent studies indicate that platelets have at least two ADP receptors thus the P2T receptor must be considered a pharmacological concept more than a molecular entity.

Inhibition of adenylate cyclase probably occurs after binding of ADP to a GPCR, possibly coupled to Gi2 [55]. Although cloning of the platelet ADP receptor has been to date unsuccessful, it has been demonstrated that platelets, as well as megakaryocytic cell lines, have the mRNA for the  $P2Y_1$  receptor; this finding suggests that the  $P2Y_1$  receptor is the platelet ADP receptor coupled to G protein(s) and responsible for aggregation and inhibition of adenylate cyclase.

ADP also induces a rapid influx of calcium from the extracellular medium and this effect seems to be mediated by a  $P2X_1$  receptor. This receptor may be linked to a calcium channel and this early calcium influx could be responsible for ADP-induced shape change. The involvement of  $P2X_1$  ionotropic receptors in shape change needs, however, to be confirmed: indeed, an ATP derivative able to induce calcium influx does not cause shape change [55].

#### Epinephrine

Epinephrine is a circulating hormone synthesized by adrenal medulla; it is also stored by platelets in the dense granules and it can be released during the release reaction [57]. Epinephrine is a peculiar platelet agonist: it induces aggregation and fibrinogen binding to GPIIb/IIIa without causing shape change. Recent investigations suggest that epinephrine is not a platelet agonist *per se* and that it only potentiates aggregation induced by other inducers, such as ADP, collagen, arachidonic acid, thrombin, serotonin, vasopressin, PAF [57, 58]. Epinephrine does not induce PLC activation per se: the PLC activation induced by epinephrine is due to  $TxA_2$  synthesis by activated platelets. Epinephrine activates the H<sup>+</sup>/Na<sup>+</sup> antiporter, causing alkalinization of the cytoplasm, and it inhibits adenylate cyclase [36, 57].

Platelet adrenergic receptors belong to the  $\alpha$ 2A subtype, coupled to inhibition of adenylate cyclase through Gi2 [59]. Although much less abundant, platelets also have  $\beta$ 2 adrenoreceptors that, contrary to  $\alpha$ 2A receptors, induce increase of cyclic AMP. Some authors hypothesize that the epinephrine effects in platelets are the result of activation of both  $\alpha$ 2A and  $\beta$ 2 receptors, but since  $\alpha$ 2A receptors are in abundance, the overall effect is a stimulation of platelet aggregation [57].

### Platelet activating factor (PAF)

PAF is a phospholipid-like substance derived from phosphatidylcholine; it is released from neutrophils and from platelets following activation by thrombin or collagen and is itself a potent aggregating stimulus. PAF is an extremely potent inflammatory agent and it has been implicated as a mediator of inflammation and asthma [30]. The platelet PAF receptor belongs to the family of the GPCR and it is linked, through an unknown G protein, to PLC [60].

## Serotonin

Serotonin, or 5-hydroxytryptamine (5HT), is stored in platelet dense granules and it is released upon activation by a variety of stimuli. 5HT is both a platelet agonist and a vasoconstrictor. Platelets have a very efficient uptake system for 5HT, so that the plasma concentrations of this amine are usually very low: concentrations of 5HT capable of activating platelets are reached only after platelets have undergone the release reaction [61].

Serotonin is a weak platelet agonist and it only induces shape change and small reversible aggregation with human platelets. Irreversible aggregation can occur when serotonin is used in combination with subthreshold doses of other agonists, such as ADP or  $TxA_2$  analogues [62]. The platelet serotonin receptor is a GPCR member of the 5HT2 family. Platelets possess another binding site for 5HT that is used for the uptake process but this can not be considered a receptor in a functional way [61, 63]. Serotonin is also an inflammatory substance: besides inducing vaso-constriction it increases vascular permeability.

## Vasopressin

Vasopressin is stored, together with adenine nucleotides, calcium ions and serotonin, in the platelet dense granules [57]. V1 receptors for vasopressin are present on platelets, but the concentration of the ligand required to activate platelets is several orders of magnitude higher than that normally achieved in the circulation [61] and the mechanism for vasopressin-induced platelet aggregation is not well elucidated. It has been shown that vasopressin inhibits adenylate cyclase, but only in isolated platelet membranes and not in whole cells [64].

## Adenosine

Adenosine is an antiaggregatory and vasodilatory agent. Platelet adenosine receptors are represented by the GPCR  $A_{2A}$ .  $A_{2A}$  receptors are coupled to adenylate cyclase through Gs, thus causing cyclic AMP increase and inhibition of platelet activation [65, 66]. The physiologic role of adenosine in reducing platelet reactivity *in vivo* is highlighted by the recent description of mice with disrupted gene encoding

for the A2aR (A2aR-knockout). Platelets from these animals are hyperresponsive to ADP [67]. It is worth noting that adenosine may play a role in bronchoconstriction [30].

### Immunoglobulins (Ig)

Platelets also possess receptors for immunoglobulins, in particular of the IgE and IgG class. Receptors for IgE belong to the FceRII type and have a lower affinity and are present in a lower number of copies as compared to those present in mast cells and basophils [27]. The cross-linking of an antigen with platelet-bound IgE causes release of inflammatory mediators. IgE receptors have also been implicated in cyto-toxic response against parasites: activation of the IgE receptor by exposure of sensitized platelets to an appropriate antigen results in the production of oxygen radicals in concentrations sufficient to kill parasites [30]. The presence of IgE receptors suggests a potential role of platelets in allergic reactions.

Receptors for IgG belong to the family of the FcγRII receptor and are activated by aggregated IgG and IgG immune complex while monomeric IgG (that are normally present in large quantities in blood) do not activate this receptor. The physiological role of the FcγRII receptor is not well known, while it is more clear that this receptor can play a role in certain disease, such as auto-immune thrombocytopenia, thrombocytopenia associated with HIV infection and with sepsis. Some antiplatelet antibodies can activate platelets through the clustering of the FcγRII receptor; this causes platelet aggregation and, thus, an accelerated clearance of platelets and thrombocytopenia [68].

Interestingly, FC $\gamma$ RII can be phosphorylated following platelet stimulation with collagen through a  $\alpha 2\beta 1$ -independent pathway suggesting that this phosphorylation is mediated by binding of collagen to a receptor different from  $\alpha 2\beta 1$ , such as GPIV [17]. The exact role of Fc $\gamma$ RII in collagen signaling remains, to date, unclear. As for collagen, stimulation of platelets through Fc $\gamma$ RII causes PLC $\gamma 2$  phosphorylation [69].

### Platelet organelles

Several types of organelles can be detected in the platelet cytoplasm [4].

- (1) Mitochondria are similar to those found in other cells and have a characteristic plication of their internal membrane; they provide ATP for the cytoplasmic metabolic pool.
- (2) Peroxysomes are granules that resemble the peroxysomes found in other cells. Peroxysomes contain catalase [4] and synthesize superoxide anion, a cytotoxic mediator of inflammation.

- (3) Lysosomes (or  $\lambda$  granules) contain acid hydrolases such as  $\beta$ -hexosaminidase,  $\beta$ -glucoronidase,  $\beta$ -galactosidase and small concentrations of other glycosidases. The secretion of the lysosomal enzymes requires higher levels of intracellular calcium than the secretion of  $\alpha$  and dense granules and it is not complete: only 30–60% of the hydrolases are secreted from lysosomes, in contrast to nearly 100% of the substances stored in the other granules [61, 70].
- (4)  $\alpha$  granules store a variety of proteins such as albumin, which is the protein present at the highest concentration, fibrinogen, vWf and other adhesive molecules (fibronectin, vitronectin, thrombospondin, P selectin), coagulation factors (factor V, factor XI, high molecular weight kininogen, C1 inhibitor, plasminogen activator inhibitor-1, protein S) and growth modulators, such as  $\beta$  thromboglobulin ( $\beta$ TG), platelet factor 4 (PF4), thrombospondin, platelet derived growth factor (PDGF), transforming growth factor  $\beta$  [61, 71]. PF4, transforming growth factor  $\beta$ , PDGF, as well as arachidonic acid metabolites of the lipoxygenase pathway have chemoattractive properties [23] and PF4 stimulates basophils to release histamine [30].

PDGF causes mitogenesis and proliferation of vascular smooth muscle, migration of fibroblasts, migration and proliferaton of monocytes, and superoxide anion generation from eosinophils [23]. PDGF has also been reported to be a mitogen for airway smooth muscle cells *in vitro* [30]. Also platelet themselves respond to PDGF, through an  $\alpha$  type PDGF receptor, that causes a feed-back inhibition of aggregation [72].

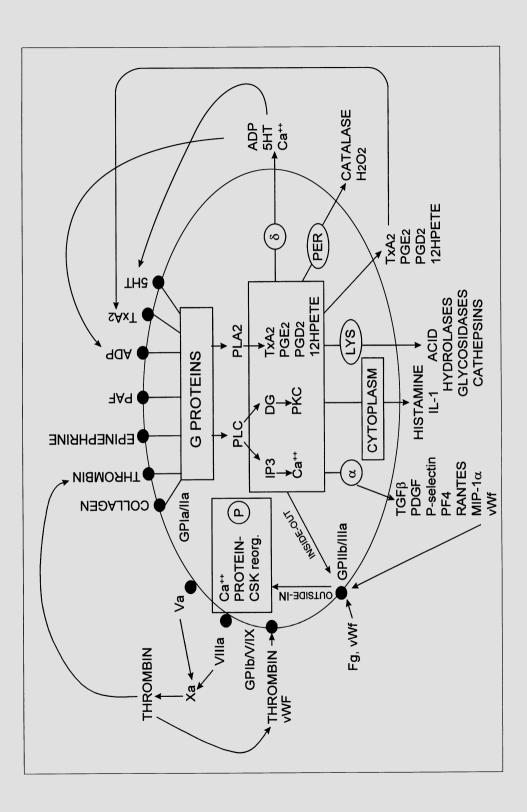
(5) Dense (or  $\delta$ ) granules. When platelets are observed by electron microscopy, dense granules appear to have a very opaque content. They store non metabolic pools of adenine nucleotides (ATP, ADP), serotonin, vasopressin, pirophosphate and calcium. These granules are absent in patients with storage pool disease that have haemorragic symptoms due to a defective release reaction [4].

### **Platelet activation**

A schematic representation of some of the events that take place during platelet activation is given in Figure 1.

### Platelet adhesion

Adhesive interactions between cells are essential for maintaining the structural and functional integrity of the vascular system. Adhesion of platelets to the subendothelial matrix is the first event that takes place in physiological conditions to arrest the blood extravasation from a wounded vessel. Adhesion is mediated by



receptors for adhesive molecules which are present in plasma or in the subendothelium. As discussed above, platelet receptors for adhesive molecules are glycoproteins.

When the endothelium is stripped from the vessel, platelets adhere to the denuded extracellular matrix; in particular, platelets adhere to collagen fibers, and fibronectin and vWf are implicated in adhesion to collagen [73]. The initial contact of platelets to subendothelium is mediated by GPIb/IX; in Bernard-Soulier syndrome, because of a GPIb/IX deficiency, platelets do not adhere effectively to the matrix structures in the area of vascular injury [74]. After the initial contact with the subendothelium, platelets spread. Spreading is associated with the change of platelets from a discoidal to a spherical shape (spiny spheres).

### Platelet shape change

After the initial adhesion of platelets to the subendothelial matrix, spreading and shape change occur. Resting platelets have a diameter of 2–4  $\mu$ m and are about 0.5  $\mu$ m thick; during the shape change phase, platelets become spherical and form many thin philopodia and pseudopodia that can be more than one platelet diameter long. While the platelet volume, during the shape change, remains constant, the platelet surface increases by at least 50% [3]. It has been postulated that the contraction of the actin filaments of the platelet in the early stage of activation causes a relaxation in the membranes that allows the cells to round up. Platelet shape change is associated with myosin phosphorylation: the interaction actin-phosphory-

#### Figure 1

Schematic representation of platelet structure and activation. The binding of collagen and of soluble platelet agonists to cell surface receptors (close circles) initiates a series of signal transduction events that lead to platelet aggregation and secretion. Most of the platelet receptors are coupled to G proteins that activate, directly or indirectly, PLC and PLA<sub>2</sub>, with subsequent activation of PKC, mobilization of calcium (Ca<sup>2+</sup>), synthesis of TxA<sub>2</sub> and other arachidonic acid metabolites (PGE<sub>2</sub>, PGD<sub>2</sub>, 12HPETE) that exit from the platelet and act on surrounding cells. Activation of signal transduction mechanisms leads to secretion of the content of  $\alpha$  and dense ( $\delta$ ) granules, lysosomes (lys), peroxisomes (per) and to secretion of histamine or IL-1 from the platelet cytoplasm. During platelet activation, GPIIb/IIIa activation occurs (inside-out signaling), with consequent binding of fibrinogen (Fg) and vWf. Activated GPIIb/IIIa, in turn, triggers outside-in events, such as elevation of cytoplasmic calcium, protein phosphorylation (protein-P), reorganization of the cytoskeleton (CSK reorg). Platelets contribute to coagulation, by providing a surface for assembly of activated coagulation factors (Va and VIIIa) that, ultimately, lead to generation of thrombin. vWf and thrombin also bind to GPIb/VIX complex. For additional abbreviations and details, see text.

lated myosin leads to cellular contraction, organelles centralization and shape change [3].

### Platelet aggregation

A number of physiological agonists can induce platelet aggregation (Tab. 2). Platelets can also be activated, *in vitro*, by non-physiological substances, in particular calcium ionophores (e.g. A23187), PKC activators (e.g. phorbol myristate acetate) and non specific G-protein activators (e.g. AlF4<sup>-</sup>).

The interaction of physiologic agonists with their specific surface receptors triggers a series of signal transduction events that ultimately leads to the activation of the GPIIb/IIIa complex on the platelet surface. As a consequence, in the presence of millimolar concentrations of calcium in the external medium, fibrinogen binds to platelets [58]. Each fibrinogen molecule has two binding sites for GPIIb/IIIa, thus forming bridges between platelet and platelet and allowing aggregation [53].

Receptor-induced GPIIb/IIIa activation is very rapid, and the cell is able to bind fibrinogen within seconds after its initial encounter with the appropriate agonist. The initial fibrinogen binding is reversible, for instance by removal of calcium with chelators, such as EDTA. Following reversible fibrinogen binding, the plateletplatelet interaction undergoes a time-dependent stabilization, that is usually complete in 3–4 min; the irreversible binding of fibrinogen correlates with irreversible platelet aggregation. Once irreversible aggregation has started, removal of calcium or of the agonist is no longer effective in dissociating the aggregates [71].

In certain circumstances, such as under the high shear rates encountered in stenosed atherosclerotic vessels, vWf may substitute for fibrinogen, thus playing a dominant role not only in adhesion, but also in platelet aggregation [75].

Platelet aggregation can be studied *in vitro* in a suspension of platelets in plasma (platelet rich plasma, PRP) or in whole blood. For particular purposes, platelets can be resuspended in buffers at physiological pH and calcium concentrations. In these cases, fibrinogen can be added to the platelet suspension to facilitate aggregation; this is not always necessary, in particular when strong agonists (such as thrombin or  $TxA_2$  analogues) are used because the fibrinogen secreted from activated platelets is sufficient to support aggregation [71].

The most common way of studying platelet aggregation is that of measuring the changes in light transmission through a platelet suspension. At a concentration of  $1-3 \times 10^8$ /ml platelet suspensions are opalescent. After addition of an agonist, when platelets are stirred at a high-speed, shape change and aggregation occur. In the aggregometer, the shape change phase is recordered as a slight decrease of light transmittance. Although in most cases aggregation is preceded by shape change, this is not always true; for example, epinephrine induces aggregation without shape change. Aggregation is recordered by the aggregometer as an increase in light transmit

| Agonist          | Comment  |
|------------------|--|
| Thrombin         | formed by activation of the coagulation cascade                                    |
| Collagen         | present in the subendothelial matrix   |
| ADP              | released from platelet dense granules, stressed red blood cells                    |
| TxA <sub>2</sub> | synthesized by activated platelets   |
| Serotonin        | released from platelet dense granules; may sensitize platelets<br>to other stimuli |
| PAF              | synthesized by platelets and other cells   |
| epinephrine      | may modulate platelet response to other stimuli                                    |

Table 2 - A summary of physiological platelet agonists

mittance because the more platelets aggregate and the more light will pass through the suspension. With some agonists (e.g. epinephrine or ADP) a first and a second wave of aggregation can be observed [58]. The first wave of aggregation occurs when fibrinogen is bound in a reversible way; if the agonist concentration is high enough, this first wave is followed by a second wave corresponding to an irreversible platelet aggregation. If the agonist is used at maximal concentrations, the first wave of aggregation is not detectable, and only one wave will be observed.

It should be noted that ADP and epinephrine are able to induce irreversible aggregation, *in vitro*, only if low calcium concentrations are present (such as in citrated PRP); thus, it is unlikely that these substances induce secondary aggregation *in vivo* [58].

#### Release reaction

Irreversible platelet aggregation is triggered and accompanied by secretion of the content of  $\alpha$  and dense granules and by the production of mediators, in particular TxA<sub>2</sub>, that potentiates aggregation. As discussed above,  $\alpha$  and dense granules contain substances that can activate surrounding platelets thus reinforcing the aggregation process. The dense granules contain substances that are rapidly secreted and are listed in Table 3.

The  $\alpha$  granules contain especially proteins and peptides that have roles in modulating the growth and gene expression of the cells of the vessel wall. Among these substances there is PDGF (see above) that acts on smooth muscle cells and fibroblasts, and PF4, involved in inflammation.  $\alpha$  granules also release coagulation factors, such as factor V and XI, that are taken up by the megakaryocytes and stored in the granules during thrombopoiesis. Factor V is also synthesized by megakary-

| Substance | Comment   |
|-----------|---|
| ADP       | is an agonist for platelets   |
| ATP       | may act as an agonist for other blood cells   |
| Serotonin | influences vascular tone and can weakly activate other platelets;<br>contributes to inflammation through vasoconstriction and capacity<br>to increase vascular permeability |
| Calcium   | the physiological role of the secreted calcium is not clear; it can<br>ensure adequate calcium levels for calcium-dependent enzymes<br>of the coagulation cascade           |

Table 3 - A summary of substances released from dense granules

ocytes, since the concentration of this protein is higher than can be accounted for by an uptake from plasma [71].  $\beta$ TG and PF4 are platelet-specific proteins and their concentration in plasma or in the supernatant of activated platelets is used as a measure of platelet secretion [58].

While platelet dense bodies are released by exocytosis, the mechanism of release of  $\alpha$  granules is more complex. Indeed, during secretion, these granules are centralized in the cytoplasm, as a consequence of cytoskeleton reorganization and platelet contraction (see above). Two hypotheses have been proposed for exocytosis when granules are centralized [71].

- (1)  $\alpha$  granules secrete their content in the open canalicular system, i.e. in the invaginations of the plasma membrane that goes deep into the center of the platelet.
- (2)  $\alpha$  granules fuse with each other or with another cellular compartment to form a compound granule morphologically distinct from the  $\alpha$  granule. This compound granule moves toward the plasma membrane and undergoes exocytosis.

Secretion of the granules is a feature that platelets share with inflammatory cells, such as mast cells and granulocytes, and with neurons. In contrast to neurons, platelet granules do not seem to be pre-docked, and there is a significant lag between activation of the cell and secretion. It has been recently proposed that the key regulatory step in platelet exocytosis is not at the membrane fusion step, as it is in the rapid secretion process of the neuron, but it is at the granule docking step [76]. In addition to transport proteins that mediate the secretory event, low pH within secretory granules, which results from the action of proton pumps and a common characteristic of secretory granules in other cells, may be important in the exocytic event [60].

The molecular mechanisms that regulate platelet secretion can be briefly described as follow. When a stimulatory platelet agonist interacts with its specific

receptor, PLC is activated *via* G proteins. PLC cleaves phosphatidylinositol 4,5, biphosphate with the formation of membrane-bound DG and cytosolic IP<sub>3</sub>. IP<sub>3</sub> induces  $Ca^{2+}$  release from intracellular stores, such as the dense tubular system. In addition to  $Ca^{2+}$  release, agonist-receptor interaction also causes a rapid influx of  $Ca^{2+}$  from the extracellular medium, through receptor operated  $Ca^{2+}$  channels or through cytosolic alkalinization. The  $Ca^{2+}$  increase causes activation of myosin light chain (MLC). This process seems to be involved in platelet shape change, and not in secretion. DG stimulates PKC which phosphorylates pleckstrin (p47). Since the time-course of pleckstrin phosphorylation is the same as that of dense granule secretion and PKC inhibitors inhibit platelet secretion, PKC activation and pleckstrin phosphorylation are considered to be necessary steps in the signal transduction pathways that control secretion [61]. On the other hand, increase in intracellular cyclic AMP inhibits secretion by reducing cytosolic  $Ca^{2+}$  levels, although the mechanism for this reduction is unclear [61].

Another substance released from activated platelets is histamine. It is now well established that human, pig or rabbit platelets contain histamine in concentrations similar to those of serotonin. Platelets can both synthesize histamine or sequester it from plasma by an active process. Although it is not clear if histamine is stored in platelet organelles, it can be released following activation of the cells with inflammatory stimuli. In particular, platelets from atopic donors aggregate and release histamine when directly stimulated with anti-IgE antibodies, suggesting that platelets, in this regard, can behave as basophils and mast cells [77]. Released histamine enhances platelet aggregation induced by other agonists (ADP, collagen, arachidonic acid, thrombin and immunological stimuli) through the action on H1 receptors that, in turn, modulate intracellular calcium levels and activate PLA<sub>2</sub> in rabbit platelets [78]. Histamine also induces bronchoconstriction [30].

#### Platelet migration, parasite killing, particle scavenging

Platelets exert a number of functional activities which appear to be independent and regulated by mechanisms that are different from their well recognized haemostatic function. Platelets can migrate into tissues and have been detected in inflammed tissues or in the bronchoalveolar lavage fluid of asthmatic patients in the absence of red blood cells, thus excluding haemorrage [23, 30, 79]. Several *in vitro* studies have shown that normal platelets migrate in response to non aggregating stimuli such as carbachol, PGE<sub>1</sub> or PGE<sub>2</sub>, or to collagen [80–82]. More recently it has been reported that blood platelets from allergic asthmatics migrate upon stimulation with the specific sensitizing allergen *in vitro* [83].

Platelets exert a central role in the defense against parasite invasion, by displaying a cytocidal action on parasite larvae through the production of oxygen radicals (for review see [27]) and may also ingest microparticles, a property that contributes to the scavenging of foreign materials penetrated into the circulation.

## Biochemical mechanisms of platelet activation

The functional reactions above described are regulated by a complex series of intracellular events. Indeed, physiologic stimuli do not penetrate the membranes and thus the agonist/receptor interaction evokes a series of signal transduction mechanisms leading to the generation of intracellular messengers that are responsible for the effects of the agonist inside the cell [60].

PLC and PLA<sub>2</sub> are phospholipases activated following platelet stimulation.  $TxA_2$  (formed following PLA<sub>2</sub> activation) and some of the substances released from platelet granules are themselves platelet agonists: they reinforce the activation process by an additional supply of agonist, resulting in the generation of a more sustained signal [36]. In addition to stimulatory pathways triggered by platelet agonists, other signal transduction events take place when receptors for antiaggregatory substances are activated. PGI<sub>2</sub> and PGD<sub>2</sub>, in particular, activate adenylate cyclase with consequent increase of intracellular cyclic AMP (cAMP). While an increase of cAMP inhibits platelet activation, the converse is not true: inhibition of adenylate cyclase is not sufficient to promote fibrinogen binding and platelet aggregation [60].

### Signal transduction

An activated receptor, belonging to the class of the GPCR, activates an effector (usually an enzyme or an ion channel) through the activation of GTP-binding proteins, also called G proteins. The effector then synthesizes second messengers that are responsible for the cellular response. Although probably not all the intracellular systems regulated by G-proteins have yet been identified, enzymes that are certainly regulated by G proteins are PLC, PLA<sub>2</sub>, adenylate cyclase.

The G proteins involved in the activation of these enzymes are heterotrimeric G proteins, formed by three subunits,  $\alpha$ ,  $\beta$  and  $\gamma$ . In the resting state, the  $\alpha$  subunit binds GDP. Agonists whose receptors interact with G proteins promote the release of GDP, which is replaced by the GTP present in the cytosol. This event leads to a conformational change of G $\alpha$ , that dissociates from the  $\beta\gamma$  complex and activates an effector system. Many evidences exist that demonstrate that not only the  $\alpha$  subunit but also the free  $\beta\gamma$  complex can activate effector proteins [84]. After a variable length of time, G $\alpha$  hydrolyzes GTP to GDP, goes back to an inactive conformation and reassociates with the  $\beta\gamma$  complex, until the next cycle of receptor-mediated activation starts. Several G proteins have been identified in platelets [36, 39, 43, 85] (Tab. 4). The functions of some G proteins in platelets, such as G16 and

| G protein family<br>(α subunits) | Effector          | Function      | Phosphorylated |
|----------------------------------|-------------------|---------------|----------------|
|                                  |                   |               |                |
| Gi                               |                   |               |                |
| Gαi2>>Gαi3>Gαi1                  | Adenylate cyclase | ↓ cAMP        | No             |
|                                  | PLC               | Î IP3, DG     |                |
| Gαz                              | ?                 | ?             | Yes            |
| Gs                               |                   |               |                |
|                                  | A                 | ↑ - A A A D   | NI.            |
| GasS, GasL                       | Adenylate cyclase | î cAMP        | No             |
| Gq                               |                   |               |                |
| Gαq                              | PLC               | Î IP3, DG     | No             |
| Gα16                             | PLC ?             | 1 î IP3, DG ? | No             |
| G12                              |                   |               |                |
| Gα12, Gα13                       | ?                 | ?             | Yes            |
| Gh                               |                   |               |                |
| Gαh                              | PLC ?             | 1 î IP3, DG ? | ?              |

Table 4 - G proteins  $\alpha$  subunits identified in human platelets

Gh, have not been investigated, although an increase of inositol phosphate upon activation of these G proteins has been observed in overexpression systems [43, 86].

It is interesting to note that phosphorylation of  $Gz\alpha$ ,  $G12\alpha$  and  $G13\alpha$  has been observed following platelet activation and shown to be protein kinase C (PKC)mediated, although the biological effects of this phosphorylation are not well understood [87, 88]. Recently, mice deficient in Gi2 [89], G13 [90] and Gq [91] have been generated. While no platelet defects are described in the Gi2 and G13 knock-outs, major platelet abnormalities are present in the Gq-deficient animals. Indeed, platelets from these mice fail to aggregate and to undergo release reaction induced by several agonists (thrombin, ADP, collagen, thromboxane analogues and arachidonic acid). These abnormalities are accompanied by a defective activation of PLC and thus suggest that stimulation of PLC in platelets occurs mainly through Gq. Platelets from Gq-deficient mice undergo, on the other hand, normal shape change, indicating that other G proteins, such as Gi, G12 or G13, are involved in induction of shape change. Recently, a patient with decreased levels of Gq in platelets and a bleeding diathesis has been described for the first time [92].

PLCB1 and PLCB3 are activated predominantly by the members of the Gq family (Gq, G11, G16 and its murine counterpart G15), while PLCB2 seems to be activated predominantly by the By complex released by Gi, although different hierarchies have been reported depending on the system used [36, 93]. The activation of PLC leads to the hydrolysis of membrane inositol phospholipids (in particular phosphatidyl inositol 4,5 biphosphate) with consequent synthesis of  $IP_3$  and DG.  $IP_3$ , acting on specific receptors, mobilizes calcium from the dense tubular system, thus promoting an increase of intracellular calcium. Calcium is necessary for activation of other enzymes, such as PLA2, PKC, myosin light chain kinase. DG activates PKC, in synergism with calcium [94], which in turn phosphorylates some proteins, such as pleckstrin (p47) and myosin light chain (MLC, p20) that ultimately lead to secretion and shape change, respectively [60]. MLC can be phosphorylated by both PKC and myosin light chain kinase which is a calcium-calmodulin dependent enzyme [95]. As discussed above, myosin phosphorylation is directly involved in shape change, contraction and granule centralization. In addition to members of the PLCB family, PLCy1 has been detected in platelets, and a complex mechanism for its activation, not related to heterotrimeric G proteins but to small G proteins, has been proposed [96].

Phospholipase A2 is another key enzyme activated following platelet stimulation, and it will be discussed later (see below). Another enzyme involved in platelet activation, although its roles are not yet fully elucidated, is phospholipase D (PLD). PLD cleaves the terminal phosphodiester bond of membrane phospholipids, in particular phosphatidylcholine, causing the release of phosphatidic acid (PA) and a free base. PLD is activated, in platelets, by thrombin [97, 98], collagen [98] and, to a lesser extent, by other agonists [99]. The PLD-derived PA accounts for only 10-20% of the total PA formed in thrombin-stimulated platelets while the majority of the PA pool is formed as a consequence of PLC activation, following the phosphorylation of DG by the enzyme DG kinase [97]. It must be noted that not only DG can be metabolized into PA, but that also PA can be degraded into DG, through the enzyme phosphatidate phosphohydrolase. Recent data, obtained in endothelial cells, show that the PA formed through the activation of PLC and PLD have a different fatty acid composition [100] rising the possibility that PLD-derived PA and PLC-derived PA play distinct roles in signal transduction. It needs to be elucidated if these differences in signaling pathways also occur in platelets.

Adenylate cyclase is activated when platelets encounter antiaggregatory substances, such as  $PGD_2$ ,  $PGI_2$  and adenosine. Agents that activate adenylate cyclase do so by turning-on Gs and this results in an increase of intraplatelet cAMP that, in turn, activates protein kinase A (PKA). cAMP causes inhibition of both PLC and PLA<sub>2</sub>, although a direct PKA-mediated phosphorylation of these enzymes has not been demonstrated [96]. cAMP also alters the binding of fibrinogen to platelets [60] and it can also decrease the binding of certain platelet agonists to their receptor [101]. Little is known about the isoforms of adenylate cyclase present in platelets, but it is known that the type I enzyme is absent; in HEL cells, which share many characteristic with platelets, type III and IV adenylate cyclase have been detected by polymerase chain reaction (PCR) [36].

Adenylate cyclase is also under the control of an inhibitory G protein, Gi. The activation of Gi by several GPCR leads to the inhibition of adenylate cyclase and to a decrease of raised intracellular cAMP.

#### Arachidonic acid metabolism and PLA<sub>2</sub>

As briefly discussed above,  $TxA_2$ , an arachidonic acid metabolite, represents a mediator potentially important for both the haemostatic and non haemostatic functions of platelets. Arachidonic acid is cleaved from the 2 position of several membrane phospholipids by PLA<sub>2</sub> although minor amounts can also be released by other routes, such as the release from DG by diacylglycerol lipase [60]. Several PLA2 enzymes exist and the present knowledge on this topic has recently been reviewed [102]. Platelets have at least two PLA<sub>2</sub> isoforms. One is secretable PLA<sub>2</sub> (sPLA<sub>2</sub>), it has a low molecular weight (13–15 kDa) and, to be active, requires high, supraphysiological, concentrations of calcium (in the millimolar range) [102]. In many cell types sPLA<sub>2</sub> is found in secretable granules, in addition to snake and bee venoms, synovial fluid and pancreatic secretions [103] but the exact location of sPLA2 in platelets in not known. Also the functions of platelet sPLA<sub>2</sub> are not fully elucidated; secreted sPLA<sub>2</sub> does not seem to significantly contribute to the biosynthesis of TxA<sub>2</sub> in stimulated platelets [104].

Platelet cytosolic PLA2 (cPLA2) has been studied more extensively and its role and regulation are better understood. cPLA2 is responsible for the bulk of arachidonic acid release after platelet activation. cPLA2 is active at physiologic calcium concentrations (~0.05  $\mu$ M) and has a molecular weight of ~85–100 kDa [102, 103]. cPLA<sub>2</sub> is specific for the 2 position of phospholipids; arachidonic acid is the preferred fatty acid in this position, but other polyunsaturated fatty acids can be released as well [103]. The preferred substrate for cPLA<sub>2</sub> is phosphatidylcholine, but also phosphatidylethanolamine and phosphatidylserine can be hydrolyzed, while phosphatidylinositol is a poor substrate for this enzyme [103, 105]. Studies in cells different from platelets have demonstrated that cPLA<sub>2</sub> binds to the cytoplasmic surface of the endoplasmic reticulum and is associated topologically with cyclooxygenase 1, which is located on the luminal surface [7]; accordingly, in platelets the release of arachidonic acid is thought to occur primarily at the membrane of the dense tubular system [36].

The regulation of  $cPLA_2$  in platelets has not been fully elucidated. It has been demonstrated that activation of  $cPLA_2$  is associated, at least in thrombin-stimulated platelets, with its phosphorylation [104] and that thrombin also activates a member of MAPK family, called p38 [106]. Although p38 phosphorylates  $cPLA_2$ , this phosphorylation is not required for  $cPLA_2$  catalytic activity: indeed,  $cPLA_2$  activity

is not attenuated when p38 MAPK-mediated phosphorylation is abrogated [107]. The regulation of cPLA<sub>2</sub> by PKC and more in general by phosphorylation/dephosphorylation reactions is complex and probably differently modulated depending on the stimulus leading to the activation of the enzyme [103, 108]. Another issue that is not clear concerning cPLA<sub>2</sub> regulation, is if cPLA<sub>2</sub> is directly activated by G proteins [103]. Although it has been demonstrated that fluoro-aluminate, an aspecific G proteins activator, is able to induce arachidonic acid release from intact human platelets [109] and many other studies have been performed with platelet membranes, it is not yet known which is the GPCR and the G protein(s) involved in cPLA<sub>2</sub> activation and whether the  $\alpha$  or the  $\beta\gamma$  subunits are important [103].

Once released from membrane phospholipids arachidonic acid is metabolized by cyclooxygenase. This enzyme has a cyclooxygenase activity, which catalyzes PGG<sub>2</sub> formation from arachidonic acid, and a hydroperoxydase activity, that catalyzes the reduction of a hydroperoxy group of PGG<sub>2</sub>, resulting in PGH<sub>2</sub> synthesis [28]. PGH<sub>2</sub> is further metabolized by different enzymes, that give TxA<sub>2</sub>, PGD<sub>2</sub> and PGE<sub>2</sub> in platelets. Other metabolites, such as PGI<sub>2</sub> and PGF<sub>2α</sub>, can be formed in other cells. While PGD<sub>2</sub>, PGE<sub>2</sub> and PGF<sub>2α</sub> are rather stable, TxA<sub>2</sub> and PGI<sub>2</sub> are very rapidly degraded into TxB<sub>2</sub> and 6-keto PGF<sub>1α</sub>, respectively, that are stable but biologically inactive.

In addition to cyclooxygenase, arachidonic acid in platelets can be metabolized by one of the enzymes of the lipoxygenase family, 12-lipoxygenase. 12-lipoxygenase catalyzes the formation of 12HPETE, which is then converted to 12HETE by a peroxidase. 12HETE can not be further metabolized by platelets, but it can be taken up by neutrophils. Neutrophils possess 5-lipoxygenase that, in activated cells, can convert 12HETE into 5,12 diHETE [28].

## Production of oxygen radicals

Oxygen free radicals are reactive chemical species. Among these, superoxide anion  $(O_2^-)$ , hydrogen peroxide  $(H_2O_2)$ , peroxyl radicals (ROO•), hydroxyl radicals (OH•) and peroxynitrites (ONOO<sup>-</sup>) are produced in the vascular system, in particular during ischemia followed by reperfusion of the myocardium. In fact, the reoxygenation that occurs during reperfusion generates oxygen free radicals that react with several cellular targets, resulting in injury of the tissue [110].

It is well established that polymorphonuclear neutrophils release cytotoxic oxygen free radicals [111]. Similarly, platelets can produce oxygen radicals and do so after stimulation with agonists, and following the activation that takes place during ischemia and reperfusion [112]. In particular, reoxygenated anoxic platelets produce  $O_2^-$  and OH• and these reactive species are able to induce platelet aggregation. The aggregatory activity of  $O_2^-$  and OH• is largely mediated by cyclooxygenase, through the metabolism of arachidonic acid and the synthesis of TxA<sub>2</sub> that, in turn, can cause activation of PLC and PLA<sub>2</sub> [112]. OH• can exit the cell and form H<sub>2</sub>O<sub>2</sub> in the external medium.  $H_2O_2$  can react with  $O_2^-$  and generate the highly reactive hydroxyl radical OH<sup>•</sup>. Also superoxide dismutase, presumably by its ability to produce  $H_2O_2$ , can activate platelets [110].

Oxygen free radicals have cytotoxic activity because they cause oxidation of membrane phospholipids, disrupting the membrane integrity and fluidity and perhaps also interfering with the functions of receptors on the cell surface [110]. A platelet agonist whose activity may be enhanced by oxygen free radicals is PAF. PAF is inactivated by PAF-acetylhydrolase that can be inhibited irreversibly by oxygen radicals. This phenomenon leads to a more sustained effect of PAF not only on platelets, but also on sites of inflammation, thus worsening the inflammatory effects of this mediator [110].

Oxygen radicals also inhibit other enzymes important for platelet function. In particular, hydroperoxides, such as 15(S)-hydroperoxyeicosatetranoic acid (15(S)HPETE), inhibit cyclooxygenase and thromboxane synthase. In the case of cyclooxygenase, 15(S)HPETE-induced inhibition involves both a modification of the heme group and a modification of the protein itself and both the cyclooxygenase and the peroxidase activity of the enzyme are lost [113].

Cyclooxygenase and thromboxane synthase can also be inhibited by their own substrates, which are  $PGG_2$  and  $PGH_2$ , respectively. This particular kind of inactivation is called "suicide" inactivation and, since it occurs during catalysis, can provide an important mechanism to limit prostanoid biosynthesis. The mechanism of inactivation of cyclooxygenase and thromboxane synthase by their substrates is somewhat different from the inactivation caused by other hydroperoxydes. In particular, while 15(S)HPETE or other hydroperoxydes inhibit both the cyclooxygenase and the peroxydase activity of the enzyme cyclooxygenase, during "suicide" inactivation only the cyclooxygenase activity is inhibited. It has been proposed that, during "suicide" inactivation, an activated and unstable cyclooxygenase protein intermediate is formed, that then rearranges irreversibly into an inactive cyclooxygenase, without concomitant loss of peroxidase activity [113].

In the case of thromboxane synthase, "suicide" inactivation is accompanied by a modification of the prostetic heme group and it differs from the inactivation due to hydroperoxydes that seems to result from apoenzyme modification [114].

### Role of platelets in inflammation

### Functional activities relevant to inflammation

It is now widely accepted that platelets can behave, in particular conditions, as inflammatory cells [23, 30, 115–118]. Historically, the first clues to the inflammatory role of platelets came from the observations that platelets are recovered at inflammatory sites or in inflammatory exudates [119–123]. More recently, with the

increasing interest in the participation of platelets to respiratory allergy, several reports have shown the presence of platelets or of abnormal megakaryocytes in lungs or alveolar space of patients with asthma [79, 124, 125].

It is interesting to observe that platelets themselves can elicit inflammatory reactions as shown by the swelling, redness and tenderness lasting several hours reported after the subcutaneous injection of platelet extracts in normal humans, a reaction more evident and more prolonged than that observed after the injection of leukocyte extracts [126].

Platelets display a number of functional activities that are quite typical of inflammatory cells. Indeed, similarly to white blood cells, platelets show rolling and attachment on altered endothelium [22], diapedesis through endothelial cells [125], chemokinesis and chemotaxis [83].

## Biochemical properties of platelets relevant to inflammation

Platelets present a number of biochemical properties which may be relevant to inflammation. Indeed, they can release a series of mediators, either stored in their granules or cytoplasm or synthetized upon activation, that may participate in eliciting and maintaining the inflammatory reaction. The series of substances potentially important include [23, 117]:

 $\delta$ -granules; serotonin is vasoactive, vasopermeabilizing and stimulates fibroblasts and as such acts as an inflammatory mediator [127];

α-granules; PGDF and TGFβ are growth factors which display vasoactive and chemotactic properties [128]; PF4, which stimulates basophils to release histamine and is chemotactic for eosinophils [129, 130]; RANTES, a powerful chemoattractant for eosinophils but also a modulator of chemokine secretion by monocytes, released by activated human blood platelets [131, 132]; MIP-1α, a chemokine able to induce histamine release from basophils and is chemotactic for T lymphocytes [133]; P-selectin, an adhesion molecule expressed on the surface of activated platelets as well as on endothelial cells, which regulates the interactions between platelets and leukocytes as well as the initial attachment and rolling of leukocytes to endothelium. Monoclonal antibodies directed against P-selectin [134] or gene disruption of the P-selectin gene [135], reduces allergic airway responses;

Cytoplasm or anyway in an undefined location within platelets, histamine, a notorious inflammatory mediator which can be released in relevant concentrations from platelets, at least in some species, after stimulation with aggregating agonists or immunologic stimuli [77]; interleukin-1 (IL-1), an important cytokine modulating the interactions between leukocytes and endothelial cells which take place during the inflammation reaction [136]. IL-1 can be released by activated platelets and can lead to the liberation of several cytokines from endothelial and vascular smooth muscle cells [137];

Lysosomes, several acid hydrolases and cathepsins which can be released *in vivo* upon platelet activation [138] and that may participate in inflammation through their cytotoxic and tissue-degrading activities [70];

Substances produced upon metabolic activation, such as  $TxA_2$ , several prostanoids (PGE<sub>2</sub>, PGD<sub>2</sub>), products of the lipoxygenases cascade (12HPETE, LTB<sub>4</sub>), PAF and hydrogen peroxide, which may all contribute to the vasomotor, chemotactic and vasopermeabilizing phenomena of inflammation [23, 30].

## Platelets and the airways

Functional and biochemical activities relevant to allergic airway responses

Interestingly, FC $\gamma$ RII can be phosphorylated following platelet stimulation with collagen through a  $\alpha 2\beta$ 1-independent pathway suggesting that this phosphorylation is mediated by binding of collagen to a receptor different from  $\alpha 2\beta$ 1, such as GPIV [17]. The exact role of Fc $\gamma$ RII in collagen signaling remains, to date, unclear. As for collagen, stimulation of platelets through Fc $\gamma$ RII causes PLC $\gamma$ 2 phosphorylation [69].

A number of functional activities and biochemical properties of platelets above described for inflammation may be of special relevance to the dynamic and anatomic changes which take place during airway allergic responses.

Platelets can roll on altered endothelium, the first step for margination and subsequent diapedesis [22]. Platelets express IgE receptors which have an affinity similar to the receptors present on macrophages and eosinophils and which are implicated in the cytotoxic response against parasites [30]. Interestingly, while only approximately 25% of platelets from normal subjects bind IgE, more than 35% of platelets of patients with allergic asthma do [27]. Moreover, the cross-linking of surface-bound IgE, with anti-IgE monoclonal antibodies or with the specific sensitizing allergen, induces platelet chemotaxis *in vitro* (Gresele et al., unpublished observations).

Once migrated into the airways [125] platelets may release spasmogenic substances (serotonin, TxA<sub>2</sub>, histamine), cytotoxic mediators (H<sub>2</sub>O<sub>2</sub>, lysosomal content), agents able to induce remodelling (e.g. PDGF or TNF $\beta$ , etc.) and, most importantly, a series of powerful chemotactic substances able to recruit eosinophils and other inflammatory cells (PF4, 12HPETE, RANTES, MIP-1 $\alpha$ , IL-1, etc.).

## Experimental models on the participation of platelets to airway inflammation

The first experimental observations on the potential participation of platelets to bronchoconstiction came from studies showing that the intravenous injection of platelet agonists in animals induces thrombocytopenia associated with severe bronchospasm [139, 140]. Conversely, the challenge of sensitized rodents with allergen induces bronchospasm associated with thrombocytopenia and platelet depletion of the animals prevents allergen-induced bronchospasm [141, 142]. During these reactions platelets accumulate in lungs, as shown by the use of radiolabelled platelets [30] and by the analysis of the broncho-alveolar lavage fluid [79]. Simultaneously, platelet-released products (e.g. PF4) are detected in the bloodstream, as observed in sensitized rabbits challenged with allergen or after stimulation with PAF [143]. Histologic studies show that platelets undergo diapedesis and localize in proximity to bronchial smooth muscle cells and, interestingly, colocalize in lung tissue with eosinophils [144]. It appears that eosinophil recruitment is in some way modulated by platelets as platelet depletion of the animals reduces antigen-induced eosinophil infiltration in lungs [145].

Eosinophils play a central role in the histopathologic changes accompanying asthma (see chapter by Coyle and Gutierrez-Ramos, this volume). We have already mentioned some substances released by platelets and that have been shown to exert strong chemoattractant properties on eosinophils. The converse is also true: eosinophils may release platelet activating substances [166] and it is suggestive that some conditions of hypereosinophilia in humans have been reported to be associated with thrombotic phenomena [147, 148].

One link between platelets and eosinophils may be represented by PAF, as this lipidic mediator induces eosinophil infiltration in lungs which is prevented by platelet depletion [145] and, on the other hand, eosinophils from allergic asthmatics produce large amounts of PAF [149]. Interestingly, PAF antagonists inhibit airway hyperresponsiveness induced by antigen challenge in allergic rabbits [30].

The exact sequence of events leading to platelet and eosinophil localization in lung tissue is presently unknown. It is tempting to speculate that the allergen interacts with IgEs localized on the IgE-receptor of platelets of sensitized subjects provoking platelet diapedesis in lungs and that, subsequently, PAF locally produced by inflammatory cells (macrophages?) induces platelets to release chemotactic substances which recruit eosinophils in lungs. Eosinophils in turn may release cytototoxic, chemotactic and platelet activating substances giving rise to a vicious circle leading to airway hyperreactivity and tissue remodelling.

# Observations in humans on the participation of platelets to airway inflammation

Several studies have assessed the involvement of blood platelets in bronchial asthma in humans. These have been previously extensively reviewed [23, 30, 150]. Most of the studies have evaluated platelet aggregation or other parameters related to platelet activation *in vitro* and, more recently, parameters related to *in vivo* platelet

activation. Several investigations have shown some degreee of platelet function abnormality *in vitro*, with hypoaggregability to ADP, adrenaline or collagen and reduced release of  $\alpha$ - and  $\delta$ -granule content [23, 30]. Platelet refractoriness has been reported to be particularly evident for PAF [151–153] and this has been considered as an indicator of PAF-release *in vivo* as platelets develop refractoriness to this agonist after exposure. Indeed, the number of freely accessible PAF-receptors on platelets of allergic asthmatics undergoing allergen challenge is significantly reduced, indicating that part of them are occupied by PAF secreted in the circulation [154].

Reduced *in vitro* platelet activation upon stimulation with PAF has been more recently demonstrated by the impaired expression of surface platelet activation antigens, such as CD62P and CD63, by cytofluorimetry in asthmatics [155]. Platelet hypoaggregability *in vitro* is compatible with a partial refractoriness developed as a result of previous *in vivo* platelet activation, and other altered platelet function parameters have been reported in asthmatics, such as increased resting levels of cytoplasmic Ca<sup>2+</sup>, or increased IP3 [151, 156] which may also reflect previous *in vivo* platelet stimulation. Many attempts have been made to demonstrate an *in vivo* activation of platelets in asthmatic patients, especially by measuring platelet-release markers in plasma ( $\beta$ TG and PF4) or urinary metabolites of TxA<sub>2</sub>. Although contrasting results with  $\beta$ TG and/or PF4 have been reported [23], the prevailing view is that, at least in some patients with allergic asthma, allergen challenge induces the release of  $\alpha$ -granules markers in the circulation [23, 30, 131, 157–159]. In one study, plasma levels of PAF were also measured and found to correlate with  $\beta$ TG or PF4, suggesting a relationship between PAF release and platelet activation [159].

The use of plasma levels of  $\beta$ TG/PF4 has been criticized because of possible artifacts or lack of sensitivity [160, 161]. However, *in vivo* platelet activation during asthmatic attacks has been detected with other methods which are less prone to methodologic problems, such as the measurement of urinary metabolites of TxA<sub>2</sub> or the expression of surface activation antigens on circulating platelets by cytofluorimetry [162–164].

The main limitations of the studies summarized above are represented by the measurement of *in vivo* platelet activation parameters only during the acute reaction that follows an allergen provocation test and by the use of techniques which measure parameters of platelet activation which are usually adopted for the assessment of *in vivo* thrombus formation. From animal studies and the basic knowledge on platelet biochemistry, it is more likely that platelets may be involved in the genesis of the inflammatory reactions that accompany bronchial asthma and, in addition, it has been shown that several of the non-haemostatic functions of platelets follow mechanisms which are different from those involved in haemostasis and thrombosis [23, 27].

More recent studies have adopted a new approach to the evaluation of platelet activation in asthma. It has been shown that platelets undergo functional changes during exacerbations of nocturnal asthma, a condition which corresponds to airway hyperresponsiveness and is strictly dependent on airway inflammatory changes [152]. In addition, using subsegmental antigen challenge in asthmatics it was shown that BTG and PF4 increase strikingly in bronchoalveolar lavage fluid not immediately but 19 h after allergen challenge and correlates with levels of eosinopil-derived proteins [165] indicating that platelets participate in the late asthmatic response in a way that is strictly linked to eosinophil infiltration. Indeed, RANTES, a powerful chemoattractant, has been found to be increased in patients with asthma during spontaneous attacks in a way that correlates with the rise in plasma BTG, thus suggesting a platelet origin of the chemokine [131]. Platelets from atopic individuals stimulated through their IgE receptors release large amounts of RANTES [166] and histamine [77]. Interestingly, platelets from allergic asthmatics migrate in vitro in response to the sensitizing allergen but also to monoclonal antibodies anti human IgE, thus involving platelet sensitization through the IgE receptor in the platelet recruitment in lungs ([83] and Gresele et al., unpublished observations). Indeed, several reports have shown platelets in lung tissue in patients with asthma [79, 124, 167] and platelet migrated through the endothelium have been observed on electron microscopy of the lung tissue [125].

Once penetrated in lung tissue, an increased production of oxygen radicals as a consequence of defective GSH-peroxidase may contribute to tissue damage [168].

# Pharmacologic modulation of platelet function: possible relevance to airways inflammation

If platelets are involved in the pathogenesis of allergic bronchocostriction then it is tempting to speculate that platelet-inhibitory drugs might be useful in this clinical condition. Thus, a few studies have tested antiplatelet agents in animal models or in patients with allergic asthma for their effects on bronchoconstriction.

Aspirin and sulphinpyrazone have not been able to inhibit bronchoconstriction or to blunt the *in vivo* platelet activation which follow allergen challenge in humans or PAF administration in animals [169, 170]. Even prostacyclin, a powerful platelet inhibitor and a vasodilator, was unable to affect the bronchoconstriction induced by PAF inhalation, despite inhibition of platelet aggregation [171]. Similarly, indomethacin, at doses suppressing the increase of urinary TxA<sub>2</sub>-metabolites induced by allergen challenge, was not able to influence pulmonary function [172]. PAF antagonists too have been administered to asthmatic patients with disappointing results on pulmonary function despite inhibition of *ex vivo* PAF-induced platelet-aggregation [30].

These data may indicate that platelets are not really important for the pathogenesis of allergic bronchoconstriction or else that the mechanisms involved in the expression of platelet proinflammatory properties are insensitive to inhibitors of the platelet prothrombotic activities. The latter hypothesis seems to be supported by a number of observations on the effects of some antiallergic agents on non-haemo-static platelet functions.

Nedocromil sodium, an inhibitor of IgE-mediated-histamine-release from mast cells, reduces the IgE-stimulated parasitocidal and hydrogen peroxide-producing activity of human platelets [173, 174]. Nedocromil sodium was also able to inhibit platelet activation induced by PAF, but not by the calcium ionophore A23187, *in vitro* [175]. Other antiallergic drugs which have shown an action on platelets *in vitro* are: cortisol, cromolyn and albuterol, which were shown to normalize the decreased platelet sodium-potassium adenosine triphosphatase activity of allergic subjects [176] and disodium cromoglycate, that was found to reduce the inhibitory effect exerted by IgE on monoamine uptake in normal platelets [177].

A few studies have also assessed the effect of some antiallergic agents on platelet function *ex vivo* or *in vivo* in allergic subjects: glucocorticoids and ketotifen [178] as well as disodium cromoglycate (40 mg daily for 4 weeks) increased significantly the shortened platelet survival time in patients with stable allergic asthma [177]; terbutaline was reported to reduce the platelet hypereactivity, assessed by platelet clumping on smear or by platelet adhesiveness, observed during acute asthma [179].

These data, although rather preliminary and probably not yet centered on the platelet functions most relevant to airway hypereactivity, suggest that therapeutic interventions aimed at suppressing platelet pro-inflammatory activities may be useful for the treatment of allergic asthma.

#### Conclusions

This review has tried to give an account of the latest knowledge on platelet physiology and on the mechanisms that regulate the participation of platelets to haemostasis and thrombosis and to non-haemostatic processes. The picture that emerges is that of the platelet as a multifunctional cell, with different pathways regulating on one side platelet haemostatic activities and on the other platelet proinflammatory actions.

While a very large research effort has been spent in understanding the participation of platelets in the pathophysiology of thrombosis, much less attention has been given to the role of platelets in inflammation. A major limitation in the research on the role of platelets in inflammation derives from the application of methodologies used to study platelet haemostatic function, which may be inappropriate in the study of platelets in the context of inflammation. The application of unconventional methodologies to the study of platelets is giving new impetus to the efforts to better understand the mechanisms regulating platelet inflammatory activities. The identification of the molecular mechanisms regulating platelet inflammatory activities, and in particular of the mechanisms involved in platelet diapedesis in the inflammed tissue, may allow the development of new antinflammatory and antiasthmatic therapeutic strategies.

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# Neutrophils

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## Introduction

Neutrophils are the rapid response cells of acute inflammation and a major component of host defence. Their ability to ingest bacteria and other microbes was recognised by Elie Metchnikoff in the 19th century, but in recent years the double-edged nature of the inflammatory response has been recognised. Despite their beneficial role in host defence, neutrophils and their pro-inflammatory products are increasingly implicated in the pathogenesis of acute and chronic inflammatory diseases. In the lung these include chronic bronchitis and emphysema, asthma, respiratory distress syndrome, bronchiectasis and a number of interstitial lung diseases. Thus, understanding the pro-inflammatory host defence functions of the neutrophil, and the mechanisms by which these are terminated, may yield insights into the pathogenesis of diseases which are a major cause of morbidity and mortality in the developed world.

## Cell structure and origin

In numerical terms the neutrophil polymorphonuclear leucocyte is the predominant effector cell of the immune response; 60% of all bone marrow cells belong to the neutrophil lineage. Estimated production is  $1-3 \times 10^{10}$  neutrophils per day, rising several fold in serious infections [1]. Neutrophils have a total lifespan of 12–14 days from stem cell to removal in tissues, but a circulating half-life of less than 8 h [2]. Circulating neutrophils are believed to be in dynamic equilibrium with a so-called "marginating" pool of cells. The size of this pool is debated, but the presence of this marginated pool within the lung has been proposed as a rapid-release reservoir of neutrophils for mobilisation in response to injury or stress [3]. The physiological fate of the short-lived neutrophil has recently been an area of considerable interest. Studies with radiolabelled neutrophils suggest they normally meet their fate in the

liver, spleen and bone marrow, where they are presumed to undergo apoptosis (programmed cell death), leading to their recognition and clearance by specific macrophage populations within these organs. Apoptosis also appears to regulate the removal of neutrophils which have left the circulation and migrated into the tissues (see below).

On electron microscopy, neutrophils have a diameter of about 7 µm and contain a large number of cytoplasmic granules, which are separated on the basis of peroxidase staining into primary or "azurophilic" granules (peroxidase positive) and secondary or "specific" granules (peroxidase negative). A further type of granule, the tertiary or "storage" granule, is also now recognised. Azurophilic granules contain anti-microbial agents, such as proteases (elastase, cathepsins, metalloproteinases), glycosidases and acid hydrolases, lactoferrin (which chelates iron and participates in hydroxyl radical formation) and defensins (cationic amphipathic proteins which kill pathogens by damage to cytoplasmic membranes) [4]. Sequestration of these agents within the membrane bound granules permits their controlled delivery to the target microbe within the phagolysosome. Although "specific" granules do contain some anti-microbial enzymes (lysozyme, collagenase), their major role is to provide an intracellular reserve of important membrane components, including chemotaxin receptors, adhesion molecules and components of the NADPH (nicotinamide adenine dinucleotide phosphate) oxidase [5] (Tab. 1). Such an arrangement may provide a mechanism for increasing the number of chemotaxin and adhesion receptors at the leading front of a migrating cell [6]. Other cellular organelles, e.g. mitochondria and Golgi apparatus, are relatively scarce, but neutrophils do contain substantial amounts of endoplasmic reticulum (ER). The plasma membrane is of great importance in these highly responsive cells, since it contains receptors which bind pro-inflammatory mediators and transduce these signals into cellular responses. Finally, the complex cytoskeletal network, which links intracellular granule storage pools with the cell membrane and is responsible for cell motility, is composed of both actin and myosin filaments and resides in the submembrane region [7].

The ability to produce large numbers of neutrophils rapidly and with a very short lifespan permits the presence of enormous numbers of these highly effective bacterial killers at a site of infection, but also the subsequent rapid resolution of the inflammatory response; desirable for minimal "bystander" damage to normal tissues. The neutrophil is, however, more than an end-stage cell, programmed to kill bacteria and then disintegrate. Neutrophils generate a limited but important range of cytokines and chemokines, controlling the influx of more neutrophils but also the migration of monocytes which will mature into inflammatory macrophages [8]. Neutrophils' behaviour in the inflammatory response, their migration, subsequent activation and ultimate removal are under tight controls, regulated by signalling pathways whose molecular basis is increasingly understood and which represent powerful therapeutic targets for the modulation of inflammation.

Tertiary granules Constituent Azurophilic granules Specific granules Microbicidal enzymes Myeloperoxidase Lysozyme Lysozyme Neutral proteases Elastase Cathepsin G Gelatinase Metalloproteinases Collagenase Acid hydrolases N-acetyl-glucuronidase Cathepsin B Cathepsin D Glucuronidase Glycerophosphatase Mannosidase Others Bactericidal permeability Lactoferrin C3bi receptors Cytochrome b558 factor (BPI) Defensins Vitamin B12 binding Alkaline phos-Antibacterial cationic phatase protein Cvtochrome b Laminin receptors protein Histaminase Kinin-generating enzyme C5a-inactivating factor Complement activator Monocyte-chemoattractant Plasminogen PKC inhibitor fMLP receptors C3bi receptors

Table 1 - Neutrophil granule contents

# Mechanisms of neutrophil activation

Neutrophil receptors

The neutrophil surface reveals a number of different groups of receptors: for opsonised particles, destined to be phagocytosed; for chemotactic factors, for cytokines and growth factors and for adhesion molecules (Tab. 2). Structurally, these can be viewed as belonging to four different groups:

(1) G-protein-coupled seven-transmembrane-domain receptors e.g. for PAF, IL-8, C5a and Substance P

| Function                   | Receptor              | Ligand(s)                        |
|----------------------------|-----------------------|----------------------------------|
|                            |                       |                                  |
| Chemotaxis                 | fMLP                  | f-met peptides                   |
|                            | LTB <sub>4</sub>      | LTB <sub>4</sub>                 |
|                            | C5a                   | C5a, C5a des-arg                 |
|                            | PAF                   | PAF                              |
|                            | IL-8                  | Interleukin-8                    |
| Opsonins                   | C3bi                  | C3b, C3bi                        |
| Phagocytosis               | CD32 (FcyRII)         |                                  |
|                            | CD16 (FcγRIIIb)       | $IgG_1$ and $IgG_3$              |
| Cytokines                  | IL-1                  | Interleukin-1                    |
|                            | IFNγ                  | Interferon-y                     |
|                            | CD95 (Fas/APO-1)      | CD95L (FasL)                     |
|                            | TNFα (p55 + p75)      | Tumour necrosis factor- $\alpha$ |
| Colony-stimulating factors | GM-CSF                | Granulocyte-macrophage-CSF       |
| (CSFs)                     | G-CSF                 | Granulocyte-CSF                  |
| Adhesion                   | CD11b/CD18 (Mac-1)    | C3bi and ICAM-1                  |
|                            | CD11a/CD18 (LFA-1)    | ICAM-1 + 2                       |
|                            | CD66b                 | Selectins                        |
| Others                     | Adenosine             |                                  |
|                            | $\beta_2$ -adrenergic |                                  |

#### Table 2 - Neutrophil surface receptors

- (2) Cytokine receptors with a single transmembrane-domain e.g. for GM-CSF, TNF $\alpha$
- (3) Receptors for adhesion molecules and complement e.g. for Fc, integrins, CD14
- (4) Intracellular receptors
  - e.g. for steroid hormones

Intracellular events following the binding of a mediator to its receptor on the neutrophil surface have been most extensively studied using the synthetic bacterial peptide, fMLP, which binds to a seven-transmembrane-domain, rhodopsin-like receptor. Seven-transmembrane-domain receptors may be coupled either to effector systems (e.g. actin polymerisation) or to second-messenger generating systems (e.g. phospholipases) within the cell. Receptor occupancy can determine the functional response elicited – maximal superoxide response occurs at maximal receptor occupancy, whereas shape change can occur with only 10% receptor occupancy [9].

## Post-receptor mechanisms of neutrophil activation

## G-proteins

Guanine nucleotide-binding proteins play a central role in the transduction of receptor signals into effector responses of cells. Adenylate cyclase was the first enzyme shown to be stimulated in a GTP-dependent manner. Chemoattractant receptors, e.g. fMLP, are also G-protein coupled. Gi<sub>2</sub> and Gi<sub>3</sub> are believed to be the predominant G proteins present in the neutrophil. G-protein activation leads to dissociation of the  $\alpha$ - $\beta\gamma$  subunits, the  $\alpha$  subunit being the predominant effector molecule.

## Lipid-derived second messengers

G-protein activation results in recruitment of lipid-derived second messengers such as phospholipase C (PLC) to the cell membrane, where it has access to its membrane-lipid substrate [10]. The seven-transmembrane-domain receptors all activate PLC, resulting in the hydrolysis of phosphatidylinositol 4,5-bisphosphate (PIP<sub>2</sub>) to inositol 1,4,5-trisphosphate ( $IP_3$ ) and diacylglycerol. Other neutrophil receptors have been shown to recruit PLC $\gamma$ 2 to the cell membrane *via* activation of an intrinsic SH2 binding domain (with Src tyrosine kinase homology). This is the proposed mechanism whereby cross-linking of the FcyRI and FcyRII receptors leads to phosphorylation and activation of PLCy2. Phosphatidylinositol 3-kinase (PI 3-kinase) generates phosphatidylinositol 3,4,5 trisphosphate (PIP<sub>3</sub>) from PIP<sub>2</sub>, PIP<sub>3</sub> playing a key role both in cytoskeletal functions and in initiation of superoxide generation [11]. Phospholipase D cleaves membrane phosphatidylcholine to generate phosphatidic acid, which has been implicated as an effector both of exocytosis and of the NADPH oxidase [12]. Another important lipid-derived second messenger system is initiated by phospholipase A2-mediated cleavage of arachidonic acid, generating eicosanoids (prostaglandins, leukotrienes and lipoxins).

## Protein phosphorylation

The neutrophil contains two major groups of serine-threonine kinases: protein kinase C (PKC), which is broadly stimulatory of neutrophil functions and the cAMP and cGMP-dependent protein kinases (PKA and PKG), which largely inhibit neutrophil responses. The neutrophil contains multiple isoforms of PKC, with complex functional effects such as stimulation of NADPH oxidase activity but inhibition of chemotaxis. The functional specificities of the various isoforms and their phosphorylation targets are at present mostly unknown. Elevation of cAMP in neutrophils may occur *via* receptor-mediated (e.g.  $\beta_2$  adrenoreceptor, adenosine receptors) activation of adenylate cyclase or by inhibition of phosphodiesterase activity, PDE4 being the predominant phosphodiesterase isoform in neutrophils. cAMP has been shown to inhibit a wide variety of neutrophil responses [13]. The role of cGMP in

neutrophils is less clear, but exogenous nitric oxide (NO), which leads to elevation of cGMP, down-regulates a range of neutrophil functions including degranulation and superoxide generation [14]. Neutrophils can also generate NO and predominantly contain the NOS1 isoform of nitric oxide synthase, at least in unstimulated cells [15].

A number of neutrophil receptors, such as the receptor for the growth factor GM-CSF, have intrinsic tyrosine kinase activity whereas other receptors, e.g. for C5a and Substance P, interact with a family of small cytosolic tyrosine kinases (Lyn, Fyn, Syk). This results in phosphorylation of a number of protein substrates including p125FAK and mitogen-activated protein kinase (MAPK). Tyrosine phosphorylation has also been implicated in neutrophil priming, possibly *via* phosphorylation of MAPK or of a protein component of the NADPH oxidase [16].

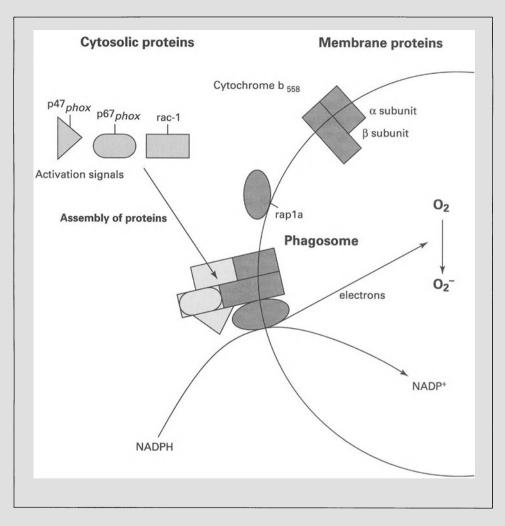
Recent data has provided a link between PKC and tyrosine phosphorylation branches of neutrophil signal transduction: PKC has been shown to phosphorylate and thus inhibit tyrosine phosphatase-1 (SHP-1) thus facilitating accumulation of tyrosine phosphorylated proteins following neutrophil activation [17].

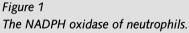
#### Cytosolic calcium

Levels of cytosolic calcium in the neutrophil appear to be determined largely by influx, *via* multiple pathways, but also by release from intracellular stores: the ER and possibly other poorly-defined cytoplasmic organelles, sometimes described as calciosomes. Elevation of  $[Ca^{2+}]_i$  in the neutrophil stimulates functions such as degranulation and superoxide generation [18] and may also play a role in regulating the lifespan of neutrophils *via* the inhibition of apoptosis (programmed cell death) [19].

#### The NADPH oxidase

The NADPH oxidase describes a metabolic path, dormant in resting cells, which underlies all oxygen-dependent killing by phagocytes (Fig. 1). Activation of the oxidase involves translocation of a cytosolic protein complex to the plasma membrane – physical separation of oxidase components in the resting cell may prevent inappropriate activation of the oxidase. The NADPH oxidase is triggered by receptormediated binding of soluble agents (fMLP, C5a, etc.) or binding of opsonised organisms to neutrophil Fc $\gamma$  and C3bi receptors, which can trigger oxidase activity at localised sites of microbial contact. In essence, the NADPH oxidase forms an electron transfer chain, using NADPH as the electron donor to reduce molecular oxygen to superoxide anion [20, 21]. Superoxide (O<sub>2</sub><sup>-</sup>) is in turn dismuted to hydrogen peroxide and thereafter metabolised to hydroxyl free radicals and hypochlorous acid. The enzyme complex consists of a unique flavo-cytochrome (cytochrome





b558), a GTP-binding protein (Rap-1A) and two cytosolic proteins (p47phox and p67phox) that bind to b558 following activation or priming of the cell. Finally, the GTP-binding protein Rac-1 must translocate from cytosol, where it is complexed with Rho, to integrate into the oxidase complex. Stimulation of the NADPH oxidase is in part mediated by elevation of cytosolic calcium, but also by PI 3-kinase activation and protein tyrosine phosphorylation [16, 22]. Much of the characterisation of the NADPH oxidase has been achieved using the neutrophils of patients with chronic granulomatous disease (CGD), who have been shown to have defects

in b558 (X-linked disease, the commonest form) or of the cytosolic components p47 and p67 (autosomal recessive disease). These patients, as a result of their impaired microbicidal function, develop severe, recurrent infections [20].

## Neutrophil priming

Neutrophils are remarkable for their ability to move from a resting state to a state of "priming" or readiness for activation. Priming has been most closely studied in terms of NADPH oxidase function but also affects other cellular functions such as degranulation and phagocytosis. A given mediator, which does not induce a functional response in itself, alters the reactivity of the neutrophil to subsequent stimuli, Thus, in the unprimed state, the neutrophil displays little or no secretory response when incubated with an agent such as fMLP, whereas such a challenge in a fully primed cell would result in a massive increase in respiratory burst activity. This priming-activation axis is believed to be a major determinant of neutrophil behaviour in vivo [23]. Priming may be achieved by cytokines (TNFa, IL-8), lipid mediators (LTB<sub>4</sub>) or bacterial products (lipopolysaccharide, fMLP). Mechanisms of priming are poorly understood but occur at a post-receptor level and may involve elevations of cytosolic calcium levels [24] and modulation of protein kinases such as PK-C and tyrosine kinases [16, 25]. Some agents, e.g. LPS, GM-CSF, show protracted and possibly irreversible priming but it has recently been shown that priming, e.g. induced by PAF, may also be a transient and reversible phenomenon [26].

## Neutrophil migration and function

Migration of neutrophils from the circulation into tissue occurs in response to the generation, by resident tissue cells, of neutrophil chemotaxins (e.g. IL-8, LTB<sub>4</sub>). Other pro-inflammatory mediators may indirectly stimulate chemotaxin release from other cell types or may act upon the endothelium to upregulate adhesion molecules required for transmigration (e.g. IL-1 $\beta$ , TNF $\alpha$ ). Neutrophil chemotaxins include bacterial peptides (fMLP), complement cleavage products (C5a), LTB<sub>4</sub> and the CXC family of chemokines, notably IL-8. IL-8 can be produced in large quantities in the human lung, and levels correlate with the extent of neutrophilia, e.g. in idiopathic pulmonary fibrosis [27]. In addition to its production by macrophages, fibroblasts and bronchial epithelial cells, neutrophils themselves are a source of IL-8, promoting further neutrophil emigration [28].

Prior to their transmigration, neutrophils must be "sequestered" within blood vessels, typically in the pulmonary capillaries. Neutrophil stiffening or reduction in deformability is believed to be an important early sequestrative event and can be

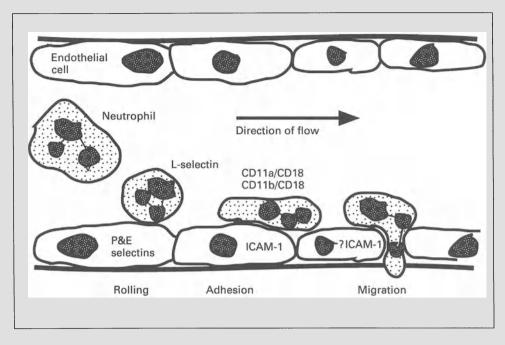


Figure 2 Neutrophil adhesion and migration.

induced by chemotaxins [29]. Ligation of neutrophil surface receptors to counterreceptors on the endothelial cells then occurs, employing similar but not identical receptors to those employed in systemic vascular beds (Fig. 2). Selectins mediate the "rolling" or transient adhesion phase which precedes firm adhesion, which in turn depends upon integrin activation. Neutrophils constitutively express L-selectin, whereas activated but not resting endothelial cells express both E- and P-selectins. Neutrophil L-selectin interacting with endothelial E-selectin is an early adhesive event and may permit integrin activation [30]. Leukocytes express the  $\beta_2$  subfamily of integrins (LFA-1, Mac-1 and p150,95 or CD11a/CD18, CD11b/CD18 and CD11c/CD18 respectively). These integrins bind to ICAM1-3 receptors on the endothelium [31] but also, in the case of Mac-1, to activated complement (C3bi), implying a role in the phagocytosis of opsonised particles. ICAM-1 is constitutively expressed on the endothelial surface, is strongly upregulated by pro-inflammatory mediators and is believed to be important both in firm adhesion and in subsequent transmigration [32]. CD18 is generally required for neutrophil emigration but inhibition of neutrophil accumulation by anti-CD18 is stimulus and site specific [33]. Mechanisms by which neutrophils then traverse the sub-endothelial basement membrane are unclear, as are mechanisms of their adhesion to and migration past pulmonary epithelial cells. Epithelial cell ICAM-1 is involved in neutrophil adhesion, but with a different cytokine profile of induction of ICAM-1 expression [34].

## Neutrophil-mediated tissue injury

The prime function of neutrophils which have emigrated into tissues is the phagocytosis and killing of pathogens, especially bacteria such as Pneumococcus. Phagocytosis requires the recognition and subsequent internalisation of the pathogen through invagination of the plasma membrane to form a "phagosome". The phagosome subsequently fuses with both primary and secondary granules to form the phagolysosome. Intracellular killing of the micro-organism requires both activation of the NADPH oxidase and the actions of granule proteases. Interaction of granule contents and NADPH oxidase products within the phagolysosome potentiates their microbicidal effects, e.g. "azurophilic" granules provide myeloperoxidase for the catalysis of hypochlorous acid production from hydrogen peroxide. Granule contents may also be released to the outside of the cell, known as "degranulation", possibly to achieve release of proteases such as elastase leading to basement membrane degradation and thus facilitation of the movement of neutrophils into the tissues. The release of microbicidal products is coupled to specific receptor-mediated events and largely confined to protected intracellular compartments - degradative enzymes are sequestered, predominantly in "azurophilic" granules, until phagocytosis triggers degranulation. Nonetheless, the toxic anti-microbial molecules produced by neutrophils have great potential for damage to normal tissues [35].

The presence of neutrophils in tissue is not *per se* sufficient to cause tissue injury. Neutrophils have been shown to migrate into the lung without causing significant tissue injury in a number of animal models and a recent study of patients with pneumonia and bronchiectasis using positron emission tomography showed large differences in the degree of neutrophil activation between the disease groups, with activation (assessed by uptake of <sup>18</sup>FDG) being much greater in patients with pneumonia [36]. Dysregulation of the processes of neutrophil adhesion, transmigration and activation would be predicted to lead to endothelial or epithelial injury as occurs in many forms of inflammatory lung disease.

## Tissue clearance of neutrophils: the role of apoptosis

Until recently, neutrophils which had migrated into tissue were assumed to undergo necrosis and disintegrate at the site of inflammation, which would lead to uncontrolled release of toxic neutrophil products. However, a major mechanism for neutrophil clearance may, in fact, be programmed cell death or apoptosis, resulting in the removal of the intact cell, predominantly by ingestion by local macrophages [37, 38]. There is clear evidence that neutrophils undergo apoptosis in the airways in the context of inflammatory lung diseases [39] and in animal models of inflammation [40]. Evidence that apoptosis limits the potential for tissue injury includes the downregulation of pro-inflammatory functions such as degranulation and superoxide generation with onset of apoptosis [41], clearance of the intact cell, retaining its cytoplasmic granules, by macrophages [38] and the lack of a pro-inflammatory response from the macrophage upon ingestion of apoptotic cells, as opposed to other particulate stimuli [42]. Moreoever, modulation of the rate of apoptosis may be a key determinant of neutrophil survival in tissues. Neutrophil lifespan can be extended in vitro by culture with a range of pro-inflammatory mediators such as GM-CSF, C5a and LPS and this is associated with "functional longevity" as evidenced by their ability to undergo chemotaxis and degranulation [43]. Such stimuli may thus have dual pro-inflammatory effects upon the neutrophil, inducing both functional activation and extension of lifespan. Signalling mechanisms which modulate this inhibition of apoptosis and extension of neutrophil lifespan are poorly understood but may include elevation of cytosolic calcium [19] and of cyclic AMP [44]. Induction of neutrophil apoptosis as a therapeutic manoeuvre has the potential to modulate neutrophil survival and thus the "tissue load" of neutrophils in inflammation.

## Contribution of the neutrophil to airway inflammation

The role of the neutrophil in the pathogenesis of COPD (chronic obstructive pulmonary disease) is now clearly established [45]. COPD is characterised by airway inflammation, with increased neutrophil numbers in BAL (bronchoalveolar lavage) [46] and in induced sputum [47], together with elevation of neutrophil chemotaxins, including IL-8 and LTB<sub>4</sub> [47, 48]. There is growing support for the concept of protease/anti-protease imbalance as a key pathogenetic mechanism in COPD [45]. Release of proteases results in uncontrolled degradation of elastin and other connective tissue proteins and loss of the alveolar matrix. Key neutrophil proteases implicated in the digestion of elastin and other matrix proteins have been identified, together with a range of endogenous anti-proteases that normally counteract this process. Neutrophil elastase is a major constituent of elastolytic activity, together with cathepsins and matrix metalloproteinases (MMPs) such as collagenase and gelatinase B. The association of emphysema with deficiency of  $\alpha 1$  anti-protease implies an important role as an endogenous inhibitor of neutrophil elastase. In addition, a number of tissue inhibitors of MMPs have been identified, together with a serpin SLPI (secretory leukoprotease inhibitor), which is secreted by airway epithelial cells and may be an important inhibitor of elastase activity in the human lung [49].

The contribution of the neutrophil to the pathogenesis of asthma is more controversial [50]. While the eosinophil granulocyte is likely to be the key effector of tissue injury, of bronchial epithelium in particular [51], there have been a number of reports suggesting an additional role for the neutrophil. Recruitment of neutrophils to the asthmatic airway has been demonstrated in BAL studies [52] and in endobronchial biopsies from human subjects 6 h following allergen challenge [53], timings which may imply a role in late asthmatic responses. Neutrophil markers have also been identified in induced sputum from asthmatics [47]. More interestingly, neutrophilic inflammation has been identified in cases of fatal asthma [54] and in acute presentation of status asthmaticus [55]. These findings may suggest the neutrophil is of significance particularly in acute severe asthma. The rapidity of neutrophil influx and potentially short lifespan of these cells may mean that sampling will demonstrate the presence of neutrophils only at very early time points. The role of neutrophils in some forms of occupational asthma, e.g. toluene diisocyanate, is clearer [56] and demonstrates the ability of neutrophil pro-inflammatory functions to induce the clinical syndrome of asthma.

Neutrophils are the primary injurious cells in both adult [57] and neonatal [58] respiratory distress syndromes, with evidence for release of neutrophil elastase being an early event in the development of lung injury [59]. Much of the tissue injury in cystic fibrosis and other forms of bronchiectasis is also attributable to neutrophils and their enzymic products [60].

## Pharmacological modulation of neutrophil function

From the above, it is clear that strategies to prevent neutrophil emigration or activation, to prevent release of toxic products or to neutralise their effects may be attractive therapeutic options for the treatment of both acute and chronic neutrophil-mediated pulmonary inflammation. However, concomitant reduction of host defence function may lead to infective complications. Thus anti-neutrophil strategies will need to be applied only during "windows" of opportunity when the injurious consequences outweigh the beneficial effects of neutrophil function. Specificity may be engendered by targetting inhibition of neutrophil emigration only to the lung or by clearance of inflammatory cells direct from the inflamed lung. Further difficulties are posed by the redundancy of mediator pathways, e.g. many signal transduction pathways lead to activation of the NADPH oxidase and to degranulation.

The major current approaches to the limitation of neutrophilic inflammation are summarised in Table 3 and discussed below. Major targets include the chemokines and cytokines which initiate the process of neutrophil migration into the tissues, the adhesion molecules on the neutrophil required for transmigration or their counterreceptors upon the endothelium or epithelium or, once activated neutrophils are present within the lung, pharmacological inhibition of degranulation and NADPH oxidase activity or specific inactivation of potentially deleterious enzymes or reactive Table 3 - Modulation of neutrophil function

Antagonists to pro-inflammatory receptors and their ligands IL-8 inhibitors Gro-α inhibitors TNF inhibitors LTB<sub>4</sub> antagonists PAF antagonists

Antagonists to adhesion molecules and their receptors

Modulation of signal transduction pathways phosphodiesterase inhibitors MAP kinase cascade inhibitors PI 3-kinase inhibitors PGE<sub>2</sub> analogues corticosteriods

#### Anti-oxidants

Anti-proteases protease inhibitors (e.g. of elastin, cathepsin, MMPs) endogenous antiproteases (e.g. α<sub>1</sub>AP, SLPI, Elafin)

Modulation of apoptosis

oxygen species. Finally, a "whole cell" approach could be taken, with induction of apoptosis or, at least, inhibition of the prolongation of neutrophil lifespan that may occur in inflammation.

### Antagonists to pro-inflammatory receptors and their ligands

IL-8 is the predominant neutrophil chemokine in the lung. Anti-IL-8 antibodies have been shown to inhibit neutrophilic inflammation in animal models such as IgGimmune-complex mediated lung injury in the rat [61] and more recently in LPSinduced ARDS in mice [62]. Gro- $\alpha$ , a related CXC chemokine, has also been implicated in studies of human BAL, and blocking antibodies to Gro- $\alpha$  shown to impair neutrophil chemotactic activity [63]. Anti-TNF antibodies have proved effective in other chronic inflammatory diseases with a neutrophilic component, such as rheumatoid arthritis [64]. Studies thus far have employed antibody approaches but these receptors are potential targets for small molecule antagonists.

A leukotriene (LTB<sub>4</sub>) antagonist, LY 293 111, has been shown to inhibit neutrophil recruitment in the airways during the late asthmatic response [65]. Both leukotriene receptor antagonists and inhibitors of 5' lipoxygenase, the enzyme synthesising LTB<sub>4</sub>, are now available clinically for the treatment of asthma. Intrinsic 5'LO activity appears to be required for neutrophil adherence and chemotaxis, and 5'LO inhibitors were found to attenuate lung injury in a rat model of IL-8 mediated injury [66]. PAF antagonists may also play a role in the amelioration of acute lung injury. PAF is primarily involved in the early adhesion of neutrophils to endothelium and thus in sequestration of neutrophils in the pulmonary vasculature [67].

### Adhesion molecule inhibitors

The development of lung injury, at least in rat models, has been shown to require CD11a, CD11b, ICAM-1, L-selectin and P-selectin. Studies have employed monoclonal antibodies to confirm the effects of B2 integrins and selectin function has been confirmed using soluble selectin-Ig chimeras to compete for ligand or by use of a pentasaccharide as a "false substrate", mimicking oligosaccharide binding sites on counter-receptors for selectins [68]. Two models of neutrophil-mediated tissue injury (cobra-venom factor and IgG immune-complex mediated) have confirmed the importance of L-selectin expression on the neutrophil surface for early adhesive events [69]. Concern about adhesion molecule inhibitors arises from the possibility of enhancing susceptibility to infection, as evidenced by the leukocyte adhesion deficiency syndrome (absence of B2 integrins) which is characterised by severe septicemia. However, recent studies examining P-selectin/ICAM-1 double knock-out mice [70] and the CD18-dependence of neutrophilic influx into the lung and skin [33], suggest differences between different sites (and possibly different initiating stimuli) in the adhesion molecule dependency of neutrophil emigration. Further studies may thus identify combination strategies, or even novel alternative adhesion pathways, which will permit pulmonary-specific inhibition of neutrophil influx.

### Modulation of neutrophil signal transduction pathways

The predominant phosphodiesterase isoenzyme in human neutrophils is  $PDE_4$  [71]. Inhibition of phosphodiesterase activity leads to increased cAMP levels in neutrophils, and thus to inhibition of pro-inflammatory functions, such as superoxide generation and activation of phospholipase  $A_2$  [13], and also adhesion to bronchial epithelial cells [72]. Selective PDE<sub>4</sub> antagonists are being developed [71]; rolipram

Neutrophils

inhibits TNF-mediated priming of neutrophil superoxide generation, with a 1000fold greater potency than the non-specific inhibitor pentoxyfylline [73]. Nonspecific phosphodiesterase inhibitors in clinical usage include aminophylline, which has been shown to impair neutrophil chemotaxis *in vitro* [74].

Following exposure of neutrophils to the agonist fMLP, the MAP kinase signalling cascade is rapidly activated. Recent studies with a selective inhibitor (PD098059) to a component of the cascade known as MEK (MAP kinase kinase), demonstrated inhibition of fMLP-induced activation of MEK-1 and MEK-2, the isoforms expressed by neutrophils, and also substantial inhibition of superoxide generation and phagocytosis; degranulation and chemotaxis were unaffected [75].

A PI 3-kinase inhibitor, wortmannin, inhibits neutrophil chemotaxis *in vitro* [76] and may also modulate respiratory burst function and, at higher concentrations, granule secretion [77].

Prostaglandins, notably  $PGE_2$ , are potent inhibitors of neutrophil superoxide anion generation, an effect that appears to be mediated *via* the EP2 receptor, with activation of adenylate cyclase leading to elevation of cAMP [78]. However, other  $PGE_2$  effects, such as inhibition of chemotaxis, appear to be independent of cAMP levels [79].

Whether corticosteroids, as a component of their powerful anti-inflammatory functions, specifically modulate neutrophilic inflammation is an area of debate. There is evidence that corticosteroids, probably acting *via* the down-regulation of neutrophil adhesion molecules, prevent the migration of neutrophils from the circulation. Recent evidence suggests that dexamethasone, while not altering expression of Mac-1 or L-selectin on resting neutrophils, may inhibit the up-regulation of CD18 and down-regulation of L-selectin that follows neutrophil priming with PAF [80]. Dexamethasone may also inhibit neutrophil chemotaxis [81]. However, corticosteroids appear to inhibit neutrophil apoptosis, effectively a pro-inflammatory effect of prolongation of inflammatory cell lifespan, in direct contrast to their effects upon eosinophil apoptosis which is markedly accelerated [82].

### Anti-oxidants

There is considerable evidence that reactive oxygen species contribute to lung injury, for example in COPD and ARDS. The major source is the neutrophil, although both macrophages and eosinophils also have NADPH oxidase activity [35]. Oxidants may cause tissue injury in a number of ways – by potentiation of elastase activity and activation of matrix metalloproteinases [83], by damage to endogenous antiproteases [84] and also by activation of NFkB-induced transcription of pro-inflammatory genes such as IL-8 and iNOS [85]. Anti-oxidants such as N-acetyl cysteine have been used in clinical studies [86] and newer anti-oxidants, e.g. spin trap antioxidants, have proved effective in animal models of oxidative stress [87].

### Anti-protease strategies

The concept of protease/anti-protease imbalance leading to loss of alveolar matrix proteins in emphysema suggests that protease inhibitors or strategies to increase levels of certain anti-proteases may be beneficial. Neutrophils are a major source of proteases within the lung. In addition to their direct elastolytic effects, serine proteases have a number of other modulatory effects: elastase can enhance bronchial epithelial cell mucus production [88] and also the production of the pro-inflammatory chemokine IL-8 [89], while cathepsin G has been shown to be a powerful chemoattractant for both monocytes and neutrophils [90].

Neutrophil elastase, a neutral serine protease, is a major component of neutrophil primary granules. Peptide elastase inhibitors inhibit elastase induced lung injury in animal models [91]. Nebulised human  $\alpha$ 1 anti-protease reduces elastase activity in patients with cystic fibrosis [92]. Recently, suramin has been shown to be a potent inhibitor of a number of neutrophil serine proteases, including elastase, cathepsin G and proteinase-3 [93].

Matrix metalloproteinases (MMPs) comprise a group of endopeptidases which can, in combination, degrade all extracellular matrix components of the lung. Although MMPs are expressed by other myeloid cells, macrophges and eosinophils, and also by bronchial epithelium, neutrophils are a major source *in vivo*, particularly of collagenase (MMP-1) and gelatinase-B (MMP-9). Endogenous tissue inhibitors of MMPs (TIMPs) exist and enhancement of TIMP secretion or the development of specific MMP inhibitors are possible therapeutic approaches.

A number of serum protease inhibitors (serpins) have been described in addition to  $\alpha 1$  anti-protease. These include elafin, an elastase-specific inhibitor, and SLPI (secretory leukoprotease inhibitor), which appears to be a major inhibitor of elastase in the airway and, at least *in vitro*, is more effective in inhibiting neutrophilmediated proteolysis than  $\alpha 1$  anti-protease [94]. Strategies to increase levels of these protective molecules include the use of recombinant proteins, such as rSLPI [95], or perhaps a gene augmentation approach [96].

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# Eosinophils in asthma

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### Introduction

Bronchial hyperresponsiveness (BHR) to both specific and nonspecific stimuli is a characteristic feature of bronchial asthma. While the mechanisms underlying this exaggerated responsiveness are still unclear, there is a considerable body of evidence to suggest that mucosal inflammation of the airways is of central importance. Perhaps the most common pathological finding is that of an increased number of eosinophils in the lung mucosa. It is currently believed that the secretion of eosinophil derived highly toxic cationic proteins such as major basic protein (MBP) mediates damage to the epithelium. In addition, following the appreciation that eosinophil recruitment and activation may be involved in not only the acute manifestations of the disease, eosinophilic inflammation may contribute to remodeling events in the airways, characterized by subepithelial deposition of collagen types III and V and fibronectin. This review discusses the evidence for and against that eosinophilic inflammation is critical to the pathogenesis of asthma.

# Eosinophil structure and contents

Our understanding of the structure of the eosinophil dates to 1966 when Palade and colleagues published a detailed report of the granular contents of human peripheral blood eosinophils. Both blood and tissue eosinophils contain crystalloid granules, which develop from immature granules during the promyelocyte stage. The crystalloid granules contain large amounts of peroxidase and  $\beta$ -glucouronidase. These granules have much in common with basophil granules in that when eosinophil granules first form in the marrow they are basophilic in nature, but lose this property as they mature. In addition, both eosinophils and basophils contain large amounts of lysophospholipase or Charcot-Leyden crystals (CLC), which accounts for the characteristic bipyramidal crystals observed at inflammatory lesions. While CLC protein makes up to 10% of the total protein in eosinophils, its function is unknown, although it has been speculated that CLC may protect eosinophils from the toxic effects of lysophospholipids. However, the most distinguishing feature of the eosinophils is its high content of highly charged cationic proteins, Major basic Protein (MBP) eosinophil cationic protein (ECP) and eosinophil derived neurotaxin (EDN). These proteins together with eosinophil peroxidase have been implicated as final effector molecules in eosinophil mediated tissue damage. MBP is the predominant protein in the eosinophil and comprises the crystalloid core of the eosinophil granule. MBP is a 117 amino acid protein, rich in arginine and is translated as a slightly acidic preproprotein with an acidic prodomain, which has been reported to inhibit the effects of mature MBP [1]. MBP was initially reported to be highly toxic to schistosomula of S. Mansoni, as well as amstigotes and epimastigotes of Trypanosoma cruzi. Subsequently, MBP has been shown to be highly toxic to tracheal epithelia at concentrations of 10<sup>-5</sup> to 10<sup>-7</sup> M [2]. At lower concentrations, MBP directly inhibits tracheal ciliary axonems [3] and alters fluid dynamics in canine trachea [4]. Unlike MBP, both ECP and EDN exhibit ribonuclease activity. Comparison of the relative toxicity of ECP and MBP for schistosomula death revealed on a molar basis that ECP is ten times more toxic than MBP. However, as levels of secreted MBP are considerably greater than ECP, the relative contribution of the proteins to tissue destruction remains to be determined. EDN has marked homology to ECP, and exhibits 50-100 fold greater ribonuclease activity than ECP, although it has rather weak toxicity against parasitic larvae. EPO is localized to the matrix of the eosinophil crystalloid granule and has the ability to catalyze the formation of HBrOions and H<sub>2</sub>O<sub>2</sub>. It is currently believed that this mechanism provides an efficient mechanism for killing parasites, bacteria, and some tumour cells [5].

### Eosinophil derived cationic proteins and asthma

Elevated levels of MBP have been detected in the sputum of individuals with allergic airway disease, the amount of which correlated with the degree of epithelial denudation and severity of airway hyperresponsiveness [6]. Furthermore, MBP has been shown to be localized to damaged epithelial surfaces and in mucus plugs in the airways of individuals who have died from status asthmaticus [7]. MBP leads to enhanced contractile responsiveness of isolated trachealis [8], and *in vivo*, instillation of MBP and EPO results in a transient bronchoconstriction [9]. The mechanism underlying this effect remains unclear, but appears to be independent of the cationic charge as ECP failed to induce these changes. MBP, but not ECP has been demonstrated to induce histamine release from basophils suggesting that MBP may induce bronchoconstriction at least in part by activating resident airway cells [10]. More recently, a highly divergent MBP homologue has been identified [11], although its function remains to be clarified.

MBP however is the only major eosinophil protein that can induce airway hyperresponsiveness in vivo in non-human primates [10]. This effect appears to be a charge dependent effect as preproMBP is ineffective. Furthermore, the effect of MBP is neutralized by anionic agents such as heparin sulphate and can be mimicked by other highly charged proteins such as poly-L- arginine [12]. MBP instillation results in activation of the kinin system inducing an increase in both kallikrein like activity (measuring both tissue and plasma kallikein) and immunoreactive kinins (bradykinin and lyslbradykinin) [13]. MBP induced kinin system activation can also be inhibited by heparin suggesting a charge dependent mechanism is involved [13]. Cationic proteins also result in the activation of sensory C-fibres in the airways resulting in the release of substance P and CGRP from isolated rat trachea [14]. In addition, cationic proteins also induce an increase in plasma protein extravasation, which can be inhibited by a selective NK-1 receptor antagonist [14]. As bradykinin is able to stimulate sensory C-fibers [15], it remains to be determined whether MBP and other cationic proteins induce tachykinin release dependent on the generation of kinins in the airways. Nevertheless, in situations where eosinophil degranulation occurs, MBP could stimulate sensory nerve endings resulting in activation of a local axonal reflex and may play an important role in the pathogenesis of airway hyperresponsiveness.

In addition to these mechanisms a series of elegant data has been reported by Jacoby and colleagues suggesting MBP may act as an allosteric modulator of the muscarnic (M2) autoreceptor [16]. MBP interacts with the inhibitory feedback mechanism of acetylcholine release from parasympathetic nerve terminals, resulting in an augmented bronchoconstrictor response. It is fascinating to hypothesize that MBP may function as a connection between the two hypotheses in asthma: namely, neural and cellular basis for airway hyperresponsiveness.

Recent work from Lefort and colleagues have further supported a key role for MBP in experimental allergen induced airway hyperresponsiveness [17]. Administration of a neutralizing MBP antibody inhibited airway hyperresponsiveness induced by aeroallergen provocation in sensitized guinea pigs. It remains to be determined how these findings extrapolate to the finding in human disease.

### Eosinophil derived cytokines

While there is a considerable body of evidence to suggest that eosinophils are final effector cells in the pathogenesis of allergic disease and bronchial asthma, mediated largely through the secretion of cationic proteins, these cells also have the capacity to synthesize and release a wide array of cytokines that may perpetuate a cycle of inflammatory events. Human eosinophils can store IL-5 in the granular matrix, which is secreted by either IgA, IgG or IgE dependent mechanisms [18]. Likewise, eosinophils can also produce IL-3 and GM-CSF, and as such, eosinophil activation may provide, in an autocrine fashion, its own survival factors. Stimulation of human

eosinophils with calcium ionophore also leads to the production of IL-8 [19], as well as macrophage inhibitory factor (MIF) [20]. Likewise human eosinophils synthesize and secrete IL-6 [21], which is able to facilitate IL-4 dependent IgE production, and can synergize with IL-3 and GM-CSF for the maturation of multipotential granulocyte progenitors. Interestingly, IL-6 can also promote the secretion of IgA in mucosal tissue, which may subsequently "arm" eosinophils to secrete their granular contents following activation. Eosinophils also secrete TGF $\beta$  [22] and this may underlie the possible contribution of the eosinophil to the development of fibrosis in the airways. More recently, eosinophils have been demonstrated to produce IL-4 [23] and could theoretically contribute to local IgE production and perhaps more importantly, to facilitate the commitment of antigen specific cells to the Th2 effector phenotype. In this respect, recent work from Pierce and colleagues has shown that eosinophils can provide the first source of IL-4 to prime T cells for subsequent IL-5 production after *S. Mansoni* infection [24].

# Eosinophils as immune competent cells

An interesting area of research over the past 5 years has been the possibility that eosinophils may also function as immune competent cells. Eosinophils express CD40 ligand [25] and may facilitate B-cell proliferation. This together with the ability of eosinophils to secrete IL-4 and IL-13, raises the possibility that eosinophils in the airway may contribute to local IgE production. Interestingly, eosinophils also express the high affinity receptor for IgE (FceRII) [26]. More recently, eosinophils have been reported to express the costimulatory signals B7-1 and B7-2 [27]. It is interesting to speculate that as a consequence of eosinophil mediated B7 dependent costimulation of antigen specific CD4+ T cells in the lungs, T cells are less resistant to apoptosis, less susceptible to being tolerated and would have an increased capacity to secrete cytokines. Eosinophils also express a number of receptors that may be important for the role of the eosinophil in immune responses including TNFa receptors I and II [28] and both the  $\alpha$  and  $\beta$  chains of the IFNy receptor [29]. More recently, GM-CSF treated eosinophils have been shown to bind rhinovirus via ICAM-1 which in turn activate virus specific T cells [30]. Finally, eosinophils can express the IL-2 receptor [31]. The functional significance of this observation remains to be determined.

# IL-5 and eosinophil accumulation

Over 20 years ago, the development of eosinophilia in nematode infected rodents was demonstrated to be lymphocyte dependent. Subsequently it was shown that the soluble factor from T cells was identical to B cell growth factor 2 (now designated as IL-5). *In vivo*, administration of exogenous IL-5 induces eosinophil recruitment and IL-

5 transgenic mice overexpressing the IL-5 gene under a variety of different tissue specific promoters (CD3E, metalloprotein), develop peripheral blood, bone marrow and tissue eosinophilia, although overexpression itself failed to result in any overt disease [32, 33]. These data contrast to more recent work where IL-5 was overexpressed on a CC10 promoter resulting in high levels of IL-5 in the BAL fluid, and these transgenic mice exhibited evidence of airway remodeling (subepithelial fibrosis), the formation of BALT and the induction of airway hyperresponsiveness [34]. Administration of neutralizing anti-IL-5 mAbs has been demonstrated to inhibit eosinophilia induced by nematodes or antigen exposure in sensitized animals [35, 36]. Taken together these data initially lead to the widespread belief that IL-5 was the eosinophil chemoattractant. However, there were a number of discrepancies which failed to support this hypothesis. IL-5 itself is a rather poor eosinophil chemoattractant compared to, for example, PAF. In addition, while it is clear that the number of eosinophils in inflammatory lesions is dramatically reduced in the absence of IL-5, this now appears to be due to a failure to generate mature eosinophils in the marrow, thus the eosinophil number in the blood is greatly reduced, as is the number of eosinophils at inflammatory sites. Implicit in this argument is that while IL-5 secretion is essential for eosinophil mobilization, other factors must be required to attract these cells into specific inflammatory lesions. Nevertheless, if IL-5 itself does not directly contribute to the accumulation of eosinophils, it is likely that once these cells accumulate in the airways, IL-5 is required for the survival of the cells and the prevention of apoptosis.

### Th2 cytokines and eosinophil recruitment

While clearly IL-5 has an important role to play in eosinophil recruitment, other Th2 cytokines have also been implicated and merit discussion. Data obtained from genetic studies have implicated IL-9 as an important gene in asthma. To further address this issue, IL-9 transgenic mice have been generated, with IL-9 under the control of a lung epithelial cell specific prompter. These mice develop eosinophilic inflammation, mucus cell hyperplasia and airway hyperresponsiveness [37]. Likewise, IL-11 transgenic mice exhibit similar alterations in airway inflammation. However, while there was no evidence of airway hyperresponsiveness, mice exhibited increased basal airway resistance [38]. It remains however to be determined whether these effects are secondary to IL-5, chemokine generation or the upregulation of adhesion molecules.

### Transcriptional factor regulation of lung eosinophilic inflammation

The role of various transcriptional events required for the recruitment of eosinophils has recently been investigated by several groups. Mice deficient in

NK $\kappa$ B exhibit attenuated eosinophilic lung inflammation and markedly reduced levels of IL-5 as well as the chemokines eotaxin, MIP-1 $\alpha$  and MIP-1 $\beta$  [39]. Similar STAT-6 deficient mice have greatly diminished eosinophil number and attenuated airway hyperresponsiveness [40]. However, while these data lead to useful information concerning transcriptional events underlying changes in airway eosinophilic inflammation, due to the complexity and multiple mechanisms involved, *in vitro* studies of gene regulation are also required. In this respect, GATA-3 [41, 42] and c-Maf [43] have been shown to be overexpressed in Th2 cells, and their activity required for activation of the IL-4 promoter. Further studies are required to determine the contribution of these transcriptional events, however, it is interesting to note that GATA-3 mRNA is increased in the lungs of atopic asthmatics and localized to primarily CD4<sup>+</sup> T cells, although mast cells and eosinophils also expressed GATA-3 mRNA [44].

### Chemokines and eosinophil accumulation

There is now increasing data to suggest that members of the chemokine superfamily family play an important role in eosinophil accumulation. These proteins range in size from 68-120 amino acids and are classified into four families namely C, C-C and C-X-C, C-X-X-X-C on the basis of the variations in a shared cysteine motif, which can in general be associated with distinct biological activities. For example C-X-C chemokines of which IL-8 is a member, are in general neutrophil chemoattractants, whereas C-C chemokines of which RANTES, eotaxin and MIP-1a are members, exert their biological activities on eosinophils (and also lymphocytes and monocytes). Recently, several groups have addressed the profile of expression of chemokines in vivo during the induction of an allergic response both in animal models [45, 46] and in clinical studies [47]. Nevertheless, the importance of different chemokines in mediating eosinophil recruitment in vivo is however at present contradictory, with reports suggesting that either MCP-1 [46], eotaxin [45, 48], MCP-5 [46], RANTES and/or MIP-1α [49] are important. However, it is important to note that in each of these studies, the degree of inhibition of eosinophilic inflammation was only partial implying a significant redundancy and that multiple chemokines are involved in this process. Conversely, it is possible that chemokines play a distinct non-redundant function, acting as discrete steps in orchestrating inflammatory response [46]. Nevertheless, it is likely that targeting more than one chemokine or inhibiting more than a single chemokine receptor would have more potent effects in inhibiting inflammatory responses. The effects of C-C chemokines are mediated by a family of seven transmembrane G-protein coupled receptors of which nine human receptors and six murine receptors have been cloned and functionally characterized. Eosinophils express CCR3 and to a lesser extent CCR1. Eosinophils migrate to eotaxin via CCR3 and to MIP-1a, RANTES via CCR1, it

remains to be determined if these are the only chemokine receptors on the eosinophil or whether other chemokine receptors are also expressed and are functionally important in eosinophil migration. There is also some question as to the role of chemokine/eosinophil axis in the development of BHR. In this context, neutralizing mAbs to RANTES and MIP-1 $\alpha$  have been reported to suppress eosinophil recruitment into the lungs, but not airway hyperresponsiveness. In contrast, while anti-MCP-1 mAbs failed to suppress eosinophilic inflammation, airway hyperresponsiveness was abrogated [49]. Taken together, the possibility remains that chemokines themselves exert a direct action on airway smooth muscle to modify airway responsiveness, although this warrants further study.

### Eosinophils as effectors of bronchial hyperresponsiveness

Despite the enormous literature on the relationship between eosinophilic inflammation and bronchial hyperresponsiveness, this may be the most controversial area in asthma research today. While few would argue with the clinical observations that asthma is almost always associated with the presence of eosinophils in the airways, the reverse is not necessary true.

These observations have lead various groups to question the eosinophil dogma is asthma. However, it is important to note that it is not the presence of eosinophils, but rather their activation status which may govern whether the eosinophil accumulation results in alterations in airway hyperresponsivenesss. In addition, given the possibility that different stimuli result in distinct patterns of activation of the eosinophil, it is possible that in diseases such as idiopathic eosinophilic fibrosis, the eosinophil is activated – but not to the phenotype that can result in airway hyperresponsiveness.

To address these issues extensive studies have been performed in animal models in a number of species. These studies have in general supported the relationship between eosinophils and bronchial hyperresponsiveness; there are a number of examples that are in conflict with this data. These studies have traditionally been performed in guinea pigs, which while demonstrating a robust bronchoconstrictor response to inhaled or intravenous agonists, lack the immunological tools to be able to selectively target eosinophils to dissect the mechanisms involved in bronchial hperresponsiveness. The advent of murine immunology and the application to allergic lung disease heralded a new excitement and confidence in this area and it was anticipated that an answer to this question was finally in sight. This, like many other instances in asthma research, has proven to be a naïve concept and demonstrated how the mechanisms underlying this complex disease still evade us. In this respect, murine eosinophils *in vivo* do not appear to undergo degranulation [50] following antigenic challenge, which has led some groups to question the use of these models in asthma for predicting the contribution of the eosinophil [51].

The first report of IL-5 deficient mice was published by Foster and colleagues in 1996 [52]. They demonstrated in IL-5 gene targeted mice that in the virtual absence of eosinophils in the lungs, airway hyperresponsiveness was completely abolished, confirming at last the link between eosinophilic inflammation and BHR. This excitement was short lived, as it was subsequently demonstrated that administration of anti-IL-5 mAbs - which resulted in a complete inhibition of eosinophilic inflammation, did not affect the induction of BHR [53]. In contrast, anti-IL-4 mAbs, which fail to attenuate the eosinophilic inflammation of the airways, reduced the BHR [53]. These differences can now be explained in terms of the generic background of the mice used - thus IL-5 deletion on a C57/B6 background results in an eosinophilic dependent BHR, whereas the same deletion on Balb/C background results in an eosinophil independent BHR. In addition, the nature of the stimuli also plays an important role. Infection of C57/B6 mice with Nippostrongylus Braziliensis results in an eosinophil dependent tissue damage characterized by edema, hemorrhage and destruction of the septal walls. However, despite the lack of widespread damage observed in IL-5 deficient mice, airway hyperresponsiveness was not affected [54]. Taken together, these results suggest that under some circumstances eosinophil activation plays an important role in airway pathology in animal models, although their contribution is greatly influenced by genetic factors and the nature of the allergen.

# Conclusions

Despite the intense research and huge financial investment by many pharmaceutical companies in trying to inhibit the accumulation of eosinophils, the role of the eosinophil to the development of asthma still remains unknown. Certainly therapeutics agents such as steroids improve asthmatic exacerbations and also reduce eosinophilic inflammation. However, not until the advent of highly selective inhibitors of eosinophil accumulation chemokine receptor antagonists or IL-5 antagonists will the eosinophil hypothesis be finally settled and we will know whether the association of eosinophils with asthma is some epiphenomena or if crucial cells play a central role as final effectors in this life threatening disease.

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# Fibroblasts and myofibroblasts

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# Introduction

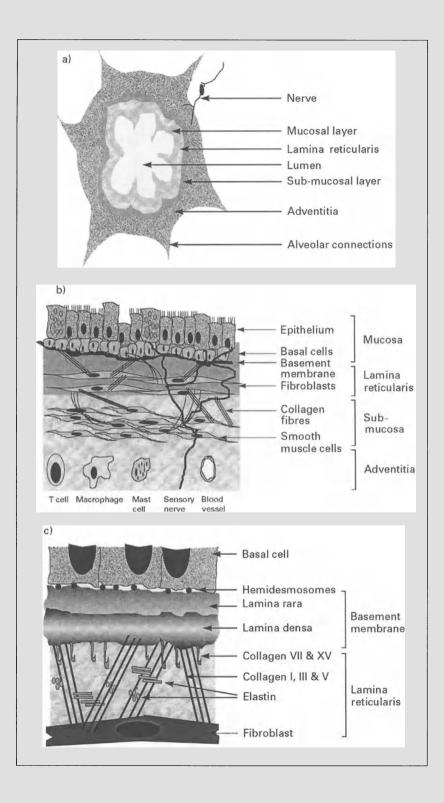
In this chapter we will briefly review the structure of the normal airway and the extracellular matrix components from which it is composed. The role of the fibroblast/myofibroblast in maintaining this structure will be discussed, together with the evidence for their role in remodelling the airway wall in asthma and COPD. Finally we discuss the potential for pharmacological modulation of fibroblast function as a potential means of reversing the changes in airway structure in these diseases.

# Structure of the normal airway

The trachea divides into two main bronchi, which further subdivide into segmental bronchi that enter the left and right lungs. The trachea and bronchi are supported by surrounding cartilage, however, as the bronchi subdivide further into bronchioles the cartilage is lost. The terminal bronchioles give rise to respiratory bronchioles and finally the alveoli. As bronchioles normally control airflow in the lung we focus on their structure in the next section.

### Structure of the bronchiole and its connective tissue components

The bronchiole wall consists of a mucosal lining (epithelium), a basement membrane, sub-epithelial connective tissue (lamina reticularis), a sub-mucosal layer (smooth muscle) and adventitia (Fig. 1a). The epithelium is mainly of pseudo-stratified, ciliated, columnar epithelial cells, clara cells, goblet (mucus), serous and basal cells. The structure and function of these cells within the mucosal layer are discussed in an accompanying chapter in this book (see Devalia et al.). In this chapter we focus on the extracellular matrix components within the basement membrane, lamina reticularis, sub-mucosal and adventitial layers (Fig. 1b).



The basement membrane is separated into two regions – lamina rara and lamina densa (Fig. 1c) which contain matrix molecules, including collagen types IV, VII and XV, the glycoproteins, laminin, nidogen (entactin), fibronectin, SPARC (BM-40) and proteoglycans. These structures provide a scaffold for attachment of cells and regulate their surrounding milieu by controlling the flux of small and large molecules [1]. It is the distribution and density of these molecules which gives rise to the characteristic features of the lamina rara and lamina densa.

Collagen IV is the most abundant protein in the basement membrane. This molecule is composed of triple helical domains, interrupted by short non-helical regions, providing flexibility. It forms an open-meshed, three-dimensional network (Fig. 2) in close association with laminin, nidogen and the heparan sulphate-linked proteoglycan, perlecan. Collagen type IV in basement membrane is probably produced by epithelial cells [2, 3], although type IV collagen mRNA expression has also been reported in mesenchymal cells [4]. Type V collagen is also found in small amounts within the basement membrane [5].

Collagen XV has recently been demonstrated in basement membrane and it has been suggested this molecule is responsible for the attachment of the basement membrane to the underlying interstitium (Fig. 1c) [6]. Similar roles have been postulated for collagen types V and VII [7, 8].

Laminins are the most abundant non-collagenous protein within the basement membrane. They have a cross-like structure (Fig. 2) and have been reported to mediate cellular attachment to extracellular matrix [9], cell migration, growth and differentiation [10]. A recent study has shown that laminin subtype expression changes during the development of the lung, suggesting that these molecules may play a role in the regulation of airway morphogenesis [11].

The normal basement membrane also contains nidogen, a single polypeptide, composed of three globular domains connected by a flexible link (Fig. 2). It binds to both collagen IV and laminin, *via* one of the globular regions, possibly linking these two molecules [12]. It also binds to cell surface integrins, *via* an Arg-Gly-Asp (RGD) domain [13].

Fibronectin is a high molecular weight glycoprotein present in basement membrane and on cell surfaces. It exists as a disulphide-linked dimer (Fig. 2) which forms

#### Figure 1

A schematic diagram of the normal bronchiole. (a) shows the overall structure of the bronchiole with the epithelium lining the airway lumen, surrounded by the sub mucosal layer and finally the adventitia extending to the alveolar attachments. (b) shows, in greater detail, the mucosa, lamina reticularis (also called lamina propria), sub mucosa and adventitia with the extensive matrix molecule connections between the cells and the structural components within the bronchiole wall. (c) highlights the matrix molecule connections between the fibroblasts in the lamina reticularis and the basement membrane.

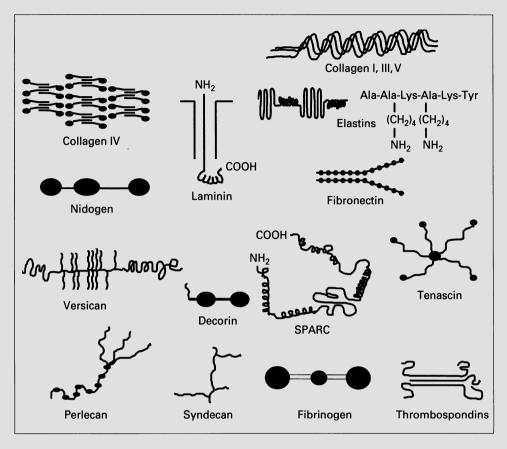


Figure 2 Schematic representations of matrix molecules found within the bronchioles.

high molecular weight insoluble polymers. It contains a variety of binding sites for other extracellular matrix molecules including collagen, heparin/heparan sulphate, hyaluronan and integrins. It has been implicated in a number of processes including cell attachment, migration, proliferation, opsonisation and wound healing (for extensive reviews see [14, 15]).

Another basement membrane component, SPARC (secreted protein, acidic and rich in cysteine) (Fig. 2) is able to bind cell surfaces, collagen types I, II and V and the glycoprotein, thrombospondin. Its exact role is unknown but is associated with morphogenesis, tissue remodelling and inhibits the spreading of a variety of cells, including fibroblasts [16–19].

Heparan sulphate-linked proteoglycans are ubiquitous components of basement membranes [20]. One such proteoglycan is perlecan which has a core protein with 5-7 globular domains and two or three heparan sulphate side chains attached to one

end (Fig. 2). It has been associated with cell attachment [21], immobilisation of growth factors, such as FGF-2 [22] and serine proteases [23]. Therefore, in addition to its structural role it may also act as a reservoir for growth factors and metabolic enzymes.

Syndecan is a proteoglycan containing both heparan sulphate and chondroitin sulphate glycosaminoglycan side chains. It is able to bind to extracellular matrix molecules as well as the intracellular actin cytoskeleton. It is mainly associated with epithelial cells and is proposed to regulate their shape and organisation. It is localised to the basolateral surface of epithelial cells, binding these structures to the basement membrane.

In summary, extracellular matrix components of the basement membrane provide a supporting structure for epithelial and basal cells within the airway wall, links to the lamina reticularis and acts as a selective molecular sieve. These components are continuously synthesised and degraded by epithelial and mesenchymal cells. They also influence the behaviour of cells by binding to integrins on cell surfaces, regulating their migration, proliferation and metabolism.

The main components of the lamina reticularis are collagen types I and III, elastin, proteoglycans and glycoproteins, which contribute to the structural and mechanical properties of the airways. The structure and function of these are described below.

### Collagens

There are at least 19 different collagens, of which, 11 have been shown to be present in the lung. These collagens are classified into three groups – the fibril-forming types (I, II, III, V, XI), the non-fibril-forming types (IV, VI, VII, VIII) and the FACIT (fibril-associated collagens with interrupted triple helices, XII, XIV). Table 1 shows the collagen types known to be associated with the airways.

Collagen types I and III are produced by fibroblasts [24, 25]. It has been proposed that type I collagen provides the tensile strength to all flexible surfaces of the lung, whilst type III contributes to the tissue compliance [26]. They also provide a structural scaffold to which resident cells attach *via* specific integrins. The  $\alpha$ -chains of these collagens have a single triple helical domain which forms 95% of the molecule (Fig. 2). Once secreted into the interstitial space, they form long, thin, cable-like structures covalently bonded to homologous regions of neighbouring fibrils. These fibrils exist as copolymers with common associations between collagens I–V, I–VI, III–VI and III–VII (for review see [27, 28].

Type VI collagen consists of alternating filamentous and beaded regions [29]. The  $\alpha 1$  (VI) and  $\alpha 2$  (VI) chains contain a number of collagen binding sites and RGD sequences suggesting that collagen type VI participates in matrix-matrix interactions and cell-matrix interactions [29].

| Туре | Supramolecular<br>structure          | Function  | Distribution in the airway wall                          |  |
|------|--------------------------------------|---|--|--|
|      | Fibril                               | Structural component                              | Lomino roticulario                                       |  |
| 1    | FIDTI                                | Structural component,<br>tensile strength         | Lamina reticularis,<br>sub-mucosa, adventitia            |  |
| П    | Fibril                               | Structural component,                             | Bronchial and tracheal                                   |  |
|      |                                      | tensile strength                                  | cartilage  |  |
| ш    | Fibril                               | Structural component,                             | Lamina reticularis,                                      |  |
|      |                                      | compliance  | sub-mucosa, adventitia                                   |  |
| IV   | Non-fibrillar 3-dimen-               | Molecular sieving, cell support                   | Basement membrane  |  |
|      | sional network                       | <b>o</b> 11                                       |  |  |
| V    | Fibril                               | Regulation of type I collagen                     | Basement membrane,                                       |  |
|      |                                      | fibrillogenesis                                   | lamina reticularis                                       |  |
| VI   | Beaded filament                      | Cell adhesion to matrix                           | Associated with type I and<br>III collagen, interstitium |  |
| VII  | Fibril                               | Anchors basement membrane                         | Basement membrane,                                       |  |
|      |                                      | to matrix   | lamina reticularis                                       |  |
| VIII | Filamentous lattice<br>(short chain) | Mechanical strength                               | Basement membrane  |  |
| IX   | FACIT                                | Regulation of type II collagen<br>fibrillogenesis | Cartilage, associated with type II collagen              |  |
| XI   | Fibril                               | Regulation of type II collagen                    | Cartilage, associated with                               |  |
|      |                                      | fibrillogenesis                                   | type II collagen   |  |
| XV   | Multiplexin                          | Anchors basement membrane<br>to matrix            | Basement membrane,<br>lamina reticularis                 |  |

Table 1 Collagens known to be present in the airway

FACIT, fibril-associated collagens with interrupted triple helix; Multiplexin, multiple triplehelix domains and interruptions

### Elastin

Mature elastin is composed of two chemically and morphometrically distinct components – amorphous elastin and a highly structured microfibrillar component. Elastin is formed by the cross-linking of lysine residues in secreted tropoelastin by lysyl oxidase, followed by spontaneous formation of desmosines and isodesmosines, the stable elastin cross-links. The microfibril component acts as a scaffold or template for the development of amorphous elastin which consists of fibrillin, microfibril associated glycoprotein and associated microfibril protein (for a full review see [30]).

Elastin is the second most abundant matrix molecule in the airway representing about 5% of the total protein content [31]. It is produced by fibroblasts [32-34]

forming parallel and longitudinal fibres localised to the lamina reticularis and submucosa [35-37].

During both the inflation and deflation cycles of the lung, airway patency is maintained by the radial tension induced by elastin within the wall restricting excessive smooth muscle contraction or relaxation [38].

### Proteoglycans and glycoproteins

The core proteins of eighteen different proteoglycan molecules have been reported. Figure 2 shows the structure of four proteoglycan molecules found in airway tissue, perlecan, syndecan, decorin and versican. Each core protein is linked to a variety of glycosaminoglycan side chains which consist of alternating galactosamine and glucuronic/iduronic acid units (chondroitin/dermatan sulphate), alternating glucosamine and glucuronic/iduronic acid units (heparin and heparan sulphate) or alternating glucosamine and galactose units (keratan sulphate). Their functions include matrix hydration, modulation of collagen fibre formation, cell-matrix and cell-cell interactions and the binding of growth factors [39].

Proteoglycans are synthesised by a variety of cell types including fibroblasts [40], type II epithelial cells [41] and pulmonary arterial endothelial cells [42]. Plateletderived growth factor (PDGF) and transforming growth factor  $\beta$  (TGF $\beta$ ) are capable of stimulating proteoglycan production by fibroblasts [43, 44].

Decorin and biglycan are two small chondroitin/dermatan sulphated proteoglycans, which are found associated with type I and type VI collagens, the lamina reticularis and smooth muscle bundles of the sub-mucosa within airways [45–47]. These molecules can bind polypeptide growth factors e.g. TGF $\beta$  [48] protecting them from denaturation and proteolytic degradation [49, 50]. It has therefore been suggested that these proteoglycans can act as a reservoir for growth factors, storing them until their release by proteases during tissue remodelling.

Versican, a large aggregating chondroitin sulphate proteoglycan is localised around the smooth muscle bundles in the sub-mucosa of the airway wall, in association with hyaluronan [51]. It is thought to change the mechanical properties of the airways in a similar manner to aggrecan in cartilage, by regulating the fluid (osmotic) balance within the airway tissue (see [46]).

Glypican, a glycosyl phosphotidylinositol linked heparan sulphate proteoglycan is expressed on the surface of human lung fibroblasts and bronchiolar epithelial cells. It binds fibronectin, collagen type I and anti-thrombin III *via* the heparan sulphate side chains. The function of glypican is not known, but its binding characteristics suggests a role in cell attachment and control of coagulation. A second heparan sulphate-linked proteoglycan is perlecan. This molecule is found in all basement membranes and gives the structure a fixed negative charge, which is important for the filtration properties of the membrane. It is also able to bind to other extracellular matrix molecules such as laminin and collagen type IV, and is an attachment substrate for cells.

### Tenascin

Tenascin is a large disulphide-linked glycoprotein of six identical subunits. In the normal lung it has been localised to both basement membrane and lamina reticularis of proximal airways [52, 53]. It inhibits cell adhesion, migration and causes cell shape changes [54]. Its distribution suggests involvement with tissue morphogenesis and wound healing. These functions and evidence that inflammatory cytokines can increase the secretion of this molecule from cultured epithelial cells [55], suggest a potential role for tenascin in airway remodelling.

### Fibroblasts in the normal airways

Fibroblast which possess the greatest capacity to produce extracellular matrix molecules (see [56]), appear flattened and have a stellate appearance, with an average diameter of 28  $\mu$ m and a thickness of 0.55  $\mu$ m [57]. They are localised to the lamina reticularis, forming a sheath around the airways (Fig. 1b) [57]. The cells contain prominent rough endoplasmic reticulum, ribosomes and Golgi apparatus, reflecting their high metabolic activity.

A cell of similar phenotype to fibroblasts, but morphologically larger and containing a larger proportion of cytoplasm, is the myofibroblast [58–60]. These cells have been identified within the lamina reticularis of normal airways [61, 62] and can be distinguished from fibroblasts by the prominent amounts of contractile filaments containing  $\alpha$ -smooth muscle actin [61, 63]. They are found in multicellular strands and in close apposition with other cells [64]. Myofibroblasts appear in increased numbers subsequent to tissue injury [65, 66].

The source of myofibroblasts at sites of tissue injury is uncertain, both differentiation from fibroblasts and smooth muscle cells have been proposed [67, 68]. TGF $\beta$ can induce smooth muscle-actin expression in fibroblasts, thus giving the cell a myofibroblast-like phenotype [69]. Myofibroblasts cultured from granulating wounds are capable of reverting back to a fibroblast phenotype [70].

# The role of fibroblasts and myofibroblasts in airway morphogenesis and homeostasis

The fibroblast has been postulated to play an important role in airway morphogenesis and development. The co-culture of human epithelial cells with human foetal lung fibroblasts in collagen gels causes epithelial cell invasion of extracellular matrix, which then develop into groups of cells that resemble primordial sub-mucosal glands, forming tubular structures, which undergo dichotomous branching [71].

The development of the airways occurs during the pseudoglandular phase of lung development, between weeks 5–17 of gestation (for review see [72, 73]. The pattern of airway branching is dependent on the interactions between the developing mesenchyme, epithelial cells, cellular adhesion molecules and the extracellular matrix, in particular, proteoglycans and glycosaminoglycans [74]. In the developing lungs of rats, the differentiation of mesenchymal stem cells into fibroblasts-like and myofibroblast-like cells is associated with the production and deposition of extracellular matrix molecules [75]. The deposition of collagen, syndecan, laminin and fibronectin from these cells is essential for airway morphogenesis, in particular tubule formation and terminal branching [65, 73, 76].

In the mouse, syndecan expression also influences the development of alveolar sacs during the canalicular stages of lung development [77]. The formation of the alveoli is also associated with the synthesis and secretion of elastin, and possibly other extracellular matrix molecules by myofibroblasts at the leading edge of new alveolar buds [33]. Three growth factors produced by mesenchymal and epithelial cells, EGF, IGF-1 and TGF $\beta_1$ , also have a role in airway branching and alveolar development [73, 74].

The fibroblast/myofibroblast plays a key role in the maintenance of the airway extracellular matrix. Collagens are continually synthesised and degraded in the normal lung, with average turnover rates estimated to be 10% per day in lungs of young adult rats and rabbits [78–80]. These rates decrease with age, but proceed at relatively rapid rates throughout life [81]. Furthermore, the balance of intracellular and extracellular breakdown pathways also change with age. In young animals about 30% of newly synthesised collagen is degraded intracellularly within minutes of its synthesis [79, 82] but this proportion increases with age to about 80% [81]. In addition, the rates of these processes change when the lung is injured. For example, in experimental models of pulmonary fibrosis the proportion of newly synthesised collagen degraded intercellularly decreases, contributing to the increased collagen deposition in the injured lung [82]. The modulation of these processes could also play an important role in airway remodelling.

The rate of extracellular degradation is thought to depend on the degree of crosslinking and in older animals the mature collagen fibrils may be protected from degradation. Nevertheless, extracellular collagens are susceptible to breakdown and are degraded rapidly both in growing and diseased tissue. This is accomplished by a family of metalloproteinases, which are produced by both resident cells (fibroblasts, epithelial and endothelial cells) and inflammatory cells (activated macrophages and neutrophils) [83].

There are at least fifteen zinc- and calcium-dependent metalloproteinases which have the capacity to breakdown a wide range of extracellular matrix proteins [84–

86]. They are important in embryonic tissue development, cell migration, inflammation and wound healing. The actions of the metalloproteinases is tightly regulated by a diverse group of anti-proteinases including the tissue inhibitors of metalloproteinases (TIMPs), which are synthesised and secreted by activated mesenchymal cells, and circulating anti-proteinases such as  $\alpha_2$ -macroglobulin,  $\alpha_1$ -antitrypsin,  $\alpha_2$ antiplasmin and secretory leukocyte protease inhibitor. The recent development of synthetic metalloproteinase inhibitors is enabling the characterisation of the role of metalloproteinases in human disease [86].

In summary, fibroblasts and myofibroblast are involved in the morphogenesis and development of the airways. They respond to cytokines and growth factors producing a variety of extracellular matrix molecules, the degradation of which is tightly regulated by both intracellular and extracellular proteinases and their inhibitors. When this tight regulation breaks down, as seen in the collagen diseases (e.g. osteogenous imperfecta) or in inflammatory diseases (e.g. rheumatoid arthritis, asthma, COPD or lung fibrosis) compromised tissue function ensues.

# Regulation of fibroblast and myofibroblast extracellular matrix production in normal and diseased airways

As mentioned in the previous section there are several mediators able to influence extracellular matrix production by fibroblasts and myofibroblasts during development, in particular, IGF-1, TGF $\beta_1$  and PDGF. However, mediators regulating extracellular matrix turnover during development or maintenance of the airway wall has not been extensively studied. A variety of cytokines, polypeptide growth factors, lipid mediators and coagulation cascade products are known to activate mesenchymal cells [28, 87]. Many of these mediators are released by both resident and inflammatory cells in the lungs of asthmatics (for review see [88, 89]. We will focus on the effects of the mediators that are currently known to be increased in this group of patients and which have been shown to modulate fibroblast function (Tab. 2).

### Cytokines and growth factors

A large number of cytokines and polypeptide mediators released in the inflamed airway can cause fibroblast chemotaxis, proliferation and induce extracellular matrix molecule production (Tab. 2). TGF $\beta$  increases procollagen [90–92], fibronectin [91] and proteoglycan [44] production. It is able to regulate procollagen production by direct and indirect mechanisms. In fibroblasts, it increases the synthesis of procollagen, decreases its degradation intracellularly and extracellularly by down-regulation of metalloproteinases and up-regulation of tissue inhibitors of metalloproteinases, thus maximising its effect on collagen deposition [93].

| Mediator        | Likely Inc<br>source | reased directed migration | Enhanced proliferation | Increased matrix production | Ref.     |
|-----------------|----------------------|---------------------------|------------------------|-----------------------------|----------|
| IL-1            | Μφ, TC, BC, MC       | Ť                         | ↑                      |                             | 94, 95   |
| 12-1            | Fb, Ep, En, Neut     | I.                        | 1                      |                             | J4, JJ   |
| IL-4            | TC, MC               | ↑                         | ↑                      | Ť                           | 96-99    |
| IL-4<br>IL-6    | Mo, Mo, MC, Fb,      | 1                         | ,<br>↓                 | ,<br>↓                      | 100, 101 |
| IL-0            | Ep, En               |                           | 1                      | I                           | 100, 101 |
| GM-CSF          | Μφ, TC MC,           | ↑                         |                        |                             | 102      |
| 0/11-051        | Fb, Ep, Eos          |                           |                        |                             | 102      |
| τηξα            | Mø, MC, Eos          | <b>↑</b>                  | ↑                      | ↑                           | 103–106  |
| IGF-1           | Μφ, Fb, Ep,          |                           | <br>↑                  | ↑                           | 107–109  |
| TGFβ            | Mo, Mø, Fb,          | <b>↑</b>                  | ↑                      | ,<br>↑                      | 44,90,92 |
| laip            | MC, Ep, Eos          |                           | •                      | ·                           | 110–116  |
| FGF-2           | Fb, En, SMC          | <b>↑</b>                  | ↑                      |                             | 117, 118 |
| ECP             | Eos                  |                           | 1                      | <b>↑</b>                    | 119–122  |
| Leukotrienes    | Mo, Mφ, Bo, MC       | ↑                         | ↑                      | <b>↑</b>                    | 123–125  |
|                 | Eos, Neut            |                           |                        |                             |          |
| ET-1            | Mø, Fb, Ep, En       | ↑                         | ↑                      | ↑                           | 126–128  |
| Tryptase        | MC                   | <b>↑</b>                  | 1                      | ↑                           | 129–132  |
| Thrombin        | plasma               | ↑                         | ↑                      | $\uparrow$                  | 133–136  |
| Fibrinogen &    | plasma               |                           | $\uparrow$             |                             | 134      |
| fibrinopeptides |                      |                           |                        |                             |          |
| Neuropeptides   | Sensory nerves       | $\uparrow$                | $\uparrow$             |                             | 137      |
| Histamine       | Bo, MC               | ↑                         | $\uparrow$             | $\uparrow$                  | 138, 139 |

Table 2 - Mediators reported to be increased in asthma which have been shown to promote fibroblast migration, proliferation and matrix production.

Mo, monocyte; M $\phi$ , macrophage; TC, T cell; BC, B cell; Bo, basophil; MC, mast cell; Fb, fibroblast; Ep, epithelial cell; En, endothelial cell; Eos, eosinophil; Neut, neutrophil; SMC, smooth muscle cell; IL, interleukin; GM-CSF, granulocyte-macrophage colony-stimulating factor; IGF-1, insulin-like growth factor 1; TGF $\beta$ , transforming growth factor  $\beta$ ; TNF $\alpha$ , tumour necrosis factor  $\alpha$ ; ECP, eosinophil cationic protein, ET-1, endothelin-1, FGF-2, fibroblast growth factor 2,  $\uparrow$  increased function

TGF $\beta$  has been detected in the sputum of patients with asthma [140], in eosinophils infiltrating the epithelium of asthmatic airway [141], in nasal polyps [142] and in eosinophils, macrophages and fibroblasts within the lamina reticularis of airways from asthmatic patients [143, 144]. The latter finding is associated with

an increase in the sub-epithelial thickness within the airway and disease severity [143, 144]. In addition, TGF $\beta$  has been shown to cause a change in the phenotype of fibroblasts to myofibroblasts [69] and may therefore contribute to the increased numbers of myofibroblasts in asthmatic airways [61, 145]. These data, coupled with the known activity of TGF $\beta$  as a potent promoter of procollagen production, suggest that it may have a pivotal role in tissue remodelling within the diseased airway.

Granulocyte macrophage-colony stimulating factor (GM-CSF) secreted by inflammatory cells including lymphocytes, macrophages and fibroblasts [146, 147] prolongs the survival and activation of eosinophils potentiating the deleterious effects of these cells in the airways of asthmatics [146, 148]. Consistent with this, recent studies have demonstrated that transient over-expression of the GM-CSF gene leads to peri-bronchial accumulation of eosinophils, an increased number of macrophages in the lung parenchyma, increases in the levels of TGF $\beta$  and irreversible fibrotic lesions [149, 150]. GM-CSF is chemotactic for myofibroblasts [102], suggesting another mechanism by which these cells could accumulate in the lamina reticularis of asthmatic airways [61, 145] with subsequent activation by TGF $\beta$ .

Fibroblasts are able to produce inflammatory cytokines such as IL-1, IL-6, chemokines and GM-CSF in response to activation by the acute phase proteins IL-1 and TNF $\alpha$  [56]. These mediators have paracrine effects leading to enhanced inflammatory cell accumulation (Fig. 3). In this way the fibroblast may both activate and prolong the inflammatory response.

A close apposition of eosinophils and fibroblasts was noted by Glynn and Michaels [151] in the airways of asthmatics. Furthermore, activated eosinophils degranulate and release mediators, which include TGF $\beta$ , and granule products, eosinophil cationic proteins (ECP) and major basic protein (MBP), into the surrounding tissue or airway lumen. ECP stimulates the proliferation of fibroblasts and increases the synthesis of collagen and proteoglycans [119, 120, 122, 152]. Whereas, MBP, acts synergistically with TGF $\beta$  and IL-1 to enhance IL-6 production by fibroblasts, which stimulates fibroblast proliferation and extracellular matrix protein production by both autocrine and paracrine mechanisms [100, 101, 153].

The mast cell has been shown to play a pivotal role in asthma, both in the transient bronchoconstriction and the chronic inflammatory phase of the disease [154]. As shown in Table 2 many of the mediators released by mast cells are able to modulate fibroblast function.

One such mediator is tryptase, a trypsin-like serine protease [155] which is elevated in bronchoalveolar lavage fluid from patients with allergic inflammation [156] and asthma [157]. Tryptase is chemotactic and mitogenic for fibroblasts [129–132, 158], stimulates type I collagen production in human lung fibroblasts [132] and increases procollagen mRNA levels in dermal fibroblasts [129]. With the established role of mast cells and their mediators in allergy and asthma, it is likely that they also play an important role in the activation of fibroblasts and myofibroblasts in the diseased airway.

Other peptides present in the airways of asthmatic patients also have the capacity to activate fibroblasts. Endothelin-1 is found in high concentrations within the airways of asthmatic patients [159–161] and has been demonstrated to be a chemoattractant and mitogen for fibroblasts and also stimulates collagen synthesis [126–128].

Neuropeptide-containing sensory nerves have been localised in human airways and apposed to fibroblasts (Fig. 1b) [162, 163]. The activation of these sensory nerves, with the subsequent release of the neuropeptides, substance P and neurokinin A, in the asthmatic airways may lead to bronchoconstriction and neurogenic inflammation [164]. In addition to these recognised roles both substance P and neurokinin A are capable of stimulating fibroblast proliferation and chemotaxis [137].

### Coagulation cascade products

Fibrin has been shown to be deposited within the airway wall [165, 166] demonstrating the activation of the blood coagulation cascade. Several products of this cascade are known to have pro-fibrotic effects.

Thrombin is mitogenic and chemotactic for lung fibroblasts [133, 134] and increases collagen synthesis by these cells at least partly by protease-activated receptor-1 [136]. Thrombin may also activate fibroblasts indirectly by the release of mediators from resident cells, platelets and the extracellular matrix. In addition, recent studies from our laboratory demonstrate Factor Xa stimulation of fibroblast proliferation and collagen synthesis [167].

The generation of fibrin by the actions of thrombin on fibrinogen generates fibrinogen-cleavage products, fibrinopeptides A and B which are mitogenic for fibroblasts [134]. Also, the isolated A $\alpha$  and B $\beta$  chains from the fibrinogen molecule possess mitogenic activity [168]. Thus products of the coagulation cascade derived from plasma protein exudation observed in the inflamed airway can activate fibroblasts and have the potential to contribute to airway remodelling.

### Mechanical load

Mechanical load is now recognised as an important regulator of cell function. The cyclic mechanical stretch of fibroblasts has been shown to cause an increase in cell proliferation [169] and procollagen production [170]. A clinical feature of asthma is repeated, transient bronchoconstriction. This raises the possibility that changes in contractile properties of the airways may influence the activation of the mesenchymal cells to proliferate and secrete increased amounts of matrix proteins.

## Hypoxia

Hypoxemia occurs in asthmatic patients which is associated with the degree of airway obstruction [171]. As the bronchioles receive their blood supply *via* the bronchial artery, which is derived from the aorta, this suggests that the airways may be exposed to transient hypoxia. Hypoxia is known to have marked effects on fibroblast function increasing both proliferation and collagen synthesis in-vitro [172], which is mediated, in part, by TGF $\beta$  [173]. In addition hypoxic endothelial and epithelial cells release increased amounts of profibrotic cytokines such as PDGF and endothelin-1 [174].

In summary a number of cytokines and structurally diverse polypeptide and lipid mediators are able to cause fibroblast chemotaxis, proliferation and stimulation of extracellular matrix protein production. In addition mechanical load and hypoxia also capable of activating fibroblasts. Current research implicates several cytokines such as TGF $\beta$  and endothelin-1 as important agents promoting matrix deposition but many other mediators could be important (see Tab. 2) including proteases generated by the coagulation cascade and released by activated mast cells.

### Fibroblasts as antigen presenting and effector cells in the immune response

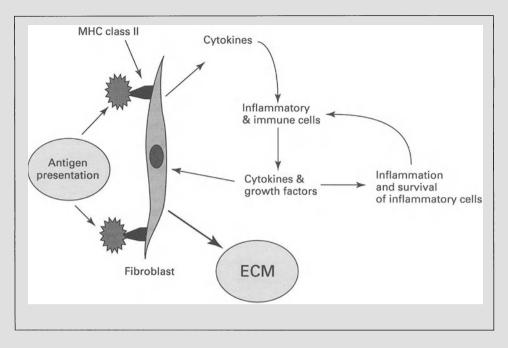
It has been suggested that fibroblasts can act as antigen presenting cells based on the expression of thymocyte-1 (Thy-1) antigen on their cell surface (Fig. 3) [175–177]. Murine lung fibroblasts not expressing the Thy-1 antigen up-regulate the expression of MHC class II antigen and therefore have the ability to present antigen to T cells (Fig. 3). In contrast, human lung fibroblasts expressing Thy-1 were able to up-regulate MHC class II antigen, but only after stimulation with IFN $\gamma$ . A subset of these cells demonstrated the ability to cause T-cell proliferation [177].

Fibroblasts could therefore act as accessory antigen presenting cells, in conjunction with dendritic cells and macrophages, to enhance the local immune and inflammatory response (Fig. 3). More studies are needed to establish the extent to which fibroblasts contribute to the overall immune response.

Recent observations have also shown that a soluble mediator secreted by fibroblasts can prevent lymphocyte apoptosis by maintaining or increasing the expression of the Bcl-XL gene [178, 179]. Thus, there would be a persistence of lymphocytes adding to the chronicity of the inflammatory response.

## Extracellular matrix molecule deposition within the lamina reticularis

A change in the structure of airways from patients who had died from asthma was first noted by Huber and Koessler [180]. These findings were confirmed in mor-



#### Figure 3

Fibroblasts as antigen presenting and inflammatory cells. Antigen presentation by fibroblasts leads to an enhancement of the immune response, and stimulation of cytokine and extracellular matrix production. The cytokines produced by the fibroblast enhance the inflammatory response by both autocrine and paracrine mechanisms.

phological studies in which the airway wall was found to be significantly thicker in asthmatic compared with non-asthmatic patients [181]. Carroll and colleagues [182] demonstrated increased wall thickness in both the large and small airways in fatal asthma, but only in the small airways in non-fatal asthma.

This increase has also been noted in other airways diseases such as chronic obstructive pulmonary disease [183–185], bronchiectasis, bronchitis, and tuberculosis [183]. The thickening results from increase in the thickness of the mucosa [181, 186], lamina reticularis [5, 166, 187, 188] and sub-mucosal layers of the airway [180, 181, 187, 189, 190]. Changes in the sub-mucosa are likely to involve both smooth muscle hyperplasia [191] and hypertrophy [192].

It was initially thought that the changes in the lamina reticularis were a consequence of the thickening of the epithelial basement membrane, due to increased collagen deposition [151, 180, 189, 193]. However, using ultrastructural techniques, the thickening was localised to the lamina reticularis, with the lamina rara and the lamina densa of the basement membrane remaining unchanged [5, 166, 187]. These changes appear to be an early event in the pathology of asthma, as increases in the sub-epithelial layer are seen in children with asthma [190] and in patients with mild disease [166].

It was postulated that the increased thickness of the lamina reticularis was due to the activation of the epithelial cells [166], which have been shown to secrete collagen *in vitro* [194, 195]. However, the composition of this layer was shown to consist predominantly of collagen types I, III and V, fibronectin [5, 196] and elastin [145], molecules that are generally thought to be produced by fibroblasts, rather than epithelial cells. Also, no laminin was identified within the lamina reticularis, but was found within the "true" basement membrane, again, suggesting that the matrix deposition in the sub-epithelial layer was not associated with epithelium [5].

The increased thickness of the lamina reticularis has been correlated with increased numbers of fibroblasts/myofibroblasts [61, 145, 151]. Furthermore, in a recent study, increased numbers of myofibroblasts were observed in the lamina reticularis of mild asthmatic patients after antigen challenge [62]. From these studies it was suggested that myofibroblasts were responsible for deposition of the increased extracellular matrix. However, other cell types within the airway wall are capable of producing extracellular matrix molecules and are likely to be involved in remodelling. For example, smooth muscle cells are capable of producing similar amounts of procollagen to fibroblasts [197].

In addition to the increase in collagen, amounts of fibronectin, elastin and other extracellular matrix components have been shown to be increased within the lamina reticularis of asthmatic airways. Hyaluronan, versican, biglycan and decorin were increased in the airways of patients with severe asthma [46]. Due to the hydrophilic nature of these molecules, it can be speculated that the airway wall may be further thickened by an increased fluid content within the sub-mucosa. Increased proteoglycans production has been shown from bronchial fibroblast cell lines cultured from asthmatic patients [198] and, increased levels have been demonstrated in the sputum from asthmatic subjects [199]. Currently no data is available for the content of these matrix molecules in bronchial biopsies from mild asthmatics. Fibroblasts may also be involved in the regulation of fluid content of tissues *via* integrin mediated cell-matrix interaction [200–202].

# Functional effects of a thickened airway wall

Both the airways and the parenchyma contribute to the elastic recoil of lung tissue. Mead hypothesised that the parenchyma is able to stabilise the alveoli and terminal bronchioles, by generating a recoil force (interdependence), thus preventing airway collapse (Fig. 4a) [203]. A decrease in elastic recoil may have profound effects on the airway contractility. This hypothesis was extended to suggest that the adventitial tissue around the airway is an important determinant of the magnitude of bronchoconstriction (Fig. 4a and b) [204–206]. Several groups have hypothesised that

the load exerted by the lung parenchyma in the normal airways must be overcome by the smooth muscle to enable the airway to contract (Fig. 4c) [205–207]. Excessive extracellular matrix deposition outside the smooth muscle layer (peri-bronchial fibrosis) would uncouple these opposing forces allowing the smooth muscle to contract more easily (Fig. 4d) [208].

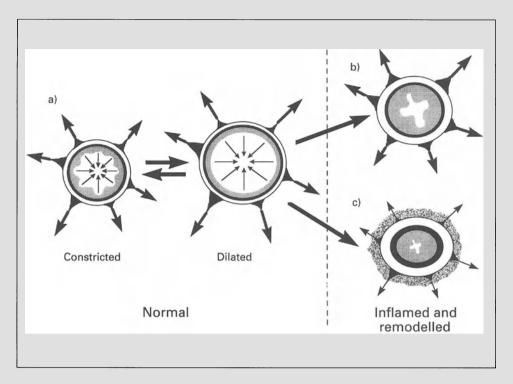
The increase in the deposition of extracellular matrix within the mucosal layer and lamina reticularis would also have consequences for airway constriction. A thickened mucosal layer, compared to normal, causes an increase in the narrowing of the lumen for any given degree of smooth muscle contraction (Fig. 4c) [209]. An important normal physiological response to smooth muscle contraction is the folding of the mucosa which restricts lumenal narrowing. However, in the inflamed airway, the deposition of the extracellular matrix within the lamina reticularis would limit the number of folds generated, thus allowing a further reduction in lumenal diameter (Fig. 4b and d) [210].

In early stages of disease, the initial deposition of extracellular matrix proteins by fibroblasts and other mesenchymal cells may be beneficial. Extending the hypothesis of Mead and others, the initial deposition of extracellular matrix around the airways, within the lamina reticularis, adventitia and the alveolar connections, may increase the parenchymal load on the airways, increasing interdependence, thus restricting the degree of airway closure.

However, as the disease progresses, excessive deposition of extracellular matrix, along with the thickening of the airway wall due to increased smooth muscle, oedema and epithelial cell hyperplasia, becomes detrimental to airway function. There is now anecdotal evidence that there is a reduction in the number of alveolar connections to the airways of asthmatics, which would reduce interdependence and increase the degree of basal airway tone. Also this reduction in interdependence will allow an increase in airways contractility (airway hyperresponsiveness) to inciting agents (e.g. antigen, cold air, exercise, stress).

In addition to the increase in extracellular matrix deposition, a reduction in the amount of elastin is seen in some patients, while disorganisation of the elastin fibrils is seen in others [35, 211]. This data would support the theory of interdependence, as the force required to overcome the elastic nature of the parenchymal tissue would be less thus increasing the magnitude of the bronchoconstriction. The change in elastin content and structure may partly explain the reduction in lung elasticity seen in asthmatic patients [212, 213]. In contrast, no change in the elastin content of the airways was found by Godfrey and colleagues [214]. Thus no consensus of opinion has been reached regarding the effects on elastin metabolism in the airways during chronic inflammation. However, this is an important feature of remodelling in the inflamed airway and needs further investigation.

A limited number of studies have been performed specifically to examine the interaction of sub-epithelial thickening and airway function. Roche and colleagues found no correlation between sub-epithelial thickness and disease severity or air-



#### Figure 4

A schematic diagram showing the effect of structural changes on the airway lumen. In the normal airway, constriction and relaxation within this airway is a reversible process.

(a) shows the forces exerted on the normal dilated and constricted airway wall which keeps the lumen open (interdependence). During bronchoconstriction smooth muscle contraction must over come the external forces before the airway is able to constrict. At this point the epithelium folds to compensate for the reduction of the airway lumen, however the external forces restrict the degree of constriction. On smooth muscle relaxation the external elastic forces will allow the airway to relax back to its resting state.

(b) In the inflamed airway constriction is greatly enhanced. The increase in wall thickness due to a thicker epithelium and smooth muscle hypertrophy and hyperplasia, reduces the resting lumen diameter, thus, in the inflamed airway a similar degree of airway constriction to that seen in the normal airways, would result in a greatly reduced lumen diameter. Thus having profound effects of airway function.

(c) In addition to the wall thickening, the increase in matrix deposition within the lamina reticularis reduces the ability of the mucosa to fold. Therefore, in combination with the increased extracellular matrix within the peri-bronchial adventitia, which reduces the load exerted by the parenchyma on the airway wall, this would allow the airway to constrict to a greater extent than in either a) or b). Subsequently, the combination of the thickened airway wall and extracellular matrix deposition also restricts the degree to which the airway can return to its normal resting diameter, potentially leading to a chronic reduction in airflow.

ways hyperresponsiveness [5]. However, the thickness of the sub-epithelial layer or bronchial wall has been correlated to airway hyperresponsiveness by others [166, 215, 216] and in a recent study, Minshall and colleagues were able to demonstrate a correlation between sub-epithelial thickness and lung function (FEV<sub>1</sub>) in mild, moderate and severe asthmatic patients [144].

In summary, changes in the structure of the airways in inflammatory diseases, due to changes in key extracellular matrix components such as elastin, collagen and proteoglycans, will have marked effects on the mechanical properties of the lung. This suggests pharmacological agents directed at controlling extracellular matrix deposition may have a place in the treatment of asthma. A reduction of the fibrotic lesions, may lead to the re-establishment of the interdependence between the airway wall and the lung parenchyma, thus returning lung function towards normal. The effects of selected agents on collagen production and degradation will be reviewed in the next section.

### Pharmacological modulation of the fibroblast

The current treatment for asthma involves the combination of symptomatic relief with bronchodilators ( $\beta$ -adrenoceptor agonist, theophylline, ipratropium bromide) and anti-inflammatory agents (beclomethasone, budesonide, fluticasone propionate). The steroids are currently the most effective treatment for inflammation in asthma [217]. However, there is a group of patients who are refractive to these agents.

Steroids may inhibit fibroblast function, *in vitro*, either by inhibiting procollagen gene expression [218] or by inhibiting the release of pro-fibrotic cytokines from inflammatory cells. *In vivo* studies are more controversial but there is evidence that steroids can inhibit collagen production and block fibrosis [219]. The effects of inhaled budesonide on extracellular matrix deposition in a number of studies in asthmatic patients, have been unable to show a reduction in the thickening of the sub-epithelial layer [188, 220, 221] or a reduction in the levels of extracellular matrix molecules within this layer [222]. In contrast, in two studies of short-term treatment with either beclomethasone or fluticasone propionate a reduction in sub-epithelial thickening was noted [223, 224]. A similar decrease in sub-epithelial thickening was seen after the removal of inciting agents in occupational asthma [225, 226] suggesting that it is possible to reduce extracellular matrix deposition in chronically inflamed tissue.

The conflicting results obtained with these steroids may be due to the type and dose of steroid used, patient compliance or even a reflection of disease severity. Alternatively, these discrepancies could be accounted for by differing techniques associated with sampling, cutting and analysis of the bronchial biopsies. Further studies are required to determine the effects of steroids on extracellular matrix deposition.

| Likely mechanisms of action     | Agent                                     | Evaluation               | Ref.     |
|---------------------------------|---|--------------------------|----------|
|                                 |   |                          |          |
| Transcription                   |   |                          |          |
| Reduction in procollagen        | Tranilast                                 | Clinical                 | 230      |
| mRNA levels                     | Minoxidil; pirfenidone                    |                          | 231, 232 |
| Decrease transcription or       | Glucocorticoids                           | Animal models            | 233–241  |
| procollagen mRNA stability      | INFγ; PGE <sub>1</sub> ; PGE <sub>2</sub> | Clinical                 | <u></u>  |
| Translation                     |   |                          |          |
| Incorporated to form un-        | Proline analogues                         | In vitro                 | 242–250  |
| stable collagen chains          | °   | Animal models            |          |
| Feedback inhibition             | Procollagen peptides                      |                          |          |
| Blocks chain elongation         | Thiaproline; D-α-methyl-                  |                          | 251      |
|                                 | proline                                   |                          |          |
| Hydroxylation                   |   |                          |          |
| Removal of cofactors for        | Bivalent cations, e.g.                    | In vitro; animal         | 252–259  |
| hydroxylation                   | Zn <sup>2+</sup> ; ascorbate analogues    |                          | 232-233  |
| Inhibition of prolyl 4-         | Proline analogues;                        | models, cimear           |          |
| hydroxylase                     | Safironil                                 |                          |          |
| Inhibition of lysyl hydroxylase | Minoxidil                                 |                          |          |
|                                 |   |                          |          |
| Microtubular systems/Secretion  | n   |                          |          |
| Disruption of microtubules,     | Colchicine; Cytochalasin B;               | In vitro                 | 260–262  |
| Golgi and endoplasmic           | Taxol; Nocodazole;                        | Animal models            |          |
| reticulum system                | Vincristine; Vinblastine;                 | Clinical                 |          |
|                                 | Brefeldin A                               |                          |          |
| Cleavage of procollagen peptid  | es  |                          |          |
| Inhibition of procollagen       | Amino acids and                           | In vitro                 | 263      |
| proteinases                     | polyamines                                |                          |          |
|                                 |   |                          |          |
| Polymerisation                  |   |                          |          |
| Prevention of cross-linking     | D-Penicillamine;                          | <i>In vitro</i> ; animal | 264–266  |
| Inhibition of lysyl oxidase     | β-Aminopropionitrile                      | studies; clinical        |          |
| Extracellular degradation       |   |                          |          |
| Increases production of         | Colchicine; Relaxin;                      | In vitro; animal         | 267–269  |
|                                 |   |                          |          |

# Table 3 - Agents which modulate collagen metabolism

| Likely mechanisms of action          | Agent                                       | Evaluation     | Ref.     |
|--------------------------------------|---|----------------|----------|
| Growth factor mediators              |   |                |          |
| Inhibition of mediator release       | Tranilast (MK341)                           | Clinical       | 230      |
| Inhibition of TGFβ, PDGF, FGF        | Pirfenidone                                 | In vitro       | 270-274  |
| •                                    |   | Animal studies |          |
| Binds and inactivates $TGF\beta$     | Decorin                                     |                |          |
| Growth factor receptors              |   |                |          |
| Inhibition of TGF $\beta$ activation | Mannose-6-phosphate                         |                |          |
| Inactivation of growth factors       | TGFβ antisense; TGFβ<br>and TNFα antibodies | Animal models  | 275–278  |
| Inhibition of both proliferation     | Receptor antagonists,                       | In vitro       | 279–281  |
| and procollagen synthesis            | e.g. endothelin;<br>angiotensin II          | Animal models  |          |
| Fibroblast proliferation             |   |                |          |
| Inhibition of proliferation and      | Retinoids                                   | In vitro       | 282, 283 |
| matrix synthesis                     | i cultoras                                  | Animal models  | 202, 203 |
| Inhibits fibroblast proliferation    | 5-fluorouracil; mitomycin-C,                |                | 261 262  |
| Decrease in matrix production        | Minoxidil; Taxol                            |                | 261, 262 |

Table 4 - Agents which modulate fibroblast activation and proliferation mechanisms

As steroids have a number of side effects [227] alternative approaches have been investigated, including inhibition of procollagen DNA transcription, mRNA translation or post-translational packaging of the procollagen molecules [87, 228, 229]. These, and other strategies for inhibiting collagen deposition and fibroblast proliferation are shown in Tables 3 and 4 along with other agents which are currently under investigation for a number of fibrotic conditions, including lung fibrosis. These new compounds are now beginning to be evaluated in experimental models and man.

# Summary

There is now strong evidence that fibroblasts and myofibroblasts play key roles in airway morphogenesis, maintenance of airway inflammation and tissue repair. They are metabolically active cells, continuously producing extracellular matrix components and are responsible for the maintenance of the structural framework of the airway. Through cell-matrix interactions they can also regulate the three-dimensional structure and mechanical properties of the airways. In asthmatic airways the number of fibroblasts/myofibroblasts and the amount of extracellular matrix increases profoundly affecting airway mechanics and cell function. The mechanisms for this increase in extracellular matrix deposition are uncertain. We propose that structurally diverse mediators from resident cells, inflammatory cells and blood derived proteins modulate the function of fibroblasts and myofibroblasts, increasing mesenchymal cell numbers locally within the airway tissue and enhancing extracellular matrix protein deposition. In addition, with the recently ascribed role of fibroblasts in antigen presentation, the production of pro-inflammatory cytokines and enhancement of inflammatory cell survival, suggests that these cells could also enhance and perpetuate the inflammatory response in the airway. A better understanding of the mechanisms by which fibroblast and myofibroblast functions are modulated, will identify new targets for the development of therapeutic agents for use in diseases associated with inflammation and airway remodelling such as asthma, chronic obstructive pulmonary disease (COPD) and bronchitis.

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# Airway macrophages and dendritic cells

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### Introduction

This chapter concentrates on mediator release from, and interaction with macrophages, and factors that influence antigen presenting activity of the dendritic cell (DC).

Within the monocytic-type cell lineages present in the airways, alveolar macrophages (AM) have received disproportionately more attention due to their accessibility in human and animal studies compared to interstitial macrophages (IM) and DCs. Despite the accessibility of alveolar macrophages (AM), much pharmacological investigation has been conducted using peripheral blood monocytes as a model of effects on airway macrophages. Immunologists in parallel have charted many aspects of the DC, which has the emerging status of the professional antigen presenting cell. At a relatively early stage, however, certain groups also recognised the existence and importance of DCs as related, but distinct from macrophages in the airway [1]. They also demonstrated a fundamental clinically relevant pharmacological event, that corticosteroids reduce the number of DCs in the airways of asthmatics [2, 3].

Undifferentiated monocytes can be observed in the airway, but their function apart from replenishing the more differentiated cell types, dendrites and macrophages, is not known. Monocytic cells produce a range of cytokines and mediators, and could therefore become involved in defence on arrival at tissues and following diapedesis.

Cells within the macrophage and dendritic types can be derived from the bone marrow-drived monocytic lineage arising from the CD34<sup>+</sup> myeloid stem cell in bone marrow [4, 5]. Circulating MHC II negative (Ia<sup>-</sup>) precursors can also be made to differentiate into DCs. DCs form <2% of circulating mononuclear cells and comprise subsets differing in phenotype and function [6].

The chameleon nature of cells of the monocytic lineage is demonstrated by the capacity of blood-derived monocytic cells to form cells with fibroblastic, dendritic and macrophage characteristics, all with a range of differentiation states. Airway lumen, mucosal and submucosal regions contain several types of bone marrow-

derived cells of monocytic lineage at various stages of maturation, differentiation and in relatively discrete groupings in relation to surface marker phenotypic characteristics. Macrophages comprise approximately 80–90% of airway lumen leukocytes under normal conditions and provide a large phagocytic capacity. DCs occupy less than 1% of airway lumen cells [7]. DCs are present throughout the peribronchial connective tissue and bronchus-associated lymphoid tissue (BALT) housing T cells. A network of DCs exists within and below the epithelium, with a higher cell concentration in upper airways. The DC network provides antigen presentation as opposed to phagocytosis and is responsible for transfer of antigenic signals to lymphoid regions for activation of naïve T cells.

The differences between monocyte, macrophage and DC behaviour suggest that the relatively extensive pharmacological analysis of monocytes may not be automatically extrapolated to airway macrophages or DCs. At this stage it is more valuable to assimilate the consolidated findings concerning mediator release from AMs and DCs, and the consistent, but limited pharmacological findings relating to those cells.

# Airway macrophages

It is clear that while bone-marrow-derived monocytes are recruited during escalation of an inflammatory response [8] and then divide and differentiate into macrophages [9, 10], there is a resident population of macrophages that provide a scavenging role in detecting pathogens, apoptotic cells and cell debris.

AMs influence airway immunology and inflammation through mediator release, including reactive oxygen intermediates, lipid-derived mediators, cytokines and proteases. Interstitial macrophages below the epithelium also provide an immunomodulatory role in facilitation of DC behaviour and are possibly the cells with the professional responsibility for removal of apoptotic cells in this region.

Pharmacological agents have been used to examine the biochemistry of macrophages *en route* to understanding cytokine expression. The high profile that the alveolar macrophage has sustained as a potential target in immunomodulatory drug development has lead to examination of the potential for current therapeutic agents used in treatment of asthma to influence macrophage activity. In this context, blood-derived monocytes have been examined extensively for investigation of the role of phosphodiesterases 4 (PDE4) as potential drug targets in the context of development of immunomodulatory PDE4 inhibitors.

Cells of the monocytic lineage exhibit considerable size range between cell types. Circulating monocytes do not differ greatly from other circulating leukocytes in size, but on differentiating to macrophages can increase in volume by one to two orders of magnitude. Macrophages cultured for prolonged periods can eventually form multinucleated giant cells resulting from cytokine-induced fusion of macrophages [11, 12].

### Turnover rates

Airway AM and DC turnover rates differ markedly and reflect their roles in maintenance of airway defence. AM turnover has been reported to be 16-18 days [13]; longer turnover times for pulmonary macrophages have been recorded at approximately 80 days in humans. Airway mucosal epithelial DC turnover has been demonstrated to be in the region of 2 days [14]. It is noteworthy in this context that the turnover rate of tissue DCs in the iris, airway and intestine have similar rapid turnover rates that are much higher than that of macrophages in the same tissues. Clearly, in view of the rapid DC population turnover and continuity of the DC network in the epithelial region, a constant supply of afferent information from the mucosal surface to lymphoid tissue (BALT) can be sustained by DCs migrating between these sites. Macrophages also have the capacity for migration to lymphoid tissue [15], but lack the potency of DCs in initiating primary immune responses at lymphoid tissue. Their slow turnover in relation to that of DCs could reflect the redundancy of this function. Their established role together with epithelia as effectors in secondary immune responses is discussed below (reviewed in [5]).

# Attraction of macrophages into the airway

T-cell derived cytokines and chemokines can recruit monocytic cells to the airway. CD4 cells are the primary instigators of monocyte macrophage recruitment demonstrated by the greater impact of removal of CD-4 cells on macrophage number [16]. Thus, the CC chemokines macrophage inflammatory protein-1 $\alpha$  (MIP-1 $\alpha$ ) and RANTES [17, 18] are potent monocyte chemoattractants. In addition T cell-derived interferon  $\gamma$  (IFN $\gamma$ ), tumour necrosis factor  $\alpha$  (TNF $\alpha$ ), IL-4 and GM-CSF all are potent inducers of chemokine and adhesion molecule expression to assist in recruitment.

# Macrophage activation

Several cell types are engaged in activation of macrophages following initiation of inflammatory responses. These include lymphocytes [19–21], neutrophils [22, 23], eosinophil [24–26] and mast cells [24, 27]. This brings into context the presence of binding sites involved in adhesion with other leukocytes and the consequences of close proximity in mediation of complex autocrine/paracrine interactions.

# Intracellular messenger pathways involved with activation

CD14 is a binding site for LPS in monocytes and macrophages [28], but not in DCs, where the lack of expression assists in identity of DCs. CD14 is phosphatidylinositol-linked indicating second messenger pathways involved in trans-

duction of LPS-CD14 interaction signals involved in activation of monocyte macrophages by LPS. This reflects the ubiquitous cell activation pathways provided by phosphatidyl inositol hydrolysis, Ca<sup>2+</sup> mobilisation and activation of protein kinases. The events relating to control of cytokine expression that are triggered by exposure to major stimulants such as LPS are of relevance to inhibitory effects of corticosteroids. LPS in nanogram/ml concentrations can activate nuclear factor  $\kappa B$  (NF $\kappa B$ ) [29] and thus induce TNF $\alpha$  production in addition to a host of other mediators. The activation of NF $\kappa B$  by LPS is dependent upon production of reactive oxygen intermediates, especially OH<sup>-</sup> [30]. Reactive oxygen intermediates also appear to be a requirement for activation of cycloxygenase-2 (COX2) in macrophages [31]. Macrophages will respond to lower than nanogram/ml levels of LPS by priming of subsequent stimuli, in the absence of an apparent release response to the primary exposure to LPS. Many of the inhibitory effects of corticosteroids on NF $\kappa B$ .

### Interleukin-1 (IL-1) and TNFa

IL-1 and TNFα are cytokines released from macrophages during primary inflammatory responses and are termed early response cytokines [33]. In common with TNFα, IL-1 stimulates the lymphocyte responses and upregulates the expression of adhesion molecules in endothelial cells to mediate cell recruitment [34]. There is a significant correlation between circulating Il-1 and TNFα and mortality in septisemia and acute lung injury [35]. This is endorsed by the prevention of septic shock by administration of antibodies to TNFα during bacteremia [36]. Following initial rises, LPS-induced TNFα levels *in vitro* and *in vivo* in humans fall following following low level endotoxin administration [37]. This reflects the tight regulation of TNFα production that could involve autocrine/paracrine regulation by factors including prostaglandin  $E_2$  (PGE<sub>2</sub>) and interleukin-10 (IL-10) [38]. PGE<sub>2</sub> released from macrophages also inhibits T cell IFN $\gamma$  production in addition to proliferation. PGE<sub>2</sub> does have a significant influence over the nature of DC antigen presentation as described below.

### Interferons

IFN $\gamma$  is a major macrophage priming cytokine facilitating TNF $\alpha$ , IL-1 $\beta$  and interleukin-6 (IL-6) release in addition to enhancing antimicrobial activity [39]. IFN $\gamma$  can enhance monocyte TNF $\alpha$  responses to LPS [40].

# Transforming growth factor $\beta$ (TGF $\beta$ ) and IL-10

TGF $\beta$  and IL-10 are released from macrophages and inhibit macrophage activity

[41], thereby restricting lung damage from excessive macrophage activity once the response to pathogen is complete. An example is the protection by IL-10 and IL-4 against immune complex induced lung injury [42]. IL-10 is also potent at blocking antigen presentation by DCs and could contribute to the now recognised inhibitory effects of macrophages on DC-mediated antigen presentation observed *in vivo*.

### IL-4/IL-13

These cytokines have also been observed to inhibit macrophage activity [41] and promote a macrophage in which mannose receptor mediated endocytosis predominates with elevated MHC II expression for mediation of humoral immunity [43].

# Granulocyte-macrophage colony stimulating factor (GM-CSF)

Granulocyte-macrophage colony stimulating factor (GM-CSF) is non activating, but essential for survival of macrophages and promotes growth and differentiation of both macrophages and DCs, discussed in section II. GM-CSF can also enhance responses to IFN $\gamma$  [44].

The range of mediators, cytokines, enzymes and growth factors released from macrophages suggest the potential for significant influence on airway disease associated inflammation and remodelling [45]. The pro-inflammatory cytokines and other release products have the capacity to contribute to the airway hyperresponsiveness associated with asthma involving persistent macrophage activation in their aetiology. Much of the evidence for this has been gained in the context of asthma [46]. In the context of COPD on the other hand, persistent production of neutrophil chemoattractants IL-8 [47] and LTB<sub>4</sub> [48] would facilitate the neutrophilia and associated edema and elastase-mediated remodelling associated with this condition [49]. The macrophage-derived growth factors TGF $\beta$  and platelet-derived growth factor (PDGF) also present the possibility that macrophages contribute to remodelling through growth factor coupled with metalloproteinase activity [50].

### Macrophage recruitment

A range of adhesion molecules are already known to contribute to their migration. The macrophage adhesion molecule profile classified so far includes the following CR3, VLA (1, 2, 4–6), CD31, CD44, CD36, L-selectin, LFA-1, p150,95. Mononuclear cell recruitment is facilitated in response to the CC chemokines, monocyte chemoattractant protein-1 (MCP-1), -2 (MCP-2) and -3 (MCP-3), RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$ , I-309 and eotaxin. The CXC chemokines (predominantly attracting neutrophils) include IL-8, ENA-78, IP-10, MIP-2, PF-4, NAP-2, MGSA/gro- $\alpha$ , and gro- $\beta/\gamma$ .

MCP-1 can be produced ubiquitously in all tissues and a range of cell types in the airway. MCP-1 also upregulates phagocytic activity [51] and MCP-1 exhibits higher expression in the airways of asthmatics [52] and patients with idiopathic pulmonary fibrosis [53]. RANTES production by airway epithelial cells is promoted by cytokines IL-1 and TNF $\alpha$  [54], LPS exposure [55], and viral infection. MIP-1 $\alpha$  is predominantly released from leukocytes and release from macrophage type cells is stimulated by LPS exposure. As with MCP-1, MIP-1 $\alpha$  levels are elevated in the airways of patients with IPF and asthma [56].

Macrophages produce the CXC chemokine interleukin-8 (IL-8) demonstrating a potentially major role in recruitment of neutrophils; particularly in view of the significant macrophage leukotriene  $B_4$  (LTB<sub>4</sub>) release on activation, which would further facilitate neutrophil chemotaxis.

### Lipid products

Leukotrienes are released from macrophages and activate them [24]. The mole percentage of arachidonic acid (AA) in macrophage membranes is of the order of 20-25% whereas in most cells it is approximately 3%. AMs metabolise AA through the 5-LO pathway preferentially as opposed to the cyclooxygenase (COX) pathways [57] and have a large capacity for release of leukotriene products. This is underpinned by the greater quantity of 5-LO and FLAP in AM compared to PBM [58]. Activation of leukotriene production in alveolar macrophages is however 5-10-fold less sensitive to stimulation with Ca<sup>2+</sup> ionophore compared to neutrophils [59], possibly reflecting a down regulation of responses to external stimuli to prevent excessive activation. Macrophages produce a range of leukotrienes (LTB<sub>4</sub>, LTC<sub>4</sub>, LTD<sub>4</sub>, LTE<sub>4</sub>) in addition to platelet activating factor (PAF). LTB<sub>4</sub> is the predominant leukotriene produced by human and rat AMs. The potential excessive production of leukotrienes could assist the development of disease aspects mediated by leukotrienes and opposed by prostaglandins. These could include acute bronchoconstriction and mesenchymal cell hyperplasia leading to increased smooth muscle mass or fibroplasia. One of the more obvious actions of LTB4 is in chemoattraction of other leukocytes. Chemoattraction by  $LTB_4$  is one of the intended targets in current development of LTB<sub>4</sub> antagonists in treatment of COPD and asthma. LTB<sub>4</sub> antagonists have been shown to inhibit allergen-induced neutropenia in allergic asthmatics [60].

The cysteinyl leukotrienes (LTC<sub>4</sub> and  $D_4$ ) stimulate vasodilation, increased vascular permeability, bronchoconstriction and mucous secretion. These actions undoubtedly provide targets for the cysteinyl leukotriene receptor antagonists currently marketed for treatment of asthma. PAF also mediates vasodilation, increased vascular permeability and bronchoconstriction.

Therefore, in addition to the priming role of macrophages in initiation of immune responses through release of cytokines including TNF $\alpha$  and IL-1,

macrophages can also contribute to the generation of acute inflammation. In this context the interstitial as opposed to alveolar (lumenal) macrophages could be of greater significance due to their proximity to respiratory vasculature and diapedesing cells.

COX-2 is induced by inflammatory stimuli including LPS, PAF, IL-1 and mitogens and downregulated by the immunosuppressive cytokines IL-4 and IL-10. Macrophages thus produce significant amounts of  $PGE_2$  on activation, which provides an inhibitory autocrine signal for macrophages and paracrine signal for inhibition of lymphocyte proliferation.

#### Enzymes

Macrophages release neutral proteinases (e.g. elastase, collagenase, plasminogen activator) although neutrophil elastase associated with macrophages could be present as a result of uptake from neutrophils. They also release alpha1-antiproteinase, which is targeted for inhibition by bacteria and plays a role in defending the lungs against catabolism.

#### Reactive oxygen intermediates

Macrophages produce several reactive intermediates including the following: hydrogen peroxide ( $H_2O_2$ ), singlet oxygen (O:), hydroxyl radicals (OH<sup>-</sup>) and super oxide (SO<sup>-</sup>). Oxygen intermediates in reaction with nitric oxide (NO) from inflamed airway epithelium can form peroxynitrites, which are more reactive. Although rodent macrophages demonstrate upregulation of inducible NO synthase (iNOS), iNOS induction in human macrophages is difficult to obtain compared to macrophages from rodents and iNOS production in airway inflammation is far more obvious in the epithelium than in the alveolar macrophages.

There are other groups of macrophage activators in addition to inflammatory/ immune cytokines. The plasma proteins include Hageman-Factor-related systems including kinins, clotting, fibrinolysis and complement [61].

#### Phagocytosis

Ligation of macrophage Fc receptors is involved in immunoglobulin-signalled phagocytosis. Macrophages express Fc receptors for IgA [62], the low affinity IgE receptor (CD23) [63] and IgG classes I, II and III [64]. Thus binding sites involved in phagocytosis include the following: FcR (IgG, IgE), CR3, C1qr, mannose receptors. Macrophage mannose receptors together with CD36 and vitronectin receptors are also involved in uptake of apoptotic cells [65].

A major question hangs over the concept of inhibiting macrophage function in general. This could result in reduced uptake of apoptotic cells. A consequence of diminution of this valuable macrophage function could be the promotion of necrotic focii where accumulated apoptotic cells are left to advance into necrosis. Endocytosis could be susceptible to interference from agents interacting with certain G-protein coupled processes, since the recycling of membrane material between intracellular and plasma membrane involves G-protein activity [66].

### Macrophage influence over T-cell development

Macrophage products can direct T-cell development in either Th1 or -2 type directions. Thus Th1 promoters include IL-12 [67], TGF $\beta$  [68], IL-1 $\beta$  [68]. Th1 supressors include IL-10, PGE<sub>2</sub> [69], TGF $\beta$  [70]. TGF $\beta$  appears to be able to promote Th1 or Th2 responses depending upon conditions. When AMs encounter a foreign antigen a Th1 response is normally elicited. In asthma however, there appears to be a predominance of Th2 activity. Whether this is influenced by macrophage-derived mediators or those of other cells at the point of initial antigen detection such as the DC or epithelium is not established. Alternatively, the DC could be a major director of T-cell differentiation independently of the macrophage within the lymph node and therefore in the absence of cells at the mucosal surface. Activated T cells already present at the mucosal surface may be further stimulated by MHC II-bearing cells not expressing the required co-stimulatory molecules for activation of naive cells in lymph nodes by DC. Thus macrophages and epithelial cells could be involved in sustaining either Th-1 or -2 type responses at the mucosal surface in secondary immune responses [71].

#### Macrophage inhibition of antigen presentation by dendritic cells

Depletion of AM by intratracheal administration of liposomes containing diphosphonate toxic for macrophages results in marked upregulation of antibody production in regional lymph nodes following intrapulmonary administration of antigen [72]. Macrophage inhibition of T-cell activation could be mediated by a range of inhibitory factors including IL-1 receptor antagonist [73], soluble TNF $\alpha$  receptor [74], H<sub>2</sub>O<sub>2</sub>, PGE<sub>2</sub>, IL-10 [75] and reduced IL-1 and B7 co-stimulatory molecule expression [76]. IL-1 receptor antagonist, while exhibiting anti-inflammatory activity has not demonstrated inhibition of APC-mediated T-cell activation [73]. Similarly the concentrations of TNF $\alpha$  receptor required to inhibit APC-mediated T-cell activation are several orders of magnitude higher than those required to inhibit TNF $\alpha$  induced inflammatory reactions, which are of the order of five times the active TNF $\alpha$  concentrations.

The concept of macrophages as modulators of antigen presentation raises the possibility that certain macrophage activities may be of some benefit under conditions of excessive inflammation.

# Effects of drugs

### Corticosteroids

Corticosteroids reduce the transcription of the early response gene JE, expression of TNF $\alpha$  [77], MCP-1 [32], MIP-1 $\alpha$  [78], production of IL-8 [79] and metalloproteinases [80]. Pretreatment of AM with corticosteroids also inhibits AA release and consequent production of leukotrienes and prostaglandins [81]. Corticosteroids also inhibit RANTES production from the epithelium, which reflects the capacity of corticosteroids to indirectly influence macrophage recruitment and activity.

The damping of excessive macrophage immune/inflammatory product release by corticosteroids may also impinge upon the macrophage capacity for endocytosis of apoptotic cells. This could conceivably promote accumulation of necrotic cells as described above. This concept has not been adequately explored.

# Elevation of cyclic AMP

Macrophages possess beta-adrenoceptors that function in terms of stimulation of production of cyclic adenosine monophosphate (cyclic AMP), but beta-adrenoceptor stimulation with the full agonist isoprenaline has not been found to inhibit alveolar macrophage superoxide production or release of thromboxane B2 [82]. Forskolin, a direct activator of adenylate cyclase and cyclic AMP production did in the same study inhibit macrophage activation. In addition AM  $\beta$ -receptor stimulation had no effect on oxidative metabolism or phagocytic activity [83]. The disparity in actions of forskolin and  $\beta$ -adrenoceptor agonists could be attributable to their relative efficacy in elevation of cyclic AMP; forskolin being far more efficacious than  $\beta$ -agonists. There is also the possibility that differential cell responsiveness to forskolin and  $\beta$ -receptor-stimulated cyclic AMP levels could involve differences in capacity of macrophage PDEs to remove cyclic AMP generated in response to these agents.

# Phosphodiesterase inhibition

The potential for elevation of cyclic AMP to provide a means of inhibition of inflammatory cell activity or immunomodulation has lead to an extensive search for compounds that will inhibit the predominant PDE type 4 present in inflammatory cells responsible for hydrolysis of cyclic AMP. PDE4 is the predominant cell type present in monocytes and its inhibition results in marked inhibition of LPS-stimulated monocyte TNF $\alpha$  production. The relevance of this observation to macrophage activity and the enormous amount of data generated in finding a PDE4 inhibitor for treatment of inflammatory diseases may be reduced by our observation and others [84] that PDE4 inhibition alone does not influence LPS-stimulated macrophage TNF $\alpha$  production (Fig. 1) or stimulated macrophage TXB2 or LTB<sub>4</sub> production. The response of monocytes and

macrophages to PDE4 inhibition is probably due to the large amount of PDE1 present in monocyte-derived macrophages and alveolar macrophages in addition to many of the other PDE types [85]. The large PDE1 type activity is far in excess of that of PDE4 in macrophages and will possibly provide sufficient cyclic AMP hydrolysis in the presence of full PDE4 inhibition. Combined PDE3 and 4 inhibition, however, has inhibited macrophage TNF $\alpha$  production [84], suggesting that PDE3 in addition to PDE1 and 4 is important.

### **Dendritic cells**

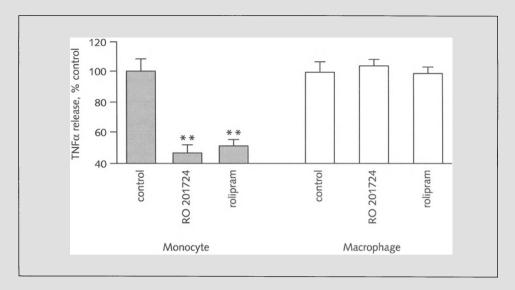
The requirement for contact interaction between DCs and lymphocytes in initiation of primary responses underscores the significance of cell surface adhesion molecule and co-stimulatory molecules expression in these cells. This assists identity and staging in maturation of DCs and there is far more information concerning this aspect of DC behaviour than the production and release of cytokines.

DCs *in vitro* and *in vivo* display dendriform "veil"-like projections (lamellipodia). *In vivo*, DCs interdigitate with the airway epithelia at a density of 500–800 cells/mm<sup>2</sup> in the larger human bronchioles [86]. The dendritic network at the base of the airway epithelium provides an essentially continuous barrier, more so in the upper airways, ensuring detection of foreign material traversing the epithelium.

#### Antigen processing

Primary responses to antigen involve uptake and processing of antigen prior to presentation of antigen peptide fragments to T lymphocytes in lymphoid tissue *via* MHC II (Fig. 2). The morphology and activity in the immature DC indicate considerable energy expenditure in sampling and processing foreign material for presentation. Coupled with the potency of DCs in antigen presentation leading to lymphocyte activation, the DC exhibits the characteristics of a professional antigen presenting cell. Antigen uptake is mediated by relatively specific and nonspecific routes. A nonspecific route involves macropinocytosis using the lamellipodia to form vesicles and recycle extracellular fluid at a rate of one cell volume per hour [87]. Specific uptake of antigen is mediated by two identified multilectin-type receptors found on DCs with 8 or 10 carbohydrate recognition domains. The macrophage mannose receptor with 8 lectin binding domains recognises mannosylated proteins. The second receptor carrying 10 lectin domains (DEC-105) has not been associated with any particular ligand so far.

Therefore, while macrophages are phagocytic for removal of pathogens and debris, DCs are not phagocytic, but internalise antigen for the purpose of processing involving coupling to MHC II molecules for presentation to T cells at the cell surface upon maturation.



#### Figure 1

Effect of PDE4 inhibition on monocyte and macrophage TNF $\alpha$  production. Human peripheral blood monocytes and macrophages matured from the same monocyte population were exposed to LPS (100 ng/ml) in the presence and absence of PDE4 inhibitors rolipram (1  $\mu$ M) and RO 201724 (1  $\mu$ M). TNF $\alpha$  accumulation was measured after 18 h by ELISA.

Isolated DCs lacking the maturity for antigen presentation possess adequate antigen processing capacity [88]. The processing capacity diminishes as DCs mature in readiness for antigen presentation. This process is visible through changes in cell surface marker expression including upregulation of adhesion and co-stimulatory molecules [89, 90].

#### Cell surface markers and accessory molecules

The emergence of the DC as the professional antigen presenting cell raises the importance of the nature of cell surface protein interactions that are possible between the DC and lymphocyte. Many of these cell surface markers which vary depending upon the maturational status of the DC are involved in co-stimulation as accessory molecules (Fig. 2). The restrictive markers currently recognised are DEC-205 (murine), 0X62 (rat) and CD83 and p55 (human).

In primary immune responses GM-CSF and IL-4 induced DC maturation upregulate the expression of the primary accessory molecule-derived stimulation through B7-1 (CD80) or B7-2 (CD86) and CD-28. Blocking studies have shown that CD28 and CD86 are equally necessary in promoting the Th2 bias observed in allergic

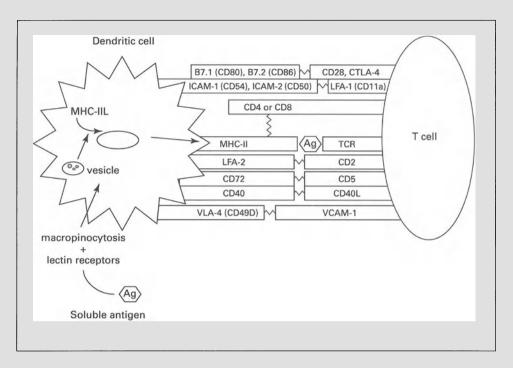


Figure 2 Antigen processing by dendritic cells and presentation to T lymphocytes.

immune states. In secondary immune responses, however, activated T cells (IL-2 producing) can be further activated by interaction with other MHC II expressing cells in conjunction with minimal co-stimulation; through ICAM-1 for example. This has relevance not only to secondary immune responses, but the maintenance of immune abnormalities in chronic diseases such as asthma.

# Cytokine influence

The difficulty in isolating DCs contributes to the relatively small amount of information concerning DC release products compared with macrophages. The mechanisms utilised by DCs to transmit signals concerning antigen presentation that directs lymphocyte development toward either Th1 or Th2 pathways are now being explored. IL-12, PGE<sub>2</sub> and IL-10 appear to play significant roles. IL-12 is released from DCs and facilitates T-cell IFN $\gamma$  production [91]. Thus, IL-12, as observed in other tissues, promotes a Th1 bias, while PGE<sub>2</sub> and IL-10 promote Th2 bias. PGE<sub>2</sub> can also promote antigen presentation and lymphocyte responses, while IL-10 is inhibitory against presentation and lymphocyte response.

IL-1 in conjunction with GM-CSF enhances DC immunostimulatory capacity [92]. IL-2 and IFN $\gamma$  are strong stimuli for increasing DC content in the airways. The actions of IL-2 and IFN $\gamma$  suggest that Th1 responses facilitate recruitment of DC.

GM-CSF, TNF $\alpha$  and IL-4 appear to be the most effective promoters of DC differentiation from immature cells leading to a DC population exhibiting potent lymphocyte activation [93]. Blockade of TNF $\alpha$  activity markedly reduces antigen presentation-induced T-cell activation mediated by DCs or exposure to anti-CD3 antibodies [94]. TNF $\alpha$  enhances maturation of DCs and facilitates their antigen presenting activity. It is conceivable that activation of mast cells and consequent release of TNF $\alpha$  is involved in the priming of DC antigen presenting activity.

Macrophage depletion upregulates DC antigen presenting activity. Macrophages appear therefore to provide both autocrine and paracrine inhibition of macrophage and DC activation. IL-10 is a possible candidate for involvement in inhibition of DC activity by macrophages. IL-10 inhibits the expression of surface antigens on APCs including B7 and MHC II [95] and the release of TNF $\alpha$  [75], IL-10 may also reduce DC densities by facilitating apoptosis [96].

#### Immunoglobulins

Immunoglobulin receptors on DCs mediate enhancement of their antigen presenting activity by IgE and IgG. In this context the facilitiation of antigen presenting activity by IL-4 can occur through two routes. Firstly, IL-4 and GM-CSF are the primary stimuli for dendritic cell maturation [93]. Secondly, IL-4 promotes B-cell IgE production, an action that would sustain the atopic reactions through IgE facilitation of DC antigen presentation.

#### Chemoattraction

DCs are also stimulated to migrate by C5a, N-formyl-met-leu-phe (FMLP) and the CC chemokines monocyte chemoattractant protein (MCP-3), MIP-1 $\alpha$ /LD78 and RANTES. IL-8 is not chemoattractant for DC and together these findings suggest a distinct pattern of chemoattractant stimuli for DCs and neutrophils. The migration of DCs, in other tissues (intestine, heart and kidneys) or analogous dermal "Langerhans cell" (LC) is promoted by TNF $\alpha$ ; a process that is sensitive to blockade by MoAb to TNF $\alpha$  [97].

#### DC maturation

The contribution of groups engaged in airway immunology has been considerable in the context of knowledge gained concerning DC antigen presentation, but there is at present a relative deficit in the knowledge concerning the functional relationship between the DC and others in the airway, with the exception of the T cell. Antigen challenge can induce a rapid (within hours) increase in the airway DC population. This implies that there is a resident population of dendritic precursors in readiness to mobilise immune responses. This is also implied by experiments involving irradiation [98]. Following local irradiation, the antigen-induced increase in monocytic cells within the airway is delayed to approximately 3 days, implying that a local source of cells contributes to the turnover. CD14<sup>+</sup> circulating monocytes can differentiate to DC, but it is not yet established that these cells provide the sole source of DC within the airway, or whether a bone marrow derived precursor is an alternative source.

DCs exist in a range of maturational states leading toward DC cell types with the capacity for antigen presentation at lymph nodes. The level of DC maturity is influenced by a range of local environmental factors including antigen exposure, cell-cell contact and local cytokine mileu. There are DCs within the airway that do not express MHC II and are possibly restricted from doing so by local factors until the DCs migrate from the epithelial region toward lymph node areas where maturation is completed in a lymphocytic environment. Mature dendritic cells express in the region of 106 MHC II molecules [99]. Although GM-CSF is an effective vector in driving DCs toward maturation for antigen presentation, exposure to GM-CSF alone is insufficient and the condition of precursor cells and local tissue environment are also important [100]. The conditioning of airway DCs is further complicated in the presence of chronic inflammation. Under such conditions GM-CSF, normally encountered at BALT and lymphocyte-derived, can be supplied by other cells in the mucosa including epithelia. Thus DC maturation for antigen presentation can be attained in the region of antigen entry under conditions of established inflammation and suggests the role of DCs in secondary immune responses at the epithelia. Under these circumstances activated interstitial macrophages could facilitate DC-mediated presentation. Furthermore, activated lymphocytes, particularly those in activation states, producing IL-2, have a reduced requirement for specific co-stimulatory molecule interaction. Under such conditions, many cells expressing MHC-II can facilitate antigen presentation provided they have certain surface molecules that have costimulatory function. This may include epithelial cells [71].

# Effect of topical exposure to bacteria, virus and allergen on expansion of MHC II bearing DCs

McWilliams and colleagues [101] have investigated the effects of topical administration of bacteria, virus and allergen on airway MHC II bearing DC populations. Inhalation of bacteria (dead or live *Moxarella catarrhalis*) results in rapid upregulation of the MHC II bearing DCs and is maximal within 1 h of acute exposure (30– 60 min). The morphology of the cells suggested an influx of immature Ia<sup>+</sup> DCs that underwent maturation over the following hours. Exposure to allergen in sensitised animals results in an increase in MHC II bearing DCs with a similar time-course to that following exposure to bacteria. Exposure to virus, however, while evoking an expansion of MHCII expressing DCs, exhibits a time course incorporating a lag phase of several days consistent with other immune/inflammatory responses to viral exposure.

#### Allergy and sensitised states

The DC population expands under conditions of sensitisation to allergen [102], allergic rhinitis [103] and asthma [104]. The sustained higher level of DCs in the airway of asthmatics may not represent a reduction of migration of cells to the lymph nodes, but a functional higher steady-state of equilibrium between recruitment and migration to lymph nodes. Thus elevated signalling for recruitment could ensure an accelerated supply of antigen, while the increased capacity for detection at the mucusoal surface is matched by increased volume of trafficking for signalling at the afferent lymphoid tissue.

The significant influence of GM-CSF in driving the differentiation toward DC has important implications in the maintenance of antigen presentation and its amplification in conditions such as asthma. GM-CSF is released from a range of cells in the airway, some of which are present in high concentration such the epithelium, mast cell and lymphocytes expressing predominantly Th2 in allergic states. The generation and enhancement of antigen presenting cell (APC) activity by GM-CSF is facilitated by interleukin-4 (IL-4), another cytokine released by the Th2-type phenotype and other cells in the airway including mast cells. These cytokines could therefore be involved in promoting DC activity in sensitised states under conditions of epithelial, mast cell and Th2 cell activation and elevated release of these cytokines from these cells.

# Effects of drugs

#### Corticosteroids

Nelson and colleagues have demonstrated that inhaled commercially available corticosteroids including fluticasone, beclomethasone and budesonide significantly reduce rat airway DC density [105]. Systemic administration of high doses of dexamethasone were also accompanied by loss of DCs. The kinetics of the corticosteroid-mediated dendritic loss indicated that most of the effect is established within 24 h through either route of administration and recovery is achieved on removal of corticosteroid within 2 days. The recovery probably reflects the rapid turnover of DC in the airways, which appears to be more rapid than in the periphery.

The reduction in proportion of  $Ia^+$  cells by corticosteroids was greater than the reduction in total DC density, but nevertheless demonstrates ablation of the APC section of the DC population. This group also demonstrated the inhibition by cor-

ticosteroids of increasing Ia<sup>+</sup> expression among DCs during weaning and DC expansion following irradiation and subsequent bone marrow administration. The latter finding indicates an interruption of the entry of DC to the airways, although whether this involves an inhibition of adhesion or subsequent diapedesis has not been established.

Nelson and colleagues also demonstrated the capacity of inhaled corticosteroids to undermine the bacteria-induced upregulation of MHC II cells [105]. Again it is not certain to what extent this inhibition measured at 24 h following exposure to bacteria involves interruption of upregulation of MHC II expression or the shift to a steady-state of cell concentration involving a greater DC content through cell influx in conjunction with upregulation of MHC II expression.

Moller and colleagues demonstrated elevated density in atopic asthmatics treated with bronchodilators alone compared with those treated with corticosteroids [106]. The level of DCs present in those treated with corticosteroid approached levels observed in nonasthmatic subjects.

#### Neuropeptides

DCs residing in the airway epithelium are in close proximity to neuronal cells. Interaction with neurotransmitters is therefore unavoidable. In this context substance P, a nonadrenergic noncholinergic (NANC) neuropeptide rerleased at this site promotes DC motility *in vitro* and DC accumulation *in vivo* can be blocked by capsaicin, which depletes substance P [107]. Calcitonin gene related peptide (CGRP) is a major neuropeptide and produced by airway epithelial cells [108] and suppresses B7-2 expression by DCs and peritoneal macrophages and antigen presentation by Langerhans cells [109]. This is interesting in the context of cyclic AMP, which usually provides a route for the damping of immune inflammatory responses. CGRP elevates cyclic AMP levels and this action in DC coupled with a reduction in B7-2 expression could be an indication that DCs respond to cyclic AMP in a manner consistent with other mononuclear cells. This does not, however, appear to be a universal finding of agents that stimulate cyclic AMP production as discussed below.

### Modulation of Th1 and Th2 bias in lymphocytes by cyclic AMP

 $PGE_2$  works in opposition to IL-12 in regulation of T-cell differentiation. Thus,  $PGE_2$ , which is a stimulus of cyclic AMP production directs T-cell development toward Th2 type and assists in maturation [110]. IL-12 is a consistent promoter of the Th1 type and the direction of Th development appears to be under the influence of PGE<sub>2</sub>:IL-12 ratio. Despite the significant effects of PGE<sub>2</sub> on T-cell responses to DC presentation, the range of possible effects of cyclic AMP elevating agents on DC function in terms of T-cell differentiation has not been fully explored.

# Conclusions

A system of airway immunity is emerging in which macrophages and dendritic cells provide distinct roles. Macrophages provide efficient phagocytic activity, while dendritic cells are the professional antigen presenting cell. The activity of macrophages and dendritic cells is influenced by cytokines through paracrine and autocrine pathways. It is prudent to consider the influence of other cell types in close proximity in the execution of the roles of these cell types. For dendritic cells in the airway the influence of the epithelium appears to be unavoidable. The effects of application of exogenous agents on these cell types in the context of pharmacology have received relatively little attention compared to their roles in maintenance of immune and inflammatory activity.

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# Contribution of endothelial cells to airway inflammation

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# Introduction

The single layer of endothelial cells that lines the microvessels of the bronchial circulation plays a key role in orchestrating airway inflammation. As a result of their strategic position at the blood-tissue interface, endothelial cells can interact with inflammatory cells and mediators at their luminal and abluminal surface. The response of endothelial cells to an inflammatory stimuli can be broadly divided into vascular and cellular events and this is summarized in Figure 1. The vascular changes include an increase in endothelial permeability of post-capillary venules and vasodilation of arterioles, as a result of the release of, amongst others, endothelial derived vasodilators. Increased expression of endothelial adhesion molecules and the production of leukocyte chemoattractants from endothelial cells triggers the cellular changes. Together, the vascular and cellular changes culminate in an increase in plasma leakage and leukocyte emigration through the endothelium into the underlying tissue at the site of inflammation.

This chapter aims to (i) outline the generalized structure of endothelial cells, highlighting specialized features of endothelium in the bronchial circulation; (ii) provide an overview of endothelial cell activation, relevant to airway inflammation; (iii) describe the contribution of endothelial adhesion molecules, release of chemoattractants, increase in endothelial permeability and release of endothelial-derived vasodilators to the inflammatory response in the airways; and finally (iv) describe how modulating these endothelial functions may provide useful therapeutic tools for reducing airways inflammation.

### Cellular structure

Endothelial cells from different organs display remarkable heterogeneity in structure and function and even within the same organ, large and small vessels may vary significantly [1]. Despite this heterogeneity there are characteristics common to all

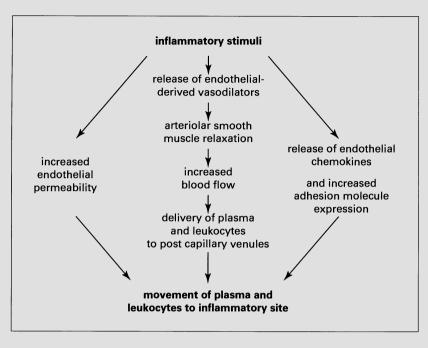


Figure 1 Vascular and cellular events associated with airway inflammation.

endothelial cells and those that, in particular, contribute to the role of endothelial cells in airway inflammation will be discussed.

Each endothelial cell is between 25 to 50  $\mu$ m long and 10 to 15  $\mu$ m wide. The thickness varies from less than 0.1 µm at the edges of vein or capillary endothelial cells, up to  $3-5 \,\mu\text{m}$  at the nucleus. Endothelial cells lie with their long axis in the direction of blood flow [2]. The luminal surface is in direct contact with the blood and the abluminal surface next to the basal lamina. In arterioles of the upper and lower airways, the basal lamina separates endothelial cells from one or two layers of smooth muscle cells that lie in a radial position underneath the endothelium [3]. This spatial arrangement allows an endothelial cell to contact many smooth muscle cells and this facilitates the rapid transfer of information between these cells resulting in, for example, smooth muscle relaxation in response to endothelial-derived vasodilators. In contrast, the basal lamina of capillaries that are situated under the epithelium and near submucosal glands separates the endothelial layer from only a few pericytes, rather than a smooth muscle layer [3]. In post capillary venules that arise from the subepithelial capillary network, the basal lamina separates the endothelium from a discontinuous layer of pericytes, in small vessels, or a continuous layer, in vessels of a diameter of  $30-50 \mu m$  [3].

The luminal and abluminal surfaces of endothelial cells have a carbohydrate-rich glycocalyx [4] which is thought to play a role in controlling plasma movement across the endothelium. Endothelial surfaces also have a negative charge [2] which, in the case of the luminal surface, may act to repel circulating blood cells with a similar negative charge. Another feature of endothelial cells is the presence of plasmalemmal vesicles [2]. These are numerous, small, vesicular invaginations about 500–700 Å in diameter which are more abundant in capillary than in arteriolar endothelial cells [3]. These vesicles may fuse to form transendothelial channels and are thought to be one way in which fluid and plasma proteins can pass through the capillary wall.

Endothelial cells in microvessels of the airways form either a continuous endothelial layer, as seen in post-capillary venules [5], or a fenestrated endothelial layer usually found in the subepithelial capillaries of the nose, or upper airways [3]. Fenestrae are circular structures of 50–60 nm that appear as gaps or openings in the endothelium [6]. Fenestrated and continuous endothelium have a similar permeability to plasma proteins but fenestrated endothelium show considerably higher permeability to water, ions and small molecules [6]. In the lower airways of most species, including healthy humans, fenestrations are only seen near the glands, neuro-epithelial bodies and bronchus-associated lymphoid tissue. In contrast, in asthmatic patients fenestrations have been shown to develop in the tracheobronchial subepithelial capillaries [7]. It is unclear whether these fenestrations develop as a marker of injury or whether they provide a functional advantage.

The inter-endothelial junctions also vary in different microvessels. Arterioles have a well developed network of tight junctions whereas capillaries have a less well organised system [3]. Endothelial cells of the post-capillary venules have the appearance of being loosely connected and their inter-cellular junctions are the least well organised, which may aid the separation of endothelial cells during inflammation [3, 5]. Many inflammatory mediators act at the endothelial junction allowing plasma and plasma proteins to pass, *via* a paracellular pathway, from the blood to the underlying tissue [3]. Emigration of leukocytes from the blood is also thought to occur at the endothelial junctions [3]. Disruption of endothelial cytoskeleton as actin-binding proteins provide a direct link between junctional proteins and actin microfilaments.

Finally, an organelle characteristic of endothelial cells, which also contributes to the inflammatory role of the endothelium, is the Weibel-Palade body. In response to inflammatory stimuli, such as histamine, thrombin or C5a, Weibel-Palade bodies rapidly fuse with the plasma membrane and release von Willebrand factor (vWF, also known as Factor VIII) and express P-selectin on the endothelial surface [4, 8]. P-selectin plays a key role in the initial phase of leukocyte rolling on the endothelium [8] and will be described in detail in the section on biological activity. Factor VIII has always been regarded as a marker for endothelial cells because it is present only in endothelial cells, megakaryocytes and platelets [1]. It is now clear, however, that Factor VIII is not uniformly expressed by all endothelial cells and is absent in a variety of microvascular endothelium. In contrast, platelet endothelial cell adhesion molecule-1 (PECAM-1 or CD31), expressed at endothelial junctions, is expressed by all endothelial cells and is often used as an endothelial marker [1].

## Mechanisms of activation

Early studies of endothelial cells identified certain proinflammatory substances, such as cytokines and bacterial products, as important stimuli of many endothelial functions [9]. Endothelial cells are also constantly exposed to a range of hemodynamic forces generated by pulsatile blood flow. There is increasing evidence that these biomechanical stimuli can directly influence endothelial function [10]. Also, it has recently become apparent that leukocyte binding to endothelial cells, *via* adhesion molecules, may directly trigger changes in endothelial cell function independent of soluble mediator release [11]. Figure 2 summarizes these mechanisms of endothelial activation.

In this section we will (i) give details of endothelial-activating cytokines which are increased in airway inflammatory diseases, to illustrate soluble mediator activation. We will also describe activation by bacterial products; other soluble activators of endothelial function will be referred to in the biological activity section of this chapter. Finally, we will describe (ii) biomechanical activation and (iii) adhesiondependent activation of endothelial function relevant to airway inflammation.

### Cytokine activation

Many cytokines have been implicated in the pathophysiology of airway inflammation. Those that may, in part, contribute to an inflammatory response by altering endothelial function include tumor necrosis factor  $\alpha$  (TNF $\alpha$ ), interleukin (IL)-1, -4, -13 and -6. The actual cytokine profile depends on the inflammatory disease; for example, increased expression of all the above are detected in asthmatic airways [12, 13], whereas in chronic bronchitis only TNF $\alpha$  and IL-4 are increased [14].

IL-1 is secreted predominantly from monocytes and macrophages but may also be produced by other cell types including endothelial cells [9, 15]. The two forms of IL-1, IL-1 $\alpha$  and IL-1 $\beta$ , are two distinct gene products. Despite limited amino acid sequence homology, IL-1 $\alpha$  and  $\beta$  have similar effects on endothelial cells [9, 15]. There are also two known forms of the IL-1 receptor and IL-1 is thought to activate endothelial cells *via* the type I receptor as the type II, or decoy, receptor is not expressed on endothelial cells [15]. IL-1 activation of endothelial cells increases adhesion molecule expression and the release of chemoattractant and vasoactive

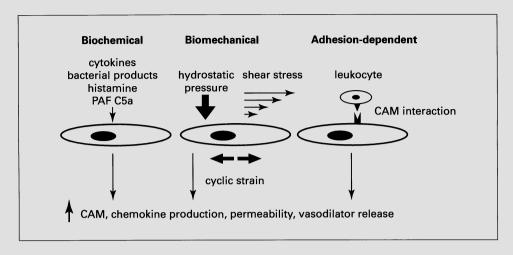


Figure 2 Mechanisms of endothelial activation CAM, cell adhesion molecule.

mediators. TNF $\alpha$ , produced by many cells including macrophages, mast cells and epithelial cells, mimics every known action of IL-1 on cultured endothelial cells [9, 15]. The effects of TNF $\alpha$  and IL-1 are often additive. There are also two known forms of the TNF receptor, p55 and p75, expressed on endothelial cells [15]. TNF $\alpha$  activates endothelial cells predominantly *via* p55 which is expressed, overall, at higher levels than p75. At the cell membrane, however, p75 is expressed at higher levels and is thought to present TNF $\alpha$  to p55. This effect is seen at low ligand concentrations and is known as "ligand passing" [15].

IL-4, a 20 kDa glycoprotein secreted by activated T lymphocytes and mast cells [16] induces vascular cell adhesion molecule-1 (VCAM-1) expression on endothelial cells derived from several vascular sites including human lung microvascular endothelial cells (HLMVEC) [17]. Unlike IL-1 or TNF $\alpha$ , IL-4 does not increase intercellular adhesion molecule-1 (ICAM-1) or E-selectin expression [17] although it may decrease expression of these adhesion molecules induced by other cytokines [18]. In contrast, IL-4 enhances LPS, IL-1 or TNF $\alpha$ -induced VCAM-1 expression [17, 18]. The presence of IL-4 may thus result in selective VCAM-1 expression. The leukocyte ligand for VCAM-1, VLA-4, is expressed on eosinophils or monocytes, but not neutrophils. Raised levels of IL-4 may therefore trigger the recruitment to the airways of eosinophils and mononuclear cells seen in certain airway inflammatory diseases such as asthma.

Effects of IL-4 on chemokine production may further fine-tune the leukocyte subtype recruited in the airways. RANTES is a CC chemokine that activates eosinophils and mononuclear cells and IL-4 can inhibit  $TNF\alpha/IFN\gamma$ -induced production of RANTES from endothelial cells [19]. In contrast, IL-4 increases the production, from fibroblasts, of eotaxin [20] a CC chemokine active on eosinophils but not mononuclear cells. IL-13 has similar activities to IL-4 on endothelial cells because both cytokines bind to the IL-4 receptor  $\alpha$  chain (IL-4R $\alpha$ ) expressed on endothelial cells [21]. We have shown that HLMVEC express IL-4R $\alpha$  and that TNF $\alpha$  increases its expression [17]. Endothelial IL-4R $\alpha$  expression is also significantly increased in bronchial mucosa biopsies from asthmatics compared with normal controls [22], although the mediators responsible for this have not been identified.

IL-6 is produced in copious amounts by endothelial cells but until recently this cytokine was not thought to affect inflammatory endothelial functions. This is because endothelial cells do not express the cytokine-binding alpha subunit of the IL-6 receptor (IL-6R $\alpha$ ) although they do express the signal-transducing gp130 chain known to be associated with IL-6R $\alpha$  in leukocytes [23]. Soluble IL-6R $\alpha$  together with constitutively produced endothelial IL-6 is sufficient to induce expression of ICAM-1, VCAM-1 and E-selectin and also production of IL-8 [24]. Activated neutrophils are known to shed significant amounts of IL-6R $\alpha$  which could be utilized by endothelial cells to further increase leukocyte recruitment [24]. IL-6 may therefore play a previously unsuspected role in amplifying leukocyte recruitment.

Gram-negative and gram-positive bacterial products also activate endothelial cells and these may play a role in exacerbating airway inflammation. Lipopolysaccharide (LPS), a major component of the cell wall of gram-negative bacteria, triggers a profile of endothelial activation, for example adhesion molecule expression, similar to that seen with TNFa and IL-1 [17]. Levels of LPS binding protein and soluble CD14, molecules involved in LPS activation of endothelial cells, are increased in post antigen challenge BALF of asthmatics [25]. Moreover, asthma severity in patients exposed to house dust mite has been shown to be related to LPS, rather than allergen, concentration in house dust [26]. Gram-positive bacteria are more heterogenous than gram-negative bacteria and it is more difficult to pin-point one agent (such as lipopolysaccharide for gram-negative bacteria) that might exacerbate airway inflammation. Cell wall products, peptidoglycans and teichoic acid and/ or exotoxins released from gram-positive bacteria, may be involved. We have shown that lipotechoic acid from Staphylococcal aureus (S. aureus) increases ICAM-1 and E-selectin expression on HLMVEC [27]. Exotoxin from S. aureus also increases Pselectin expression [28]. S. aureus is found in the early stages of airway inflammation associated with cystic fibrosis and exotoxin and/or lipotechoic acid activation of endothelial cells may contribute to this inflammation [29].

# **Biomechanical** activation

There is increasing evidence that hemodynamic forces generated by blood flow in vessels can directly influence endothelial function and may thus constitute a novel

paradigm of endothelial activation [10]. Flow-dependent changes in endothelial function have been described for large vessel endothelial cells and implicated in the development of atherosclerotic lesions [10]. In contrast, although it is known that inflamed microvessels are subjected to acute increases in blood flow, little is known about the direct consequences of these changes on endothelial function.

The hemodynamic forces generated in the blood vessel include (i) hydrostatic pressure that acts at right angles to the vessel wall and causes compressive stress within the cells; (ii) cyclic strain or stretch that results from the elongation to which cells are subjected following blood vessel distention; (iii) shear stress, which is the frictional force that acts parallel to the endothelial cell as blood flows across it (Fig. 2) [10]. Of these, the effects of shear stress on endothelial function have been best characaterised. Considerable progress has been made in defining positive and negative shear stress responsive elements (SSRE) in the promoters of biomechanically active genes and also the transcription factors that regulate their activation [30]. A positive SSRE has been identified in the promoter of the ICAM-1, but not E-selectin or VCAM-1, gene. This may account for why subjecting cultured endothelial cells to laminar shear stress (LSS; 2.5–46 dyn/cm<sup>2</sup>) induces a time-dependent increase in ICAM-1, but not VCAM-1 or E-selectin expression [30]. Biomechanical stimuli may therefore act as differential regulators of adhesion molecule expression and may also enhance or inhibit effects of soluble mediators.

The release of endothelial-derived vasodilators, nitric oxide (NO) and prostacyclin (PGI<sub>2</sub>), is also increased when endothelial cells are subjected to biomechanical stimuli [30]. LSS induces expression of endothelial NO synthase (eNOS) and cyclooxygenase-2 (COX-2), the enzymes involved in the synthesis of NO and PGI<sub>2</sub>, respectively [30]. NO and PGI<sub>2</sub> cause smooth muscle relaxation and arteriolar vasodilation characteristic of inflammation. MCP-1, a CC-chemokine that increases endothelial transmigration of mononuclear cells, is also induced by LSS [30]. To date, it is unknown whether changes in blood flow alter production of other chemokines.

## Adhesion-dependent activation

Leukocyte adhesion, *via* interactions of CD11/CD18 integrins with endothelial ICAM-1, also activates endothelial cells [11]. Adhesion molecule interactions were thought to simply facilitate close contact between endothelial cells and leukocytes and to allow the release of toxic leukocyte products into a microenviroment close to the endothelium. Recent evidence suggests, however, that adhesion molecule activation directly trigger changes in endothelial function. For example, ICAM-1-CD11/CD18 interactions in endothelial-monocyte co-cultures trigger E-selectin induction and chemokine generation, independent of soluble mediators [11, 31]. The mechanisms by which direct changes in endothelial function are triggered have

yet to be determined, but may involve the cytoskeleton transducing signals from adhesion molecules into functional responses in endothelial cells. Another endothelial adhesion molecule that also provides a signalling function is PECAM-1. Engagement of endothelial PECAM-1 with anti-PECAM-1 monoclonal mAb induces a slow but sustained release in intracellular calcium and a time-dependent increase in PGI<sub>2</sub> release [32]. These changes may facilitate leukocyte migration and enhance the vasodilator response of the blood vessel during inflammation.

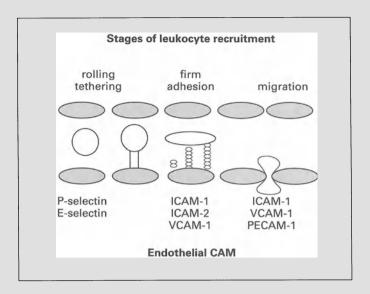
# **Biological activity**

The principle endothelial functions that contribute to the pathophysiology associated with airway inflammation are (i) adhesion molecule expression; (ii) chemokine production; (iii) alteration of endothelial permeability; (iv) production of vasoactive mediators. These are summarized in Figures 3–5. In this section we will consider each of these and give specific examples of their involvement in airway inflammation. Increases in adhesion molecule expression and chemokine production are thought to represent cellular changes of inflammation and increased permeability and release of vasoactive mediators, the vascular changes. Vascular and cellular changes are not, however, mutually exclusive; for example, leukocyte adhesion is known to increase endothelial permeability [33] and the endothelial-derived vasoactive mediator, NO, alters adhesion molecule expression [34].

# Adhesion molecule expression

Endothelial adhesion molecules play a key role in the three distinct phases of leukocyte recruitment described as rolling/ tethering, firm adhesion and transmigration (Fig. 3) [8, 35]. We will briefly describe each phase with specific emphasis on the role, in each, of endothelial adhesion molecules.

Leukocyte rolling only occurs under flow conditions and is normally associated with post capillary venules rather than arterioles [35]. Small changes in blood flow, and/or release of inflammatory mediators, induce expression of endothelial P- and E-selectin and this triggers rolling. The selectins are a family of three closely related cell surface molecules: E-selectin, expressed exclusively on endothelial cells; Pselectin, on endothelial cells and platelets; and L-selectin, expressed on most leukocytes but not endothelial cells [36]. The selectins have a unique and characteristic extracellular region made up of an amino-terminal calcium-dependent lectin domain, an epidermal growth factor (EGF)-like domain and a varying number of short consensus repeats (SCR) similar to those found in complement regulatory proteins [36]. Although these domains are found in numerous other proteins, the selectins are the only known example in which the three domains are found in



#### Figure 3

Involvement of endothelial adhesion molecules in the three stages of leukocyte recruitment; rolling/tethering, firm adhesion and migration.

immediate juxtaposition. This suggests that the spatial arrangement is important for receptor function [36].

The lectin domain plays an essential role in selectin-mediated adhesion because it binds to specific carbohydrate sugar residues, such as sialic acid and fucose, known to be essential components of selectin ligands [36]. The EGF-like domain is thought to contribute structural information required for the correct presentation of the lectin domain [36]. The SCR domain extends the ligand binding domain away from the cell surface and thus may facilitate contact with selectin ligands presented on moving leukocytes [36]. As suggested above the selectin ligands are, at least in part, carbohydrate. The prototype ligand for selectins is sialyl Lewis X, a tetrasaccharide containing a fucose and sialic acid residue [36]. Selectin adhesion is uniformly dependent on sialic acid and there is strong evidence that all three selectins requires a sulphate group, expressed on one of the sugar residues, for adhesion [36]. Several glycoprotein ligands for E-selectin have been identified including E-selectin ligand-1 (ESL-1). P-selectin glycoprotein-1 (PSGL-1) is a counter receptor for Pselectin and also for E-selectin which it binds with a lower affinity [36].

P-selectin is thought to mediate the very earliest leukocyte rolling since this stage is absent in P-selectin knock-out mice [36]. This early rolling is probably triggered following the release of histamine from tissue mast cells because histamine is known to rapidly mobilize P-selectin from the Weibel-Palade bodies to the cell surface [8]. Thrombin, complement fragments, free radicals and cytokines can also cause a rapid (peaks at 10 min), but transient (return to basal levels at 20–30 min), increase in P-selectin [8]. In addition to the rapid expression of P-selectin at the cell surface, LPS and cytokines increased in airway inflammation, such as TNF $\alpha$ , can up-regulate P-selectin at a transcriptional level [8].

E-selectin also supports leukocyte rolling at sites of inflammation, however, because of the requirement of *de novo* gene transcription for E-selectin expression, it does not contribute to rolling at the earliest phase of leukocyte recruitment. E-selectin is detected following stimulation with inflammatory cytokines or bacterial products (see previous section). E-selectin expression peaks at 4–6 h on cultured endothelial cells, for example HLMVEC, and returns to basal levels within about 24 h [17]. E-selectin expression is also increased in bronchial biopsy tissue from patients with rhinitis compared with control patients which may indicate a role for E-selectin in this airway inflammatory disease [37]. Also, monoclonal antibodies against E-selectin block neutrophil influx and late phase bronchoconstriction, after a single inhalation challenge, in a primate model of asthma [37].

When selectin-mediated rolling has slowed down the leukocytes sufficiently, firm adhesion can occur. Firm adhesion requires the interaction of endothelial immunoglobulin (Ig)-like adhesion molecules, ICAM-1, ICAM-2 and VCAM-1, with their respective leukocyte integrin counter-ligands [8, 38]. ICAM-1 binds the leukocyte  $\beta_2$ -integrin ligand  $\alpha_1\beta_2$  (CD11a/CD18) and  $\alpha_M\beta_2$  (CD11b/CD18) [8]. In contrast, ICAM-2 which has 2 Ig domains partly homologous with the first two domains of ICAM-1, binds only CD11a/CD18 [8]. VCAM-1, binds the  $\beta_1$ -integrin,  $\alpha_4\beta_1$  (VLA-4), and this will be discussed below. Unlike E-selectin, ICAM-1 is expressed under non-inflammatory basal conditions and on leukocytes, epithelial cells and smooth muscle cells, in addition to endothelial cells. It is possible that the selective basal expression of ICAM-1 may result from the LSS effects of blood flow through a vessel, described in the previous section. TNF $\alpha$ , IL-1, LPS, thrombin and exposure to oxygen radicals, upregulate ICAM-1 expression [8]. In general, expression is detectable at 2-4 h, maximal at 24 h and may be sustained up to 72 h; this has also been demonstrated for HLMVEC [17]. In contrast to ICAM-1, inflammatory stimuli do not up-regulate expression of ICAM-2. Compelling evidence showing that ICAM-1 is up-regulated, 5-6 h post antigen challenge in human asthma [37] and that ICAM-1 mAb inhibits airway eosinophilia and hyperresponsiveness in a primate model of asthma [39], suggests an involvement of ICAM-1 in airway inflammation.

A third member of the Ig family, expressed on endothelial cells, involved in firm adhesion is VCAM-1 [8]. There are two known forms of VCAM-1; one, with six Ig domains, is an alternatively spliced variant of the predominant seven domain form [8]. VCAM-1, like ICAM-1, is expressed on other cell types in addition to endothelial cells but like E-selectin, VCAM-1 is not normally constitutively expressed. The cytokines that induce VCAM-1 expression include, IL-1, 4, 13 and TNF $\alpha$  [17, 40].

LPS also induces VCAM-1 expression [17]. Increased expression can usually be detected within 2 h of stimulation and this upregulation may last for 72 h, although this is dependent on the induction stimulus. For example, LPS induces maximal expression on HLMVEC at 6 h and TNF $\alpha$  at 24 h, whereas no effect is detected with IL-4 alone until 72 h [17]. Evidence for the involvement of VCAM-1 in airway inflammation comes from studies showing that VCAM-1 expression is increased in nasal biopsies from patients with rhinitis [37]. Also increased VCAM-1 expression and subsequent eosinophil and T lymphocyte accumulation are found after bronchial allergen challenge in asthmatics [37].

Finally, following firm adhesion of leukocytes to the endothelium, leukocytes undergo dramatic shape change that allows them to pass through the inter-endothelial junction of the vessel wall and migrate into the surrounding tissue. For transmigration to occur a chemotactic gradient is usually essential but this does not negate the need for adhesion molecules. In addition to facilitating firm adhesion, ICAM-1 and VCAM-1 are also thought to aid transmigration. Another member of the Ig family that is not involved in firm adhesion but plays a key role in transmigration is PECAM-1 [8]. PECAM-1 has six Ig-like domains and homotypically binds PECAM-1 expressed on leukocytes [8], and the integrin  $\alpha_v\beta_3$ . PECAM-1 is expressed in large amounts on resting endothelium, but is specifically located at the endothelial junctions. This localization is thought to facilitate its role in migration and it may act to guide leukocytes through the inter-endothelial junction. It has recently been shown that TNF $\alpha$ /IFN $\gamma$  decreases PECAM-1 expression [41], although the significance of this is unclear.

### Chemokine production

A second aspect of endothelial function, namely the ability of endothelial cells to produce leukocyte chemoattractants, also contributes towards the cellular changes associated with airway inflammation. Endothelial cells are a rich source of PAF and they may also metabolize LTB<sub>4</sub>, from neutrophil-derived LTA<sub>4</sub> [42]. Endothelial cells can also produce, given the appropriate stimulus, several members of a recently described family of chemoattractant proteins called chemokines [43, 44]. Unlike classical chemoattractants, chemokines are specific, to a varying degree, for leukocyte subsets and may therefore contribute to the recruitment of different leukocyte subsets during airway inflammation [44]. In this section we will describe the role in leukocyte recruitment of IL-8, RANTES, and eotaxin which can be produced by endothelial cells and are increased during airways inflammation [44].

Chemokines are 8–10 kd proteins with 20 to 70 % homology in amino acid sequences that have been subdivided into families on the basis of the relative position of their cysteine residues [43, 44]. There are two main chemokine families, CXC ( $\alpha$ ) and CC ( $\beta$ ) [43, 44]. In the CXC chemokine family, one amino acid sepa-

rates the first two cysteine residues, whereas in the CC family the first two cysteine residues are adjacent to each other [43, 44]. The CXC chemokines can be further subdivided functionally into those that are chemotactic for neutrophils for example IL-8, and those that act on lymphocytes, such as IP-10 [44]. The CC chemokines, in general, do not act on neutrophils but attract monocytes, eosinophils, basophils and lymphocytes, with variable selectivity [44].

IL-8 is the most prominent neutrophil chemoattractant of the CXC chemokines. The involvement of IL-8 in neutrophil recruitment is three-fold (Fig. 4). First, IL-8 causes L-selectin shedding and upregulates CD11b/CD18 expression and affinity for its ICAM-1 ligand [43]. Together these actions disengage leukocyte rolling and trigger firm adhesion. Second, IL-8 provides a chemotactic gradient to facilitate neutrophil emigration into the tissue [43]. Third, IL-8 activates neutrophil function, for example release of degradative enzymes and respiratory burst [43]. Uncontrolled neutrophil activation may contribute to the tissue damage associated with airway inflammation. Cultured endothelial cells, activated with TNFa, IL-1 or LPS, release soluble IL-8 (first detected at 4 h), but also present IL-8 on the endothelial surface [45]. Presentation of IL-8, in this way, may facilitate L-selectin shedding and CD11b/CD18 up-regulation on leukocytes, because blood flow is less likely to remove or dilute it. The kinetics of IL-8 production are similar to E-selectin expression and it is thought that simultaneous expression of an adhesion molecule involved in leukocyte rolling and a chemokine that activates leukocyte integrins, is instrumental in converting rolling to firm adhesion [35]. Increased levels of IL-8 are detected in BALF fluid of cystic fibrosis patients [46] and asthmatics [12] and may correlate with the influx of neutrophils into the airways in these diseases.

Many CC chemokines have been detected in the airways of patients with asthma, including RANTES and this chemokine is thought to contribute to the accumulation of and/ or activation of eosinophils, T cells, monocytes and basophils [44]. Modulation of RANTES production from endothelial cells by cytokines was discussed in the previous section. In addition, the amount of RANTES released from TNFa/IFNy-stimulated human nasal mucosal microvascular endothelial cell obtained from patients with nasal allergy is higher than from patients without allergy [47]. As described for IL-8, there is evidence for the involvement of RANTES at most steps of leukocyte recruitment. In vitro studies have shown effects on chemoattraction, transendothelial migration, release of eosinophil cationic protein (ECP) and induction of production of reactive oxygen species; there is some disagreement however, as to whether RANTES increase the expression of CD11b/CD18 [43]. In contrast to RANTES, eotaxin is thought to activate eosinophils but not mononuclear cells because the CCR3 receptor, the only known eotaxin receptor, is not expressed on mononuclear cells [44]. Murine endothelial cells produce eotaxin following cytokine stimulation [48]. Eotaxin can increase CD11b/CD18 expression on eosinophils, increase eosinophil adhesion and chemotaxis and also trigger eosinophil oxidative burst [49-51].

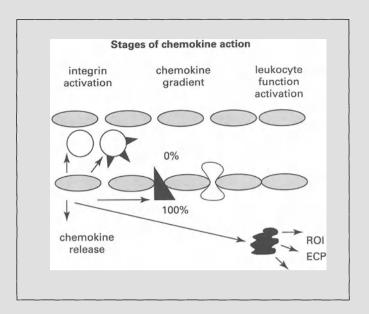


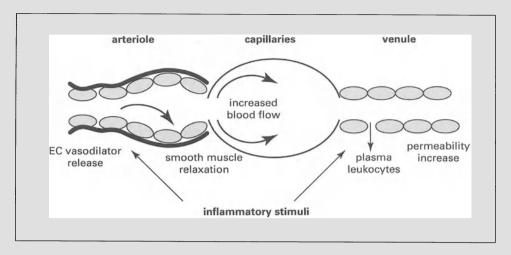
Figure 4

Involvement of endothelial-derived chemokines in leukocyte activation and migration. ROI, reactive oxygen intermediates; ECP, eosinophil actionic protein.

Finally, a recently identified chemokine that does not fit into the CC or CXC chemokine families is fracktalkine [44]. To date, this is the only known member of the CXXXC chemokine family and is a membrane-bound glycoprotein in which the first two cysteine residues are separated by three amino acids and the chemokine domain sits on a mucin-like stalk. Fractalkine is induced on cultured human endothelial cells and promotes adhesion of monocytes and activated T cells *in vitro* [44]. The presentation of a chemokine on the cell surface puts it in an ideal position to stimulate circulating leukocytes without being removed by blood flow or cleared by DARC, a promiscuous chemokine receptor on the surface of erythrocytes. To date, it is not clear whether the unique structure of fractalkine allows this chemokine to directly mediate adhesion in addition to activating adhesion molecules.

# Permeability

One of the most prominent homeostatic activities of the endothelium is the regulation of exchanges between the blood and the underlying tissue. Changes in endothelial permeability of bronchial post-capillary venules lead to plasma exudation and tissue oedema characteristic of airway inflammation. Oedema formation causes



#### Figure 5

Involvement of increased endothelial permeability and release of endothelial-derived vasodilators in plasma and leukocyte leakage from the circulation.

mucosal thickening which may contribute to the bronchoconstriction associated with airway inflammatory diseases such as asthma. Whether or not the venular endothelial junctions of these microvessels are abnormally leaky in patients with such diseases is unknown. What is known, however, is that many of the inflammatory mediators implicated in the pathogenesis of airway inflammation increase endothelial permeability (Fig. 5). In this section, we will give examples of two mediators, histamine and PAF, that are thought to directly alter endothelial permeability.

Histamine, a biogenic amine stored in cytoplasmic granules of mast cells, is one of the first inflammatory mediators released in response to an inflammatory trigger during airway inflammation. When mast cells degranulate the released histamine activates local target cells including the endothelium [52]. Histamine increases transendothelial permeability of cultured endothelial cells to albumin [52]. This is associated with reorganisation of the F-actin fibres of the cytoskeleton and increases in  $[Ca^{2+}]_i$  and phosphoinositide turnover [52]. Local installation of histamine onto human nasal mucosa leads to increased recovery of albumin in the nasal lavage fluid, suggesting transient increases in epithelial and endothelial permeabilities [53].

PAF is a lipid mediator which is newly synthesised and released during airway inflammation, mainly from mucosal mast cells but smaller quantities are also produced by endothelial cells. PAF like histamine, causes the contraction of human endothelial cells and increase permeability of endothelial cells in culture [52]. PAF is also known to be one of the most potent inducers of microvascular leakage throughout the guinea-pig airway, when administered intravenously [53]. It is approximately 10,000-fold more potent than histamine, although its duration of action is shorter. It has been suggested that oedema resulting from increased airway microvascular permeability may be responsible for airway narrowing in human subjects after the inhalation of PAF since PAF does not contract airway smooth muscle *in vitro* [53].

In response to inflammatory stimuli, macromolecules and plasma move from the blood to the surrounding tissue either *via* paracellular pathways, at the intercellular junctions, or transcellular pathways across the cell membrane [6]. It is thought that many inflammatory mediators, including PAF and histamine act directly at the endothelial junctions [52]. It has been known for some time that actin filament reorganization is involved in endothelial permeability changes [52]. In general, stabilization of actin cytoskeleton reduces endothelial permeability and inhibition of actin microfilament assembly increases it. Until recently, however, little was known about the molecular targets at the cell junctions [54]; whether permeability increasing agents can alter the distribution or function of these molecules remains to be seen.

#### Production of vasoactive mediators

Endothelial cells are a rich source of vasodilators including NO, prostaglandins (PG)  $E_2$  and PGI<sub>2</sub> and also vasoconstrictors such as endothelin and angiotensin II [9]. These mediators have direct effects on vascular smooth muscle (Fig. 5), but may also have more wide-reaching effects on airway smooth muscle. These "long distance" effects may occur because of the close anatomic relationship between microvascular endothelial cells and airway tissue. In this section, however we will only discuss the effects of vasodilators on vascular smooth muscle relaxation.

NO, a highly reactive gas with a half-life of seconds in biological systems, is formed when NO synthase (NOS) enzymes convert L-arginine to L-citrulline [55]. It has now become clear that there are at least three different NOS isoforms: (i) neuronal (nNOS), (ii) macrophage or inducible (iNOS) and (iii) endothelial (eNOS) [56]. Despite the terminology, NOS expression is not restricted to these cells. NO plays a key role in the regulation of cellular processes in the cardiovascular, nervous and immune systems but the complexity of its role in the airways and its involvement in airway inflammation is still being unravelled. Increased levels of NO are detected in the BALF of asthmatics and it is thought that this may be an important marker of inflammation in the airway [57]. There is controversy, however, as to the main cell source of NO in airways disease but certainly endothelial cells in the nasal mucosa of rhinitic patients stain strongly for eNOS and to a lesser extent, iNOS [58].

NO increases blood flow in the bronchial circulation and this may contribute, in part, to the increase in plasma exudation and oedema formation associated with air-

way inflammation [57]. NOS inhibitors, applied to the surface of guinea-pig airways, have however, also been shown to increase plasma exudation into the airway lumen [57]. It is possible that the latter effect of NOS inhibitors may be at the level of the epithelium. In support of this we have shown that NOS inhibitors increase cytokine-induced damage of lung epithelial cells in culture [59]. These results suggest that NO may have pro and anti-inflammatory effects in airway inflammation. The site of NO production, the target cells on which it acts and/ or the amount of NO produced may combine to determine the predominant effect.

There are also two isoforms to the COX enzyme involved in the synthesis of prostaglandins. These are COX-1, thought to be responsible for the production of prostaglandins during acute inflammation and COX-2 which is thought to be the predominant isoform present during chronic inflammation [60]. PGI<sub>2</sub> and PGE<sub>2</sub> are the vasodilators produced by most arteries and high yields of PGI<sub>2</sub> are especially characteristic of endothelial cells. Microvascular endothelial cells, however, produce predominantly PGE<sub>2</sub> and PGF<sub>2 $\alpha$ </sub> although the profile of prostaglandins produced by endothelial cells from the bronchial microcirculation circulation, has not been specifically addressed. As described for NO, PGs will act directly on the vascular smooth muscle of arterioles to cause vasodilation which contributes to the associated plasma exudation. PGE2 and PGI2 have been shown to synergise with mediators such as histamine that act directly on the endothelium of the post capillary venules, to increase permeability and greatly potentiate oedema formation [60]. This synergism is probably the result of increased blood flow through the capillary bed with the delivery of more plasma to venules downstream. The resulting increase in hydrostatic pressure in the venules also contribute to enhanced plasma protein leakage.

# Pharmacological modulation in vitro

In this last section, we will describe ways in which endothelial function can be modulated *in vitro*. In particular, we will emphasise the endothelial modulatory effects of anti-inflammatory agents that are routinely used in the treatment of airway inflammation, such as glucocorticoids and  $\beta$ -agonists. Other ways in which endothelial function may be inhibited *in vitro* will not be covered in detail but include tyrosine kinase inhibition and antisense. Tyrosine kinase inhibitors suppress endothelial functions, including adhesion molecule expression [61] and the induction of COX-2 [60]. Identification of specific tyrosine kinases involved with individual endothelial functions might lead to the development of specific inhibitors of these functions. Another approach that might result in selective inhibition of the inflammatory response is the use of anti-sense oligonucleotides to inhibit the expression of mRNA for adhesion molecules [62]. These targeted approaches may provide useful therapeutic tools for reducing airway inflammation, in the future. Glucocorticoids, used in the treatment of asthma and rhinitis, have potent and wide ranging anti-inflammatory effects including inhibition of leukocyte influx into the airways, inhibiton of inflammatory mediator release and reduction of microvascular leakage. The relative contribution to these effects of endothelial function inhibition is hard to assess *in vivo*. *In vitro* studies, however, provide strong evidence that glucocorticoids inhibit, to a varying degree, the endothelial functions discussed in the biological activity section of this chapter. Glucocorticoids, such as dexamethasone, inhibit, in part, ICAM-1 and E-selectin expression on human endothelial cells, in culture [63, 64], and also abolish cytokine-induced increases in endothelial monolayer permeability [65]. Glucocorticoids also block chemokine release from endothelial cells [66]. Finally, inhibition of induction of the inducible isoenzymes iNOS and COX-2 may also contribute significantly to the anti-inflammatory effects of glucocorticoids [60, 67].

Steroids have, however, many harmful side-effects when used chronically and thus the development of drugs with an effective anti-inflammatory profile but with fewer side-effects would be highly desirable. A strategy that has received much attention recently, especially in the context of asthma, concerns increasing intracellullar cAMP levels in cells involved in the inflammatory process [68]. Activation of adenylate cyclase or inhibition of phosphodiesterases, the enzymes involved in the synthesis and breakdown of cAMP respectively, elevate intracellular cAMP. Inhaled  $\beta$ -agonists, used to treat bronchoconstriction associated with airway disease, cause relaxation of the airway smooth muscle as a result of adenylate cyclase activation and subsequent cAMP elevation in these cells. Elevation of intracellular cAMP in endothelial cells may also contribute, in part, to the anti-inflammatory potential of cAMP elevating agents used to treat airway inflammation.

Endothelial cells express PDE3 and 4 as the major cAMP hydrolysing enzymes. We have shown that inhibition of PDE4, with appropriate activation of adenylate cyclase, is sufficient to inhibit TNF $\alpha$ -induced E-selectin expression and neutrophil adhesion, whereas combined inhibition of PDE3 and 4 is required for inhibition of VCAM-1 and eosinophil adhesion [69]. In contrast, neither condition inhibited ICAM-1 expression. Selective inhibition of adhesion molecule expression may have therapeutic implications for the treatment of leukocyte recruitment associated with airway inflammation. Activation of adenylate cyclase with simultaneous PDE3 and 4 inhibition, also blocks thrombin-induced endothelial permeability increases [70]. These studies provide clear evidence that increasing intracellular cAMP within endothelial cells may have important anti-inflammatory effects.

In summary, we have outlined the generalized structure of endothelial cells highlighting specialized features of endothelium of the bronchial circulation; provided an overview of endothelial cell activation relevant to airway inflammation; described the role of endothelial adhesion molecules and chemokines in orchestrating leukocyte recruitment and the implications for airway inflammation of increased endothelial permeability and release of endothelial-derived vasodilators. Finally, we have described how these endothelial functions may be modulated *in vitro*. In conclusion, the single layer of endothelial cells that line the microvessels of the bronchial circulation play a pivotal role in orchestrating airway inflammation. Inhibition of endothelial function may therefore provide a useful therapeutic strategy for reducing airways inflammation.

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# Airway epithelial cells

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# Introduction

The epithelial lining of the airways forms the first line of defence against toxic and infectious agents in the inspired air. Although airway epithelial cells have traditionally been seen to play a vital role in providing an impermeable barrier and clearing the airways of noxious inhaled agents, through efficient mucociliary clearance, there is now increasing evidence to suggest that airway epithelial cells play a more important physico-chemical role. Several studies have demonstrated that epithelial cells are capable of synthesising and releasing several biologically active mediators which directly or indirectly influence the activity of inflammatory cells important in allergic airway diseases, including allergic rhinitis and asthma [1].

# The airway epithelium as a physical barrier

The airway epithelium is a membrane-like barrier, comprised of several epithelial cell types (Fig. 1), and provides an interface between the respiratory system and the external environment. Whilst the anterior third of the nasal cavity is covered by a squamous epithelium, the posterior two-thirds is covered by a pseudo-stratified ciliated columnar epithelium. Although the distribution and characteristics of the cells in the upper epithelial lining are consistent with those of the lower airways [2, 3], the basal cells gradually decrease in number distally in the lower airways, until there are none in the terminal and respiratory bronchioles, and the pseudo stratified columnar epithelium is replaced by a single cuboidal epithelium.

# Basal cells

The basal cells, which appear polygonal to ovoid in section, form a single cell layer along the airway basement membrane and are thought to play a major role in both

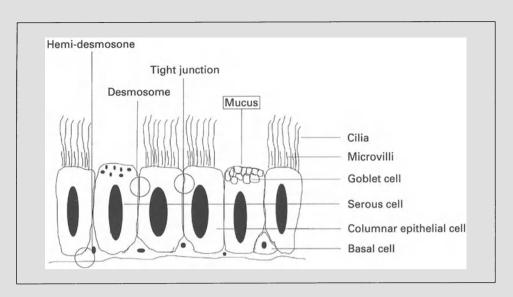


Figure 1 Schematic diagram of the major epithelial cell types in the airway epithelium.

the generation of columnar and goblet cells [4] and the attachment of columnar cells to airway basement membrane [5].

Ciliated columnar epithelial cells

The columnar cells constitute the superficial luminal borders of the epithelium and are composed of both ciliated and non-ciliated cells. The terminally differentiated ciliated cells form an upper cell layer at the apical surface of the airway epithelium and play a central role in mucociliary clearance, which is an integral component of defence against infectious agents and inhaled toxic materials in the respiratory system [2]. Electron microscopic studies of the airways have demonstrated that the ciliated cells are each covered with 50-100 hair-like mobile structures (cilia), each 4–6  $\mu$ m in length and 0.33  $\mu$ m in diameter in thickness, and are joined to each other at the luminal surface by tight junctions, which serve in maintaining a permeability barrier [2, 6] and preserving the integrity of the epithelium [7]. The cilia beat in synchrony to transport mucus efficiently and it has been suggested that the ciliary activity of the epithelial cells may be under the influence of the autonomic nervous system, since both adrenergic and cholinergic agents can stimulate the ciliary beat frequency of these cells [8].

In addition to cilia, the columnar cells are also covered by 300-400 microvilli, which are small finger-like projections from the cell surface: these are up to a max-

imum of 2  $\mu$ m in length, approximately 0.1  $\mu$ m in diameter, and unlike the cilia are immobile [9]. The microvilli prevent drying of the surface of the nasal mucosa and may also help in the transport of fluid and electrolytes between the cells and nasal fluid. The microvilli are not thought to be the precursors for cilia.

## Non-ciliated columnar epithelial cells

The non-ciliated cells (also referred to as mucus cells) comprise goblet, serous, Clara and pre-secretory cell types, and are involved primarily in the production of airway secretions. These cells are also thought to be progenitor cells for terminally differentiated ciliated cells [10]. Goblet cells are unicellular mucous glands, with basally situated nuclei, and produce abundant droplets of mucus in the more superficial part of the cells before releasing them directly onto the surface of the ciliated epithelium [11]. Goblet cells are widely distributed throughout the surface of the respiratory epithelium and thought to be under the control of capsaicin-sensitive sensory nerves, since they can be induced to secrete mucus by neuropeptides released from capsaicin-sensitive sensory nerve endings [11].

## The airway epithelium as a biochemically active barrier

#### Airway epithelium and hyperresponsiveness

Although increased airway responsiveness is a cardinal feature of bronchial asthma, this phenomenon is also associated with several other disease states, where airway inflammation and damage to the airway mucosa is well established. Consequently, it has been suggested that airway hyperresponsiveness may be related to the disruption of airway epithelium and airway inflammation. It is, however, not clear whether the loss of epithelial integrity in bronchial asthma contributes to the increased bronchial responsiveness or whether it is itself a result of airway inflammation and the consequential hyperreactivity. Nevertheless, there are several possible mechanisms through which epithelial abnormalities could lead to increased airway reactivity, including (i) increased permeability to allergen [12, 13]; (ii) changes in osmolarity of the airway surface lining fluid [13]; (iii) exposure of sensory nerve fibres to irritants and potentiation of local axon reflexes [12]; (iv) increased production of inflammatory mediators [1] and reduction of putative "protective" (both anti-inflammatory and relaxing) mediators [14, 15]; and (v) modulation of the immune system [16]. Of these mechanisms, the latter two have been the most widely studied and suggest that airway epithelial cells may play an important role in the modulation of airway function (under both normal and abnormal conditions) by influencing the expression, synthesis, release and degradation of substances such as nitric oxide, endothelin, metabolites of arachidonic acid, specific pro-inflammatory cytokines, "protective" substances, cell adhesion molecules and major histocompatibility complex (MHC) class II antigens. For the purpose of this chapter, some of these studies will be discussed in greater detail.

# Culture of human airway epithelial cells in vitro

In spite of the increasing evidence for an important physico-chemical role of airway epithelium *in vivo*, it has, however, not proved easy to assign a specific pathogenic role to the airway epithelium, due to the presence of other cell types and underlying tissues. Consequently, a large number of mechanistic studies have employed human airway epithelial cell cultures as an ideal *in vitro* model system for investigating the association between airway epithelial cells, inflammation and hyperresponsiveness in airway disease. Although nasal and bronchial epithelial cells have been cultured *in vitro* by several groups, a major difficulty experienced by many of workers in the field has been to consistently grow these cells to confluence and to the terminally differentiated ciliated cell type. We have addressed these difficulties and have demonstrated that both nasal and bronchial ciliated cell types [17], and can be used in further investigations.

Airway epithelial cell-derived mediators

# Nitric oxide

Studies have demonstrated that nitric oxide (NO) is a highly reactive multi-functional chemical produced by many diverse cell types, including epithelial cells, from L-arginine by the action of the enzyme nitric oxide synthase (NOS) [18, 19]. NOS is present as three isoforms, of which two are expressed constitutively and one is inducible. The constitutive isoforms (cNOS) are activated by calcium influx in response to physiological stimuli, to synthesise endogenous NO at picomolar concentrations required for the maintenance of physiological homeostasis [18–21]. In contrast, the inducible isoform (iNOS) is calcium-independent and transcribed in response to endotoxin and cytokines such as interferon  $\gamma$  (IFN $\gamma$ ), interleukin (IL)-1 $\beta$ and tumour necrosis factor  $\alpha$  (TNF $\alpha$ ) [18, 19, 22]. It is thought that the nanomolar concentrations of NO synthesised by the action of iNOS may lead to generation of peroxynitrites and hydroxyl radicals, which subsequently damage tissue [21].

Indeed, Guo and colleagues have demonstrated that in normal human airways iNOS mRNA is expressed abundantly and predominantly in airway epithelial cells, and that NO synthesis was due to continuous expression of the iNOS isoform in airway epithelial cells [23]. Other studies have suggested that bronchial epithelial cells of asthmatics may express increased levels of iNOS, compared with cells of nonasthmatic individuals, and consequently may contribute to increased epithelial damage and shedding observed in these individuals [21, 24].

#### Endothelin

The endothelins (ETs) are a family of three isopeptides, ET-1, ET-2 and ET-3 synthesised and released from both bronchial and nasal epithelial cells [25, 26] and mediating a number of effects including vasoconstriction, a slow but prolonged bronchocontraction and mucus secretion. Wu and colleagues have shown that ET-1 can also stimulate the synthesis of the eicosanoids, prostaglandin (PG)  $E_2$ , PGF<sub>2α</sub>, PGD<sub>2</sub>, thromboxane  $B_2$ , and 15-hydroxyeicosatetraenoic acid (15-HETE) in human nasal explant cultures [27].

Several studies have demonstrated that bronchial epithelial cells express ETs and that mediators such as IL-1, TNF $\alpha$ , histamine and endotoxin can upregulate the expression of epithelial ET [28, 29]. These studies suggest that epithelial-derived ET play a role in the aetiology and pathogenesis of airway disease, particularly asthma. Indeed, several studies have demonstrated that the concentration of ET is raised in airways of asthmatics.

Studies investigating bronchoalveolar lavage (BAL) samples collected from asthmatics have demonstrated that ET-1 and ET-3 are raised in symptomatic individuals [30, 31]. Similarly, bronchial biopsy studies have also demonstrated that ETimmunoreactive material is present in increased concentration in airway epithelium of asthmatic individuals [32, 33]. Vittori and colleagues have investigated bronchial epithelial cells isolated from bronchial biopsies of patients with symptomatic asthma, patients with chronic obstructive pulmonary disease (COPD) and healthy nonsmoking volunteers, and demonstrated that epithelial cells of asthmatics and COPD patients, but not cells of healthy non-smokers, expressed and released increased amounts of immunoreactive ET-1, *in vitro* [34].

#### Arachidonic acid metabolites

We and others have shown that cultured human tracheal and bronchial epithelial cells can metabolise arachidonic acid to PGE<sub>2</sub>, PGF<sub>2α</sub>, leukotriene (LT) B<sub>4</sub>, LTC<sub>4</sub> and additionally 12-HETE and 15-HETE [35–38]. Although there is evidence that many arachidonic acid metabolites may act as potent bronchial constrictors as well as cell activators and chemoattractants, the generation of these mediators and their relevance in airway inflammation and the initiation of hyperreactivity in asthma remains unclear. However, LTC<sub>4</sub> and its metabolites LTD<sub>4</sub> and LTE<sub>4</sub>– have significant bronchoconstrictor activities. LTC<sub>4</sub> and LTD<sub>4</sub> can also increase membrane permeability and cause microvascular leakage and/or airway oedema. LTB<sub>4</sub> and LTE<sub>4</sub> have been shown to be potent chemoattractants for eosinophils and may further

activate either eosinophils or the vascular endothelium to increase the migration of these cells [39, 40]. Similarly, PGD<sub>2</sub> and PGF<sub>2 $\alpha$ </sub> have potent "bronchoconstrictive" effects [41].

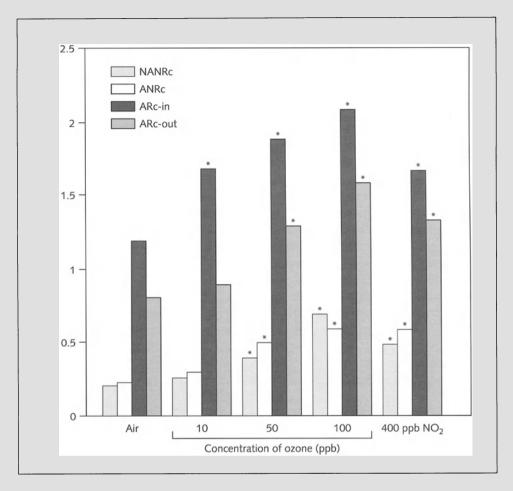
#### Inflammatory cytokines

Recent studies have demonstrated that human airway epithelial cells can generate a wide variety of cytokines, which either directly or in conjunction with one another influence the growth, differentiation, activation, migration, and survival of other inflammatory cells and therefore feature prominently in the allergic inflammatory response [42–45]. The epithelial cytokines can be divided into four groups according to their functions: (1) chemotactic factors, (2) colony stimulating factors, (3) growth factors and (4) pro-inflammatory multifunctional cytokines; and include IL-1 $\beta$ , IL-3, IL-6, IL-8, granulocyte macrophage colony stimulating factor (GM-CSF), granulocyte colony stimulating factor (G-CSF), TNF $\alpha$  and regulated on activation, normal T cell expressed and secreted (RANTES) and monocyte chemotactic protein-1 (MCP-1).

However, recent studies have demonstrated that there are differences in the ability of epithelial cells of atopic and non-atopic individuals to synthesise different amounts and/or profiles of pro-inflammatory cytokines, and suggest that genetic predisposition and manifestation of the symptoms of allergic airway disease in the atopic individuals may, at least in part, account for these differences.

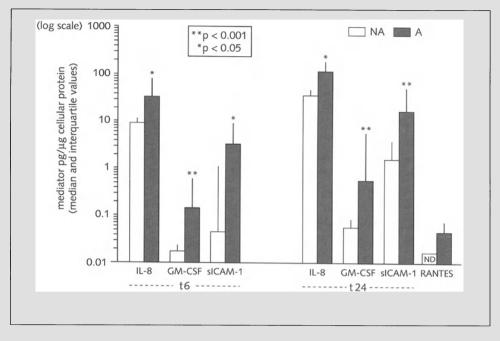
Studies of epithelial cells cultured from nasal tissue of non-atopic non-rhinitic subjects, patients with allergic rhinitis and patients with nasal polyps have demonstrated that epithelial cells from rhinitics and individuals with nasal polyps synthesise significantly greater quantities of GM-CSF and IL-8, than cells of healthy non-atopic non-rhinitic individuals [46, 47]. Studies from our laboratory have demonstrated that epithelial cells cultured from nasal biopsies of atopic non-rhinitic and atopic rhinitic patients, release significantly greater amounts of IL-8, GM-CSF and TNF $\alpha$ , than non-atopic non-rhinitic healthy volunteers [48]. Additionally, our studies have demonstrated that epithelial cells from atopic rhinitics release significantly greater amounts of IL-1, TNF $\alpha$ , GM-CSF and RANTES during the pollen season [48]. Furthermore, epithelial cells of atopic rhinitics are more sensitive to stimulants, and exposure to ambient concentrations of ozone (O<sub>3</sub> 10 ppb) exacerbates the release of RANTES only from the cells of atopic rhinitics during the pollen season (Fig. 2).

Similarly, studies of bronchial epithelial cells have demonstrated that asthmatics synthesise greater quantities of IL-1 $\beta$ , IL-16, GM-CSF and MCP-1 than non-asthmatic subjects *in vivo* [49–51] and the expression of IL-16 correlates well with the numbers of CD4<sup>+</sup> cells [51]. Preliminary studies of epithelial cells cultured from bronchial biopsies of well characterised groups of asthmatic and non-asthmatic subjects in our laboratory have also demonstrated that bronchial epithelial cells of



Effect of exposure to ozone  $(O_3)$ /nitrogen dioxide  $(NO_2)$  on the release of RANTES from nasal epithelial cells of non-atopic non-rhinitic subjects (NANRc), atopic non-rhinitic subjects (ANRc) and atopic rhinitic patients outside of the pollen season (ARc-out) and during the pollen season (ARc-in). Results are expressed as median (n = 9 for each set of experiments; \*p < 0.05 vs air).

atopic asthmatics release significantly greater amounts of constitutive IL-8, GM-CSF, RANTES and soluble intercellular adhesion molecule-1 (sICAM-1) than nonatopic non-asthmatics [52] (Fig. 3). Similar to our findings for nasal epithelial cells of atopic rhinitic and non-atopic non-rhinitic individuals, epithelial cells of atopic asthmatics are also more susceptible after exposure to pollutants such as NO<sub>2</sub> and O<sub>3</sub> (Fig. 4), compared with non-atopic/non-asthmatics.

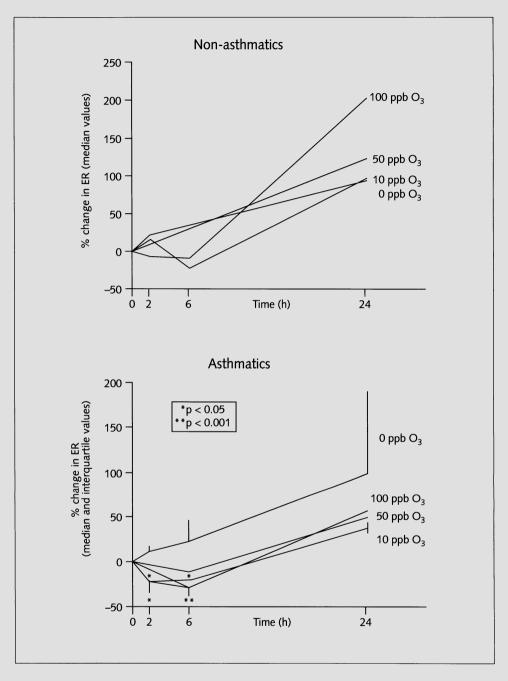


Constitutive release of pro-inflammatory mediators by bronchial epithelial cells cultured from non-atopic non-asthmatic subjects (NA) and atopic asthmatic patients (A). Results are expressed as median and interquartile values (n = 8 for each set of experiments; \*p < 0.05 and \*\*p < 0.001 for NA vs A; ND, not detected).

Collectively, these studies suggest that genetic pre-disposition and manifestation of symptoms of allergic airway disease in atopic individuals may, at least in part, be a consequence of increased expression, synthesis and release of specific pro-inflammatory mediators from airway epithelial cells, both constitutively and following exposure to external factors such as allergens and pollutants.

## "Protective" mediators

In contrast to the bronchoconstrictive and inflammatory mediators expressed and synthesised by human airway epithelial cells, several studies have demonstrated that these cells are also capable of expressing naturally occurring protective mediators.  $PGE_2$  is synthesised by human airway epithelium and is a potent inhibitor of both early and late phase asthmatic responses when given prior to allergen [53]. Other studies have shown that  $PGE_2$  also has inhibitory effects on a variety of cytokines, leukotrienes and histamine [54, 55].



Effect of exposure to ozone  $(O_3)$  on the electrical resistance of confluent bronchial epithelial cell cultures of non-atopic non-asthmatic subjects and atopic asthmatic patients (n = 8 for each set of experiments; \*p < 0.05 vs air; \*\*p < 0.001 vs air at each time-point).

Some studies have suggested that airway epithelial cells may play a protective role against the adverse effects of neuropeptides such as substance P and bradykinin, by expressing and modulating the activity of a specific group of enzymes, including neutral endopeptidase (NEP), angiotensin-converting enzyme (ACE) and carboxypeptidase N (CPN) [56]. Ohkubo and co-workers have investigated the localization of these three enzymes in human nasal mucosa by immunohistochemical techniques and demonstrated that both NEP and ACE-immunoreactivity were strongly prominent in nasal epithelium [56, 57]. In contrast, immunoreactivity for CPN was most prominent in the superficial lamina propria [57]. More recently, in collaboration with others, we have investigated the distribution of NEP gene expression in human bronchial mucosa and also demonstrated that NEP-immunoreactive material and NEP mRNA were present in bronchial epithelium [58].

Similarly, animal studies have demonstrated that mechanical removal of airway epithelium leads to increased sensitivity of underlying airway smooth muscle to spasmogens, suggesting that this effect is a consequence of the removal or reduction of a naturally occurring epithelium derived smooth muscle relaxing factor [59, 60].

Consequently, it is possible that airway epithelial cell damage, as seen in asthma for example, could lead to changes in the concentrations of the naturally occurring "protective" substances, subsequently leading to airway inflammation and hyperresponsiveness.

#### Cell adhesion molecules

Cell adhesion molecules are specific cell surface receptors which mediate adhesion of cells to one another and to extracellular matrix [61, 62]. They play a crucial role in embryonic development, maintenance of tissue architecture, tumour metastasis, wound healing and inflammatory response [61–63]. The cell adhesion molecules are divided broadly into four main classes: (i) integrins, (ii) immunoglobulin superfamily, (iii) selectins and (iv) cadherins, of which members of the former two groups have been greatly studied.

Several studies have demonstrated that the  $\alpha$ -integrin family, and more specifically members of the  $\beta_1$  integrin subfamily, are expressed on human bronchial and nasal epithelial cells. The members of the  $\beta_1$  integrin subfamily function as cell surface receptors which bind extracellular matrix components collagen, fibronectin, vitronectin and laminin [63–65], and influence (i) adherence of epithelial cells to basement membrane and underlying connective tissue, (ii) migration of leucocytes through endothelium and (iii) localisation of leucocytes at sites of inflammation in the epithelium. Our studies with cultured cells have shown that both nasal [66] and bronchial [67] epithelial cells are capable of expressing the  $\beta_1\alpha_{2-6}$  integrins, *in vitro*. In contrast, marked expression of only  $\beta_1\alpha_{2, 3 \& 6}$  integrins is seen in the nasal and bronchial epithelium *in vivo*, with no difference in the expression between non-allergic subjects and patients with perennial rhinitis/seasonal allergic rhinitis/asthma [66–68]. Studies of the immunoglobulin superfamily have demonstrated that this is a large group of molecules with multiple immunoglobulin-like domains. This group includes the adhesion molecules ICAM-1, ICAM-2, ICAM-3 and VCAM-1, which play an important role in interactions involving leucocytes [69, 70]. ICAM-1 is perhaps the most widely studied of this group of molecules which is expressed constitutively at low levels by several cell types, including airway epithelial cells, and is markedly unregulated by inflammatory stimuli such as endotoxin, IL-1 $\beta$ , TNF $\alpha$  and IFN $\gamma$  [66–73].

Functional studies have suggested that ICAM-1 may play a role in respiratory tract viral infections since this is thought to be the surface receptor for the major group of rhinoviruses which can cause airway epithelial cell damage [74]. More importantly, other studies have suggested that ICAM-1 influences the inter-tissue trafficking of neutrophils, eosinophils and lymphocytes [70, 75], and therefore may play a more ubiquitous role in the pathogenesis of airway disease.

Studies in animals have demonstrated that ICAM-1 expression is upregulated in inflammed airway epithelium *in vivo*, and may play an important role in airway eosinophilia and hyperresponsiveness, since antibodies against ICAM-1 can attenuate both the eosinophilia and hyperresponsiveness in these animals [76,77]. Similarly, studies in humans have demonstrated that the expression of airway epithelial cell adhesion molecules is enhanced in allergic conditions such as asthma and rhinitis. Manolitsas and co-workers have demonstrated that expression ICAM-1 is upregulated on bronchial tissue of patients with bronchial asthma [68]. Similarly, Montefort and colleagues have demonstrated that expression of ICAM-1 is significantly increased on nasal mucosa in perennial allergic rhinitis patients, compared to non-rhinitic individuals [78]. Furthermore, several studies have demonstrated that levels of serum sICAM-1 are also raised in stable atopic asthmatic patients, compared with healthy non-asthmatic subjects, and are elevated further during exacerbations of asthma [79–82].

## HLA class II antigens

Several studies have demonstrated that type II alveolar epithelial cells and ciliated bronchial epithelial cells are capable of expressing class II major histocompatibility complex antigens (MHC II, HLA-DR) and that cytokines can increase the expression of these cell surface molecules [83–85]. The findings from these studies suggest that airway epithelial cells may additionally have a potentially important role in antigen processing/presentation and subsequent initiation and propagation of immune-mediated airway inflammation.

The putative mechanisms and consequences of the interaction between antigen presenting cells (APCs) and T lymphocytes have been reviewed recently [16]. It has been suggested that preferential binding of specific allergenic peptides to the HLAclass II antigens may lead to recognition by activation and proliferation of specific T cell clones (either Th1 or Th2), which pre-dispose the individual to the development of certain diseases [86, 87].

Poston and colleagues have demonstrated that expression of HLA class II antigen is significantly upregulated in basal epithelial cells of asthmatic bronchial epithelium [88]. Similarly, Stoop and colleagues have investigated biopsy specimens of turbinates from both healthy subjects and patients with nasal polyps, by immunohistochemical staining, and demonstrated that there was a significant increase in the number of HLA-DR positive cells (including epithelial cells) in the nasal mucosa of patients with nasal polyps, compared to healthy subjects [89]. Further, these authors demonstrated that there was a concomitant increase in the number of CD4+ and CD8<sup>+</sup> cells, suggesting a likely interaction between the HLA-DR positive cells and T lymphocytes. More recently, Nag and colleagues have demonstrated that complexes formed between soluble major histocompatibility (sMHC) class II molecules and antigenic peptides, which can be recognised by T cell receptors (TCRs) on CD4<sup>+</sup> T cell clones and render these cells non-responsive, also induces antigen-specific apoptosis in murine T cell clones, independently of non-responsiveness [90]. Thus, manipulation of T cell activity may offer novel therapeutic strategies for the management of T cell-associated diseases.

#### Summary

In view of the multi-functional role of the airway epithelium, and particularly the different types of epithelial cells which predominate in the epithelium, it is not difficult to envisage how perturbation of this barrier may bring about adverse changes in and around the surrounding tissues and possibly help to explain the pathogenesis of allergic airway diseases. It is tempting to hypothesise that in allergic airway diseases dysfunction of the airway epithelium, resulting from either acute exposure to airborne irritants such as air pollutants, allergen, viruses, bacteria, etc, or as a consequence of genetic pre-disposition to a specific allergen, itself results in the initiation, maintenance and potentiation of inflammation at the site/s of exposure. This may be expressed either in the form of generation of pro-inflammatory mediators, which interact with mediators derived from other inflammatory cells such as mast cells and T lymphocytes, and act as potent eosinophil and neutrophil chemoattractants and activators or up-regulate cell adhesion molecules involved in the inter-tissue trafficking of these and other "inflammatory" cell types. Alternatively, depletion of any naturally occurring anti-inflammatory mediators and smooth muscle relaxing agents, which help to maintain the integrity of the airways and the surrounding tissues, may ensue and also lead to adverse reactions in the airways.

Consequently, it is likely that agents which influence the generation, bioavailability and/or biological activity of both pro- and anti-inflammatory cytokines will play an important therapeutic role in the management of airway disease.

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# Airway smooth muscle cells

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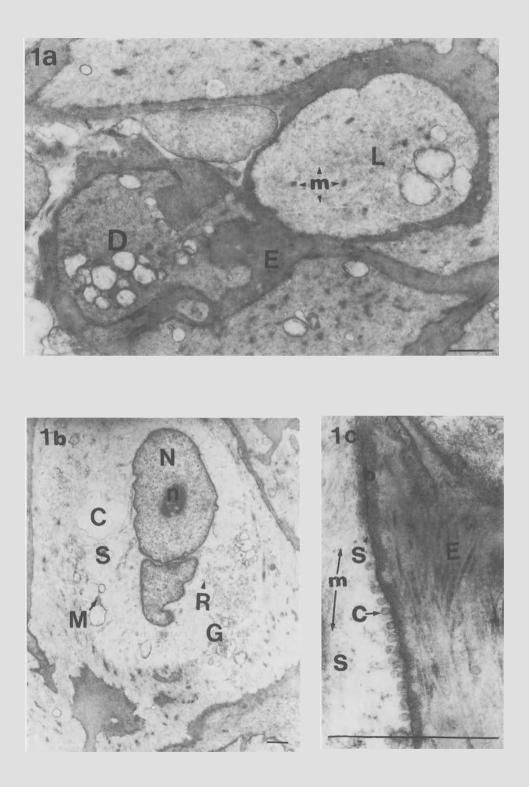
# Introduction

Airway smooth muscle (ASM) cell complexity is becoming increasingly apparent. Initially considered to be merely a contractile element, it is now known that smooth muscle cells actively regulate their function and microenvironment by producing a range of cytokines and low molecular weight products in addition to extracellular matrix. Growth and proliferation of smooth muscle cells within the airway is also recognised as a component of airway pathology, especially in asthma. This chapter is intended to provide an overview of smooth muscle function and to highlight recent advances in areas of active research.

# Structural and functional characteristics

# Ultrastructure

ASM cells are elongated and spindle shaped, and contain a thin elongated central oval nucleus with a prominent nucleolus and dense cytoplasm. They measure up to 600  $\mu$ m in length and are oriented such that the thick middle portion of the cells lie against the thin tapered end of adjacent cells. In transverse section, light and dark cells can be identified which appear to correspond to differing contents of myofilaments (Fig. 1a). At the ultrastructural level, the perinuclear sarcoplasm is devoid of fibrils and contains the majority of organelles including rough endoplasmic reticulum, Golgi apparatus, mitochondria, dilated cisternae, ribosomes and vesicles (Fig. 1b). The sarcoplasmic membrane contains numerous invaginations known as caveoli. The remainder of the cell body is occupied predominantly by myofibrils (mostly actin) (Fig. 1c). Individual muscle fibres are surrounded by an extracellular matrix (ECM) comprising a continuous basement membrane of reticular fibres and collagenous bundles embedded in a protein polysaccharide ground substance (Fig. 1c).



Smooth muscle cells cultured from large airways become flattened and spindle shaped and contain a central oval shaped nucleus [1]. Two nuclear sizes, 9  $\mu$ m and 15  $\mu$ m across the shortest diameter, can be identified and these contain 2–7 or 1–2 prominent nucleoli, respectively. The smaller of the two cell types predominates. At the ultrastuctural level, the perinuclear sarcoplasm contains an abundance of synthetic organelles including rough endoplasmic reticulum, Golgi apparatus, mitochondria, dilated cisternae, ribosomes and vesicles (Fig. 2a). Unlike smooth muscle cells in the trachealis *in situ*, cultured smooth muscle cell processes also contain organelles consisting of mitochondria and free ribosomes as well as homogeneous scattered myofibrils. There is a dense band of longitudinally oriented myofibrils just below the sarcolemma which is continuous along the whole length of the cell (Fig. 2b).

# Contractile mechanisms

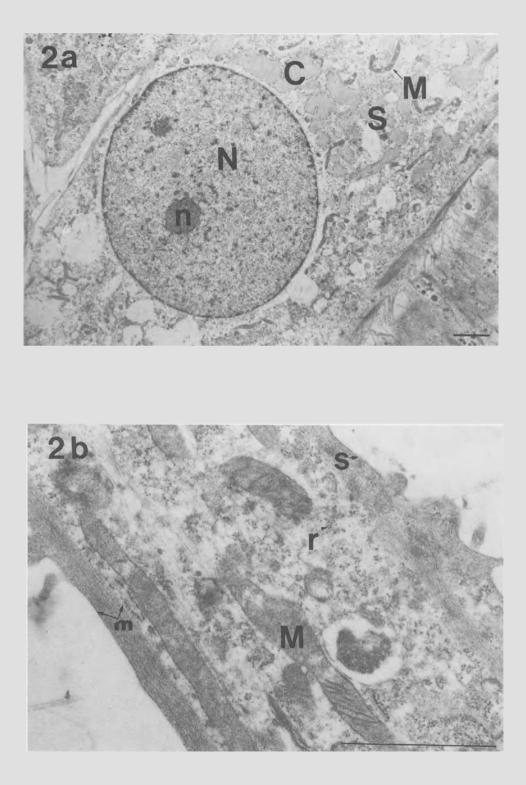
The contractile apparatus of smooth muscle enables slow, sustained contractions which overcome resting loads to shorten. These loads comprise viscous and elastic structural elements in the airway wall and surrounding tissue, cartilage in large airways and transmural pressures [2]. The circumferential orientation of the shortening smooth muscle cells reduces the calibre of the airway, thereby increasing airway resistance [2]. The central airways control resistance and airflow distribution, while the peripheral airways contribute to the regulation of regional ventilation to perfusion ratio (V/Q) [3].

# Smooth muscle contraction

Smooth muscle cells are connected *via* cell junctions, enabling co-ordinated contraction of the whole muscle sheet. Adherens junctions and their constitutive dense bands provide insertion points for the myofilaments of the contractile apparatus and the cytoskeleton. Activation of the contractile apparatus results in an inward pull on the sarcolemma through the attachments of the contractile elements to the basal lamina of the cell [4]. The contractile apparatus itself, shortens by the energy-depen-

#### Figure 1

Transmission electron micrographs (TEM) of normal human bronchial smooth muscle cells in situ; sarcoplasm (S), sarcolemma (s), nucleus (N), and nucleolus (n); showing (1a) light (L) and dark (D) cells containing myofilaments (m) surrounded by dense extracellular matrix (E) (1b), organelles including rough endoplasmic reticulum (R), Golgi apparatus (G), mitochondria (M), and dilated cisternae (D) in the perinuclear sarcoplasm, and (1c) myofilaments (m) in the peripheral sarcoplasm, caveoli (c), basement membrane (b) and extracellular matrix (E). Bar = 1  $\mu$ m



dent sliding of actin and myosin filaments, whereas force maintenance occurs through complex and poorly understood mechanisms.

There remains considerable variation in the methods used to evaluate contractile processes *in vitro* [5, 6]. The commonly used methods involve measuring isometric force development, isotonic shortening and more recently pressure changes in perfused bronchial segments. In cultured ASM, cell stiffness has been measured magnetically [7] and contraction may be measured directly by microscopic determination of cell length. These methods simulate the behaviour of ASM *in situ* to a variable extent. The perfused bronchial segment appears to approximate the *in vivo* condition most closely, but lung volume-dependent parenchymal loading is not a feature of this method.

The mechanical properties of smooth muscle contraction have been analysed by generation of length-tension curves in canine ASM [8]. Length-tension curves of isolated ASM give an indication of the elasticity of the muscle (without the influence of resistance from surrounding tissue). The change in muscle tension, divided by cross-sectional area, over the corresponding change in muscle length (divided by optimal muscle length) is an index of the muscle stiffness. Measurement of stiffness is of particular use for the comparison of the mechanical properties of muscles [8]. However, stiffness and active tension curves represent equilibrium responses and do not provide information about the rate of shortening which may be more significant for smooth muscle regulation of airways resistance. Importantly, ASM shortening occurs in a dynamic environment with cyclically varying loads [9]. Shortening occurs at a velocity which is load-dependent: the greater the load, the slower the force activation.

## Regulation of smooth muscle contraction

Smooth muscle contraction is regulated biochemically by phosphorylation and dephosphorylation of myosin and actin filaments in response to changes in the cytosolic calcium concentration [10]. Calcium bound to calmodulin (at  $\mu$ M intracellular calcium concentrations) activates myosin light-chain kinase (MLCK) and hence the activity of the actomyosin ATPase. MLCK phosphorylates the 20 kDa light chain subunit (LC<sub>20</sub>) of myosin, facilitating the interaction between the myosin head and actin filaments, increasing actomyosin ATPase activity, thereby enabling cross-bridge cycling and contraction (Fig. 3). The calcium-calmodulin complex may

Figure 2

TEM of cultured normal human bronchial smooth muscle cells; sarcoplasm (S), sarcolemma (s), nucleus (N), nucleolus (n); showing perinuclear (2a) and peripheral (2b) sarcoplasm, rough endoplasmic reticulum (R), ribosomes (r), mitochondria (M), dilated cisternae (D) and myofilaments (m). Bar = 1  $\mu$ m

also inactivate myosin light chain phosphatase thereby increasing the amount of phosphorylated  $LC_{20}$ .

Normal cycling cross-bridges are primarily active for the first 2-3 s of the contraction by which time 75% of the total smooth muscle shortening occurs, followed by slowing of cross-bridge cycling to about one-fourth of the initial rate [8]. The greater the load, the longer the contraction time. When the contraction time exceeds 3 s, there is a higher reliance on latch bridges than on cross bridge cycling for generation of force [8].

The calcium-calmodulin complex activates MLCK by binding to a sequence of the MLCK (pseudosubstrate inhibitory region, PSI) which, in the non-activated state, occludes the  $LC_{20}$  binding domain on the MLCK [11]. The PSI region shares sequence homology with the site of the  $LC_{20}$  that is dephosphorylated by  $LC_{20}$  phosphatase. The unfolded PSI region also indirectly inhibits  $LC_{20}$  phosphatase by providing a decoy binding site [10]. A reduction in the concentration of calcium to nM levels leads to the dissociation of the calcium-calmodulin complex from MLCK, a loss of kinase activity and smooth muscle relaxation as a result of the dephosphorylation of  $LC_{20}$  by myosin light chain phosphatases and the termination of ATP hydrolysis [10].

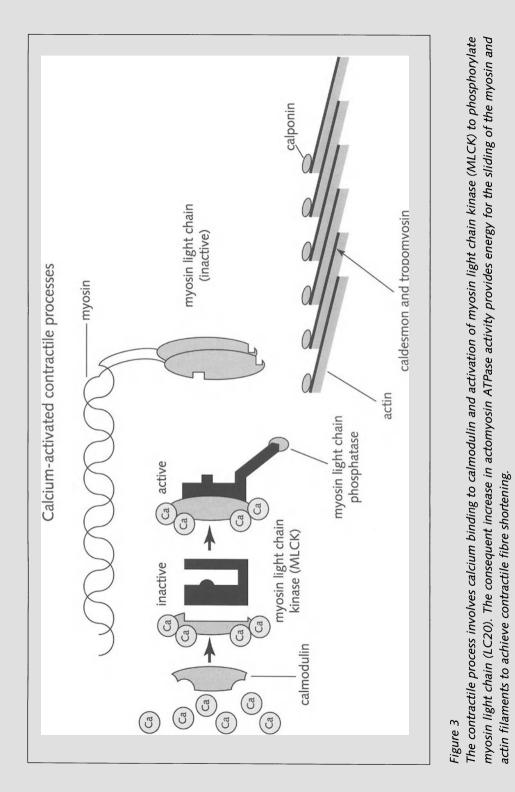
Sensitised canine tracheal and bronchial smooth muscle have an increased maximum shortening capacity, an elevated early maximum shortening velocity and the increased levels of actomyosin ATPase have been attributed to increased levels of MLCK activity and content [12].

#### Mechanisms sustaining contraction

During prolonged contraction there is a slowing of cross-bridge cycling concomitant with decreases in myosin phosphorylation, calcium concentration and energy consumption. A second regulatory mechanism has been invoked to explain the maintenance of force in carotid arterial smooth muscle, despite dephosphorylation of  $LC_{20}$ . This second contractile process occurs through the formation of attachments between dephosphorylated actomyosin cross-bridges [13] or possibly by the slowing of normally cycling cross-bridges [8]. The mechanisms responsible for maintaining the latch bridge state are not clearly defined. However, several proteins, including leiotonin, caldesmon and calponin may have a role in latch bridge formation [10].

#### Contractile regulatory proteins

Caldesmon and calponin are candidate molecules for the maintenance of force in the absence of an elevated calcium concentration or  $LC_{20}$  phosphorylation by tethering actin to myosin [14, 15]. Caldesmon, which inserts between the grooves between the actin double helix, inhibits actomyosin ATPase activity at resting calcium concentrations, but in the presence of calcium/calmodulin dissociates from actomyosin fila-



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ments, activating actomyosin ATPase [16]. Calponin, a 33 kDa protein present in most forms of smooth muscle, also inhibits actomyosin ATPase activity. The inhibitory activity of calponin or caldesmon may be reversed by phosphorylation [17].

## Heterogeneity

Smooth muscle cells in normal airway, blood vessels and in the viscera can exist in different phenotypes characterised by variable expression of contractile proteins. Studies of cultured vascular smooth muscle revealed modulation to a synthetic phenotype upon exposure to mitogens such as fetal calf serum which resembled the phenotype in the neointimal smooth muscle cells of atherosclerotic lesions [18]. More recently, phenotypic heterogeneity has been recognised in the absence of disease. Digestion of canine trachealis muscle and flow cytometric analyses of size and contractile protein expression indicate the existence of at least two phenotypes: a predominant (75%) population of smaller cells with reduced actin and myosin content and a minor population of larger cells with higher levels of contractile protein expression. These distinct phenotypes also show different contractile and calcium-handling properties [19–21]. There appears to be a bidirectional regulation between ECM and smooth muscle phenotype [22]: high levels of collagen type IV and fibronectin greatly enhance proliferative responses [23]; and synthetic state, proliferative smooth muscle makes large amounts of ECM.

Transpulmonary pressures are often increased in asthma in order to facilitate adequate ventilation. ASM cells are therefore subject to increased physical stress, which can increase the contractile enzyme activity of the muscle [24, 25]. In canine ASM cells subjected to strain there was increased calcium-dependent MLCK activity and myosin heavy chain levels accompanied by increases in  $LC_{20}$  phosphorylation and actomyosin ATPase activity, and decreased  $LC_{20}$  phosphatase activity [24]. Moreover, cyclic deformational strain increases amounts of total cellular protein, myosin and MLCK suggesting that strain is a stimulus for the contractile phenotype of ASM cells in culture [25].

The prevalence of contractile and synthetic phenotypes at different levels in the bronchial airways and their expression in different airways diseases has yet to be explored. Modulation of ASM to diminish the contractile phenotype represents a potential alternative therapeutic approach to treatment of bronchospasm in obstructive airways disease.

## Signal transduction mechanisms

The signal transduction mechanisms controlling ASM function have received much attention as targetting these processes may lead to identification of novel agents to treat the bronchospastic component of obstructive airways diseases. Signalling mechanisms for contraction [26] and relaxation [27] have been reviewed in detail. The following section highlights important and well established regulatory mechanisms; signal transduction of ASM proliferation is covered in a later section.

## Intracellular calcium

Intracellular free calcium concentrations  $(Ca^{2+})$  are the primary determinant of the level of tone in ASM. There are four main regulatory mechanisms for Ca<sup>2+</sup>;: release from internal stores; influx through receptor- and voltage-operated membrane channels; and transmembrane transport, which is either directly or indirectly energydependent. Activation of the contractile process by agonists such as carbachol and histamine is associated with increases in production of inositol 1.4.5-trisphosphate  $(IP_3)$  as a consequence of phospholipase C activation [28]. Depolarization-induced activation of calcium influx into human ASM has also been described [29], but these voltage-operated channels are not considered to be important for responses to contractile agonists [30]. Thus, inhibitors of these channels such as verapamil have only modest inhibitory effects on agonist-induced contraction of ASM [31]. Receptoroperated calcium channel activity has been directly demonstrated in voltage-clamp experiments in histamine-stimulated human ASM [32]. These channels are believed to mediate the slow and persistent contractions associated with protein kinase C activation [33] and may play a role in the maintenance of the contractile response to histamine.

# Cyclic nucleotides

Many ASM relaxants, including  $\beta_2$ -adrenoceptor agonists, vasoactive intestinal peptide (VIP) and prostaglandin  $E_2$  (PGE<sub>2</sub>) activate receptors coupled *via* Gs proteins to adenylate cyclase. Elevation of cyclic AMP and activation of protein kinase A leads to phosphorylation of several proteins which regulate Ca<sup>2+</sup><sub>i</sub>. The net result of these phosphorylations is a decrease in Ca<sup>2+</sup><sub>i</sub> and thereby a relaxation of ASM tone [27]. Inhibition of phospholipase C activation, as evidenced by suppression of IP<sub>3</sub> formation is likely to be quantitatively important in cAMP-mediated relaxant responses [34]. Additional mechanisms include increased calcium re-uptake and extrusion *via* plasma membrane transport systems.

Nitric oxide relaxes ASM *via* activation of soluble guanylate cyclase. Cyclic GMP elevation decreases  $Ca^{2+}_{i}$  elevated by either receptor-operated stimuli such as acetylcholine or by depolarisation [35].

#### Phosphodiesterases

Non-selective inhibitors of phosphodiesterase (PDE) relax both spontaneous and induced ASM tone. Identification of multiple subtypes of PDE with distinct cellular distribution has renewed interest in development of selective inhibitors as useful anti-inflammatory and smooth muscle relaxant agents [36]. The predominant types of PDE in human ASM are III and IV and the latter is also present in inflammatory cell types. PKA-dependent phosphorylation of PDE III activates this isoform resulting in a negative feedback on PKA activation, whereas expression of the type IV isoform is increased by cAMP. Dual inhibitors of types III and IV PDE in combination with  $\beta$ -agonists may produce a more efficacious treatment regimen for bronchospasm with additional anti-inflammatory effects to those of  $\beta$ -agonists alone.

#### Protein kinases

Protein kinase C represents a large family of related kinases exhibiting differences in activation properties. Isoforms detected in bovine and canine ASM include  $\alpha$ ,  $\beta 1$ ,  $\beta 2$ ,  $\delta$ ,  $\varepsilon$ ,  $\lambda$ ,  $\mu$  and  $\theta$  [37]. Activation of protein kinase C in ASM activates calcium influx, stimulates Na/K ATPase activity [38], increases the calcium sensitivity of LC<sub>20</sub> phosphorylation [39], inhibits LC<sub>20</sub> phosphatases [40], and has been implicated in the maintenance of contraction.

Mitogen-activated protein kinase (MAPK), also known as extracellular regulated kinase (ERK), is best characterised as the central signalling enzyme for the proliferative response of cultured ASM, but has recently been shown to phosphorylate caldesmon and increase the calcium sensitivity of the contractile apparatus in native ASM [41]. MAPK is activated by a wide range of bronchoconstrictor mediators.

#### Potassium channels

Potassium channels, of which there are many subtypes showing distinct activation and functional characteristics, play a key role in determining the membrane potential [42]. The two most important channel types in ASM appear to be the ATP-sensitive potassium channel ( $K^+_{ATP}$ ) and the large conductance, calcium-activated potassium channel ( $BK^+_{Ca}$ ) [43], although the delayed rectifier channel may also be expressed and be functionally important [44].

Potassium channel opening drugs (KCO), which activate  $K^+_{ATP}$  channels, suppress the spontaneous and agonist-induced tone of human isolated bronchial smooth muscle and counteract bronchoconstriction in anaesthetised guinea-pigs [45]. The KCO, SDZ PCO 400 has been shown to inhibit hyperreactivity induced

by a variety of stimuli in guinea-pigs *in vivo* [46], but the importance of smooth muscle as a target for this effect is not clear.

Activation of  $BK_{Ca}^{+}$  has been implicated in  $\beta_2$ -adrenoceptor agonist-induced relaxation through both cAMP-dependent and independent mechanisms [47]. However, the involvement of K<sup>+</sup> efflux in the relaxant actions depends on the efficacy of the  $\beta$ -agonists and does not appear to be of any importance for low efficacy agonists such as salmeterol [48]. Moreover, KCO have shown only modest broncho-dilator activity in asthmatics, suggesting that hyperpolarisation is not an efficacious relaxant mechanism.

# Neural regulation

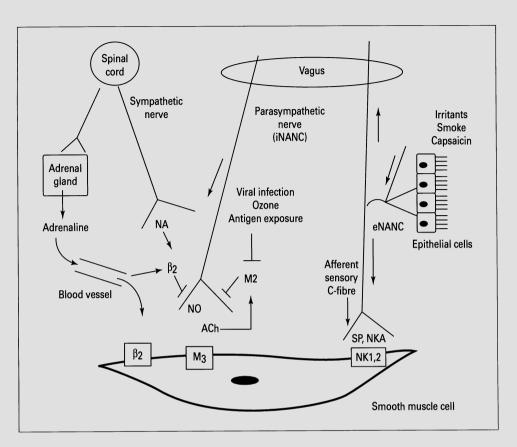
#### Parasympathetic innervation

Airways smooth muscle has a basal level of tone supplied by parasympathetic nerve activity [49], which releases acetylcholine to evoke contraction of airways smooth muscle by activating muscarinic cholinoceptors of the  $M_3$ -subtype (Fig. 4). Acetyl-choline release from parasympathetic nerves is under autoinhibitory feedback control by prejunctional muscarinic cholinoceptors of the  $M_2$ -subtype [50].

In virus-infected humans, the increased responsiveness to histamine or cold air challenge, can be inhibited by muscarinic cholinoceptor antagonists such as atropine. This vagally-mediated, reflex bronchoconstriction results from dysfunction of autoinhibitory  $M_2$ -cholinoceptors [51]. Neuraminidase from parainfluenza virus inactivates  $M_2$ -cholinoceptors by cleaving sialic residues from glycoproteins and glycolipids, whereas inflammation following either antigen-challenge or ozone exposure results in eosinophil recruitment and release of cationic proteins, such as major basic protein, that allosterically antagonise the  $M_2$ -cholinoceptor [51]. Viral infection may also act indirectly by inducing epithelial damage, since epithelium exerts an inhibitory influence on the release of acetylcholine from parasympathetic nerves of guinea-pig trachea, possibly through the liberation of an epithelium-derived inhibitory substance [52].

Neuropeptides act as co-transmitters of classical autonomic nerves in the airways, limiting or prolonging the effect of the primary neurotransmitter [53]. However, in human airways *in vitro*, the neuropeptide tachykinins, VIP and neuropeptide Y (NPY) have no effect on cholinergic transmission. Nitric oxide (NO), released by electrical field stimulation inhibits cholinergic neurotransmission and functionally antagonises the contractile response to acetylcholine [54].

Pro-inflammatory cytokines, which play an important role in perpetuating the airway inflammatory response in asthma, including interleukin-1 $\beta$  (IL-1 $\beta$ ) and tumour necrosis factor- $\alpha$  (TNF $\alpha$ ) have been detected in bronchoalveolar lavage fluid from asthmatic patients [55]. Inhaled TNF $\alpha$  induces hyperresponsiveness and



Neural influences on airway smooth muscle.  $\beta_2$ -Adrenoceptors mediate relaxation in response to circulating adrenaline. Sympathetic nerves may influence cholinergic function rather than directly relax airway smooth muscle and are sparse in human airways.  $M_3$ -cholinoceptors mediate contraction in response to acetylcholine (ACh) released from parasympathetic nerves which also release an inhibitory non-adrenergic, non-cholinergic (iNANC), neurotransmitter, most likely to be nitric oxide (NO). Autoinhibitory feedback of ACh release through activation of prejunctional  $M_2$ -cholinoceptors may be disrupted by viral infection, ozone exposure or antigen inhalation, resulting in excessive reflex cholinergic bronchomotor responses. The excitatory NANC (eNANC) pathway involves anti-dromic activation of afferent sensory C fibres which release neurokinin to elicit contractile responses following exposure to irritant stimuli.

acts prejunctionally to enhance cholinergic transmission in electrically field-stimulated human isolated bronchus [56]. Many other agents enhance cholinergic transmission in human airways including products of activated eosinophils [57] and endothelin-1 (ET-1) [58].

# Sympathetic innervation

The extent and importance of sympathetic innervation of airways smooth muscle is species-dependent and appears to be sparse and inconsequential in humans [59].  $\beta$ -Adrenoceptors are widely distributed throughout the airways, whereas  $\alpha$ -adrenoceptors are not considered to play an important role in ASM [60].  $\beta$ -Blocking drugs increase airways resistance in healthy subjects suggesting that circulating levels of adrenaline activate  $\beta_2$ -adrenoceptors to produce a dilator influence. Noradrenergic and cholinergic nerves have been found in close association in airways of guineapigs, canines and humans. Thus, noradrenaline may exert an indirect influence on ASM tone by modulating cholinergic neurotransmission [61].

# Non-adrenergic, non-cholinergic innervation

Electrical field stimulation of isolated airway smooth muscle preparations elicits complex responses. The components of these responses which are not blocked by antagonists of classical autonomic neurotransmitters, designated non-adrenergic non-cholinergic (NANC), were originally ascribed to separate neural pathways coursing in the vagal nerve bundle. However, it is now established that the inhibitory NANC (iNANC) dilator tone is due to the release of co-transmitters from parasympathetic nerves, and the excitatory NANC (eNANC) system is associated with anti-dromic excitation in vagal afferent c-fibres [62].

# iNANC mechanisms

Because of the sparsity of the sympathetic innervation of ASM, the iNANC system in humans is the primary neural bronchodilator pathway. There remains some uncertainty as to the identity of the neurotransmitter(s) subserving iNANC neurotransmission. The candidates include VIP and NO, although recent evidence argues against an important role for VIP. In human tracheal and bronchial smooth muscle, relaxations evoked by VIP are abolished by  $\alpha$ -chymotrypsin, but iNANC responses are unaffected [63]. *In vivo*, inhaled VIP is a bronchodilator and attenuates histamine-induced bronchoconstriction in animals. However, in humans inhaled VIP has no detectable bronchodilator effect and provides little protection against histamineinduced bronchoconstriction [64]. These observations suggest that VIP is not the iNANC transmitter in human airways, but do not exclude the possibility that VIP has an important regulatory influence on other airway wall cell types or on other smooth muscle responses, such as proliferation [65].

Emerging evidence implicating NO as the neurotransmitter of iNANC nerves is best developed in guinea-pig airways. In human tracheal smooth muscle, the NOS inhibitor L-NAME produces a concentration-dependent inhibition of the iNANC response. Thus, NO may act as a brake on cholinergic bronchoconstriction [54,66], but the failure of NOS inhibitors to affect airways resistance in humans suggests that the iNANC system may be of limited importance [67], perhaps because it is less evident in intraparenchymal airways.

## eNANC mechanisms

Lundberg and colleagues identified an ASM contractile response, particularly evident at high frequencies of excitation, which was mediated by activation of sensory nerves releasing tachykinins (substance P and neurokinin A) to act on neurokinin (NK) NK1 and NK2 receptors on ASM [68]. These sensory fibres may be activated anti-dromically by irritant stimuli such as cigarette smoke [69], leukotrienes and histamine [70,71]. The sensory C-fibres also contain calcitonin gene-related peptide (CGRP) which acts primarily to increase bronchial blood flow (69). Barnes and colleagues suggested that these sensory C-fibres function similarly to those in the skin which mediate the triple response *via* activation of mast cells by tachykinins. The term "neurogenic inflammation" was coined to describe the constellation of effects upon activation of this neuronal pathway, which include ASM constriction, increased bronchial blood flow and plasma exudation [72]. The importance of these neurogenic inflammatory responses is likely to be elucidated within the next few years as antagonists of neurokinin receptors are being evaluated for efficacy in asthma and other airway diseases.

# Mediators

# Phospholipid-derived mediators

Mediators derived from the hydrolysis of cell membrane phospholipid-esterified arachidonic acid, such as the prostaglandins (PGs) and the leukotrienes (LTs), have important pro-inflammatory actions in a variety of respiratory diseases including asthma, but have no clearly identified physiological functions in normal ASM. There are numerous cellular sources of eicosanoids in the airways. In asthmatics, release of eicosanoids from activated mast cells predominates in the early response to allergen-challenge [73]. The late phase response, which is associated with airways hyperresponsiveness that can last several days, is also accompanied by production of eicosanoids from cells infiltrating the airways [74].

## Cyclo-oxygenase products

The levels of several cyclo-oxygenase products, including PGD<sub>2</sub>, PGE<sub>2</sub>, PGF<sub>2a</sub>, PGI<sub>2</sub>,

and thromboxane  $A_2$  (TxA<sub>2</sub>) are increased in broncho-alveolar lavage (BAL) fluid after antigen-challenge of asthmatics [75]. TxA<sub>2</sub>, PGF<sub>2 $\alpha$ </sub>, and PGD<sub>2</sub> are the predominant mast-cell derived bronchoconstrictors in asthma, activating smooth muscle constriction *via* the TP receptor [76, 77]. Subthreshold concentrations of a TxA<sub>2</sub>mimetic cause airway hyperresponsiveness to inhaled methacholine in asthmatics [78]. Prostacyclin and PGE<sub>2</sub> relax ASM by activating distinct receptors to elevate cAMP concentrations, but vasodilator and neural activities may limit the bronchoprotective actions of these eicosanoids.

# Lipoxygenase products

The LTs are derived from arachidonic acid by the sequential actions of 5-lipoxygenase and glutathione transferase in eosinophils, mast cells and macrophages. Elevated levels of LTs are detected in BAL cells and fluids from asthmatic patients compared with normal subjects [79]. LTC<sub>4</sub> and LTD<sub>4</sub> are potent bronchoconstrictors (1000-fold greater than histamine) in both normal and asthmatic subjects activating CysLT<sub>1</sub> receptors to cause ASM contraction [80]. The persistence of the bronchomotor actions of LTs has been ascribed to the long-lived signalling mechanisms that these mediators activate and also to the presence of significant bronchoconstrictor activity of metabolites including LTE<sub>4</sub>.

# Platelet-activating factor

Platelet-activating factor (PAF) is synthesised by the action of phospholipase  $A_2$  on alkyl-ether phosphatidylcholine followed by acetylation of the 2-position and is produced by inflammatory cells infiltrating the asthmatic airway including eosinophils [81]. Bronchoconstriction induced by PAF is likely to be largely indirectly mediated, although PAF produces a modest contractile response on human ASM [82]. The role of PAF as a mediator of airway hyperresponsiveness is well established in guineapigs, but remains controversial in human asthma [83].

Low molecular weight mediators

# Nitric oxide

Increased NO concentrations have been detected in allergic airways disease due to induction of nitric oxide synthase (iNOS) in airway epithelial cells [84]. The large amounts of NO produced by epithelial cell iNOS as compared with endothelial NO production may result in a net pro-inflammatory action of NO in allergic airways disease, despite its relaxant actions on ASM [85]. In high concentrations, NO reacts with superoxide anions to generate toxic peroxynitrite and hydroxyl radicals, stimulates mucous secretion, amplifies T lymphocyte-driven inflammatory

effects and stimulates plasma exudation by increasing pulmonary blood flow [86]. The potential anti-inflammatory actions of NO synthase inhibitors in asthma have not been been fully explored. Aminoguanidine, a selective inhibitor of iNOS, decreased NO production in asthmatics more effectively than the non-selective NOS inhibitor, L-NAME [67]. Importantly, however, no change in airway resistance was observed following a single bolus dose of these NOS inhibitors. Since L-NAME should block NO generation in response to iNANC activity, it appears that neither the latter system nor iNOS-derived NO exerts a dominant influence on bronchomotor tone.

## Histamine

Histamine is released from degranulating mast cells during immediate hypersensitivity reactions. Histamine  $H_1$ -receptors, which mediate bronchoconstriction, predominate in the airway [87]. Activation of histamine  $H_2$ -receptors mediates bronchodilatation *via* an epithelial cell-derived relaxing factor, but this mechanism is relatively weak in humans [88]. Histamine receptor populations do not appear to be altered in asthmatic patients [89].

## Adenosine

Adenosine, which is found in elevated concentrations in BAL fluid of asthmatics, causes airways obstruction in asthmatics and smokers, but not in healthy individuals [90]. A combination of histamine and leukotriene receptor antagonism completely inhibits the constrictor effects of adenosine in isolated human airways, suggesting that adenosine acts indirectly, possibly *via* stimulating mast cell degranulation [91]. However, recent studies suggest that activation of the A<sub>1</sub>-receptor elicits bronchoconstriction in the absence of mast cells, possibly *via* an effect on NANC nerves or a direct constrictor action on smooth muscle [92].

## Peptides

## Endothelin

ET-1 levels are increased in inflamed airways in many respiratory diseases [93, 94]. ET-1 is a potent bronchoconstrictor in humans [95], and may elicit increased chronic ASM responsiveness by actions on smooth muscle  $ET_{A}$ - and  $ET_{B}$ -receptors [96], although the  $ET_{B}$ -receptor is desensitised by increased levels of airway ET-1 [97]. ET-1 does not appear to be involved in the early phase response to allergen exposure in asthmatics [94], and therefore might only be important for late-phase bronchoconstriction or the development of chronic hyperresponsiveness.

# Tachykinins

The tachykinins are released from sub-epithelial sensory nerves in close proximity to the subjacent ASM indicating a potential role as constrictor mediators. Immunoreactive substance P levels are increased in BAL fluid obtained from asthmatic patients [98, 99]. Substance P and neurokinin A activate specific tachykinin receptors, NK1 and NK2, respectively, on ASM to cause contraction of human isolated airways [100], but the NK2 receptor is more important for constriction, while the NK1 receptor mediates neurogenic inflammation *via* actions on the microcirculation and the mucosa. NK2 receptor levels are increased four-fold in asthmatics relative to non-smoking controls, whereas there are no detectable changes to NK1 receptor levels [101]. In experimental animals, depletion of neuropeptides with the neurotoxin capsaicin protects against airways hyperresponsiveness which accompanies repeated antigen-challenge [102], virus infection [103], or delayed-type hypersensitivity reactions [104], consistent with a contribution of tachykinins to the development of airways hyperresponsiveness.

# Cytokines and airway smooth muscle function

Pro-inflammatory cytokines act directly on ASM to modulate both contractile and relaxant responses. Incubation of guinea-pig [105] or rabbit [106] airways with IL-1 $\beta$  and TNF $\alpha$  reduces isoprenaline-induced relaxation of cholinoceptor-mediated contractile responses [105, 106]. IL-1 $\beta$  and TNF $\alpha$ , synergistically attenuate  $\beta$ adrenoceptor-mediated airway relaxation, possibly as a result of enhanced muscarinic M<sub>2</sub>-receptor/G<sub>i</sub> protein coupling [106]. Intra-tracheal instillation of IL-1 $\beta$  in rats impaired relaxation of tracheal smooth muscle to isoprenaline *in vitro* associated with uncoupling of  $\beta$ -adrenoceptors from adenylate cyclase, a reduction in the number of  $\beta$ -adrenoceptors and a reduction in adenylate cyclase activity. IL-1 $\beta$  and TNF $\alpha$  significantly attenuated the decrease in cell stiffness induced by isoprenaline measured using magnetic twisting cytometry [7]. These observations suggest that the defect in  $\beta$ -adrenoceptor function established in asthma [107] may result from the release of proinflammatory cytokines. The glucocorticoid-sensitivity of these cytokine actions has not been established, nor has the possible relationship to PGE<sub>2</sub>, a known regulator of  $\beta_2$ -adrenoceptors, been explored.

# Epithelium

There appears to be a correlation between the degree of epithelial damage and airway hyperresponsiveness in asthmatics [108]. Removal of the epithelium in a variety of animal and human isolated airway preparations increases the responsiveness of the underlying ASM to histamine, serotonin and acetylcholine, and reduces the responsiveness to relaxant agonists such as isoprenaline [109]. Thus, the epithelium is thought to release a relaxant factor(s) which normally modulates the reactivity of the smooth muscle to both contractile and relaxant agents. The coaxial bioassay technique, in which open rings of precontracted, rat anococcygeus muscle or endothelial-denuded rabbit or rat aorta are inserted into the lumen of epithelium-intact guineapig trachea, has provided direct evidence for the release of an epithelium-derived relaxant factor(s) induced by muscarinic cholinoceptor agonists [110]. In addition, the superfusate from cylindrical preparations of acetylcholine-stimulated dog bronchus with intact epithelium relaxes both airway and vascular smooth muscle [111]. In tubular perfused bronchial segments, contractile agonist-sensitivity was the same after epithelium intact preparations [112]. Thus, the effect of epithelium removal on contractile agonists may also be a consequence of the loss of the physical barrier to diffusion thereby enhancing agonist access to the underlying smooth muscle.

# Clinical pharmacology

## Receptor antagonists/enzyme inhibitors

The bronchodilator  $PGE_2$  is produced mainly by epithelial cells and may contribute to the general anti-inflammatory actions of the epithelium in asthma [112]. The lack of therapeutic benefit of cyclo-oxygenase inhibitors in asthma has been partly attributed to a reduction in bronchodilator prostanoids such as  $PGE_2$  and  $PGI_2$ . Furthermore, the loss of bronchoprotective  $PGE_2$  may contribute to the phenomenon of aspirin-sensitive asthma [113]. Although little success has been achieved using inhibitors of thromboxane synthesis such as OKY-046, and thromboxane receptor antagonists such as GR 32191B or BAY u3405 in the treatment of asthma [114], the advent of selective cyclo-oxygenase-2 inhibitors may shed new light on the contribution of prostanoids to the pathophysiology of airways disease.

LTC<sub>4</sub>, LTD<sub>4</sub> and LTE<sub>4</sub> enhance bronchomotor responses to unrelated inhaled bronchactive agents and may therefore contribute to airway hyperresponsiveness [115, 116]. The development of antagonists to CysLT receptors has led to the emergence of a novel anti-asthma drug class [117]. In clinical studies of asthma, the CysLT receptor antagonists pranlukast, zafirlukast, and MK-679, reduce symptom scores,  $\beta_2$ -agonist usage, and improve spirometry measures associated with a reduced eosinophilia, mucous secretion, vascular permeability, and decreased release of tachykinins from sensory nerves [117]. In addition, long-term (12–24 weeks) treatment with LT receptor antagonists increases forced expired volume in 1 s (FEV<sub>1</sub>) and decreases airway hyperresponsiveness to histamine [118].

 $H_1$ -Histamine receptor antagonists decrease the magnitude of the early phase of bronchoconstriction following allergen inhalation in asthmatic patients [119],

but those antagonists without effects on mediator release are of no benefit clinically in asthma [120]. Despite initial enthusiasm based on extensive studies of allergic bronchoconstriction in experimental animals, results of trials of antagonists of PAF receptors have shown disappointing results in clinical asthma [121, 122].

The dual NK1 and NK2 tachykinin receptor antagonist, FK-224, provides protection against bradykinin-induced bronchoconstriction in asthmatic patients [123]. The advent of subtype-selective tachykinin receptor antagonists will provide a more definitive approach to understanding the role of tachykinins in asthma [124]. A NK1 selective antagonist inhibits exercise-induced airway narrowing in asthma [125]. However, NK1 and NK2 receptor antagonist studies do not support an important role for tachykinins in airways hyperresponsiveness in humans [126, 127].

# $\beta_2$ -Adrenoceptor agonists and phosphodiesterase inhibitors

There is an enormous amount of literature on the pharmacology of  $\beta_2$ -agonists in asthma which remain the drug of choice for the relief of acute bronchospasm (see [107] for a review). Although concerns have been raised about the safety of these agents, especially with regular usage, it appears that long-acting  $\beta_2$ -agonists do not exhibit any deleterious effects on the underlying asthmatic condition [128]. The original association between adverse outcome and short-acting  $\beta_2$ -agonists may have been the result of excessive usage and, in any case, remains controversial [129]. Tachyphylaxis to the bronchodilator effects of  $\beta_2$ -agonists develops with chronic use but the clinical impact may not be significant.

Theophylline is commonly used in the treatment of asthma at concentrations below those considered to have marked effects on PDE, as higher doses have limiting side-effects such as nausea. It remains to be established whether the advent of isoform-selective PDE inhibitors will circumvent these problems.

## Glucocorticoids

The inhibitory effects of glucocorticoids on cytokine and inflammatory mediator release and the infiltration of inflammatory cells within the airway are well documented [130]. Glucocorticoids have largely indirect effects on ASM tone and hyper-responsiveness, reducing the levels of bronchoconstrictors and pro-inflammatory mediators available to the smooth muscle cells. However, glucocorticoids influence many contractile agonist receptor populations and signal transduction pathways in ASM, inhibit signal transduction of smooth muscle cell proliferation [131], and regulate production of ASM-derived inflammatory mediators [132].

Glucocorticoids increase  $\beta_2$ -adrenoceptor gene transcription in the human lung *in vitro* [133], but the clinical relevance of this observation remains to be elucidated. Preincubation of ASM preparations with glucocorticoids reduces the contractile responses to acetylcholine and morphine, as well as reducing expression of muscarinic receptors [134, 135]. Glucocorticoids also negatively regulate histamine H<sub>1</sub>-receptor coupling in human cultured ASM cells [136].

# Non-contractile functions

## Mediator production

Smooth muscle cells themselves produce mediators which contribute to the inflammatory response [132]. ASM generates increased levels of secretory phospholipase  $A_2$  after cytokine challenge [137]. In addition, cytokines such as IL-1 $\beta$ , TNFα, and IFNγ increase the expression of inducible cyclo-oxygenase (COX-2) in human ASM in vitro, and elevate the cellular release of PGE2 and PGF2a [137–140]. Since bronchodilator  $PGE_2$  is the main prostanoid produced by ASM, it could be argued that glucocorticoids are inhibiting bronchoprotective processes [138], such as ASM relaxation and inhibition of proliferation [141]. Nevertheless, immunohistochemical studies in bronchial mucosa of asthmatics demonstrate relatively small amounts of COX-2 or COX-1 in ASM compared with epithelial cells, suggesting that the influence of prostanoids generated by smooth muscle in vivo may be minor [142]. ASM not only responds to, but also produces a number of cytokines, including transforming growth factor  $\beta$  (TGF $\beta$ ) [143], RANTES [144] and GM-CSF [145]. Glucocorticoids inhibit the production of GM-CSF [145] and the chemokine RANTES [144], but not TGFB [143] from human ASM cells in culture.

## Extracellular matrix

Cultured synthetic state smooth muscle cells produce and are able to modify their ECM. Release of proteases, including matrix metalloproteinase (MMP) may alter the collagen network in the cell microenvironment and be permissive for ASM proliferation [146]. TGF $\beta$ , which elicits complex growth patterns in many cell types including ASM [143, 147], also stimulates ASM production of hyaluronan which may lead to fibrosis and airway wall thickening due to water retention [143]. Growth factors such as thrombin, bFGF and TGF $\beta$  are sequestered in the ECM and may be mobilised upon its degradation by inflammatory cell-derived enzymes, resulting in ASM proliferation. Studies in vascular smooth muscle indicate that the synthetic state of ASM may be promoted by fibronectin, thrombospondin and type I collagen and reinforced by smooth muscle production of these ECM components. Conversely, basement membrane collagen type IV and heparin promote the contractile phenotype and inhibit proliferation [22].

#### Airways hyperresponsiveness

Asthmatics have a characteristic increased sensitivity and reactivity to a wide range of of bronchoconstrictor stimuli, known as airway hyperresponsiveness (AHR). However, AHR has also been identified in other conditions, including chronic obstructive pulmonary disease (COPD), cystic fibrosis, heart failure, bronchopulmonary dysplasia, respiratory infections following exposure to environmental pollutants and even in some healthy individuals [148]. The contribution of ASM to airway hyperresponsiveness is still the subject of intense interest and diverse opinion [9]. In particular, the notion that there is no fundamental abnormality in asthmatic ASM, based on force measurements of isolated ASM preparations, is now being challenged by data suggesting that the velocity of asthmatic ASM contraction may be increased in association with larger amounts and activity of MLCK [9].

# Measurements of airways hyperresponsiveness

Methacholine and histamine, which directly activate smooth muscle, are commonly used in assessment of airway responsiveness. Directly acting challenges elicit responses in healthy subjects, while challenges that act indirectly *via* neurones (bradykinin) or inflammatory cells (adenosine) only elicit bronchomotor responses in subjects with inflamed airways. Quantitative assessments of AHR have proved useful in investigations of the clinical status and pathophysiology of asthma. Many factors other than the underlying levels of AHR may influence the results of airway challenge including acute respiratory infections, recent allergen exposure, exposure to certain pollutants, current use of bronchodilators or anti-inflammatory medications [149]. Bradykinin, adenosine, LTs, ultrasonic nebulized distilled water (UNDW), exercise and cold-air hyperventilation may also be used to assess AHR [149]. Most of these challenges act indirectly on smooth muscle, and in some instances may better mimic naturally occurring asthma [150].

In healthy subjects, large airways make the major contribution to airways resistance, whereas in asthmatics, both large and small airway contributions are evident [151]. The sites of bronchomotor responses in humans can be determined by helium-oxygen flow-volume curves or by use of an anterograde catheter tip micromanometer [151]. High-resolution computed tomography (HRCT) is an emerging approach to the study of airway narrowing.

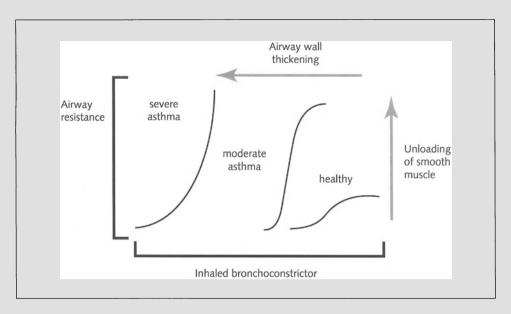
## Airway wall remodelling in airways disease

The airways of asthmatic subjects are two to three times thicker than those of subjects with chronic obstructive pulmonary disease (COPD) and age and weightmatched controls with normal airway function [152]. Importantly, these studies also established that thickening of the airway wall is not merely the result of pre-terminal disease, since airways from mild to moderate asthmatics dying from unrelated causes also showed significant remodelling. Although these initial observations have been confirmed using sophisticated stereological techniques which identified both hyperplasia and hypertrophy of smooth muscle [153] transverse sectioning failed to identify a difference in ASM quantity between asthmatics and control subjects [154]. Nevertheless, the controls in the latter study had a history of tobacco smoking and several had COPD, which itself is associated with airway wall remodelling [155, 156].

# Impact of remodelling on airways resistance increases induced by smooth muscle shortening

Normal smooth muscle shortening in an airway wall thickened by tissue remodelling may account for the reversible airway obstruction that characterises asthma [157]. The increase in smooth muscle mass contributes to the airway hyperresponsiveness by increasing the effect of minimal muscle shortening on the total increase in airway resistance [158]. Morphological and physiological studies have been designed to determine how increases in smooth muscle volume and changes in the contractile components of smooth muscle impact on smooth muscle shortening and total airway resistance (Fig. 5). Models of circumferential ASM contraction predict significantly greater narrowing of the airway lumen for a given percentage of ASM shortening in asthmatic compared with healthy airways [2, 159]. The relatively small changes in airway wall thickness have little effect on baseline resistance in airflow, but were predicted to decrease the diameter of airways sufficiently to occlude the airway lumen upon normal amounts of ASM shortening [157].

Airway narrowing responses are limited in non-asthmatic subjects which show a plateau in the dose-response curve for inhaled bronchoconstrictors, whereas asthmatic subjects inhaling safe concentrations of provocative stimuli do not reach a plateau response [160]. *In vivo* smooth muscle shortening is normally limited by the preload associated with attachment *via* connective tissue to parenchyma, whereas there is no preload on the smooth muscle *in vitro* allowing it to shorten by up to 80% of its resting length. Excessive shortening has been suggested to occur *in vivo* in asthmatics as a result of airway wall remodelling-induced unloading of smooth muscle. Intra-parenchymal airways are tethered to alveolar structures *via* the adventitial connnective tissue. Increases in the surface area of adventitia will decrease the strain against which smooth muscle shortens. This unloading may be significant



#### Figure 5

The relationship between airway wall remodelling and hyperresponsiveness may involve a combination of unloading of airway smooth muscle enhancing the capacity of muscle to shorten to lengths at which airway closure occurs, and airway wall thickening which increases airways resistance for a given amount of airway smooth muscle shortening.

enough to allow airway closure at physiological levels of smooth muscle shortening and could explain the absence of a plateau in the increase in airway resistance in response to inhalational challenge in asthmatic individuals [161]. Recent inhalational challenge studies performed at low lung volumes, at which the loading of smooth muscle is minimised have mimicked the hyperresponsive state in healthy subjects inhaling methacholine [162].

## Animal models of airway wall remodelling

In vivo models of ASM growth have been developed in antigen-sensitised and chronically-challenged rats [163] and cats [164]. Reversible ASM volume increases have also been reported in hyperoxia-induced airway hyperresponsiveness [165]. In antigen-challenged Brown Norway rats, the area of smooth muscle in intraparenchymal airways was increased by 50–100% [166]. Leukotriene receptor antagonists protect against antigen-challenge induced airway wall remodelling and increases in airway responsiveness in these models [167]. In addition, strain differences between Fisher and Lewis rats in airway responsiveness have been linked to the volume of airway smooth muscle [168]. In vitro studies on proliferation of cultured ASM indicated that the Fisher strain, which is more responsive to bronchoconstrictors and has more ASM, is more sensitive to the mitogenic actions of  $PDGF_{AB}$  than the Lewis strain [169]. This observation raises the possibility of a genetically determined link between airway hyperresponsiveness and ASM growth [169].

## Growth responses of cultured airways smooth muscle

Over the last 8 years an increasing amount of attention has been directed towards identification of potential growth factors for ASM, the signal transduction mechanisms and the modulation of these growth responses by anti-asthma drugs [170].

#### Mediators regulating growth responses

Several bronchoconstrictors have been shown to elicit growth responses of cultured ASM. ET-1 alone weakly stimulates ASM DNA synthesis [171-173] and acts in synergy with other mitogens [174]. In a rat model of airway wall thickening, ET-1 had no effect on ASM proliferation in vivo [169]. However, the ET<sub>A</sub>-receptor antagonist, BQ-610, inhibited rat airway wall mitogenesis stimulated by cigarette smoke [175]. Histamine stimulates proliferation of canine [176] and human cultured ASM cells [65], but its effects on chronic airway wall remodelling *in vivo* have yet to be investigated. Substance P and neurokinin A elicit growth of rabbit tracheal muscle via an NK1 receptor [177], but appear to be inactive on human airway muscle (Stewart, unpublished observations). LTs are relatively weak direct stimulants of human ASM proliferation, but act in synergy with powerful peptide growth factors [178]. In rabbit ASM, LT-induced proliferation is dependent on increases in insulin-like growth factor (IGF) availability [179]. TxA<sub>2</sub> mimetics have also been shown to stimulate DNA synthesis [141, 180] possibly through the secondary production of LTs [180]. Simulation of cyclical strain in cultured ASM stimulates both DNA synthesis and hypertrophy [181], the latter responses ocurring only when cells reach confluence [25]. Thus, the propensity of bronchoconstrictors to induce cell proliferation may be underestimated in cell culture studies, in which substrate attachment prevents the development of increased strain and there is no cyclical variation due to tidal breathing.

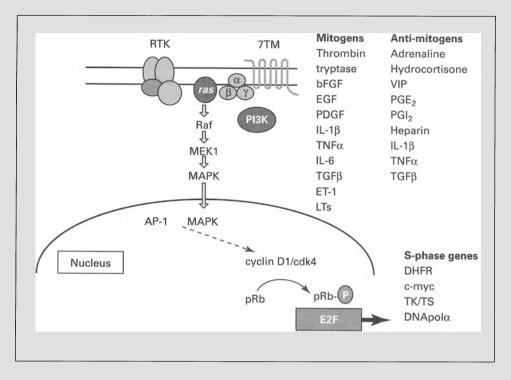
Established growth factors such as platelet-derived growth factor (PDGF) [176, 182], epidermal growth factor and basic fibroblast growth factor [141] are considerably more active and more potent than bronchoconstrictors in eliciting growth responses. The actions of serine proteases such as thrombin [141, 183] and mast cell-derived tryptase [184] are of increasing interest as knowledge of the protease-activated receptors (PARS) expands. The newly identified cleavage-dependent generation of a nascent amino terminus that acts as a tethered ligand for the PARS

receptor, raises the possibility that transient exposure to these activated proteases could lead to sustained growth responses. The insulin-like growth factor (IGF) axis has been implicated in ASM mitogenesis: IGFs are secreted by ASM and stimulate proliferation when the concentration exceeds the binding capacity of IGF binding protein (IGF-BP) [185]. Matrix metalloproteinases (MMPs) metabolism of IGF-BP is stimulated by  $LTD_4$  which is mitogenic in rabbit ASM [146, 179]. Interestingly, thrombin is known to stimulate the release of MMP2 from endothelial cells, raising the possibility of IGF involvement in thrombin-induced proliferative responses. Lysosomal hydrolases derived from inflammatory cells such as  $\beta$ -hexosaminidase have also been identified as ASM mitogens [186] acting *via* stimulation of a mannose receptor [187].

The growth-promoting actions of several cytokines have been evaluated. IL-1 $\beta$  elicits both proliferation and hypertrophy secondary to the release of PDGF [188, 189]. TNF $\alpha$  both stimulates [190, 191] and inhibits growth responses depending on the concentration and duration of incubation – short incubation periods at high concentrations result in inhibition of DNA synthesis [190]. In addition, our recent studies have shown that combinations of IL-1 $\beta$  and TNF $\alpha$  in human cultured ASM increase PGE<sub>2</sub> production to levels which have profound inhibitory effects on bFGF-stimulated DNA synthesis (Vlahos and Stewart, unpublished observations). T lymphocyte binding *via* integrins to cytokine-activated ASM induces proliferation by mechanisms which remain to be elucidated [192], but may involve signal through the MAPK pathway [193].

#### Mitogen signalling pathways

Signal transduction of proliferation is complex and incompletely understood (Fig. 6). The proximal signals activated by growth factors include phospholipase C [183, 194], elevation of Ca<sup>2+</sup>, phosphoinositol-3-kinase, protein kinase C and tyrosine kinases [171, 195]. The elevation of Ca2+; has been dissociated from the proliferative response by the use of G protein inhibitors [183] and separation of the concentration-response curves for growth responses and increases in Ca<sup>2+</sup>, [171]. However, none of the growth factors yet investigated fails to activate MAPK (ERK), which is believed to play an obligatory role in the signalling cascade that leads to passage of cells through the restriction point of the cell cycle. In bovine tracheal muscle, persistent MAPK activation appears to be required for DNA synthesis [196] and similar observations have been made for human ASM. Specifically, inhibition of MAPK by PD98059, which prevents activation of the upstream kinase MEK1 and proliferation induced by thrombin, PDGF [197] and ET-1 [198], decreases levels of cyclin D1 (Ravenhall, Harris and Stewart, unpublished observations) which is required for passage through the restriction point of the cell cycle [199]. Cyclin D1 partners cyclin-dependent kinase 4 (cdk4) which phosphorylates the restriction protein, retinoblastoma (pRb) [200]. Upon phosphorylation pRb dissociates from and



#### Figure 6

Identified elements of the signal transduction pathway for airway smooth muscle proliferation. Both G protein-coupled, seven transmembrane spanning region receptors (7TM) and growth factor receptor tyrosine kinases are linked through multiple pathways to the activation of the Ras/Raf pathway, upstream of mitogen-activated protein kinase (MAPK). The precise mechanisms regulating the expression of cyclin D1 in airway smooth muscle have not been established, but both MAPK subtrates and the AP-1 complex comprising Jun and Fos are candidate trancription factors. Cyclin D1 partners the cyclin-dependent kinase 4 (cdk4) which phosphorylates a number of essential S-phase genes including dihydrofolate reductase (DHFR), thymidine kinase (TK), thymidine synthetase (TS), the transcription factor cmyc and DNA polymerase  $\alpha$  (DNApol $\alpha$ ).

disinhibits the transcription factor complex E2F allowing the synthesis of genes essential for DNA synthesis and further cell cycle progression. Other obligatory signals for cell cycle progression are likely to be identified.

# Influence of anti-asthma drugs on smooth muscle mitogenesis

Glucocorticoids inhibit proliferation of ASM *in vitro* in a mitogen-independent manner in human [131], rabbit [201], and bovine [202] species. The mechanism

appears to involve suppression of cyclin D1 mRNA levels. There are no studies of the effects of glucocorticoids on ASM volumetric increases in animal models of chronic allergic responses.

Agents that elevated cAMP including  $\beta$ -adrenoceptor agonists [141, 201, 202], PGE<sub>2</sub> [141, 203, 204] and VIP [65] also inhibit ASM proliferation. The mechanism has yet to be fully elucidated, but does not appear to be related to regulation of Ca<sup>2+</sup><sub>i</sub> [205] or K<sup>+</sup> channels [206] and can occur late in G1 phase of the cell cycle indicating that regulation of passage through the restriction point may be the target [207]. Thus, salbutamol reduces the levels of cyclin D1 [208] and the phosphorylation of pRb without affecting cyclin D1 mRNA levels. Combinations of  $\beta$ -agonists and glucocorticoids have synergistic actions [201] as could be expected by their actions on distinct components of the mitogen signalling pathways. *In vivo* data is restricted to a study in guinea-pigs which suggested that the volume of ASM was increased by repeated exposure to fenoterol, but this was not associated with detectable increases in DNA synthesis and may therefore have resulted from hypertrophy [209].

Other endogenous anti-mitogens include TGF $\beta$ , which alone has small stimulatory effects on DNA synthesis, but with prolonged incubation (48 h) is mitogenic [143, 147]. Heparin inhibits DNA synthesis induced by FCS, but not by PDGF [204, 210] indicating that it acts on a proximal and mitogen-specific signalling mechanism, probably upstream of the common MAPK pathway.

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# Drugs that reduce airways inflammation

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# Introduction

It is clear from the preceding chapters that a number of inflammatory cells have the potential to contribute towards the pathology associated with asthma. The recognition that asthma is a chronic inflammatory disease of the airways has prompted recent guidelines being issued on the treatment of asthma, all of which have highlighted the need for earlier intervention with anti-inflammatory drugs. This chapter reviews the evidence that glucocorticosteroids, disodium cromoglycate (DSCG), nedocromil sodium and theophylline have anti-inflammatory activity in asthmatics and also considers new drugs which are under development as potential anti-inflammatory agents for the treatment of bronchial asthma.

# Established anti-inflammatory drugs

# Glucocorticosteroids

Adrenal extracts were first used to treat asthmatics in 1900 [1] with the active ingredient later identified as cortisone [2]. In an attempt to potentiate the antiinflammatory effects and reduce the unwanted mineralocorticosteroid effects, orally active glucocorticosteroids were developed and proven to have therapeutic efficacy in asthma [3]. It soon became apparent that orally active glucocorticosteroids had a number of serious side-effects and thus attempts were made to develop drugs which could be delivered locally to the airways to achieve a higher local concentration with a lower dosage than would be required for systemic administration. The first inhaled glucocorticosteroids to be developed were beclomethasone diproprionate [4] and betamethasone valerate [5]. Inhaled glucocorticosteroid therapy for asthma has enabled the well known toxicity of sys-

temic side-effects to be reduced. Oral and inhaled glucocorticosteroids taken acutely, have no effect on the early asthmatic response [6, 7], although when taken prophylactically over longer periods, it is possible to demonstrate an inhibitory effect of glucocorticosteroids on the early asthmatic response [8, 9]. Acute treatment with glucocorticosteroids can inhibit the development of the late asthmatic response following inhalation of antigen [6, 7, 10] and have variable effects on the increase in airways responsiveness to spasmogens [10, 11]. However, prolonged treatment with glucocorticosteroids has been shown to reduce increased airways responsiveness to carbachol during the pollen season in allergic asthmatics [12] and following 12-week treatment in stable asthmatics [13]. Furthermore, glucocorticosteroids also reduce the level of the maximal response to carbachol following 4 weeks treatment with budesonide in mild asthmatics [14] and following 12 weeks treatment with budesonide in asthmatics who did not achieve a maximum response to carbachol prior to treatment [13]. The beneficial effect of glucocorticosteroids upon the slope and maximum response to inhaled spasmogen is thought to reflect suppression of the inflammatory response.

Glucocorticosteroids suppress almost every step of the inflammatory process in asthma. They are thought to achieve this by a variety of mechanisms including inhibiting the transcription of genes for a wide range of cytokines [15]; inhibiting enzymes involved in the formation of lipid mediators (e.g. PLA2 and COX) [16, 17]; inhibiting the expression of adhesion molecules (e.g. ICAM-1 and E selectin) [18]; inhibiting inducible nitric oxide synthetase [19], increasing the transcription of  $\beta_2$ receptors [20], lipocortin [21] and neutral endopeptidases [22]; suppressing eosinophil colony formation and chemotaxis [23], macrophage activation and mediator release [24] and inhibiting lymphocyte proliferation and lymphokine release [25]. The precise molecular mechanism(s) to which glucocorticosteroids owe their therapeutic efficacy in asthma remains unclear, but recent studies have shown that the glucocorticosteroid/glucocorticosteroid receptor complex may bind to AP-1 transcription factor, thereby inhibiting the expression of pro-inflammatory genes [26] and/or reduce the expression of transcription factors AP-1 and NFkB [27] which would lead to a reduction in the transcription of pro-inflammatory genes and synthesis of pro-inflammatory enzymes and mediators.

Inhaled glucocorticosteroids are currently the most effective anti-inflammatory agents available to alleviate the symptoms of chronic asthma. However, despite the efficacy and improved safety of inhaled compared to oral glucocorticosteroids, there is still concern about safety of inhaled glucocorticosteroids in paediatric asthmatics. Furthermore, compliance with inhaled drugs is less than for oral drugs [28] and a number of patients still have poorly controlled asthma and a poor quality of life despite regular treatment with glucocorticosteroids. These limitations have prompted the search for more orally effective anti-inflammatory agents for the treatment of asthma.

# Disodium cromoglycate and nedocromil sodium

Disodium cromoglycate (DSCG) was developed as a result of investigations into the properties of khellin, an isolate from the seeds of a Mediterranean herb which possesses prophylactic properties [29]. Nedocromil sodium is chemically unrelated to DSCG and exhibits similar properties, and is at least two orders of magnitude more potent than DSCG [30].

DSCG attenuates the early and late asthmatic response following inhalation of antigen [6, 10, 31]. Prophylactic 28-day treatment of allergic asthmatics with DSCG reduced eosinophil numbers in bronchoalveolar lavage (BAL) fluid [32] consistent with the effect of this drug on the late asthmatic response. Exacerbations of bronchial hyperresponsiveness following antigen challenge are also abrogated by DSCG [10, 31] and DSCG suppressed bronchial hyperresponsiveness to histamine during [33], but not outside [34], of the pollen season in atopic asthmatics, suggesting that DSCG is beneficial against acute exacerbations of bronchial hyperresponsiveness. This is consistent with clinical studies demonstrating the ability of DSCG to suppress bronchoconstriction induced by non-allergic stimuli including exercise, sulphur dioxide, distilled water, cold air and adenosine (reviewed in [35]).

Nedocromil sodium inhibits the early and late asthmatic response [36] and the subsequent increase in airway responsiveness to inhaled spasmogens [36, 37] when administered prior to antigen challenge. Similarly, prophylactic treatment with nedocromil sodium reduced exacerbation of airways responsiveness to histamine during the pollen season in atopic asthmatics [38]. Nedocromil sodium also afforded protection against bronchoconstriction induced by cold air, exercise, sulphur dioxide, distilled water, adenosine and neurokinin A, and in many cases nedocromil sodium was more potent than DSCG (reviewed in [35]).

The mechanism of action of DSCG and nedocromil sodium has long been thought of as a consequence of mast cell stabilisation. However DSCG is a relatively weak inhibitor of histamine release from human lung mast cells following immunological challenge [39] and it is clear that DSCG inhibits the activation of a number of other inflammatory cells related to the pathogenesis of asthma including macrophages, eosinophils, platelets and neutrophils [40]. In addition, inhalation of DSCG in asthmatics inhibited neutrophil and monocyte activation [41] and reduced the number of eosinophils and epithelial ICAM-1 expression in bronchial biopsies [42]. Nedocromil sodium has also been shown to have other effects unrelated to mast cell stabilization including inhibiting the release of 15-hydroxyeicosatetraenoic acid [43], IL-8 [44, 45] and chemotactic factors [46] from human bronchial epithelial cells. Nedocromil sodium has also been reported to inhibit eosinophil activity *in vitro* [47], although conflicting results have been observed concerning reduction in eosinophil number in bronchial biopsies following 12–16 week treatment of asthmatics with nedocromil sodium [48, 49]. The precise molecular mechanisms responsible for the action of DSCG and nedocromil sodium remain to be established but may be due to inhibition of calcium influx into cells [50]. Alternatively there is evidence to suggest that these drugs can reduce the sensitivity of nerve endings in the airways thus abolishing local reflexes which can stimulate inflammation [51], an effect which may be attributable to their ability to block the activity of a chloride channel on the mucosal surface of airway epithelial cells as well as intermediate conductance chloride channels in cultured mucosal mast cells [52].

# Theophylline

In 1886, Henry Hyde Salter described the efficacious use of strong coffee taken on an empty stomach as a treatment for asthma [53] and the principle agent in coffee which produced bronchodilation is known to be caffeine. Theophylline has a similar chemical structure to caffeine and was first used in the treatment of asthma as early as 1922, when theophylline was found to be effective in the treatment of three asthmatics and has been used since the turn of the century for the treatment of diseases of the airways [54]. Theophylline is well known for its bronchodilator activity, although, it is becoming increasingly apparent that this drug also possesses antiinflammatory and immunomodulatory activity.

The intravenous administration of theophylline and enprophylline prior to allergen challenge inhibited the development of the late asthmatic response [55, 56] without any effect on the acute bronchoconstrictor response and associated bronchial hyperresponsiveness to methacholine [57]. Thus, neither functional antagonism of airway smooth muscle shortening nor mast cell degranulation accounted for the attenuated late asthmatic response by theophylline and enprofylline, although, in allergic rhinitis, 1-week treatment with theophylline reduced histamine release during pollen exposure [58] which indicated that theophylline inhibited mast cell and basophil degranulation in this disorder.

Individuals exposed for long periods of time to certain industrial chemicals develop asthma-like symptoms that can be duplicated in the clinical laboratory following aerosol challenge with the inciting agent. Thus, susceptible individuals demonstrate acute bronchospasm, late asthmatic responses and bronchial hyperresponsiveness following inhalation of toluene di-isocyanate (TDI) [59]. The inflammatory nature of this response has been confirmed by its sensitivity to inhibition by the glucocorticosteroid, beclomethasone. Theophylline partially modified the acute response and attenuated the late asthmatic response induced by TDI but was ineffective against bronchial hyperresponsiveness [59, 60]. This latter finding is consistent with the inability of theophylline to modulate allergen-induced bronchial hyperresponsiveness in asthmatics [57, 61]. The inhibitory effect of theophylline against the late asthmatic response in asthma may be a consequence of a restoration

of T-suppressor cell function since it has long been recognised that theophylline can increase T-suppressor cell function [62-65] and impair graft rejection *in vitro* [65] and *in vivo* [66]. Individuals who do not develop a late asthmatic response have been shown to recruit a greater proportion of CD8<sup>+</sup> (suppressor) than CD4<sup>+</sup> (helper) T lymphocytes in BAL fluid [67].

Recent clinical studies have confirmed the anti-inflammatory properties of theophylline. In two randomised, placebo controlled studies [61, 68], the effect of theophylline or placebo was investigated on various inflammatory indices following once and twice daily treatment for 1 and 5 weeks, respectively. The late asthmatic response was reduced in those subjects treated with theophylline after 5 weeks [61] despite a mean plasma concentration of only 7.8 µg/ml. The lack of effect of theophylline on the acute response is presumably due to the low plasma levels in these subjects. Inhibition of the late asthmatic response therefore, was unlikely to be due to functional antagonism of airway smooth muscle shortening or inhibition of mast cell degranulation [61]. Analysis of bronchial biopsies taken from mild asthmatics treated with low dose theophylline over 6 weeks revealed a significant reduction in EG2+ staining cells (activated eosinophils) and total number of eosinophils [69], which may be a consequence of the ability of theophylline to induce apoptosis of human eosinophils [70]. Similarly, a reduction in CD3+ T lymphocytes and expression of various activation markers on CD4+ T lymphocytes including HLA-DR and VLA-1 was observed in BAL fluid [71]. Furthermore, a reduction in CD4<sup>+</sup>, CD8<sup>+</sup> T lymphocytes and IL-4 and IL-5 containing cells was observed in bronchial biopsies from asthmatics who were taking theophylline over a 6-week period [72]. In other studies, withdrawing theophylline from asthmatics who were taking glucocorticosteroids resulted in a significant deterioration of their disease [73, 74], together with a concomitant rise in the number of CD4<sup>+</sup>, and CD8<sup>+</sup> T lymphocytes in bronchial biopsies [74].

Together, these studies document the ability of theophylline to impair lymphocyte trafficking in the airways at sub-bronchodilator doses. Furthermore, 10-day treatment with theophylline also reduced neutrophil and monocyte chemotaxis *ex vivo* [75]. In another study alveolar macrophages were shown to generate less superoxide *ex vivo* after *in vivo* oral theophylline treatment [76]. In this study a reduction in alveolar macrophage intracellular, bactericidal killing and  $H_2O_2$  release was also found which correlated well with BAL theophylline concentrations.

Recently, two studies have demonstrated that in asthmatics who were poorly controlled on existing glucocorticosteroid therapy, a significant improvement in a number of clinical outcomes including, peak expiratory flow, FEV<sub>1</sub>, symptom scores and reduced rescue medication was observed when patients were taking theophylline together with low dose glucocorticosteroid compared with high glucocorticosteroid treatment [77, 78]. In both studies, the plasma levels of theophylline measured were unlikely to be sufficient to induce bronchodilation (median 7.8  $\mu$ g/ml [77] and mean 10.1  $\mu$ g/ml [78]).

The mechanism by which theophylline suppresses inflammation is the subject of much controversy and detailed hypotheses are beyond the scope of this article. Suffice to say that a number of mechanisms have been proposed which include adenosine  $A_{2b}$  receptor antagonism [79], alteration of intracellular calcium mobilisation [80], stimulation of endogenous catecholamine release [81], prostaglandin antagonism [82] and phosphodiesterase inhibition (PDE) [83]. However, inhibition of PDE remains a popular theory to explain much of the clinical effects of theophylline, although, a recent study has shown that the ability of theophylline to promote apoptosis of human eosinophils may be unrelated to PDE inhibition [70]. The possibility that the anti-inflammatory activity of theophylline may be attributable to inhibition of PDE has led to many pharmaceutical companies pursuing selective PDE inhibitors for the treatment of asthma.

## Novel anti-inflammatory drugs

#### Selective phosphodiesterase inhibitors

A variety of pharmacological, biochemical and molecular biological studies have revealed the existence of eleven diverse PDE families which are comprised of at least 15 gene products with further diversity occurring as a consequence of differential splicing and post-translational processing [84, 85]. Of particular interest is the role of PDE4 in regulating the function of a variety of cells thought to participate in the inflammatory process and there is considerable interest in the development of PDE4 inhibitors for the treatment of inflammatory diseases such as asthma.

The PDE4 inhibitors rolipram [86–88], Ro201724 [86], RP73401 [87, 88] and CDP840 [88] were all effective at inhibiting pulmonary eosinophilia induced by allergen challenge in the guinea-pig. This effect is not a feature peculiar to the guinea-pig since rolipram and CDP840 can also attenuate the allergen-induced eosinophilia in allergic rabbits [89, 90], whilst rolipram [91–93] and RP73401 [87] can inhibit allergen-induced pulmonary eosinophilia in allergic rats and primates. In allergic mice, the mixed PDE3/PDE4 inhibitor, benzafentrine and the PDE4 inhibitor, rolipram attenuated macrophage and eosinophil accumulation in BAL fluid [94]. Moreover, in addition to the ability of rolipram, Ro201724 and CDP840 [86, 88, 95] to inhibit pulmonary recruitment of eosinophils following allergen challenge, there is some evidence to suggest that these inhibitors as well as the PDE4 selective inhibitor, CP80633 [96] can attenuate the activation of eosinophils recruited to the lung, as assessed by measurements of eosinophil peroxidase (EPO) contained in and/or secreted by the eosinophil.

The PDE3 inhibitor, siguazodan has been shown to attenuate ovalbumin-induced pulmonary eosinophilia in guinea-pigs [97], although in other studies, the PDE3 inhibitors, siguazodan [86, 95] and milrinone [95, 98] were ineffective. These dis-

crepancies could be attributed to differences in the degree of sensitisation and/or dose of allergen employed to challenge the animals. Interestingly, the PDE3 inhibitor milrinone also inhibited pulmonary eosinophilia in allergic rats [93]. The PDE5 inhibitor zaprinast appeared to have no effect on allergen-induced eosinophilia in the rat [93] or the guinea-pig [86, 98]. The mixed PDE3/4 inhibitors zardaverine [95, 97, 99] and ORG20421 [92] inhibited pulmonary eosinophilia in the guineapig and neutrophilia in the rat, respectively. Furthermore, pulmonary neutrophilia and the attendant increase in elastase and TNF $\alpha$  in BAL fluid following exposure to LPS in the rat was significantly reduced by zardaverine [100].

The ability of PDE inhibitors to impair the development of bronchial hyperresponsiveness following allergen exposure in sensitised animals has also been investigated. For example, the mixed PDE3/4 inhibitor, ORG20241 [101] and the PDE4 inhibitor, rolipram [98, 101] attenuated bronchial hyperresponsiveness to spasmogens following allergen challenge in guinea-pigs. Similarly, inhalation of pollutants such as ozone caused an 8–10-fold increase in airway sensitivity to histamine that was significantly attenuated by CDP840 [102]. Similarly, rolipram [90] and CDP840 [89] significantly inhibited bronchial hyperresponsiveness induced by *Alternaria tenuis* in the rabbit. The mixed PDE3/4 inhibitor zardaverine attenuated the LPS-induced bronchial hyperresponsiveness to serotonin in the rat [100]. Similarly, rolipram inhibited bronchial hyperresponsiveness following repeated antigen challenge of atopic cynomolgus monkeys [91].

PDE inhibitors are currently being developed for the treatment of asthma although side-effects including nausea and vomiting have halted the development of some examples of this class of drug into the clinic. To date, there are a limited number of clinical studies investigating the efficacy of PDE inhibitors in the treatment of asthma.

Inhalation of zardaverine was shown to produce a modest bronchodilator effect in patients with asthma, although unacceptable side-effects of nausea and emesis were reported in a significant number of patients [103], while oral administration of cilostazol (PDE3 inhibitor) caused bronchodilation and bronchoprotection against methacholine challenge in healthy subjects at the expense of mild to severe headache [104]. AH-2132 (benzafentrine) has also been reported to have significant bronchodilator activity in normal volunteers [105]; ibudilast significantly improved baseline airways responsiveness to spasmogen by two-fold after 6 months treatment [106] and MKS492 (PDE3 inhibitor) attenuated the early and late asthmatic response in atopic asthmatics [107].

Recently, the PDE4 selective inhibitor, CDP840 has been demonstrated to modestly attenuate the development of the late asthmatic response in mild asthmatics whilst having no effect on the acute response and no side-effects were reported [108]. The ability of CDP840 to inhibit the late asthmatic response was not associated with bronchodilation, suggesting other actions than smooth muscle relaxation. In contrast, another PDE4 inhibitor RP73401, had no significant effect on allergeninduced bronchoconstriction in allergic asthmatics [109].

# Drugs affecting leukotriene synthesis and action

Cysteinyl leukotrienes (LT) including LTC<sub>4</sub>,  $D_4$  and  $E_4$  are endogenous bioactive lipid mediators which are known to posses potent pro-inflammatory actions including vascular permeability, mucus secretion, bronchial hyperresponsiveness as well as activation and recruitment of inflammatory cells, in addition to being very potent spasmogens of human airway smooth muscle. Leukotrienes are derived from the 5lipoxygenase (5-LO) pathway of arachidonic acid metabolism and two approaches have been explored to inhibit the pharmacological activity of leukotrienes; inhibition of the 5-LO enzyme and leukotriene receptor antagonism.

The 5-lipoxygenase inhibitor zileuton was effective against bronchoconstriction induced by exercise [110], cold air [111] and reduced airways responsiveness to distilled water and histamine [112], but was ineffective against bronchoconstriction following antigen challenge [113] in asthmatics. Furthermore, in a small study, zileuton attenuated both airways and blood eosinophilia in nocturnal asthmatics [114]. Similarly, the FLAP inhibitors, MK-0591 [115] and MK-886 [116] attenuated the early and late asthmatic response following antigen challenge but not the attendant increase in airway responsiveness to spasmogen.

The leukotriene antagonists zafirlukast (ICI 204,219), montelukast (MK-0476) and pranlukast (SB 205312, ONO 1078) have also been assessed clinically. Zafirlukast potently inhibited bronchoconstriction to inhaled LTD<sub>4</sub> challenge, attenuated early and late phase bronchoconstriction to inhaled allergen [117, 118] and following exercise [119] and attenuated bronchoconstriction to inhaled sulphurdioxide [120]. Similarly, pranlukast a potent antagonist of LTD<sub>4</sub> induced bronchoconstriction [121], attenuated aspirin induced asthma [122], antigen-induced acute bronchoconstriction [123] and had a modest effect against airways responsiveness to methacholine following 1 week of treatment [124]. Montelukast [125, 126] and pranlukast [127, 128] improve pulmonary function and clinical symptoms are well tolerated and appear to be safe.

## Thromboxane A<sub>2</sub> receptor antagonists and synthetase inhibitors

Thromboxane  $(TX)A_2$  is a potent bronchoconstrictor agent which is released in asthma [129] and has been implicated as a potential mediator in this disease [130].

The TXA<sub>2</sub> antagonist BAY u3405 produced a modest decrease in airways responsiveness to methacholine following 2-week treatment in asthmatics [131] but was ineffective against bradykinin induced bronchoprovocation following a single oral administration [132]. Similarly, the TXA<sub>2</sub> antagonist, GR32191 was ineffective against methacholine responsiveness in adult asthmatics following 3-week treatment [133]. These studies suggest that TXA<sub>2</sub> antagonists are unlikely to suppress baseline airways hyperresponsiveness in asthmatics.

The TXA<sub>2</sub> synthetase inhibitor ozagrel (OKY-046) reduced cough sensitivity to capsaicin [104], and bronchoconstriction to acetaldehyde [134] in asthmatics, indicating a possible role for TXA<sub>2</sub> in sensitisation of afferent nerves. In contrast, airways responsiveness to methacholine was not significantly altered following 1-week treatment with UK-38,485 [135]. Similarly, whilst acute treatment with CGS 13080 attenuated the acute bronchoconstrictor response to antigen, the late asthmatic response and attendant bronchial hyperresponsiveness was not inhibited [136]. In a phase III study, ozagrel was reported to have a significantly greater effect in ameliorating asthma symptoms than azelastine hydrochloride and reduced the dose of concomitant steroid therapy [137]. It is clear that TXA<sub>2</sub> antagonists and TXA<sub>2</sub> synthetase inhibitors may have a greater beneficial effect against acute exacerbations of asthma induced by stimulants of afferent nerves.

# Monoclonal antibodies

One of the hallmark features of atopic disease is the presence of IgE which has been suggested to play a pivotal role in the pathogenesis of the atopic state [138]. Crosslinking of antigen to high affinity IgE receptors (FceRI) on various inflammatory cells including mast cells and basophils is a critical step in mediating many of the acute symptoms of asthma. The development of novel peptides which can inhibit the binding of IgE to FceRI may therefore have beneficial effects in the treatment of atopic diseases [139]. Recently, asthmatics were treated with the monoclonal antibody, rhuMAb-25, which binds to the FceRI binding domain of IgE. A reduction in serum IgE levels, early and late asthmatic response to inhaled antigen, reduced sputum eosinophil numbers and reduced airways hyperresponsiveness to antigen and methacholine was observed following 9-week treatment with rhuMAb-25 [140, 141].

The low affinity IgE receptor FccRII (CD23) is thought to mediate a number of effects including inhibition of IgE synthesis, antigen presentation; proliferation and differentiation of B cells; and activation of monocytes, effects which can be ascribed to the membrane and soluble conformers of CD23 [138]. A recent study has shown that antibodies directed against CD23 attenuated eosinophil recruitment to the airways following antigen challenge in a murine model of inflammation [142]. The development of peptides and other drugs which target this receptor may also provide a novel therapeutic approach to the treatment of asthma.

The cytokine interleukin (IL)-5 is important in the differentiation, migration and activation of eosinophils. Monoclonal antibodies directed against IL-5 including TRFK-5, attenuated eosinophil recruitment and bronchial hyperresponsiveness in allergic mice [143–146], guinea-pigs [147] and primates [148]. In the primate study, the ameliorating effect of TRFK-5 on antigen induced eosinophilia and hyperresponsiveness was evident 3 months after treatment [148]. Recently, inhalation of

human recombinant IL-5 in asthmatics was associated with a three-fold increase in airways sensitivity to methacholine, a six-fold increase in sputum eosinophil number and a three-fold increase in sputum ECP levels, 24 h following antigen challenge [149]. The effect of antibodies to IL-5 in asthma are currently under investigation.

# Eotaxin receptor blockers

Chemokines are a group of small molecular weight peptides (8-10 kDa) which have a number of biological effects including chemotaxis, immunoregulation, and cell growth and are classified into CXC, CC and C families [150]. Of particular interest is the role of the CC chemokine, eotaxin, a potent chemoattractant for eosinophils [151–153] and basophils [154] mediated via selective activation of the G protein coupled CC chemokine receptor (CCR) 3 [152, 155]. In a murine model of tissue eosinophilia, targeted disruption of the eotaxin gene resulted in an attenuation of the early (18 h) but not late (48 h) phase recruitment of eosinophils to the lung following antigen challenge [156], suggesting that substances additional to eotaxin are involved in the recruitment of eosinophils to the airways. Furthermore, antibodies directed against eotaxin suppressed constitutive and allergen-induced chemoattractant activity in BAL fluid [157]. Treatment of skin sites with antisera to eotaxin, or following desensitisation of CCR3 with eotaxin and blockade of the receptor with RANTES, inhibited the accumulation of radiolabelled eosinophils following antigen challenge [158]. More recently, a monoclonal antibody (7B11) directed against CCR3 inhibited binding, chemotaxis and calcium influx of eosinophils induced by eotaxin, RANTES, monocyte chemotactic protein (MCP)-2, MCP-3 and MCP-4 [159] and suggests the possibility of developing receptor antagonists for the CCR3 receptor.

# Drugs affecting cell trafficking

# Proteoglycans

There is now considerable evidence that proteoglycans such as heparin have a wide range of biological properties beyond their well known activities as anti-coagulants. Proteoglycans are a family of structurally distinct, polyanionic complex carbohydrates compose of repeating disaccharide units. Naturally occurring proteoglycans include heparin, heparan sulphate, chondroitin 6-sulphate and dermatan sulphate. Heparin is found exclusively in mast cell granules where it binds various mediators including histamine and can influence the activities of a number of mast cell derived enzymes such as tryptase [160]. Heparan sulphate has a much wider distribution in the body, being associated with stromal matrices, basement membrane and many cell surfaces, including endothelial cells.

There is now substantial evidence showing that heparin and related proteoglycans can inhibit the various phases of leukocyte adherence to the vascular endothelium and the subsequent trafficking of these cells into tissues, including the lung [160]. As such proteoglycans may serve as useful molecules to interfere with the inflammatory process, and indeed have recently been shown to be of benefit in a number of inflammatory conditions clinically, including rheumatoid arthritis [161], ulcerative colitis [162, 163] and interstitial cystitis [164]. Given the importance of inflammatory cells in the pathogenesis of asthma, and the important role played by adhesion molecules in the migration of inflammatory cells into the airways (see above), it is of considerable interest that heparin can bind to both L- and P-selectin [165] and that heparan sulphate derived from pulmonary artery endothelial cells will interact with a chimera of L-selectin [166]. A number of proteoglycans, including heparin and heparan, have been shown to inhibit the rolling and adhesion of neutrophils to vascular endothelial cells, and to inhibit the migration of neutrophils [167] and eosinophils into tissues, including the lung [168, 169]. An interesting recent development in this area is the identification of non-anticoagulant proteoglycans such as O-desulphated heparin that retain anti-inflammatory activities [170], including the ability to inhibit eosinophil infiltration into the airways [171]. This raises the real possibility of developing novel anti-inflammatory drugs based on proteoglycans that do not have unwanted side-effects related to other actions of proteoglycans.

Another interesting effect of heparin of relevance to the trafficking of inflammatory cells is the inhibition of the enzyme heparinase, an enzyme secret by a number of inflammatory cells that can degrade heparan sulphate on the endothelial cell surface [172]. Increased levels of heparinases have been reported in the sputum from subjects with asthma [173] and loss of GAGs on the surface of endothelium has been reported to be an important mechanism contributing to inflammatory changes in other clinical conditions [174]. Heparan and chronically modified heparin have been reported to inhibit the degradation of heparan sulphate by inflammatory cells [175] and to inhibit T cell trafficking by an action on heparinases [176]. The clinical potential of the actions of proteoglycans are not yet fully understood, but it has now been shown clinically that heparin can inhibit allergen [177] and exerciseinduced asthma [178, 179], as well as allergen-induced eosinophil infiltration into the nose of allergic subjects [180], suggesting that indeed proteoglycans may well provide anti-inflammatory activities in the treatment of respiratory disease in man.

#### Anti-adhesion therapy

A number of studies have used antibodies directed against selected adhesion molecules including ICAM-1 [181], LFA-1 [182, 183], VLA-4 [182, 184] and shown that bronchial hyperresponsiveness and attendant pulmonary eosinophilia following antigen challenge is abrogated in a variety of species including, primates, guinea-pig and mice. A number of studies have shown that treatment with antibodies directed against leukocyte integrin CD18 [185] or ICAM-1 [186] did not reduce the recruitment of eosinophils into the airways yet bronchial hyperresponsiveness was reduced. In contrast, inhibition of the late response, eosinophil recruitment to the lung and bronchial hyperresponsiveness was reduced in an allergic rabbit model [187]. Similarly, an antibody directed against VLA-4 reduced the number of eosinophils recovered in BAL and reduced eosinophil peroxidase levels, however, bronchial hyperresponsiveness following antigen challenge was not affected [188]. The discrepancies in the results might be a consequence of the selectivity of the antibodies since antibodies directed against the  $\beta_2$  integrin CD18 abolished the accumulation of neutrophils in the skin but not the lung [189].

## Tryptase inhibitors

Tryptase is a mast cell serine protease released following IgE stimulation of mast cells [190]. The physiological role for tryptase is unclear but it is known to affect fibroblast proliferation, degrade fibrinogen, generate C3a [191], stimulate mucus secretion [192] and degrade sensory neuropeptides [193, 194]. Thus, mast cell tryptase could play a role in regulation of haemostasis, mucus secretion and vascular permeability.

Elevated tryptase levels are evident in asthma, even in the absence of deliberate antigen challenge [195, 196], which may contribute toward bronchial hyperresponsiveness since incubation of tracheal smooth muscle with canine tryptase augments smooth muscle contractility *in vitro* [197] as well as airways responsiveness to carbachol in sheep following aerosolization of tryptase [198].

The mast cell tryptase inhibitor, APC-366 inhibited antigen induced late phase response and bronchial hyperresponsiveness to carbachol in sheep [199] and bronchial hyperresponsiveness to aerosolised tryptase [198]. Similarly, lactoferrin, which disrupts the quaternary structure of tryptase, also attenuated antigen-induced late response and bronchial hyperresponsiveness in allergic sheep [200].

## H<sub>1</sub> receptor antagonists and anti-allergic drugs

#### $H_1$ receptor antagonists

Anti-histamines are widely used in the treatment of allergic diseases where the release of histamine is thought to play an important role in the symptoms associated with hayfever, urticaria, and mild asthma [201].

However, it is clear that a number of  $H_1$  receptor antagonists including cetirizine, terfenadine, ebastine, oxatimide, loratidine and ketotifen demonstrate anti-inflammatory activity unrelated to  $H_1$  receptor blockade. For example, cetirizine inhibits

FMLP- and PAF-induced chemotaxis of [202-204], and superoxide generation by eosinophils [204]; inhibits FMLP-, LTB<sub>4</sub>-induced chemotaxis of lymphocytes and monocytes [205] and inhibited eosinophil survival *in vitro* [206]. Similarly, oxatimide inhibited antigen induced degranulation of human lung mast cells and basophils *in vitro* [207]. The mechanism by which these drugs inhibit inflammatory cell function is unclear but may relate to stabilisation of cell membranes and interference with intracellular calcium mobilisation.

A number of clinical studies have reported that cetirizine can attenuate the wheal and flare response following antigen, whilst having no effect on the late cutaneous response nor the attendant eosinophilia and deposition of ECP [208, 209]. Similarly, 3-week treatment with cetirizine failed to attenuate early and late phase response but caused conflicting effects on bronchial hyperresponsiveness following antigen challenge [210, 211]. Nonetheless, expression of ICAM-1 and eosinophil number in scrapings from nasal mucosa was significantly reduced following 15-day treatment of children sensitive to house dust mite with cetirizine [212]. Following 26 weeks treatment, cetirizine has also been shown to reduce a number of clinical symptoms in patients with perennial asthma [213]. Thus, while acute studies have reported a lack of beneficial effect of cetirizine on a number of inflammatory indices, it is clear that cetirizine may be useful when taken prophylactically in some asthmatics.

# Ketotifen

Ketotifen is an orally active drug used mainly as a prophylactic against asthma attacks particularly in the treatment of paediatric asthmatics [214, 215]. Ketotifen is a potent  $H_1$  receptor antagonist, but has a number of other pharmacological actions of relevance to its efficacy in asthma, including the ability to stabilise mast cells, upregulate  $\beta_2$ -adrenoceptors [216] and to inhibit eosinophil recruitment *in vivo* [217]. This latter action of ketotifen is unrelated to its action as a  $H_1$ -receptor antagonist, as its action against eosinophil recruitment is not shared by other  $H_1$ -receptor antagonists except cetirizine. The anti-eosinophilic action of ketotifen may be central to its ability to alter allergic asthma and contribute to its efficacy in other allergic diseases.

# Suplatast

Suplatast tosilate (IPD-1151T) is a dimethylsulfonium substance which displays a number of anti-allergic properties. For example, suplatast inhibits IgE production from B-cell clones from a patient allergic to Japanese cedar pollen [218]; expression of IL-4 in mononuclear cells [218]; IL-4 and IL-5 production from murine T lymphocytes [219]; and differentiation of mast cells from murine splenocytes and proliferation of mast cell progenitor cells [220].

In animal studies, suplatast inhibited airway hyperresponsiveness and infiltration of eosinophils, macrophages and CD4<sup>+</sup> T lymphocytes following antigen challenge in sensitised guinea-pigs [221]. In one clinical study, a significant increase in peak expiratory flow and improvement in bronchial hyperresponsiveness was observed in mild asthmatics following 6 weeks of treatment with suplatast. Furthermore, a significant reduction in the number of eosinophils and EG2<sup>+</sup> staining cells was observed in bronchial biopsies from these patients [222].

Cell activation inhibitor (CI-949)

The benzothiophene compound, CI-959 inhibits the activation of a number of inflammatory cells including eosinophils, neutrophils and lung mast cells. For example, the respiratory burst from human eosinophils [223] and neutrophils [224]; enzyme release from human macrophages and neutrophils [223]; and release of leukotrienes, histamine and thromboxane from human lung [224, 225] are inhibited by CI-959. Similarly, CI-959 has been shown to inhibit IL-2 production from human lymphocytes as well as proliferation stimulated by conconavalin A but not phytohaemagluttinin. CI-959 had minimal effect on IL-1 and TNF $\alpha$  release from human monocytes [226], suggesting that this drug has little action on monocytes. Whilst the mechanism of action of CI-959 remains to be established, it appears to be more effective at inhibiting respiratory burst in neutrophils *via* receptor coupled mechanisms as opposed to mechanisms which involve calcium entry or activation of protein kinase C suggesting that CI-959 may inhibit calcium-regulated signalling mechanisms [227].

# Conclusion

Asthma remains a major burden to healthcare systems world-wide. While significant improvements have been made in asthma therapy over the last decade, the prevalence and severity of asthma continues to rise in many Western countries, despite more prescriptions being written. Compliance remains a major problem with many existing anti-asthma drugs as they have to be administered by inhalation. There is a therefore a real need for the introduction of a novel orally acting antiinflammatory drug for the treatment of asthma.

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# T cells in the lung

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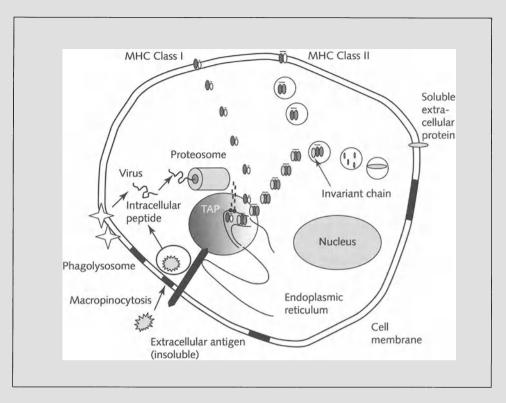
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## Introduction

Because of the requirement for efficient gas exchange, the respiratory tract is particularly vulnerable to opportunistic infection. The immune system, therefore, plays a particularly vital role in protecting the respiratory mucosa from infection. The cells that orchestrate this response are immune T cells. The respiratory mucosa contains large numbers of T cells and a network of dendritic cells that present antigen to them. For many years it was thought that IgE-mediated inflammation was the only precipitating factor in asthma. Mast cells sensitised with IgE antibodies were triggered following contact with aeroallergens which caused immediate and subsequent late phase inflammatory events leading to airway narrowing and subsequently to asthma. However, studies by Kay and colleagues have shown that T cells are also directly important in asthma [1-4] as they are in the allergen-induced late phase response in the skin [5]. The airways of asthmatic patients are rich in T cells. In experimental animals, adoptive transfer of antigen-specific Th2 T cells and subsequent aerosol challenge are sufficient to induce inflammation and increase bronchial hyperresponsiveness [6, 7]. The cytokines produced by immune T cells and their contribution to allergic inflammation and asthma is the subject of this chapter.

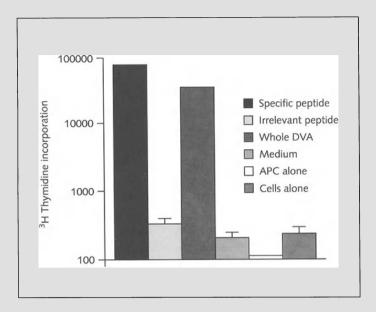
## CD4 and CD8 T cells

Immune T cells provide defence against intra and extracellular pathogens. CD8 T cells are MHC class-I restricted while CD4 T cells respond to antigenic peptides presented in the MHC class II cleft. The MHC I pathway collects antigen such as viral or self proteins present in the cytosol of the cell that have been broken down into small peptides by an organelle called the proteosome. It is now known that there are two types of proteosome. The first is termed the proteosome, and degrades a wide range of proteins. The second, called the immunoproteosome, is restricted to the breakdown of a narrower range of proteins [8]. The small peptides produced by the



MHC class I and II antigen processing pathways. Most soluble antigen enters via the endosomal compartment. Some enters by phagocytosis or macropinocytosis. Viruses are able to infect antigen-presenting cells via coated pits. MHC molecules are synthesized in the endoplasmic reticulum (ER). Small (7–8 amino acid) peptides are derived from the proteosome and are assembled into the MHC I complex of a chain and  $\beta$ 2 microglobulin. MHC II molecules are also synthesised in the ER. To prevent them from binding peptides destined for MHC I they have an extra chain (invariant chain) that binds to the  $\alpha$  and  $\beta$  chains of the MHC II complex. Part of this molecule obscures the peptide-binding cleft (clip peptide). Once in the endosome the invariant chain is degraded by enzymes revealing the MHC II peptide binding groove which picks up 15–17 amino acid peptides and migrates to the cell surface where they are presented to T cells.

proteosome are inserted in the peptide-binding groove between the first and second domains of the MHC class I  $\alpha$  chain. A specialized molecule called the transporter of peptide (TAP) [9–13] facilitates this. Soluble protein antigens normally enter the MHC class II pathway *via* endosomes that contain proteases that degrade the antigen into peptides (Fig. 1). MHC class II molecules are prevented from binding to peptides in the endoplasmic reticulum (ER) by a molecule called invariant chain which



Proliferation of CD8 v $\beta$ 5.2 TcR transgenic T cells following culture with adherent splenic antigen presenting cells pulsed with OVA peptide or whole ovalbumin.

blocks the peptide binding groove and is cleaved in the endosomes. However these two pathways are not completely exclusive and when antigen presenting cells, such as macrophages are activated, for example by binding to plastic, their ability to process soluble antigen *via* the MHC I pathway is greatly enhanced (Fig. 2).

In addition to CD4 T cells, CD8 T cells are also involved in lung immunity. CD8 T cells recognise antigen presented by MHC class 1 as stated above. As above, whole proteins can get into the MHC class I pathway once inside the cell. They are broken down by some but not all proteosomes. The immunoproteosome does not process soluble antigen that enters the cell as well as the proteosome and is present in a higher proportion in B cells. This may explain why these cells are poor targets for CD8 T cells [8]. The immunoproteosome is also present in DCs and this may explain why peptide is more readily processed into class I than whole protein [25, 26].

### Th1 and Th2 CD4 T-cell subsets

Until 1986 it was unclear how the different effector functions of CD4 T cells were mediated [14]. In 1986 Mosmann and colleagues published a seminal study in

| Subset  | IL-2 | IFNγ | <b>TNF</b> β | IL-4 | IL-5 | IL-6 | IL-10 | IL-13 |
|---------|------|------|--------------|------|------|------|-------|-------|
| Th1/Tc1 | +++  | +++  | +++          | -    | -    | -    | -     | -     |
| Th0/Tc0 | +++  | +++  | +++          | +++  | +++  | +++  | +++   | +++   |
| Th2/Tc2 | _    | _    | -            | +++  | +++  | +++  | +++   | +++   |

Table 1 - The cytokine profile of mouse CD4 and human CD8 T-cell subsets

which well-established mouse CD4 T-cell clones were classified according to the cytokines they produced (Tab. 1). They observed that the clones were polarized into those that secreted IFN $\gamma$ , IL-2 and TNF $\beta$  but not IL-4, IL-5, IL-6, IL-10 or p600 (IL-13), which they termed T-helper 1 (Th1), and those that made IL-4, IL-5, IL-6, IL-10 or p600 (IL-13), but not IFN $\gamma$ , IL-2 or TNF $\beta$ , which they called T-helper 2 (Th2). Some cytokines, interleukin-3 (IL-3), tumour necrosis factor  $\alpha$  (TNF $\alpha$ ) and granulocyte-macrophage colony stimulating factor (GM-CSF) are secreted in similar amounts by both subsets. It soon became evident that Th1 cells were associated with immunity to bacterial and viral pathogens while Th2 responses were associated with nematode and other parasitic infections and with allergy as discussed below. A third category termed Th0, which made all cytokines, was later described [15].

### Th1/Th2 T-cell surface markers

In addition to cytokines, cell surface ligands may distinguish different types of T cell. Putative Th1 cell markers include the signaling lymphocytic activation molecule (SLAM), a 70-kDa costimulatory molecule belonging to the immunoglobulin superfamily. SLAM mediates CD28-independent proliferation of human T cells and IFN $\gamma$  production by human Th1 and Th2 clones. SLAM is expressed on primary mouse T and B cells. Following Th1 and Th2 differentiation, its expression is restricted to mouse Th1 but not Th2 CD4 T cells [16]. Another molecule termed LAG-3 is also reported to be associated with Th1 cells [17, 18].

There are also a number of potential Th2 cell makers. ST2L (also known as T1, DER4, or Fit) is expressed on Th2 but not Th1 cells [19]. CD30 is expressed at a higher level on Th2 cells, and reflects their ability to respond to IL-4 [20]. Indeed signaling *via* CD30 promotes Th2-cell formation [21]. For CD8 T-cell subsets, established Tc1 cells (6–8 weeks into culture) fail to induce CD30, CD40L following activation [22]. Tc1 cells constitutively express lower levels of CD28 than Tc2 cells. After activation, CD28 levels on Tc1 CD8 T cells fall while CD28 on Tc2 cells does not. Th1 cells do not express the  $\beta$  chain of the IFN $\gamma$  receptor [23]. Mice in

whom the IFN $\gamma$ R2 gene has been inactivated have defective Th1 differentiation, are defective in contact hypersensitivity and are highly susceptible to infection by *Listeria monocytogenes* [24].

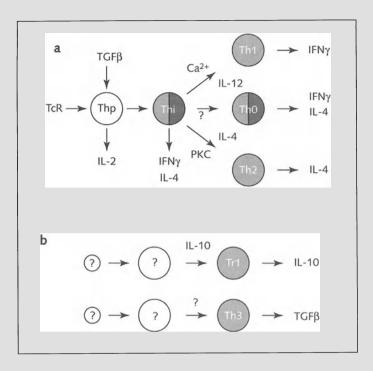
### **Regulatory T-cell subsets**

For many years in vitro and in vivo studies of T cells have shown that these cells can inhibit as well as enhance specific immune function. However attempts to define suppressor factors have been elusive. A number of processes have now been defined. As is shown below, the cytokines made by Th1 cells (specifically IFN $\gamma$ ) inhibit Th2 cells and cytokines made by Th2 cells (especially IL-4) inhibit Th1 cells. Thus the balance of the developing Th1/Th2 immune response can be directed down one or other of these pathways. This process has been termed immune deviation [27, 28]. At mucosal surfaces another immune regulatory process has been described as immunological tolerance in which immune T cells are inhibited rather than redirected. The cells that mediate these effects have been termed regulatory T cells. The first to be reported were Th3 cells. These cells predominantly secrete TGFB [29-32] (Fig. 3b). A second regulatory subset termed Tr1 that mainly makes IL-10 has since been described [33]. Tr1 cells inhibit Th1 cells [34] and prevent Th1 inflammatory disorders such as colitis [33, 35, 36]. They have two possible phenotypes: CD45RB<sup>high</sup> [35-38] and CD45RBlow/CD38+ [39]. Th3 cells are found following induction of oral tolerance [30-32, 40]. There may be further subsets [41] that have yet to be defined. IL-10 induces Tr1 cells [33]; it is not known what specific signals induce Th3 cells.

Available evidence indicates that the cytokine profile of human T-cell clones resembles that seen in the mouse although there are some notable exceptions. T-cell clones prepared from atopic donors are Th2 like [42–47] while the majority, derived from non-atopic individuals, were closer to Th1. Some human Th1 clones, however, make appreciable amounts of IL-6 [48] and both Th1 and Th2 human CD4 T-cell clones can make IL-10.

### Th1 and Th2 differentiation and growth

A number of factors control the differentiation and subsequent growth of Th1 and Th2 cells including the cytokines present and the type of stimulus delivered. As so often in immunology, the effects of cytokines can be both autocrine and paracrine. IL-4, for example, promotes the generation of IL-4 producing Th2 cells. As these cells make IL-4 this, in turn, promotes differentiation into additional Th2 cells [49–51]. IL-4 also inhibits differentiation into Th1 cells and reduces Th1 cell growth [52]. Cytokines can also act synergistically – for example a combination of IL-4 and IL-10 inhibits cell-mediated immunity [53, 54].



The contribution of cytokines and TcR delivered signals to CD4 T cell differentiation. (a) Following T cell receptor (TcR) engagement naïve CD4 T cells (Thp) make IL-2. TGF $\beta$  maintains cells in this state. Subsequent engagement of co-stimulatory molecules, such as CD28, induces cytokine gene expression via an intermediate (Thi) that makes both IFN $\gamma$  and IL-4 into Th1, Th2 or Th0 effector cells. The formation of these different cells is regulated by the presence of key cytokines. IL-12 promotes Th1 cells and IL-4 Th2 cells. It is unclear what causes Th0 cell formation. In addition to these cytokine delivered signals the balance of TcR delivered signals that activate the calcium (Ca<sup>2+</sup>) and protein kinase c (PKC) pathways are capable of overriding the effects of cytokines.

(b) In addition to the above T cell subsets are regulatory T cells (Tr1) that are induced by IL-10 and make IL-10 and Th3 cells that mainly make TGF $\beta$ .

Following T-cell receptor (TcR) engagement, naïve CD4 T cells (Thp) make IL-2. TGF $\beta$  maintains cells in this state [53], which may be important for clonal expansion (Fig. 3a). Subsequent engagement of co-stimulatory molecules, such as CD28, induces cytokine gene expression, *via* an intermediate (Thi) that makes both IFN $\gamma$ and IL-4 [55], into Th1, Th2 or Th0 effector cells. The formation of these different cells is regulated by the presence of key cytokines. IL-12 promotes formation of Th1 cells [56] and IL-4 is required for Th2 cells [49]. It is unclear what causes Th0 cell formation or what is their function (see below). As well as cytokines, different stimuli delivered *via* the T-cell receptor influence Th1 and Th2 cell formation. O'Garra and colleagues have shown that both strong and weak stimuli favour mouse Th2 formation *in vitro* [57]. *In vivo* we have found that the optimum dose of antigen for IgE responses in the mouse today is 1 mg/ml. This contrasts with previous studies showing microgram [58–60] and even nanogram [61] quantities worked best. What appears to have happened is that as animal colonies have become free of certain pathogens, such as the pin worm syphacea, they have lost the ability to respond to low antigen dose but still respond to high doses.

By contrast, Bottomly has shown that increased interaction (valency) between T cell and antigen presenting cell favors Th1 over Th2. Transgenic CD4 T cells produced IFN $\gamma$  when exposed to high antigen doses, while low doses of the same peptide induced Th2-like cells that produced IL-4 [62]. Priming by altered peptide ligands (APLs) for both IL-4 production and IFN $\gamma$  production does not require two different types of APCs [63]. Indeed the nature of the signals sent by the T cell receptor (TcR) can influence the type of T cell with high affinity antigen peptides favoring Th1 and low affinity peptides favoring Th2 [64–66]. High affinity TcR delivered signals activate the calcium (Ca<sup>2+</sup>) pathway [67] while low affinity TcR signals favor the protein kinase c (PKC) pathway [66]. Such TcR delivered signals are able to override the effects of cytokines such as IL-4 and IL-12.

### Tc1 and Tc2 CD8 T cells

CD8 T cells are regulated by the same cytokines but it is generally more difficult to make Tc2 CD8 T cells as compared with Th2 CD4 T cells [50, 68–70]. In common with CD4 T cells, IL-4 enhances differentiation into Tc2 cells and inhibits Tc1 cell formation while IL-12 promotes differentiation into Tc1 cells [71] and inhibits Tc2 cell formation. As for CD4 T cells, growth of Tc1 but not Tc2 cells is inhibited by IL-4 while IL-12 enhances Tc1 but not Tc2 cell proliferation [22]. *In vivo*, polarized T cell subsets persist. Transgenic CD8 T cells, polarised into Th1 or Th2-like subsets *in vitro*, then adoptively transferred into wild type mice revert to a naïve (resting), CD45RB<sup>high</sup> phenotype. When re-challenged with antigen 13 weeks later the transgenic cells produced the same pattern of cytokines [72]. It is not known, but is expected, that similarly polarised CD4 T-cell subsets too retain the same pattern of cytokine secretion.

## IgE regulation

Shortly after IgE was discovered it became clear that IgE production by B cells was regulated by T cells. Tada showed that rat IgE responses could be inhibited by Lyt2

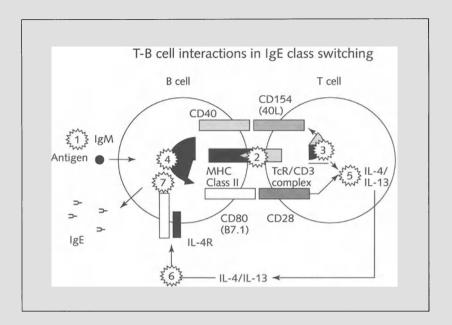
 $(CD8\alpha)$  bearing T cells [73–75]. Katz and others showed that CD4 T-cell help was also required [76, 77]. For many years different theories were advanced and specific immunoregulatory molecules proposed. In 1986 the speculation ended when Coffman and Carty [78] using BCSF-1 and in 1987 Snapper and Paul using its new name, IL-4 [79] showed that this molecule regulated B-cell IgE class switching. Subsequently p600 (IL-13) was shown to posses the same IgE promoting properties as IL-4 [80].

During antibody synthesis, the genes encoding the variable part of the IgE molecule (VDJ) are rearranged to yield different affinity antibodies. B cells making the highest affinity antibody are selected by rescue from apoptosis. The heavy chain of the immunoglobulin gene is spliced onto the VDJ complex that encodes the combining site. In the case of IgE, a sterile transcript that does not contain the full length sequences produced first. This regulates expression of the full-length sequence. The combining site is joined to the heavy chain by looping out intervening DNA. This produces a circle of DNA containing the intervening heavy chain genes analysis of these switch circles has shown that switching can occur in stages, in a single jump, up and down the gene. This process is regulated by an obligatory signal delivered by CD40L on the CD4 helper T cell and specific cytokines.

The process of class switching can be followed through a series of distinct steps shown in Figure 4. In step 1, IgM antibody bearing B cells take up antigen *via* their surface antibody. This antigen is then processed and presented *via* MHC class II molecules in step 2 to CD4 T helper T cells. Ligation of the T-cell receptor in this way upregulates expression of CD40L (CD154) (Step 3) which binds to CD40 on the surface of the B cell which is constitutively expressed. Ligation of CD40 stimulates the B cell which the expresses CD80 (B7.1) in step 4. CD80 binds to CD28, which is constitutively expressed on some CD4 T cells, and in step 5 together with the T-cell receptor-MHC interaction stimulates IL-4/IL-13 synthesis and secretion. These cytokines bind in turn to receptors on B cells (Step 6) and initiate class switching to IgE, which is secreted in step 7.

### Th1 vs Th2 pathology

Following exposure to antigen CD4 Th2 cells infiltrate the lung of asthmatic patients. These cells can readily be found in the late phase response both in the lung [81, 82] and following intradermal injection of antigen into the skin [5] of allergic patients. The cytokines secreted by these cells have direct pro inflammatory effects. IL-4 and IL-5, for example, promote the recruitment and survival of eosinophils [83] and mast cells. Eosinophilia, airway hyperreactivity, and lung damage failed to occur in ovalbumin aerosol sensitised, IL-5 knockout mice [84]. Human IL-6, when over-expressed in mouse lung epithelial cells causes a T-cell infiltrate but reduces lung hyperresponsiveness [85]. IL-11 similarly over expressed can cause airway remodeling with subepithelial airway fibrosis [86]. IL-13, when targeted to the lung,



Regulation of immunoglobulin class switching to IgE. Step: 1 Antigen is taken up by B cells which express IgM (primary immune response) or IgE, IgA or IgG (secondary immune response) on their surface. Step 2: The antigen is processed as shown in Figure 1 which results in increased expression of MHC class II-peptide on the surface of the B cell. Increased MHC class II-peptide expression recruits CD4 T cells whose T-cell receptors (TcRs) recognise the specific peptide and signals to the T cell, step 3, to express CD40L. Step 4: Engagement of CD40 by CD40L induced the B cell to express CD80 or CD86 which engages CD28 on the CD4 T cell. Step 5: A combination of CD28 and TcR engagement stimulates Th2 CD4 T cells to produce IL-4 and IL-13. Step 6: IL-4 or IL-13 signal to the B cell to make IgE. Step 7: The B cell secretes IgE.

produces an inflammatory response around small and large airways containing eosinophils and macrophages, Charcot-Leyden-like crystals, and subepithelial airway fibrosis [87]. Other cytokines made by both Th1 and Th2 cells, such as GM-CSF, contribute to lung inflammation by promoting monocyte activation and survival [88–90].

Th1 cells are associated with certain autoimmune disorders such as diabetes [91], rheumatoid arthritis [92, 93] and multiple sclerosis [94]. Th1 cells induce macrophage infiltration and granuloma formation. In the lung Th1 responses are associated with infection with pathogenic organisms such as *Mycobacterium tuberculosis* [95, 96]. An over active Th1 response leads to destruction of the lung

architecture and extensive granuloma formation in patients with Sarcoidosis, a disease of unknown etiology [97].

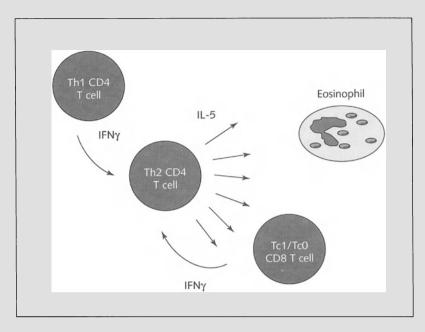
## Can T cells alone cause asthma

In most atopic asthmatic patients allergen specific Th2 cells will coexist with IgE antibodies to the same allergens. CD4 Th2 cells are required for IgE production. It is, therefore, very difficult to separate the relative contribution of T cell and IgE mediated mechanisms to allergic inflammation. One way of doing so is to use an animal model. Passive transfer of allergen-specific IgE and IgG1 followed by inhalation of nebulised ovalbumin causes immediate hypersensitivity and airway hyperresponsiveness in mice [98]. Mice and rats sensitized with ovalbumin have increased airway responses to acetylcholine following ovalbumin aerosol challenge [99-102]. Airway bronchial hyperresponsiveness (BHR) is associated with increased Th2 cytokines and reduced Th1 cytokines [103]. BHR can be induced by passive transfer of antigen-primed CD4 T cells [104]. The degree of hyperresponsiveness depends on the strength of the Th2 response. Umetsu and colleagues too showed that Th2 cells alone can cause airway inflammation and BHR [105]. It will require further research to evaluate the contribution that IgE makes to this process but IL-4 and CD40 knockout mice (no IgE or IgG) still exhibit eosinophilia and increased airway hyperresponsiveness [106].

### The role of CD8 T cells in the lung

For a number of years it was felt that the Th1/Th2 paradigm did not apply to CD8 T cells. Most CD8 T-cell clones had a Th1 cytokine profile and these cells rarely seemed to produce IL-4. Indeed the most common phenotype for CD8 T cells in humans, mice and rats is the IFN $\gamma$  secreting Tc1 type [22, 50, 107]. CD8 T cells are negatively associated with the late phase asthmatic response [108]. It is not clear what the cytokine profile of such protective CD8 T cells was. In our experimental animal model Tc1 CD8 and Th1 CD4 T cells can inhibit Th2 cell mediated bronchial hyperresponsiveness (BHR) (Fig. 3). Both Tc1 and Tc2 CD8 T cells can stimulate IL-12 and so promote Th1 cells and inhibit Th2 cells.

It is also possible that Tc2 CD8 T cells can cause disease (Fig. 6). Seder and colleagues showed that mouse CD8 T cells cultured with IL-2 and IL-4 made significant amounts of IL-4 [109]. In Leprosy patients, Salgame et al. [110] showed that CD8 T cells that made IL-4 were associated with the more severe lepromatous form of the disease and could inhibit *M. leprae* specific cytotoxic CD4 T cells. Our own studies of rat T cells showed that CD8 T cells from naïve animals could make more IL-4 than CD4 T cells when stimulated with PMA and ionomycin [50]. Indeed in

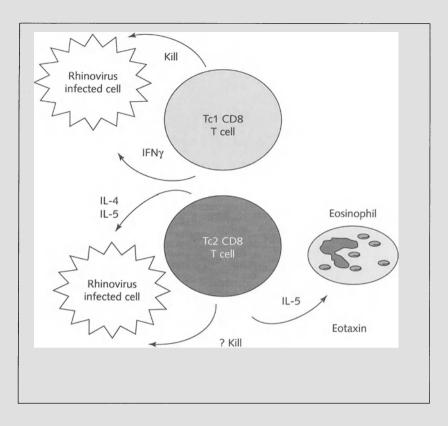


As well as killing virus infected cells, Tc2 CD8 T cells have reduced killing capacity as compared with Tc1 cells and secrete molecules like IL-5 and eotaxin that recruit eosinophils.

thymectomised and CD8-depleted mice, IgE responses were normal but: (i) there was a failure to produce IL-5 from lymph node T cells, (ii) there was no eosinophil infiltration of the lung parenchyma and (iii) they were unable to develop BHR [111]. Furthermore, in mice making a strong Th2 response, the subsequent CD8 T cell response to viral infection can result in an increase in virus-specific CD8 T cells that make IL-5 and subsequent viral infection can cause lung eosinophilia [112]. There is evidence for such a phenomenon in allergic asthmatics where viral infections may contribute to asthma.

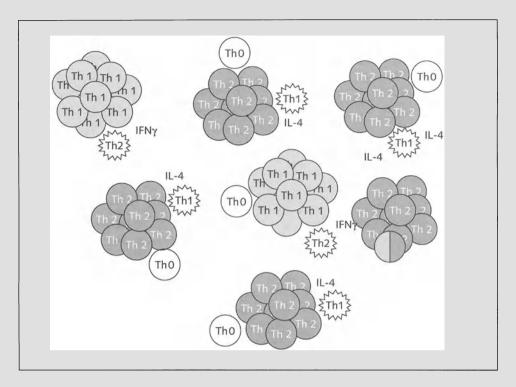
## Regulation of T-cell function in the lung

In the lung it is clear that T-cell subsets have the potential to co-regulate each other's function. Early on, small numbers of Th1/Tc1 or Th2/Tc2 cells can deviate the inflammatory response in one direction or another. Once a pattern is established, Th1/Tc1 or Th2/Tc2 responses are very hard to alter because groups of polarised cells keep each other in line. Th1 cells make IFN $\gamma$ , which inhibits the growth and function of Th2 cells; Th2 cells make IL-4 that similarly inhibits Th1 cells. IL-4 and



Th1 cells make IFN $\gamma$ , which inhibits the growth and function of Th2 cells, Th2 cells make IL-4 that similarly inhibits Th1 cells. Thus these cells will grow in small exclusive groups, making it possible for the same individual to have both Th1 and Th2 immune responses simultaneously. When the wrong cell (shown in white) arrives at the wrong cluster it will be inhibited. Th0 cells have the option of surviving in both Th1 and Th2 environments.

IFN $\gamma$  exert similar effects on the expansion of Th1 and Th2 human T-cell clones [113, 114]. Thus these cells will grow in small exclusive groups making it possible for the same individual to make both Th1 and Th2 immune responses simultaneously. When the wrong cell (shown in white) arrives at the wrong cluster it will be inhibited. Th0 cells have the option of surviving in both Th1 and Th2 environments. Th2 not Th1 cells cause asthma [115]. New arrivals will find it very difficult to change the behaviour of established cells (Fig. 7). There are two potential avenues to altering established lung Th2/Tc2 responses. Inhalation of peptides can anergise CD4 T cells *in vivo* [116]. IFN $\gamma$  blocks eosinophilia and mucus production [117]. IL-12 targeted to the lung can inhibit BHR [118].



In the lung Th2 CD4 T cells secrete IL-4 and 5 that promote the recruitment and survival of eosinophils that secrete inflammatory molecules. The effects of Th2 cells are antagonized by Th1 CD4 T cells.

### T-cell targeted drug therapy

A number of drugs that inhibit T cell function have been effective in treating asthma. In immunotherapy IFN $\gamma$  goes up [119]. IFN $\gamma$  reduces airway inflammation and improves lung function [120] but is expensive and may have other side-effects. Cyclosporin A and FK506 have both been shown to reduce Th2 cells in the lung and improve lung function in asthmatic patients [121]. However, the drug of choice is corticosteroid. At the low doses given to asthmatic patients using inhalers it is well tolerated. Interestingly it has comparable effects on Th1 and Th2 cells. In asthmatic patients it reduces Th2 cytokine production [122] while in Sarcoid patients it reduces Th1 cytokine levels and increases IgE and IL-4 concentrations in the BAL [97]. More selective Th2 and Th1 antagonists may yet prove more effective but the long-term safety of corticosteroids and their broad spectrum of anti-inflammatory action make it likely that they will remain the drugs of choice in asthma for many years to come. In mice inhalation of peptide can induce tolerance [116]. Therapy with Allervax Cat (cat peptide) improves tolerance to cats and improves pulmonary function in cat allergic patients with reduced FEV1 [123].

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