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# Mark Andrew Dewsbury

The Empirical Validation of House Energy Rating (HER) Software for Lightweight Housing in Cool Temperate Climates



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# The Empirical Validation of House Energy Rating (HER) Software for Lightweight Housing in Cool Temperate Climates

Doctoral Thesis accepted by the University of Tasmania, Australia



Author Dr. Mark Andrew Dewsbury School of Architecture and Design, Faculty of Science, Engineering and Technology University of Tasmania Launceston, TAS Australia Supervisor Emeritus Professor Roger Fay

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- Dewsbury, M., Geard, D., and Soriano, F. (2011) The Empirical Validation of a Thermal Performance Model for Residential Buildings. DCCEE contracted project report.
- Dewsbury, M., Soriano, F. and Fay R. (2011) 'The empirical validation of the 'accurate' software envelope model: concrete slab-on-ground floored test building', *Building Simulation 2011, Proceedings of the 12th Conference of the International Building Performance Simulation Association*, 14–16 November 2011. Sydney.
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- Dewsbury, M., Soriano F.P., Nolan, G. and Far, M.R. (2009) Comparison of test cell thermal performance and the empirical validation of AccuRate. Forest & Wood Products Australia. Final Report.
- Dewsbury, M., Nolan, G. and Fay, M.R. (2008) 'Thermal performance of lightweight timber test buildings', WCTE2008, 2–5 June 2008, Miyazaki, Japan, pp. 62.
- Dewsbury, M., Fay, M.R., Nolan, G., and Vale, R.J.D. (2007) 'The design of three thermal performance test cells in Launceston', *Proceedings of the 41st ANZA-ScA Annual Conference*, 16–18 November 2007, Geelong, pp. 91–100. ISBN 978-0-9581925-3-8 (2007) [Refereed Conference].
- Dewsbury, M., Nolan, G. and Fay, M.R., Comparison of Test Cell Thermal Performance August to December 2006, Forest & Wood Products Australia, 1 (2006) [Contract Report].

### Supervisor's Foreword

The underpinnings of this publication come straight from the heart. From the outset, Dr. Dewsbury's design practice and later his research, have been predicated on environmentally responsive and responsible design and the equitable availability of affordable and thermally comfortable housing to those with the fewest financial resources.

Many people in both the developed and developing world live in cold climates and experience the ill effects of the twin whammy of energy inefficient housing and the reliance on the cheapest heating systems to purchase but the least cost-effective to operate.

Building regulations in Australia set the minimum design standards to ensure acceptable building envelope performance during all seasons and all climates in Australia. These design standards are based on either deemed-to-satisfy provisions or simulation programs to provide an agreed star rating. Commencing with a minimum rating of four stars in 2006 and increased to six stars (in most states of Australia) in 2010, new housing or substantial renovations to existing houses must meet modest envelope requirements.

While the use of computer simulation tools is well established in the UK, Europe, and the US, there has been resistance to their use in Australia. Building product manufacturers, builders, developers, and building designers, each for their own reasons, argue against their use. Among others, they cite as consequences of flawed regulations and simulation programs, increasing housing costs, decreasing housing affordability, the unfair treatment of both thermal mass and lightweight materials and products, the questionable validity of simulation results, and the assumption in the software that buildings will be air conditioned in both hot and cold climates.

Dr. Dewsbury's research was framed around the need to validate the computer simulation program that lies at the heart of the building regulations' accepted Australian programs. Known as AccuRate, the program was developed by the CSIRO. Though developed in Australia, the program shares common traits with similar programs used in other countries and the findings will therefore be of interest to the international community. The research addressed cool temperate

climates such as those found in Australia's most southerly state of Tasmania, and parts of Victoria, NSW, and South Australia.

The findings are based on detailed on-site measurements and computer simulations of three specially designed and constructed test cells. These test cells represent typical Australian construction types. A range of environmental parameters, including internal temperature, humidity and wind speed, and external weather conditions, were measured on-site. These data could then be compared to the computer simulations for the same period.

Dr. Dewsbury's extensive and detailed research, described as 'heroic' by one of his Ph.D. examiners, revealed robustness in the simulation program but also many areas requiring improvement. In particular, he compared internal temperatures as measured and as simulated; and assessed the impact on internal temperatures resulting from the use of climate parameters as measured on-site and as used in the simulation engine; infiltration rates as measured on-site and as used in the simulation engine; and finally, building elements not accounted for in the simulation program.

At a very general level, the research findings are facilitating the improvement of building energy simulation programs. At a more particular level, the research highlighted, for example, the potential for timber to be valued and used for its thermal mass.

Since he completed his Ph.D. in 2011, Dr. Dewsbury's research papers, grants, and consultancies have further investigated the thermal mass of mass-timber products; subfloor reflective insulation and residential building energy performance, the energy performance of lightweight residential buildings in Australia, and the empirical validation of building energy simulation software. On the basis of his expertise, Dr. Dewsbury was appointed to the Nationwide House Energy Rating Scheme Technical Advisory Committee in 2011.

Hobart, Australia, December 2014

Emeritus Professor Roger Fay Ph.D., B. Arch(hons) GradDipEd FRAIA Honorary Associate School of Architecture and Design

Research Associate The Wicking Dementia Research and Education Centre

## Preface

Paul Ritter first postulated that human activity is an integral part of the biosphere of this planet and our actions can enhance or detract from our ability to live in harmony with other creatures and the physical environment that surrounds and supports us (Ritter 1817). In our modern approach to sustainability the United Nations established that:

Ecologically sustainable development is development that meets the needs of the present without compromising the ability of future generations to meet their own needs. (UN 1987, p. 54)

With a good deal of intention and naivety, my partner and I built a load bearing mud brick house in the early 1990s in an attempt to limit our impact on the biosphere by minimizing the use of resources to construct, operate, and maintain a home, but this path is followed by few.

How do we improve the method of house-making in an ever more complicated existence? My research derives from two distinct passions.

The first research focus follows the references above and is concerned with the environmental and resource aspects of house-making. The resources we use to build, maintain, and operate our new and existing homes, including matters pertaining but not limited to: resource depletion, energy resources, and greenhouse gas emissions.

The second focus is more social in nature, as it is concerned with our society's capacity to provide a minimum standard of habitable housing for all. In many societies, it is those with the least wealth who are confronted with houses that require the most resources to operate and make habitable through summer and winter. In the Tasmanian context, many existing houses have internal temperatures as low as 0 °C in winter.

As a society, we need to understand how new and existing buildings work thermally and to put in place legislation and suitable, non-product-based guides to inform owners and tenants of methods to improve the liveability of their homes.

And one day we will all have a Net Zero and/or Zero Carbon Home.

### Acknowledgments

This research would never have been appropriately informed or guided without many individuals and organizations who during the time of this research gave immeasurable advice and support.

My first thanks go to my primary supervisor, Professor Roger Fay, without whose ongoing support and intellect this research may not have been completed to the degree that it has.

Also to my secondary supervisor, Dr. Florence Soriano, who aside from providing an open door to many questions, coming from an engineering background, provided invaluable support through the data cleaning, statistical analysis, and thesis writing stages.

My third supervisor, Associate Professor Gregory Nolan, established the original concepts and industry support for the building thermal performance research that has become an integrated part of the Centre for Sustainable Architecture with Wood.

Being trained for Architecture, Statistics was never an area I thought I would encounter and utilize to the extent I have for the extent I have for this research task. This only occurred due to the guidance and assistance of Dr. Desmond FitzGerald, School of Mathematics and Physics, University of Tasmania.

The AccuRate software has been developed by the CSIRO over many years. My personal and formal relationship with Dr. Angelo Delsante (retired) provided invaluable guidance from both a national and international perspective. Dr. Delsante was Australia's representative in the IEA tasks associated with building thermal simulation validation and software development. Dr. Delsante was an intellectual partner in many parts of the research. When Dr. Delsante retired, Dr. Dong Chen, (CSIRO), assumed the mantle for the ongoing development of the AccuRate Software. Dr. Chen has provided ongoing guidance as to the machinations within the AccuRate software. There have been many other discussions with a wide range of scientists from the CSIRO from the areas of climate, building physics, and building simulation softwares.

Special thanks must go to:

- The test cell research team from the University of Newcastle, especially Dr. Heber Sugo, who provided early advice from their personal experiences
- Dr. Robert Vale, who was of great assistance during the first nine months of the research, which focused on the design of a Zero Energy House
- Dr. Mark Luther who pointed me down the path of ASHRAE
- My co-researchers: Detlev Geard, Philip McLeod and Sabrina Sequera who have tested my knowledge continuously but have also provided immense inspiration to this field of research
- There have been many industry sponsors during the research period, without which the buildings would not have been built and the research would not have occurred. A list of the sponsors is visible at http://oak.arch.utas.edu.au/testcells/

My final thanks must go to a very patient and understanding family. My partner Vicki, who has put up with late nights and unlimited child caring for the last five years. My three children: Lucienne (11), Gabrielle (8), and Everette (5), whose Dad has always been at 'uni' or doing 'uni' work.

To all, I hope I can repay some of my indebtedness in the years to come.

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### About the Author



Born: 02/05/1965

**Mark Andrew Dewsbury** (B. Environmental Design, B. Architecture, Grad Cert Management, Ph.D.) As a Lecturer and Researcher in Building Science and Building Information Modelling in the School of Architecture and Design, at the University of Tasmania, I am continually juggling the tasks at hand. I coordinate, lecture, and tutor from first year to fourth year courses within the building science program and the use of building information modeling programs to model, document, and communicate architectural designs.

On the research front I am still completing empirical validation tasks for government and industry within the Launceston test cell buildings. Recent tasks include the analysis of reflective and bulk subfloor insulation

products. Additionally, I have increased my focus on the use of mass timber elements as thermal mass. This has included three conference papers, which have focused on desktop simulations, which have shown mass-timber providing a better thermal performance outcome than clay brick or concrete. I have recently completed empirical studies to test the desktop research by including mass timber elements as partition walls and as flooring within the test cell buildings.

More recently I have been contracted to perform applied research on condensation problems and risks within recently constructed Tasmanian housing. This research task is due for completion in June 2015.

These research tasks have included contract research for Forest and Wood Products Australia, CSIRO, Department of Climate Change and Energy Efficiency (DCCEE), Department of Industry, the Tasmanian Building Regulator, NSW Department of Planning, CSR Building Materials, Aurora Energy, and a broad range of industry and government partners.

I still provide time for my private practice Carawah, which focuses on low energy and sustainable housing. As a partner with Vicki Dewsbury in this practice I have a strong focus on zero heating and cooling requirements and a net zero goal for housing and commercial buildings. My building science knowledge and experimentation has evolved from involvement in the design of commercial and residential buildings in the public and private sectors for more than 20 years. My strong interest and passion in sustainability also includes building materials and site sustainability issues and on-site waste management systems. Recent projects have included load bearing straw-bale construction, suspended rammed earth flooring, and mass timber as bracing and thermal mass within lightweight construction.

This nationally recognized expertise has led to my membership within the Nationwide House Energy Rating Scheme Technical Advisory Committee, (NatHERS TAC), since 2011.

My affiliations include: Australian Institute of Architects, American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc. (ASHRAE), The Architectural Science Association (ANZAScA), Australian Solar Energy Society (AUSES), Alternative Technology Association (ATA), President of a School Board, and

Youth Soccer Coach.

# Acronyms

ABCB	Australian Building Codes Board
AGO	Australian Greenhouse Office
BCA	Building Code of Australia
BESTEST	Building Energy Simulation Test
BOM	Bureau of Meteorology, Australia
COAG	Council of Australian Governments
CSIRO	Commonwealth Scientific and Industrial Research Organisation
DCC	Department of Climate Change
DCCEE	Department of Climate Change and Energy Efficiency
DEWHA	Department of Environment, Water, Heritage and the Arts
DSP	Detailed Simulation Program
HER	House Energy Rating
IEA	International Energy Agency
NatHERS	National House Energy Rating Scheme
PASLink	Passive Solar Systems Research Network (also known as Dynastee)
PASSYS	Passive Solar Systems
TMY	Typical Meteorological Year

## Chapter 1 Introduction

This dissertation is concerned with the capability of Australia's prescribed house energy rating software, AccuRate, to predict zone temperatures.

The house is the principal place of dwelling for most forms of human settlement. In Australia, human settlements exist in hot humid, hot dry, temperate and cool temperate climates. The dwellings in these climates have required the use of artificial forms of heating and/or cooling to create thermally comfortable internal environments. As the general wealth of Australians has increased, so has the amount of income spent on improving the internal environment of their homes. This has included the capacity to condition an entire home instead of just a single room. The increase in energy consumption has created a commensurate increase in greenhouse gas emissions. Until the recent past, anthropogenic greenhouse gas emissions have been of little concern to most Australians, or indeed, the government.

Internationally, over the past five decades, there has been a growing awareness of the occurrence of climate change, more specifically referred to as 'global warming'. There is now widespread acceptance that climate change is caused by human activities, leading to increasing quantities of greenhouse gases in the atmosphere. In response to international concern, many nations have put in place measures to reduce and limit the growth of activities which emit excessive greenhouse gases (Olesen 2007). Within Australia, reports from federal agencies and the Commonwealth Scientific and Industrial Research Organisation (CSIRO) have identified causes of greenhouse gas emissions and the likely economic effects of national and international actions to reduce them.

The Australian government's National Greenhouse Strategy established for the first time a range of measures to account for and limit future greenhouse gas emissions (AGO 1998). This included the objective that all buildings should be improved to reduce the energy required in the operation of cooling or heating equipment to make buildings thermally comfortable. In 1990, 43 % of Australian residential energy was consumed for space heating and/or cooling and this portion had increased to 51.4 %

by 2007, with an increasing percentage from electricity provided by coal-fired power stations (DEWHA 2008). In the cool temperate climates of Victoria and Tasmania residential buildings consume 66 % of Australia's space heating energy. It is expected that by 2020, with the ever-increasing desire for greater human comfort and the effect of climate change, that energy use for space heating and cooling in Australian homes will increase from the 2007 value of 161.4 PJ to 191.6 PJ.

In this context, in 2003, the Building Code of Australia (BCA) introduced its first thermal performance requirements for residential buildings. It mandated a minimum performance rating of 4 Stars when assessed by approved rating methods. This requirement has been progressively increased to 5 Stars in 2006 and 6 Stars in 2010. While the introduction of a 4 Stars requirement had only a minor impact on construction practices, the move from 4 to 5 and 6 Stars has forced considerable changes, especially to the use of timber platform floors in cool temperate climates.

The Australian domestic building sector was worth approximately \$38 billion in 2006 (Australian Bureau of Statistics 2007). The representatives of the construction industry were generally supportive of the energy efficiency requirements, but were concerned about possible problems in the methods employed to measure the thermal performance of building designs. Changes to building regulations could have a significant impact on the selection and use of particular construction options. Consequently, they could also have significant economic impacts on building companies or materials manufacturers' viability. While the introduction of a 4 Star thermal performance requirement in 2003 appeared to have a relatively minor impact on construction practices and building material companies, the move to the 5 Star and 6 Star requirements resulted in changes in material selection and building practices (ABCB 2003, 2006, 2007, 2010; Marceau et al. 1999).

In response to these changes, various industry groups raised several concerns about the energy efficiency requirements, including: industry educational needs, material availability, technical support and the House Energy Rating (HER) software's validity. The concerns regarding software validity included its capacity to accurately predict room temperatures and whether the software unfairly disadvantages one building type over another. The star-rating calculation method relies on the estimated energy used to heat or cool a conditioned room. The amount of energy is relative to the difference between a human comfort bandwidth and a calculated room temperature. If the software under or over calculates a room temperature consistently and significantly, the star-rating would not reflect the actual thermal performance and as such would be considered unreliable and therefore invalid as a tool for modelling building thermal performance.

Australian residential construction comprises primarily lightweight detached housing with three principal types of construction: unenclosed-perimeter platform-floored buildings, enclosed-perimeter platform-floored buildings and concrete slab-on-ground floored buildings. Each of these construction types has differing insulation, infiltration and thermal capacitance properties, dependent on structural, cladding and lining systems (Coldicutt et al. 1978). Therefore, if the software's capacity to model the building types is inconsistent, it would favour one type

over another. This would provide incorrect advice to designers, builders and regulators, with regard to the thermal effectiveness of a house's constructional variations, resulting in misguided building practices. The effect of building typology errors would have a direct economic impact on the material manufacturers. Aside from testing the software's capability to model current building materials, the industry groups would like to confirm that the software could be easily modified to include future methods that may be shown to economically improve the thermal performance of particular construction types.

In Australia, the benchmark software is the CSIRO-developed AccuRate HER program. This means that other HER softwares are required to have a similar output to the AccuRate software, and the second generation of the NatHERS administration protocol required that all Australian softwares incorporate the AccuRate thermal simulation engine within their software. Although other softwares had a different front end data entry, they are required to use the same thermal simulation engine and software specific outputs. If there were errors in the AccuRate thermal simulation software, all the other softwares would be equally affected.

As a result of these concerns, some state governments deferred the adoption of the 5 Star requirements. In consultation with a mix of manufacturers, industry representative bodies, state government regulators, the CSIRO and Federal government agencies, it was acknowledged that the AccuRate software should be validated at this early stage of energy efficiency regulation within Australia. The validation would inform industry and government of the capacity of the software to calculate a room temperature accurately and guide software developers on specific aspects of the software that may require improvement.

The aim of this research was to validate empirically the AccuRate house energy rating software for lightweight buildings in a cool temperate climate.

As this task has not been undertaken previously in Australia, it represents a gap in our knowledge. The research suggested four key hypotheses:

- 1. The calculated temperature produced by a detailed thermal simulation, using the AccuRate software, is not identical to the observed temperature within a lightweight detached building located in a cool temperate climate.
- 2. The external environmental inputs representing climate are not appropriately accounted for by the AccuRate software.
- 3. The effect of infiltration through the built fabric and its relationship to the external climate are not appropriately accounted for by the AccuRate software (climate and infiltration).
- 4. Some elements of the built fabric of contemporary lightweight detached housing are not accounted for by the AccuRate software.

To test these hypotheses, a suitable type and method of validation for the AccuRate software was established. Three buildings were constructed to the prevalent Australian practices for lightweight detached housing and required detailed environmental measurement and thermal simulation. From this research platform, two forms of data were obtainable: the observed and simulated thermal performance of the building. The two data sets were to be analysed to:

- 1. establish if the observed and simulated data sets were similar;
- 2. establish any correlation between external environmental influences and the differences between observed and calculated temperatures;
- 3. establish any correlation between observed infiltration values and the differences between observed and calculated temperatures; and
- 4. establish any correlation between built fabric and the differences between observed and calculated temperatures.

Several tasks were completed in a logical order to answer these questions. The first stage of the research, as discussed in Chap. 2, was to establish the purpose and reason for the building thermal performance regulations within Australia. As strong research linkages were established with the CSIRO, Chap. 3 discusses the history and relevance of house energy rating software validation activities within Australia and internationally.

The second stage was to establish methods and systems to validate empirically the AccuRate software. This is provided by a general overview of the methodology in Sect. 4.1. This is followed by the details of each stage of the research where:

- Section 4.2 addresses the design and construction of the buildings.
- Section 4.3 addresses the design and installation of the equipment to measure the internal and external environment of the buildings. This section also discusses the methods used for data acquisition and storage, and the processes used for data cleaning.
- Section 4.4 addresses the tasks undertaken to perform the detailed thermal simulation of the buildings with the AccuRate software.

These activities provided several data sets, with the final detailed thermal simulation providing a data set for comparison with the measured temperatures within the test buildings. Section 4.5 addresses the graphical and statistical methods that were established to analyse the two data sets; the measured and simulated data sets. The results of the graphical comparison and statistical analysis of the simulated and observed data are presented and discussed in Chap. 5.

The conclusions and areas of future research identified in this study are discussed in Chap. 6.

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## Chapter 2 Background to Australian House Energy Rating

This chapter discusses the context of space heating and its associated energy use in Australian residential buildings. The use of heating is then considered in the context of Australian greenhouse gas emissions and the recent acceptance of the need to stabilise and then reduce emissions. To achieve a reduction in residential greenhouse gas emissions, a range of measures have been developed by industry and government. One of these measures to reduce the energy for heating and cooling due to poor building envelope designs was to introduce residential house energy star-ratings for new Australian housing (Delsante 1996). A number of industry groups raised concerns with regard to the effectiveness and capabilities of the HER star-rating softwares. These are discussed in Chaps. 2 and 3 then discusses the history and complexities of house energy rating software validation.

### 2.1 Historical and Human Perspective

Even before the first built shelters, humans utilised elements to improve thermal comfort. As the first shelters evolved, the hearth was used to both cook and provide warmth (Fig. 2.1). In warmer climates dwellings provided shade and ventilation, whilst in cooler climates shelters became more structured and enclosed. In the cooler climates the evolution of the house included the desire to keep the cold out and the warmth in. As these built structures grew to two or more rooms, the number of heating sources increased accordingly.

When Europeans settled in Australia, it was not uncommon for each room of the house to have an open fireplace (Fig. 2.2). For households on a wood budget, the family would gather around the combustion stove in the kitchen, which also provided a place for cooking and provided hot water. For wealthier households, friends and family would gather around the much less efficient but physiologically and psychologically appealing, large open fire. In the twentieth century, when wood became scarcer in urban environments, other alternatives for heating were explored including: coal, petrochemical, gas and electrical. However in both urban and non-urban locations the fireplace prevailed (Fig. 2.3).



Fig. 2.1 Dwelling with hearth  $\sim 1,500$  BC (Kostof 1995, p. 99)



Fig. 2.2 School building and dwelling with hearth in each room, mid-1800s Tasmania (Department of Public Works 1850)

As Australian residential development evolved in the twentieth century, society was moving from a principally agrarian type, where the house was used throughout the day, to a society of commuting workers. This had many impacts on the use of the home. In the agrarian model, all meals were usually prepared and eaten in the house. The house was regularly opened up for ventilation and windows, doors and blinds were used to assist in the control of heat gain or heat loss. These houses often had small windows, as the house was seen as a refuge from the outdoors (Tawa 1988). As more people became commuters to the interiors of other buildings, the desire to have a more transparent relationship between the house and the outdoors increased



Fig. 2.3 Dwelling with hearth in each room, 1926 Tasmania (Department of Public Works 1926)

(Mithraratne et al. 2007; Tawa 1988). To allow for the experience of the outdoors, there was an increase in the area of glazing and doors and a resultant decrease in wall area. Even an uninsulated wall had a better insulation value than a single glazed leaky door or window. Depending on climatic region, this reduction in the insulation qualities of the built fabric resulted in an increased requirement for heating and/or cooling, to maintain human comfort. This change in house type and occupant wealth also created a change in heating patterns, where the heating or cooling of the entire house instead of a single room became more common (Hastings and Wall 2007).

In a medium-to-large sized town, like Launceston (Tasmania), residents might have had access to coal-based town gas and if the house was near a pipeline, gas was available for cooking, heating and lighting. The household wood budget migrated to gas. As the gas heater was placed in a more enclosed room, there was less heat loss, but health issues with regard to air quality and moisture became apparent, and are still present today in some low-income housing (enHealth 2007).

The advent of grid-supplied electricity and the kerosene heater introduced the principle of portable heating. The dirty fireplace was removed and the heater was moved from room to room, depending on household budget. As electricity became more accessible, the development of portable electric heating became increasingly attractive, as it involved less fuel fetching and associated cleaning. Launceston (Tasmania) had hydro power available in 1895. The use of the clean and portable electric heating became more common but was still more expensive than wood or coal. Due to the cost difference between firewood and electricity, there was and still

is, a significant reliance on wood-based heating in many parts of cool temperate Australia, which included 34.5 % of Tasmania's residential heating requirement in 2007 (DEWHA 2008).

At the time of establishing benchmark values for greenhouse gas emissions, (from 1990 to 1998), 21 % of space heating was provided by wood fuels. For most of Australia, with the exception of Tasmania, electricity was used to provide the majority of space heating and cooling requirements (DEWHA 2008). For Tasmania, the majority of non-wood-based space heating and space cooling was provided by portable electrical appliances (AGO 1999). The recent advances in personal wealth and residential "heat pump" technology has allowed for a cleaner alternative to the open fire, but with a corresponding increase in electricity consumption. Despite house improvements (including the installation of insulation), more energy was being used to make the entire house comfortable (AGO 1999). The method of building houses had not changed for some time in Australia. The most visible change was a gradual shift from timber to brick veneer cladding. Most jurisdictions in 2002 had a minimal or nil requirement for the installation of subfloor, wall or ceiling insulation in new homes (ABCB 2002). For Tasmania, as in many other Australian states, new personal wealth was used to make houses larger, rather than better insulated, as shown in Tables 2.1 and 2.2.

There is evidence of new Tasmanian homes, (even in 2010), having internal temperatures similar to those of the outdoor environment in both summer and winter (Dewsbury 2005–2010). Regardless of the approach adopted, depending on financial capability, the householder was heating a room or the whole house for their comfort. As the general wealth of Australians has grown, houses that were uncomfortable by design became comfortable through the use of artificial heating and cooling (Table 2.3). In Tasmania, 50 % of residential energy was used for space heating, as in Table 2.4 (AURORA 2006; Pearman 1987). The Australian Greenhouse Office report in 2008 (DEWHA 2008), documented that 43 % of national household energy use in 1990 was for space heating or cooling (Table 2.3).

1926 Tasmanian I (Fig. 2.3)	nouse	2002 Tasmanian house (ABCB 2002)			
Material	R Value	Material	R Value		
OS Surface	0.03	OS Surface	0.03		
25 Weatherboard	0.16	110 Clay brick	0.18		
100 Stud/air space	0.13	40 Cavity	0.13		
25 Plaster	0.02	90 Stud/Air space	0.13		
IS Surface	0.12	10 Plasterboard	0.06		
		IS Surface	0.12		
Total R	0.46	Total R	0.65		

**Table 2.1** Wall thermalresistance values forTasmanian housing 1926 and2002

<b>Table 2.2</b> Ceiling thermalresistance values forTasmanian bousing 1026 and	1926 Tasmanian house (Fig. 2.3)			2002 Tasmanian house (ABCB 2002)			
2002	Material	R Value		Material		R Value	
	OS Surface	0.03		OS Surface		0.03	
	25 Plaster	laster 0.02		10 Plasterboard		0.06	
	IS Surface 0.1			IS Surface		0.12	
	Total R	0.17		Total R		0.21	
Table 2.3  Breakdown of    residential energy end	Purpose		Fuel so	urce	Percent	age (%)	
uses—1990 Australia	Space heating		Electrical		4		
			Wood		21		
			LPG		1		
			Mains gas		16		
	Space cooling		Electrical		1		
	Water heating		LPG		1		

Mains gas

Electrical

Mains gas Electrical

Mains gas

Electrical

LPG

11

16

<1 2

3

<1

24

DEWHA (2008)
The growing use of energy to heat or cool homes had a direct impact on
household energy use, energy expenditure and greenhouse gas emissions (Figs. 2.4
and 2.5). In an attempt to curb Australia's growing greenhouse gas emissions,
regulations were developed to improve the external fabric of buildings with the
intention of making buildings more comfortable, while reducing heating and
cooling energy and related greenhouse gas emissions (Delsante 1996).

State	Space heating and cooling (%)	Water heating (%)	Other (%)
Tasmania	50	30	20
Victoria	50	25	25
South Australia	35	30	35
New South Wales	30	35	35
Western Australia	25	35	40
Queensland	15	45	40
Australia	35	35	30

Table 2.4 End use of residential energy consumption in Australia, 1979–1980

Cooking

Appliances

Pearman (1987, p. 603)



Fig. 2.4 Trends in residential total energy consumption—Australia (DEWHA 2008, p. 20)



Fig. 2.5 Energy consumption (PJ)—Space heating in Australia (DEWHA 2008, p. 50)

### 2.2 Climate Change and Global Warming

Climate change and global warming entered the arena of scientific discussion in the 1960s (O'Brien 1990). Since that time there has been a growing debate for and against the theory of global warming and its relationship to anthropogenic greenhouse gas emissions (Carter and de Freitas 2007; Demeritt 2010; IPCC 2001, 2007; Watson et al. 2001). The general research community (Camilleri et al. 2001; Flohn 1980; Papanek 1995; Schellnhuber et al. 2006; Stern 2006; White 2004), the United

Nations (UNEP 2010) and the World Climate Research Group have documented the likely future effects of unchecked and checked climate change affecting:

- Internally and externally displaced refugees
- Disease
- Food supply
- Water supply
- Species extinctions (flora and fauna)
- Sea level change
- Temperature change
- General change in weather patterns

Internationally in the 1980s, it was agreed that nations should stabilise or reduce their green house gas emissions (Hamilton 2007; Vale and Vale 1991). To assist this process, each nation established past, current and projected greenhouse gas emissions. The benchmarks for each nation provided an awareness of the sources of greenhouse gas emissions and possible directions for greenhouse gas reduction. At that early stage it was accepted that Australia was reasonably efficient at energy generation but very inefficient in its use of energy (O'Brien 1990) and that Australia was a very high contributor to greenhouse emissions on a per capita basis (Fig. 2.6). The Australian government, in response to international pressure, commissioned a range of studies from its federal agencies (Drogemuller et al. 1999; Hamilton 2007; Norton and Williams 1990). The reports were used to inform the government on possible actions, benefits and threats from climate change, as in Fig. 2.7 (AGO 2000b, 2007a; CIE 2007; Energy Partners 2006; Lambeck 2008; O'Brien 1990).

### 2.3 Greenhouse Gas Reduction

With the acknowledged need to reduce greenhouse gas emissions, it was accepted that limited new efficiencies could be obtained from power generation but much higher gains in end use efficiency were possible (ARUP Research and Development 2005; IEA 2001a, b; Walsh 1988). The greenhouse gas accounting completed by each nation, not only listed where emissions occurred by sector, but also subgroups



Fig. 2.6 Per capita fossil fuel emissions 2003-10 kg carbon/year/person (Pittock 2009, p. 158)



Fig. 2.7 Diagram of communication with the Australian government with respect to climate change—1989 (O'Brien 1990, p. 26)

within each sector (AGO 2002a, 2005, 2007b; DCC 2009; US EPA 2010). In an attempt to reduce immediately the long term effects of global warming or climate change and to meet their Kyoto Agreement (1987) obligations, many nations developed strategies or policies to reduce emissions by focusing on the 'low hanging fruit' first. These were areas where it was accepted that with a minimal effect on economic health, a nation could reduce its greenhouse emissions (CIE 2007; Downey et al. 2008; Green 2006; Gullu et al. 2001). Many reports included in this group the emissions from space conditioning residential and commercial buildings (AGO 2002b, 2004b; Cadima 2007; Carbon Trust 2006; Daly 2007; Do et al. 2007; Eckstein 2006; EU 2003; Harrington and Foster 1999; Isaacs 1999; Jeeninga and Kets 2004; Kavgic et al. 2009; Kim and Moon 2009; Lomas et al. 2010; Parker et al. 2003; SEAV 2004). It was estimated that up to 50 % of

greenhouse gas emissions were caused by the construction, operation and maintenance of buildings (Boardman et al. 2005; Kavgic et al. 2009; Kim and Moon 2009; Konstantinos et al. 2005; NIFES Consulting Group 1993; Sahlin et al. 2003).

The Council of Australian Governments (COAG) endorsed the National Greenhouse Response Strategy in 1992 (Williamson et al. 1995). In the 1997 Prime Ministers' Greenhouse Gas Reduction Statement, the government was seeking realistic, cost-effective reductions of emissions in key sectors (ABCB 2006b; AGO 1999). In November 1998, the Australian governments endorsed the 'National Greenhouse Strategy' (AGO 1998), committing themselves to the first stage of an ongoing national greenhouse response. The strategy explored and documented for the first time in Australia:

- An inventory of greenhouse gases
- An understanding of climate change and its impacts
- · Possible methods to reduce greenhouse gas emissions
- Energy efficiency and supply
- Transport and urban planning and
- Carbon storage

The significant quantity of Australian emissions which could be attributed to the built environment was now recognised. It was considered that improving the built fabric of buildings would immediately reduce the energy used to maintain thermal comfort (ABCB 2006b; Bennet 1999; Tucker et al. 2002). Module 4.9 of the strategy "Energy Efficiency Standards for Residential and Commercial Buildings" voiced the first principles to develop minimum energy performance standards for the building sector (AGO 1998). Consequently, the Commonwealth Government announced its intention to pursue a strategy that included two elements:

- the encouragement of voluntary measures by industry, and
- the introduction of minimum mandatory (thermal performance) requirements in the Building Code of Australia (ABCB 2006b).

A scoping study was completed in 1999 by the CSIRO, for the Australian Greenhouse Office, which explored the minimum energy performance requirements that could be incorporated into the BCA (AGO 2000a; Allan et al. 2003; Drogemuller et al. 1999). The study was completed by the CSIRO Division of Building, Construction and Engineering. The study recommended two forms of compliance for energy efficiency, which fitted within the current BCA methods of prescriptive or alternative solutions to meet a set performance requirement. The study recommended:

- the further development of an accreditation scheme and administrative body within the National House Energy Rating Scheme (NatHERS)
- the further development of the NatHERS and other softwares for the thermal simulation of small to large houses

- the development of insulated fabric systems that could be incorporated within the BCA. These systems would produce a similar star rating to a house that was modelled with a NatHERS accredited software.
- the establishment of suitable climate zones within Australia
- the exploration of other energy improvement measures, that were presently outside the scope of the BCA

Internationally and nationally these types of initiatives were queried by members of the building industry and academics who raised concerns about these recommendations, as they focused primarily on the energy needed to heat or cool a building and the inherent errors that may exist in this process (AGO 2004a; Allan et al. 2003; Ballinger and Cassell 1994; de Souza et al. 2006; Gann et al. 1998; Harris et al. 2008; Hui 2003; Kordjamshidi and King 2009; Kordjamshidi et al. 2005; Productivity Commission 2004; Seo et al. 2005; Soebarto and Williamson 2001; Stein 1997; Williamson 2004; Williamson et al. 2007). Two primary types of energy are used in a building: embodied and operational energy (Birkeland 2002; Blanchard and Reppe 1998; Papamichael 2000). Embodied energy is the energy used to manufacture, transport, install the materials and to construct, maintain and dispose of a building (Crawford and Treloar 2003; Fay et al. 2000). Operational energy is the energy used during a building's service life: in heating, cooling, lighting, fixed and portable appliances, hot water and other energy consuming services, which is greatly affected by occupant behaviour and their perceived level of thermal comfort (Ballinger and Cassell 1994; Brohus et al. 2009; Chappells and Shove 2005: Coldicutt et al. 1978: Delsante 2005c: Fung et al. 2007: Johansson and Bagge 2009; Kalamees et al. 2008; Kane et al. 2006; Kordjamshidi et al. 2005; Stein 1997; Stein and Meier 2000; Stoecklein et al. 1998a, b; Williamson 2004; Williamson et al. 2007). In an attempt to reduce the emissions from these various activities they were broken into subcategories, as shown in Table 2.5 (AGO 2000b). Initially it was hoped that efficiencies would be a market-driven mechanism, but as energy was relatively inexpensive in Australia, energy consumption only increased (ABCB 2006b; Wilkenfield et al. 1995). This led to the gradual development and introduction of a range of legislation to mandate minimum energy efficiency requirements, or labelling systems, to inform purchasers of the relative energy use of houses or appliances (ABCB 2006b; Drogemuller et al. 1999; Millis 2006).

Energy use	Action
Energy for heating and cooling a building	Regulate minimum requirements for building fabric to reduce heat loss or heat gain
Appliances for heating and cooling a building	Provide a star rating system for all forms of heating and cooling appliances
Hot water services	Provide a star rating for hot water systems
Household appliances	Provide a star rating or minimum performance requirements for all appliances
Embodied energy of buildings	To be further investigated and quantified

Table 2.5 Action to reduce greenhouse gas emissions

<b>Table 2.6</b> Electricity use pervear AGO 2008, end use	Energy end use	Tasmania (%)	Australia (%)	
,	Space heating	65	38	
	Space cooling	0	3	
	Hot water	14	23	
	Appliances	18	31	

The average household in Tasmania used around 11,000 kWh of electricity per year (DEWHA 2008). The energy end use is broken into the sub-groups of: space heating, space cooling, hot water and appliances, as shown in Table 2.6. The large presence of wood-based space heating in Tasmania, results in use of 56.2 % of electrical energy for space heating (DEWHA 2008). Tasmania provided a representative example of cool temperate energy use within Australia, where any reduction in space heating requirements would have an immediate impact on greenhouse gas emissions.

### 2.4 Australian Thermal Performance Regulations

In January 2001 the AGO and the Australian Building Codes Board (ABCB) agreed to develop and include energy efficiency measures for new Australian buildings in the BCA, which is the national code for construction practice and all new buildings in Australia must comply with its requirements (ABCB 2006b). The BCA was developed by the ABCB, which included representatives from: federal and state government, research groups, the manufacturing sector and construction industry groups (ABCB 2006b; Davis 2005). The BCA was given regulatory force by enabling legislation in each state. The process for identifying and applying new inclusions follows the process shown in Fig. 2.8.

The BCA is divided into two volumes: volume one is generally for larger buildings, and includes: residential apartment buildings, commercial, industrial and public buildings; volume two applies to simpler residential stand-alone and attached dwellings (ABCB 2010). As there were no mechanisms to measure the thermal performance of new housing, NatHERS was established in 1993 (Ballinger and Cassell 1994; Delsante 1996; Thwaites 1995). The scheme was administered by the federal government which in co-operation with industry groups and state government members, established standards for:

- star bands for heating and/or cooling energy use relative to climate type
- climate zones for Australia
- building material libraries
- internal heat loads
- occupancy settings
- cooling and heating thermostat settings
- input and output requirements for House Energy Rating softwares



Fig. 2.8 Building codes regulatory process (Drogemuller et al. 1999, p. 9)

The most contentious requirement was the star band system (Davis 2005; Delsante 1996; Rowell 2006–2008). This was debated by stakeholder representatives, who identified problematic issues including changes to building practice and the type of energy that a house might use, as all energy sources have differing amounts of green house gas emissions. The source of household energy might be:

- · renewable: Large percentage of hydro power in Tasmania,
- brown coal: Large percentage of Victorian power
- black coal: Large percentage of New South Wales power
- natural gas

Once an acknowledgement of current building practices was obtained, a staged improvement for the building stock in all states of Australia was established. It was agreed that existing construction practices in most states resulted in houses with a star rating between 1 and 3 stars (AGO 1999, 2000a). This was established by completing thermal simulations of 360 house plans of 1990–1999 typical new housing from all jurisdictions (ABCB 2006b; Anderson 2002; Delsante 2005c; Drogemuller et al. 1999). It was agreed that the new national benchmark establish a minimum requirement for all new housing of 3.5 or 4 stars, depending on climate zone (Davis 2005). The star rating bands were a sliding scale that assigned an arbitrary quantity of energy that may be used to heat or cool a house (ABCB 2005b, 2006b). It used a stepped ranking from 0 to 10 stars. A house with a 0 star rating had poor thermal performance, whilst a house with a 10 star rating requires no energy for heating or cooling to maintain thermal comfort (ABCB 2006b; NatHERS 2009a, b). As it was more difficult to maintain a thermally comfortable house in areas that are regularly hot or cold, star rating bands were established for different climate zones. The energy allowed to achieve a 5 star rating in Sydney's

Star rating	0.5	1.0	2.0	3.0	4.0	5.0	6.0	7.0	8.0	9.0	10.0
Launceston	748	675	549	446	361	291	231	177	123	67	2
Sydney	264	231	176	135	105	84	68	56	43	29	10

Table 2.7 Star bands for Launceston and Sydney (MJ/m<sup>2</sup> · annum conditioned floor area)

generally temperate climate was  $84 \text{ MJ/m}^2$  per annum, whereas a house in the colder Launceston climate was allowed to consume 291 MJ/m<sup>2</sup> per annum (Table 2.7).

Amendment 12 of the BCA in 2003 included, for the first time, a performance objective to reduce greenhouse gas emissions by using energy efficiently (ABCB 2003b; Allan et al. 2003; BMW 2009). The first regulations applied to Class 1 buildings, houses, and required the minimum performance rating equivalent to 3.5 Stars or 4 Stars (ABCB 2003a, b; Davis 2005). This requirement was generally accepted with little opposition and adopted (with some state variations) in 2003 and 2004. The 2005 edition of the BCA extended the minimum requirement to most other types of buildings (ABCB 2005a). The requirement for Class 1 buildings was increased to a performance rating of 5 Stars in the 2006 edition (ABCB 2006a). Several states, including Tasmania, New South Wales and Queensland, deferred the adoption of this requirement for new housing. The 2010 edition of the BCA increased the requirement to 6 stars (ABCB 2009b, 2010). Some jurisdictions only adopted the 5 Star requirement in mid 2010 and others have a range of state-based exemptions and guidelines (ABCB 2010).

Since 2004, with each annual review of the energy performance requirements, additional energy saving measures have been included for housing. These have comprised: improvements to infiltration controls, the insulation of hot water plumbing, the use of fixed shading, methods of limiting perforations of built fabric and limits to artificial lighting. It was expected that hot water systems, fixed heating/cooling appliances and embodied energy would come under greater scrutiny between 2010 and 2020 (COAG 2009). Long term, the star rating system will be applied to all energy consuming elements or products included in building operation, in an attempt to have a more comprehensive incentive to reduce energy consumption (Pitt and Sherry 2010). However in 2010 the star rating requirements within the BCA principally affected the energy used for space heating and cooling only (ABCB 2010; Delsante 1996).

#### 2.4.1 BCA Compliance

The BCA provided two methods for buildings to comply with the thermal performance requirements: deemed-to-satisfy provisions and performance-based alternative solutions (ABCB 2010). The deemed-to-satisfy provision provided a relatively simple, but conservative, manual method to determine the external fabric matrix that would deliver the required thermal performance. Developed after numerous thermal simulations of different house types, they included: detailed descriptions and diagrams of satisfactory building practices and specific requirements for insulation, glazing, shading, building sealing and other factors that affect the heating and cooling of a house (ABCB 2009a).

A house design that did not comply with the deemed-to-satisfy provisions, or which sought to use a less conservative estimation method, required a performancebased alternative solution before it could demonstrate compliance and be approved for construction. This required that the house design obtain a minimum house energy star rating using a NatHERS accredited thermal calculation method (ABCB 2005b; Davis 2005). Within the NatHERS framework three software products were approved for use to undertake the thermal simulation and produce a star rating report. They were: AccuRate, First Rate and BERS (Delsante 2007; Foliente et al. 2004; Major 2006). The AccuRate software had been developed by the CSIRO over more than 40 years. The First-Rate software was a correlation software developed by the state government of Victoria (Kordjamshidi et al. 2005). The BERS software was developed by a private researcher in Queensland, utilised the CHEENATH engine and was principally used in that state (Kordjamshidi et al. 2005; Q-BEARS 2009; Willrath 1998). The only software that was initially suitable for all Australian jurisdictions was the AccuRate house energy rating software.

### 2.4.2 House Energy Star Rating

To determine the house energy star rating using the alternative solution, the house's thermal performance was simulated, based on information from the architectural drawings and specifications of a new house. If the resultant star rating met the standard requirement, then the plans were certified appropriately and (subject to other code requirements), the building permit was issued (ABCB 2005a). If the house did not meet the minimum required star rating, improvements were made to the external fabric elements until the minimum requirement was met. To conduct a thermal simulation, the house energy rating software required adequate input data for: external fabric, internal fabric, room usage and volume, local climatic information, and building orientation.

From these inputs, the software performed a thermal simulation and produced a calculated room temperature for each room of the house. From the calculated temperature, an energy calculation model within the software converted the heating and cooling requirement into an annual quantity of energy for the whole house (Kordjamshidi and King 2009). As there is no method to input specific heater capabilities (Delsante 1996), which would consider the relative efficiencies of different forms of heating equipment, the heating model is based on a coefficient of performance of 1.0 (Delsante 2005–2010). The annual energy quantity was then divided by the conditioned floor area to obtain a MJ/m<sup>2</sup>  $\cdot$  annum (Fig. 2.9).
+ * * * HOUSE	AccuRat Oct 2005 Natior	e Regulatory (expires 31 I nwide House E Rating Scheme	Version Dec 2005)	* * * * HOUSE
		Project Details		
Project Name: 5 Star he	ouse timber floor			Postcode: 7000
File Name: C:\AccuRate	e\Projects\2005-12-1	2 - 5 star timber.PRO		Climate Zone: 26
Design Option: Des 8 1	imb no foil			
Description: a. Externa	Wall - Kool wall / A	Air Space with non refl	ective foil vapour	
barrier / R	1.5 Batt / Breathable	Vapour Barrier / 10 P	lasterboard, (b)	
Windows	- 3/12/3 Timb, (c) Fl	oor 1 - Carpet & Under	rlay - Tile -	
parq/ Part	icle board or Cem Sh	eet deck / 120 air / Ref	flective Foil, (d)	
	CALCULAT	ED ENERGY REOU	REMENTS*	
Heating	Cooling (sensible)	Cooling (latent)	Total Energy	Units
214.9	12.4	1.5	228.8	MJ/m <sup>2</sup> .annum
* These energy requirements have to of the intended occupants. They she running costs. The settings used for	been calculated using a standar ould be used solely for the pur the simulation are shown in th	rd set of occupant behaviours and poses of rating the building. The he building data report.	d so do not necessarily repres y should not be used to infer	ent the usage pattern or lifestyle actual energy consumption or
	AREA-ADJUS	STED ENERGY REQ	UIREMENTS	
Heating	Cooling (sensible)	Cooling (latent)	Total Energy	Units
178.2	10.3	1.2	189.7	MJ/m <sup>2</sup> .annum
Conditio	oned floor area	102.7 m	2	
		a. <b>n</b>		
		Star Rating		
	<b>*</b> *	$\star \star \star$	5 STARS	

Fig. 2.9 HER star rating report produced by the AccuRate software

# 2.5 Industry Reaction

The Australian domestic building sector provides a key indicator of Australian economic growth. The sector includes: manufacturing, transport, wholesale and retail services, builders and associated trades, the building design profession, engineers, private and public sector researchers and government regulators. When the thermal performance provisions were suggested for inclusion in the BCA, a protracted negotiation commenced between the regulators and representatives from the many construction sector stakeholders listed above. The need for this was apparent due to the fact that any change in the type of materials or how they are used could have a significant impact on the requirements of designers, builders and manufacturers (Dewsbury et al. 2007a; Iskra 2004; Murphy et al. 2005; Nolan and Dewsbury 2007). Further, the changes could significantly affect the affordability of the house and the training required for architectural, engineering and construction practices (ABCB 2006b; Arreaza et al. 2007; Building Control Branch 2009; Energy Partners 2006; Henderson 2005; HIA 2004; Marceau et al. 1999; MBA 2008; Productivity Commission 2004; Tucker et al. 2002; Williamson et al. 2007).

Depending on the type of change, it could dramatically affect the economic viability of some businesses.

Generally, the representatives of the construction industry were supportive of the energy efficiency requirements but were concerned about possible problems in the methods employed to measure building designs (Anderson 2002; Delsante 2007; Henderson 2005; HIA 2004; Kordjamshidi and King 2006; Nolan 2005; Williamson et al. 1995). Primarily, they were concerned with the method of obtaining a star rating and the capacity of the house energy rating softwares to accurately calculate room temperatures and subsequent energy requirements, and in turn deliver a reduction in greenhouse gas emissions (ABCB 2009a; AGO 2004a; Delsante 2005c; Williamson and Delsante 2006). The adoption of the 4 Star requirements in 2003 appeared to have a relatively minor effect on the material and construction practices for new houses. However the move to the 5 Star requirements in 2006 and the 6 Star requirements in 2010 introduced far-reaching changes to what had been relatively unchanged construction practices for many years (Dewsbury et al. 2009; Williamson and Beauchamp 2005).

In response to this, various industry groups and building researchers raised concerns about the energy efficiency requirements, including: industry educational and training needs, material availability, technical support, HER software capacity, how the proposed reduction in greenhouse gas emissions was to be measured and how Australian housing compared to that of other nations (ABCB 2000, 2006b; AGO 2000a, 2004b; Bassett and Stoecklein 1998; BCB 2009; Campbell et al. 2006; Delsante 2007; Energy Partners 2006; Horne and Hayles 2008; Marceau et al. 1999; Millis 2006; Nolan and Dewsbury 2007; Williamson and Beauchamp 2005). The size of Australian houses continued to grow (ABCB 2006b; AGO 2000a, b; Bromberek et al. 2003; Delsante 2005a; Martin 2009) and some concerns were raised as to the checking mechanism that would sit behind the legislative requirement to reduce greenhouse gas emissions (Kordjamshidi et al. 2005; Productivity Commission 2004; Williamson 2004; Williamson et al. 2007).

The concerns regarding the HER softwares were primarily focused on their capacity to predict a room temperature and whether or not one building type performed better than another, due to assumptions within the software (Macdonald et al. 2005; Williamson 2004). The industry became more concerned when it was decided that the 2nd generation of the NatHERS protocol would require that all HER softwares incorporate the CSIRO developed thermal simulation software (NatHERS 2007). The CSIRO developed HER software was generally accepted as the most tested and most thorough within Australia. A software developer could develop their own form of front and back end user interfaces, but the principal simulation engine would be identical for all softwares. The second generation requirements were introduced along with the 5 Star Requirements in 2006. As a part of this process, several improvements were made to the CSIRO software. As the software had never been validated empirically, there were concerns that any imperfections in the software could disproportionately advantage or prejudice one building type over another (Dewsbury et al. 2007a; Henderson 2005; Iskra 2004).

#### 2.5 Industry Reaction

There are three principal types of construction typologies within the Australian residential sector:

- unenclosed-perimeter platform-floored buildings
- · enclosed-perimeter platform-floored buildings
- concrete slab-on-ground floored buildings.

Each of these building types is lightweight by most international standards and has differing insulation, infiltration and thermal capacitance properties, dependent on structural, cladding and lining systems (Dewsbury et al. 2008). If the software's capacity to model the three building types in significantly different climates differs, it would not reflect the true thermal performance of a building type and misinform the building industry in matters concerning building thermal performance and preferred forms of building practice (Thomas 2010). Such errors would potentially have a corresponding economic impact on material manufacturers (ABCB 2006b; Building Control Branch 2009; Campbell et al. 2006; Dewsbury et al. 2007b; Kordjamshidi et al. 2005).

When the 5 Star requirements occurred in 2006, four of Australia's eight jurisdictions refused to adopt the increased requirements in the BCA (ABCB 2006a). When the 6 Star requirements occurred in 2010, five of Australia's eight jurisdictions refused to adopt the increased requirements (ABCB 2010).

In consultation with representatives of manufacturers, industry representative bodies, state government regulators, the CSIRO and federal government agencies in 2005, it was acknowledged that the AccuRate software should be validated at this early stage of energy efficiency regulation within Australia (Delsante 2005b; Dewsbury et al. 2007a, b, 2008). The validation would inform industry and government of the capacity of the software to calculate room temperature and guide software developers to areas of the software that may require improvement. This study would require an adequate understanding of available validation methods, and which method was appropriate for validating the AccuRate software. This information is discussed in detail in Chap. 3.

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# Chapter 3 Background to HER Program Validation

The previous chapter discussed our natural desire to make spaces, and especially our houses, thermally comfortable. As houses have become bigger and personal wealth has increased, the amount of energy consumed to create comfort has increased (Harvey 2006). In recent years the anthropogenic creation and use of energy, including that to condition houses, has been linked to climate change. In response to the threat of climate change, many governments have instigated methods to reduce the production of greenhouse gases, which have included thermal performance targets for new and existing housing. This has led to the adoption of detailed thermal simulation programs to evaluate the possible thermal performance of a particular house in a given climate. In response to these new government regulations, many building industry and related groups have raised concern as to the capacity and validity of the house energy rating softwares adopted within Australia to predict room temperatures. These groups have requested the software be validated, modified and calibrated, to give the Australian community confidence in and acceptance of the house energy rating softwares (Dewsbury et al. 2007).

Internationally and within Australia there have been many such projects that have been referred to as validation exercises. Close reading of these projects highlighted dramatic differences in approaches taken. To determine the best practice approach of what should be measured to empirically validate the AccuRate software, an evaluation of what should be built, measured and simulated (and how that should be done). This provided an informed framework for the methods used to validate the AccuRate software.

# 3.1 What to Validate?

The Accurate software calculates a room temperature, then an energy calculation is completed, which informs the resultant climate based HER star rating (Fig. 2.9). Validation aims to establish the accuracy of the house energy rating software and

determine its sensitivity to key factors of climate and construction practice. The process entails the comparison of AccuRate output data with other data sets. A comparison can be undertaken at three different levels of complexity (Allen et al. 1985; ASHRAE 2009; Delsante 2005c; Lomas 1991a; Strachan et al. 2006), namely:

- Complete software comparison: The whole software comparison is the most complex possible and requires a lengthy process where the both the envelope and heating outputs are compared as separate elements, prior to being combined for a whole of software capability comparison. Each comparison is a separate research task and, as much as this is acknowledged as an ideal long term research goal, its complexity and time to undertake the tasks makes this approach undesirable.
- Envelope component comparison: An envelope output comparison is a lengthy process, as it requires the detailed simulation of a suitable building and the comparison of the software output data with a suitable data set from another source. However it is argued that this was a sensible starting point for software validation, as the difference between room temperature and an acceptable temperature for human comfort was used to calculate heating or cooling energy and the resultant HER star rating.
- Heating and cooling energy component comparison: The comparison of the energy outputs relies on the heating and cooling requirements obtained by the means of envelope simulation. As many HER softwares use a simplistic heating and cooling calculation model, there are acknowledged weaknesses with this part of the software. The NatHERS protocol did not require, for example, the specification of heating or cooling equipment, and there is no formal system in place within Australia where a database or library of heating options is available (Delsante 1996). Primarily this is due to the nature of Australia's climate (Soebarto and Williamson 2001), the historical use of portable heating and cooling equipment and the relatively recent adoption of fixed heating and cooling plant within residential buildings. As much as this was acknowledged as an area of research interest, it is not seen as suitable for the first stages of the validation process for the NatHERS system and the AccuRate software. However, once an envelope validation has been completed, a heating or cooling comparison can be undertaken (Fig. 3.1).

Considering the assessment above, this study focused on the validation of AccuRate's envelope component, as being the most suitable form of validation to give some credibility to the software. The results would enable the software developers to calibrate or improve AccuRate's envelope simulation model (Agami Reddy et al. 2007; Clarke et al. 1994; Delsante 2006b; Donn 2007; Strachan 2008). Once this portion of the software is validated, work on the other modules within the software, (including the heating and cooling modules) can commence.

**Fig. 3.1** Schematic of accurate software process to establish an HER star rating



# 3.2 Methods of Validation

To validate the envelope component of the AccuRate software, there were three principal forms of comparison which could be undertaken: mathematical, software comparison and empirical validation (Allen et al. 1985; ASHRAE 2009; Bloomfield 1988, 1999; Bowman and Lomas 1985; del Mar Izquierdo et al. 1995; Guyon et al. 1999b; Haberl 2004; Strachan et al. 2005). Each of these methods has varying degrees of complexity and requires appropriate amounts of time and resources to complete. Each method also provides different degrees of validation and has respective advantages and disadvantages (Table 3.1).

To choose which method was most appropriate, the principal purpose of the research and the methods by which the AccuRate software calculated a room temperature were investigated. The required inputs for a normal house energy rating using the software included the detailed information of the built fabric and a climate file. The climate file provided hourly values for a range of climatic inputs that would impact on the thermal performance of a building. With these inputs provided, the software then performed a thermal simulation for each room, for each hour of a calendar year. The software calculated the room temperature and from this information a heating and/or cooling requirement was established. Numerous calculations were completed during the building's thermal simulation process. The chosen validation method should allow for the analysis and comparison of the AccuRate inputs and outputs.

The comparison of the AccuRate output values to mathematically calculated values would have been the simplest and least time consuming method, however it had limitations. The program was the resultant assemblage of more than 40 years of building science research and the development of many mathematical models. In the process of developing the program, many simplifications were made to allow

Method	Advantages	Disadvantages
Analytical/ mathematical	Limitation of input uncertainty	Limitation of calculations that would be economically undertaken
	Pure mathematical modelling	The presumption that the current mathematical models were correct
	Limited expense of the desktop form of research	Does the data bear resemblance to real buildings
Software comparison	Level of complexity was researcher and software dependent	The presumption that the current mathematical models within the software are correct
	Certainty of input variables	
	Various aspects of the software could be analysed separately	Does the data bear resemblance to real buildings?
	Limited expense of the desktop form of research	
Empirical	The comparison of software outputs to measurements from real buildings	Experimental uncertainties in the form of equipment calibration and tolerances
	Complexity is defined by the test buildings	Modelling uncertainties if the building is unknown
	Modelling certainties if the building is known	Detailed measurement is expensive and time-consuming
		Types of validation are dependent on fabric variables that can be changed in the test building

Table 3.1 Advantages and disadvantages of HER software validation methods

ASHRAE (2001, 2009), Delsante (2005c), Judkoff and Neymark (2006), Kummert et al. (2004), and Rees et al. (2002)

for a suitable range of variables that a program user could modify (Clarke 2001; Soebarto and Williamson 2001; Travesi et al. 2001). The mathematical comparison could bear little resemblance to the real thermal condition within a building and was seen as an unsuitable method by industry and the CSIRO (Delsante 2005c).

The comparison of program outputs to other accepted programs is an internationally recognised method to validate a building simulation program (Agami Reddy et al. 2007; ASHRAE 2009; Beausoleil-Morrison et al. 2009; Judkoff and Neymark 2006). The CSIRO software developers had previously compared modules of the AccuRate program to other programs (Delsante 2005e). This method was adopted by the NatHERS protocols, where other residential HER softwares were required to have similar output values to the AccuRate software (NatHERS 2007). Internationally, both the BESTEST (Haddad and Beausoleil-Morrison 2001; Hayez et al. 2001; Henninger and Witte 2004; Henninger et al. 2003; Neymark et al. 2008; Tsai and Milne 2003) and the ASHRAE Standard 140 (ASHRAE 2001, 2004b; Judkoff and Neymark 1999; Strachan et al. 2006) have been adopted for many HER program validation research activities (Haberl 2004; Judkoff and Neymark 1995; Neymark and Judkoff 1997; Roujol et al. 2003). Internationally, from the early 1970s to the present, differences were being observed between building simulation program outputs and observed temperatures in buildings. The purpose of this research was to check the program's capacity to predict a room temperature and there was no certainty that other softwares could predict the room temperature, considering Australian residential construction practices. As the CSIRO was keen to validate and calibrate the AccuRate software, this method was viewed as unsuitable (Delsante 2005b, c, d, 2005–2010).

The empirical validation method required the most resources and would take the longest time to produce results but offered the critical advantage of controlling and quantifying many elements of the research (Strachan and Vandaele 2008). If the test buildings are designed and constructed under close supervision, variations between the buildings can be kept to a minimum (Strachan and Vandaele 2008). If the insights from previous studies on building thermal performance are considered, data acquisition from this study could be better informed. This was the only validation method that could provide the CSIRO and industry with conclusive findings that would lead to possible improvements or calibration requirements for the AccuRate program. The results of a credible empirical validation process are a critical component of the legal basis for policies on building fabric thermal efficiency.

To validate the AccuRate program empirically required comparison of simulation output data and observed data from appropriate buildings (Rahni et al. 1999; Strachan 2008). It was promptly established that purpose-built test buildings, similar in principle to existing test buildings were required, as purpose-built test buildings:

- allow complete documentation of the built fabric and construction method (Lomas 1991b)
- allow verification of thermal modelling components (Lomas 1991a)
- support the need for uninterrupted detailed thermal measurements (Lomas 1991a).

These were the three key elements of the validation method used in this study. The construction of one or more test buildings, and the materials and building practices used to make the buildings, was to be controlled and completely documented. If more than one building was constructed, they should conform in nature to contemporary Australian residential construction practice and ideally have minimal variation between buildings. The buildings would be observed extensively for a substantial period, so as to provide an adequate quantity of measured data for comparison to the simulated data set. The data collected must be similar in form to the output data provided by the AccuRate program.

# 3.3 Is 'AccuRate' Accurate?—A Historical Context

A strong interest in building thermal physics was evident with many universities from the early 1900s (Haberl 2004). From the 1940s the development of building thermal theory moved to newly established building and national research organisations.

The Carslaw and Jaeger book, "Conduction of Heat in Solids" (1947), documented the parallel path method, which is still in use today. At the Building Research Congress in 1951, various articles discussed the processes, practicalities and problems associated with the use of a single heat path method (Bruckmeyer 1951; Mackey 1951; Mackey and Wright 1944, 1946). As early as 1942, researchers were using the analogy of electrical theory (Billington 1951; Paschkis 1942; Paschkis and Baker 1942; van Gorcum 1950) or hydraulic theory (Leopold 1948a, b) to describe the heat flow through solid materials. For various reasons, the electrical analogy had become the predominant approach by the early 1950s.

As early as 1953, Australia researchers from the CSIRO, were publishing methods and principles for calculating the internal temperatures of buildings, in an ever-changing external environment (Muncey 1953). During the period from 1953 to 1969, Muncey, Spencer, Holden and others from the CSIRO commenced the development of what has become the AccuRate software in use today. At the same time, they were developing the electrical analogy (Fig. 3.2) and the use of matrix algebra to account for the multi-variate inputs required to model the heat flows in a building (Clarke 2001; Davies 1974; Holden 1963; Muncey and Holden 1967; Muncey and Spencer 1966, 1969). As the capacity of computers increased throughout the late 1960s and early 1970s, the matrix method, as in Fig. 3.3, was further developed to include many more inputs (Milbank and Harrington-Lynn 1974; Rao and Chandra 1966).

As soon as computers became useful for building theory applications, these same national research organisations commenced developing building thermal simulation programs (Haberl 2004). The first of these building thermal simulation programs had limited input and output capabilities, as they were dependent on their state of computer technology. However there was ongoing debate and growth of knowledge on calculating the room temperature within buildings. With the developing capacity of computers to perform a greater number of calculations in the early 1970s, the interest in and capacity to broaden the HER software accelerated (Clarke 2001; Isaacs 2005). Government and industry funded projects were established to develop detailed building simulation programs (DSP). These early DSPs were the predecessors to the current range of House Energy Rating computer programs.





SURFACE 2



In Australia, the first formal detailed simulation program developed by the CSIRO was completed in the mid 1970s and was named STEP. The STEP program was able to model a single room for each hour for a period of 3 days. Over the following decades, as computer capabilities increased and major improvements to programming were made, the next generations of the software became ZSTEP 1 to 3, CHEETAH, CHENATH and NatHERS (Ahmad and Szokolay 1993; Delsante 1988, 1996, 1997; Delsante et al. 1983; Landman and Delsante 1987; Walsh and Delsante 1983). Throughout this evolution the capabilities of the software improved, as follows:

- number of subfloor, internal and roof zones able to be modelled increased to 99
- the simulation calculates the zone temperature for each hour of a full year
- a climate file with hourly input variables was introduced
- the ground model for concrete slab-on-ground floored buildings was developed
- the ground model for platform-floored buildings was developed
- a simplistic model for the calculation of heating and cooling loads was developed.

In the early 1990s, Federal and State agencies within Australia agreed to develop a National House Energy Rating Scheme and subsequently the CSIRO developed the CHEETAH software further, to meet the requirements of this scheme (Delsante 1996, 2005e). The program was reviewed and improved to meet the imminent energy rating requirements for new residential buildings (CSIRO 1997; Thwaites 1995). Throughout these improvements the program maintained its single dimension thermal modelling methodology (Boland 2002), which has been found to have between a 22 and 41 % discrepancy from two and three dimensional models (Adjali et al. 2000; Belusko et al. 2010; Stazi et al. 2007).

The NatHERS program, which principally used the CHEETAH thermal simulation engine, had modules tested with the IEA BESTEST validation method in the early 1990s (Ahmad and Szokolay 1993; Delsante 1995b, 1996). This validation

was in response to concerns raised by industry about the imminent use of the software to produce star ratings for regulatory approval of house designs (Henriksen 2003). As a result of the BESTEST validation a range of improvements was made. This established the first generation of NatHERS with the CHENATH simulation program operating behind the AccuRate front end user interface. As more improvements were made, the second generation of NatHERS was released, with a new version number of the AccuRate program (ABCB 2006b; AGO 2004; Chen et al. 2010; Delsante 1989, 1993, 1996, 2005a, e; Delsante and Mason 1990; Energy Partners 2007; Isaacs 2005, 2008; Lee et al. 2005a, b; Li and Delsante 2001; Li et al. 2000, 2001; Marker 2005; NatHERS 2009a). Elements of the software that were improved included:

- improved materials library
- · improved windows and roof windows library and modelling
- improved ventilation model to suit modes of natural ventilation
- improved modelling of platform-floored subfloor zones
- improved roof space modelling
- improved ground model
- increased number of climate zones
- improved internal solar radiation modelling.

After these improvements were completed the program was validated once again via the BESTEST method and the results classed the software as satisfactory (Delsante 2005a, e). Williamson et al. (2009) noted however, that the BESTEST method did not include any assessment of the natural ventilation models. The results of the calculated energy requirement for heating and cooling of a low-mass building are shown in Figs. 3.4 and 3.5, respectively. These two figures illustrated that the BESTEST validation method was allowing a substantial variation between programs (Kummert et al. 2004) of  $\sim 1.2$  MWh for heating and 1.8 MWh for cooling. The BESTEST 600 building is a single-roomed building of 48 m<sup>2</sup> (Judkoff and Neymark 1995; Neymark et al. 2008). If the heating and cooling values were considered to be of a similar nature to those in the Australian NatHERS Star Ratings, as in Table 3.2 (ABCB 2006a), the allowable variance between programs could have a dramatic impact on a house's energy star rating. The broad range allowable in the energy calculations may be a result of software developmental legacy from the time of much less capable computers. It would be expected with more modern computer capability and a greater understanding of building physics, that the range variance would be tightened. In Australia this concern can be attributed to the simplifications in algorithms in the software, as acknowledged by the CSIRO (Delsante 1996).

Internationally, many detailed simulation programs have come under tighter scrutiny than AccuRate as their capability to predict room temperature and to calculate energy requirements to meet ever increasing building thermal performance guidelines, has been questioned (BREDEM 2006; Crawley et al. 2005). One of the BESTEST validations of the ENERGYPLUS software in 2004 (Henninger and Witte 2004) revealed some dramatic differences between the twelve software



Fig. 3.4 BESTEST results for low-mass annual heating requirement (Delsante 2005d)



Fig. 3.5 BESTEST results for low-mass annual cooling requirement (Delsante 2005d)

Table 3.2 BESTEST and NatHERS heating and cooling values for type 600 building

	Allowable BESTEST	NatHERS equivalent	Effective star va	lue
	variation	48 m <sup>2</sup> (KWh/m <sup>2</sup> annum)	Launceston (Stars)	Sydney (Stars)
Heating	1.2 MWh	25	0.4	1.2
Cooling	1.8 MWh	38	0.5	1.8
	Combined total	63	0.9	3.0

Note Based on the 4.0-5.0 Star rating step (ABCB 2006b)

programs used for the comparative validation (Figs. 3.6 and 3.7). For the low-mass building the variations were up to 2.4 MWh in annual cooling energy and 1.5 MWh in annual heating energy. In many of the BESTEST reports there was discussion



Fig. 3.6 EnergyPlus BESTEST results for low-mass annual heating requirement (Henninger and Witte 2004)



Fig. 3.7 EnergyPlus BESTEST results for low-mass annual cooling requirement (Henninger and Witte 2004)

with regard to calculated average values for minimum, maximum and mean temperatures (Judkoff and Neymark 1995; Neymark and Judkoff 1997). All energy calculations by the programs were based on the actual varying minimum and maximum values, when the heating or cooling requirement was invoked and not the mean or average temperatures. It was these daily extremes which were of greatest importance for validation purposes.

One problem with the software comparison approach for housing in many parts of Australia was that there was a reduced need for controlled heating, ventilation and air-conditioning on a daily basis (Kordjamshidi et al. 2005). The Australian climate can, (depending on building fabric), allow a house to operate without the use of heating or cooling for some portions of the day, but may require heating or cooling at times of minimum and maximum outdoor temperatures (Kordjamshidi and King 2009). For southern Australia, with its predominant heating requirement, a difference in the calculated minimum temperature would have dramatic impact on the energy calculation and the resultant star rating. What was evident to industry and of concern to the government and the CSIRO, was the need to validate the AccuRate program empirically, for the purpose of modification, improvement or calibration of the program (TPC 2005). The methods to validate the software empirically were investigated and these are discussed below.

## 3.4 Key Elements of Empirical Validation

Several reports and research projects over the last 20 years have discussed and provided a growing list of key considerations for any project attempting to validate a detailed simulation program empirically. Many of these documents refer back to Lomas, who specified key data requirements for a validation process (Bowman and Lomas 1985; Delsante 2005b; Lomas 1991a, b; Lomas et al. 1994a). These included the following key elements:

- The building did not include active solar space heating or cooling systems.
- Weather data must be collected on site.
- All observed data for site weather and building thermal performance must be collected at hourly or smaller intervals.
- Observed site weather data should include air temperature, wind speed, direct and diffuse solar radiation.
- The building must be unoccupied.
- The building must not contain any features of a solar passive nature that can not be modelled.
- If the building is multi-zoned, each zone should have its own heating and cooling plant.
- Zone and inter-zone infiltration should be measured.
- The building should contain no features that the detailed building simulation software is unable to model.

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This list of key elements provided a useful guide in the validation and assessment of Australian and international detailed simulation and house energy rating programs.

# 3.5 Previous Australian Validation Research

The BESTEST validation previously discussed in Sect. 3.3, is just one of several research projects that undertook some form of validation of the CHEETAH, CHENATH and AccuRate programs. Each validation took a different approach and focused on different aspects of the house energy rating program. Research has been undertaken to analyse the effects of substituting building materials, such as the effect this had on thermal performance and the resultant star rating, but with no comparison to observed values from buildings in situ (Willrath 1998). Some research involved a comparative analysis of HER program outputs between two building types but with limited comparison to observed buildings (Heathcote and Moor 2007; Isaacs 2005; James et al. 2004, 2006; Sugo et al. 2004). This approach created a situation where there was little consistency in the process or the validation of the Australian house energy rating programs. None of the projects so far, has validated the software empirically, although in recent years, the University of Newcastle, University of South Australia, University of Adelaide, Deakin University and other universities have completed research under their own direction, or in partnership with an Australian Federal or State agencies and the CSIRO.

In 1992 the CHEETAH simulation software was compared to observed data from the Energy Monitoring Company (EMC) test buildings in the UK, as part of the International Energy Agency's empirical validation of thermal building simulation programs using test room data (Delsante 1995a; Lomas et al. 1994a). From this exercise, aspects of the software were identified as requiring improvement. In 1994 -1995, after a range of improvements were made, the validation exercise was repeated with improved results; modules of the program were then tested via the BESTEST method, as discussed earlier (Delsante 1995b). The improved software was re-named CHENATH, which subsequently became the NatHERS software. The observed data from the EMC test buildings were taken over two ten-day periods: in October 1987 and May 1990. These periods did not show the extremes in climate that the continual warm days in summer or continual cool days in winter create, (and which require consistent cooling or heating or both heating and cooling in the same day). Although the task did allow for a comparison of the capacity of the CHEETAH and CHENATH softwares with other internationally accepted softwares, most of the softwares, as a result of the 1992 IEA research, did require some form of improvement. In personal discussions with Delsante between 2005 and 2007, it became evident that many researchers queried the construction method and materials of the test buildings, due to variations between observed and simulated temperatures. In some instances, researchers attended the demolition of the buildings to confirm the test building fabric composition. This highlighted the differences that could occur between simplified physical science based models and how a building of mixed fabric is actually built (Kokogiannakis et al. 2008) and responds to the multiple variant inputs of the real world (Hui 2003; Kummert et al. 2004).

In recent years, the Mobile Architecture and Built Environment Laboratory (MABEL), a research unit from the School of Architecture and Building at Deakin University, has been engaged to assist in the measurement of selected buildings. In partnership with the CSIRO, this has included a mud-brick house in Victoria, conventional housing in the Northern Territory (Luther and Horan 2009) and the test cell buildings at the University of Newcastle. In each case, environmental measurements were taken both inside and outside the buildings (Table 3.3).

The mud brick house analysis involved detailed environmental measurement over a period of 7 days in June 2005 and was conducted as a partnership between the CSIRO and MABEL (Delsante 2006a; Luther and Atkinson 2008). The house was operated in a free-running state for 4 days and with controlled heating for the remaining 3 days. Due to errors with the heating energy measurement, only the free-running observations were suitable for comparison with simulation results from the AccuRate program. Delsante also raised concerns regarding other sitebased observations, where mathematical methods, averaging, and multiple simulations with the program were used to establish revised input variables. The research did show a reasonable correlation between the simulated and observed temperatures with the exception of the peaks and their subsequent downward trends. But a consistent year-long variation between the peaks and the downward trends would impact on the annual heating and cooling requirement for the house. Given that the external walls consisted of a single material and it was a concrete slab-on-ground floored building, the envelope of the building was very simple. Most Australian houses have an external wall which is a combination of materials and cavities, providing a substantially different thermal modelling requirement to the single skinned mud-brick wall. In the greater context of Australian residential construction, the single skin mud brick type of house occupies a very small segment of new and existing housing. Although the research met most of the Lomas criteria

Element	Test building 1	Test building 2
Roof	Timber truss	Timber truss
	Reflective foil sarking	Reflective foil sarking
	Clay tiles	Clay tiles
Ceiling	10 m Plasterboard	10 mm Plasterboard
	R3.5 ceiling batts	R3.5 ceiling batts
External walls	110 mm clay brick	110 mm clay brick
	50 mm cavity	50 mm cavity
	110 mm clay brick	Reflective vapour barrier
	10 mm render	70 mm frame with no insulation
		10 mm plasterboard
Floor	Concrete slab-on-ground	Concrete slab-on-ground

Table 3.3 University of Newcastle test building fabric matrix (2003)

(Table 3.4), Delsante stated that the analysis was not an adequate validation exercise due to the limited measurement period and the problems identified with the observations.

In 2006, the CSIRO and MABEL partnered in the detailed measurement and AccuRate simulation of two houses located in Darwin. This research was to establish the effectiveness of the AccuRate software to simulate houses in the hot and humid climates of northern Australia. Particular emphasis was to be placed on the ventilation modelling within the software. This research was not completed and no documents have as yet been published in the public domain (Luther and Atkinson 2008).

The University of Newcastle constructed and instrumented two brick test buildings in 2002–2003 (Clark et al. 2003), which were modelled from Burch's research in the U.S. (Burch et al. 1982). The built fabric of the two test buildings is described in Table 3.3. In 2004, the university constructed a third test building which had brick veneer walls, similar to Test Building 2 in Table 3.3, but including a window in the northern wall (Sugo 2006a, 2007). The recorded thermal measurements of the test buildings and their external environment were quite comprehensive, but were not intended for empirical validation. MABEL was engaged to measure infiltration rates and other internal and external environmental conditions, but due to equipment problems, this data has not been used for validation purposes (Sugo 2006b). The research to date has focused only on the comparative performance and analytical analysis of the three test buildings (Alasha'ary et al. 2009; Sugo et al. 2004, 2005). There was one short validation exercise where an Accu-Rate simulation was compared to observed data for a short period. However, no modifications were made to the simulation inputs to account for the as-built fabric,

Element	Mud-brick house	Newcastle test cells	SA energy consumption
No active solar heating	Yes	Yes	Yes
No active solar cooling	Yes	Yes	Yes
Site weather data	Incomplete	Collected/not used	Weather station
Hourly collection of data	Incomplete	Yes	No Internal data
Unoccupied building	Yes	Yes	No
No features that cannot be modelled	#1	#2	#3
Each zone has its own HVAC plant	Free-running	Yes	#4
Infiltration measured	Incomplete	No	No
Time period	4 days	Ongoing	Min 5 months

Table 3.4 Compliance of recent Australian validation tasks to Lomas criteria

#1 Single skin external walls

#2 As built modifications have not been made to input files for AccuRate simulations #3 As built modifications have not been made to input files for AccuRate simulations #4 Individual zones not measured, only total values for energy use measured actual infiltration rates (Sugo 2006b) and site climate inputs. Depending on the quality of the data that has been collected, a retrospective empirical validation can still be conducted, once the input variables above have been determined and integrated into the detailed HER simulation. The test buildings included air-conditioning HVAC plant for cooling and heating. In personal discussions with researchers, it was found that problems were experienced in controlling the operation and measurement of cooling output and energy use (Moghtaderi 2005; Sugo 2005–2009). This type of problem was also recognised by LOMAS when the IEA test building task was undertaken and for air recirculating, convective type heaters were preferred (Lomas et al. 1994a).

Research at the University of South Australia in 2007 included a study that aimed to establish the thermostat settings for cooling operation within AccuRate (Saman et al. 2008). The research was considered as a validation exercise and compared the calculated energy requirement by AccuRate with the energy used by occupied houses of varying thermal performance. They found little correlation between the calculated and observed energy quantities for cooling the houses. The research did use concurrent weather data to create a weather file, however no internal temperature measurements were taken. There is no data on what temperatures the occupants were cooling the houses to, or what temperature the thermostats of the cooling equipment inside the houses cannot be correctly calibrated or correlated to the software outputs (Stein and Meier 2000). Based on this limited information, changes were proposed to the thermostat set points within the software. This research has also been cited by others to call into question the capabilities of the AccuRate software to predict cooling energy use.

A comparison of the inputs of these various projects to the minimum criteria discussed by Lomas (1991a, b, c, 1994), as summarised in Table 3.4, clearly demonstrates that there has been no validation of an empirical nature of AccuRate which could be used to identify problems within the program. This knowledge further underpinned the building sector's concerns as to the AccuRate program's unproven capabilities (Dewsbury 2006; Nolan 2006b).

# 3.6 Previous International Validation Research

Many countries have completed research to validate, improve and calibrate their detailed building thermal simulation programs for residential and commercial buildings (Guyon and Rahni 1997; Lomas 1991a; Neymark et al. 2005; Tuomaala and Piira 1997). The International Energy Agency's research has been quite extensive, encompassing more than forty different task areas (Judkoff 1985, 2008; Strachan 2000; Strachan et al. 2005). The 1992 empirical validation task (Lomas 1994; Lomas et al. 1994a, b) brought together many nations and their respective detailed simulation programs (Strachan et al. 2005; Sullivan and Winkelmann 1998). Prior to and after this work, several nations constructed test

buildings for the purpose of testing building systems and calibrating building thermal simulation software. Within Europe and the U.K. this has included collaboration through the PASSYS, 1986–1993 (CSTB 1990; Neymark et al. 2005; Strachan et al. 2005; van Dijk and van der Linden 1993) and PASLINK research programs (Clarke et al. 1994; Leal and Maldonado 2008). The U.S. Department of Energy and other national governments have funded many projects to assist in the development, improvement and calibration of detailed simulation programs (Clarke 2001; Guyon 1997).

The focus of the International Energy Agency's Annex 21 task was the calculation of energy and environmental performance of buildings. Research projects that have included the empirical validation of detailed thermal simulation programs for building fabric have included:

- Task 12/21: BRE/DMU tests (Strachan 2000, 2008)
- Task 22: RADTEST, HVAC BESTEST, Essais Thermique en climat Naturel et Artificiel (ETNA) and GENEC test cell models (Girault 1994; Guyon et al.1999a, b; Moinard and Guyon 1999; Neymark et al. 2005; Palomo del Barrio and Guyon 2002; Strachan et al. 2005)
- Task 34/43: EMPA Shading, Day-lighting and Load tests (Loutzenhiser et al. 2006; Manz et al. 2006), ERS Shading, Day-lighting and Load tests (Strachan 2008) and Double Skin Facades Tests (Judkoff 2008).

Task 12, undertaken from 1989 to 1994, was the first empirical validation project undertaken by the IEA, with involvement from nine countries and seventeen detailed simulation programs (Lomas 1994; Lomas et al. 1994a, b; Strachan et al. 2008). Observed data was originally collected from sites in the UK, USA, Switzerland, Canada and other participating nations. Due to unacceptable errors, or uncertainties in observations from the original broad range of test buildings, the final empirical validation only considered the data from the UK Energy Monitoring Company (EMC) single zone test buildings. The EMC test buildings were purpose-built and their design and construction is discussed further in Sect. 3.7. The research found that amongst the seventeen programs analysed during the free-running stage:

- Six programs failed to predict maximum and minimum temperatures within agreed error bandwidths for an opaque-glazed room.
- Only five programs predicted maximum and minimum temperatures within agreed error bandwidths for a double-glazed room.
- Only two programs predicted maximum and minimum temperatures within agreed error bandwidths for a single-glazed room.
- There was a general tendency by the programs to under-predict room temperatures.
- The calculated peak temperature for a day in May in a double-glazed room varied from 26.0 to 35 °C.
- The calculated minimum temperature for the double-glazed room varied by 2.5 °C.

• When examining the improved thermal performance of a double-glazed window over an opaque window, the softwares' predicted improvements ranged from 9.8 to 17.2 °C.

This IEA research established benchmarks for the minimum required observations for future projects aiming to validate thermal simulation programs empirically. The report also discussed possible shortcomings, which included experimental uncertainty and modelling issues. Due to the variation in software calculated temperatures, there was some debate as to the true construction of the test buildings. As much as this project set the benchmark for future research, the observed data consisted of only 20 days, in contrast to the full calendar year in a standard simulation. The 20 days consisted of 10 days each from March and October respectively. This extremely limited period would not have allowed for the testing of a detailed simulation program's capacity to capture the seasonal effects of thermal capacitance that is: longer periods of cool temperatures in winter, longer periods of warmer temperatures and the general variability of the external environment, including the cycles of days with rain, intermittent cloud cover, variant solar radiation and consistently changing wind speed and direction.

The final report for the Task 34 program of 2008 (Judkoff 2008) lists twenty-four detailed simulation programs that were tested. The CSIRO was listed as a participant, but the CHENATH/AccuRate programs were not evaluated in this task. The six research areas of the task included: the assessment of inter-zone conduction and shading, natural and forced airflow, lighting, mechanical equipment, double-skin facades and limited validation tests. Some of these functions were beyond the current capabilities of the AccuRate program. The validation tests included the collection of observed data from the EMPA test cells. The EMPA data included: the capacity to test conductance, capacitance, the effect of glazing and various forms of internal and external shading (Manz et al. 2006). The collected data allowed for the comparison of a wide range of predicted and observed values. None of the twenty-four programs were compared to the outputs from the six different research areas (Table 3.5). The majority of programs performed a comparison with only one of the six research areas.

The data collected by the EMPA test cells which allowed for the comparison of inter-zone conduction and shading, was only used for nine of the twenty-four programs. It was noted that the research led directly to the improvement and modification of the simulation programs involved. In the case of the EMPA data, thirty-two improvements were made to the programs involved in that portion of the research (Table 3.6). The final report recommended that further model development and validation was essential.

Softwares compared to 1 of the 6 research areas	18
Softwares compared to 2 of the 6 research areas	3 (CODYRUN, HTB2, TRYNSIS-16)
Softwares compared to 3 of the 6 research areas	1 (VA-114)
Softwares compared to 4 of the 6 research areas	2 (EnergyPlus, ESP-r)

Table 3.5 Software validation during IEA task 34 research

Number of detailed simulation programs involved	9
Number of identified disagreements	48
Number of fixed disagreements	32
Unresolved disagreements	6
	Number of detailed simulation programs involved   Number of identified disagreements   Number of fixed disagreements   Unresolved disagreements

The U.S. DOE building energy simulation programs (DOE-1, DOE-2, Energy-Plus) have been through many validation exercises from 1981 to the present. At various stages, reports have discussed aspects of programs that appeared to be working well and those that required further inputs, improvement or calibration (Bowman and Lomas 1985; Crawley et al. 1999; Ellis 2003; Ghatti et al. 2003; Haberl 2004; Henninger and Witte 2004; Lomas 1991a; Sullivan and Winkelmann 1998; Witte and Henninger 2004; Witte et al. 2001). The validation exercises have, over time, addressed calculated temperatures, heating and cooling energy, or individual modules within the software. Validation studies have included the use of test buildings, houses and commercial buildings. Each release and revised version of the softwares has included a growing list of improvements to: the climate files, built fabric, heating, cooling, ventilation and energy calculation models within the program. Recent empirical validation studies included the following:

- Sacramento public housing 1993–1995: Analysis of the observed performance of evaporative cooling, ground-source heat pumps, effects of roof surface treatment and building orientation. The effects of building orientation compared observed air temperature data to the predicted temperatures for a period of nearly 5 months. Some variance was observed between temperature peaks and the effects of natural ventilation. This lead to improvements to the natural ventilation algorithms in the software (Vincent and Huang 1996).
- Pala Test Houses 1995: The detailed measurement of a low-mass and a highmass test building, each with a floor area of 27 m<sup>2</sup>. Each test building included two rooms and a vented attic. The buildings were unoccupied and free-running during the data collection period. Four types of building test were conducted, with data collected for each for 6 days. From this research, improvements were noted for the algorithms and models associated with the warm-up period, ground model and ground surface temperatures. During the 6 day periods it was found that the mean deviation between the predicted and observed temperatures varied by 0.2–1.0 K (Meldem and Winklemann 1995, 1998).
- IEA Empirical validation using EMC test buildings (1989–1994): Observed air temperatures during free-running period in May and observed air temperatures and heater energy use in October. Of the 10 days of observed data in October, only seven were suitable for validation purposes, due to the building warm-up stage. In both the May and October comparisons, the DOE program had a variation between 1 and 4 °C from the observed temperature within the test building (Lomas et al. 1994a).

Research prior to 1999 included a range of test cells and house observations (Birdsall 1985; Burch et al. 1982; Colborne et al. 1984; Diamond et al. 1985; Goldberg 1985; Judkoff 1985; Judkoff et al. 1983a, b; Lomas 1991a; NAHB 1999; Robertson 1985; Sorrell et al. 1985; Wagner 1984). In many of these activities the data collection period for validation was as short as 1 day, with some using between 6 and 7 days of data. Only a few projects collected observed data for longer periods, for the purpose of empirical validation. It is evident from all the published works that the DOE software was improved incrementally as a result of each activity.

The IEA Task 22, Empirical Validation of ETNA (Girault 1994; Guyon et al. 1999a, b) and GENEC (Moinard and Guyon 1999) test cell models compared the observed temperatures and energy use from free-running and heated test cells. The research included ten detailed simulation programs from Europe, UK and the USA. The research was conducted in three stages: ETNA1 (23 days), ETNA2 (41 days) and GENEC (14 days). In each validation the detailed simulation included the use of default and modified simulations. The methods of thermal simulation are discussed further in Sect. 4.4. The predicted maximum air temperature for the test building room varied between participating programs by up to 5 °C. The conclusions for each of the three stages are the following:

- The non-consideration by programs of the actual average conductivity value of built elements affected thermal simulation results. This included elements within the built fabric which caused thermal bridging or reduce insulation capacity.
- Discrepancies were observed for the surface film co-efficient.
- The type of heater impacted on room temperature when in operation.
- The effect of solar radiation differed between programs.
- Generally some programs either under-predicted or over-predicted day time temperatures and conversely over-predicted or under-predicted night time temperatures.

The ongoing improvement and validation of the CLIM2000 software in 1999 identified five key aspects requiring improvement (Rahni et al. 1999), namely:

- conductivity of wall air gaps
- concrete slab-on-ground density
- internal heat transfer coefficients for floors
- conductivity values for floor insulation
- conductivity values for glazing.

Similarly, several researchers (Kummert et al. 2004; Palomo del Barrio and Guyon 2002; Strachan et al. 2005, 2008) have stressed several key aspects for the development of any detailed building simulation program which include:

- Empirical and other forms of validation are an ongoing process in program development.
- For validation to be effective, its findings must be embedded within the development, improvement and calibration of simulation programs.

Internationally the leading detailed building simulation programs have been through extensive comparative and empirical validation analyses. While this appears impressive, as it is much greater than any comparison that the CHENATH and AccuRate programs have been subjected to, it can also lead to some false assumptions, as many of these comparisons have been performed based on as little as 20 days or less of observed data. Empirical validation should include: the hotter periods of summer, the consistently cooler periods of winter and the more moderate weather of the equinoxes. To support the development of an improved empirical validation method, for this study, the test buildings in the IEA and other reports were reviewed. The following Sect. 3.7 summarises this review.

### 3.7 Background to Test Buildings for Empirical Validation

A survey of thermal performance test buildings for the purpose of empirically validating detailed building simulation programs revealed a mix of approaches, methods and types. Most were built to match the typical fabric and element assemblages for residential and commercial buildings at the time of their construction. As insights into the construction of thermal test buildings evolved, construction methods were modified. The form and composition of test buildings evolved from the earlier small buildings to co-joined test rooms and super-insulated test chambers.

The majority of current (2010) building fabric thermal performance testing now occurs in test chambers which internationally are referred to as the PASLINK test facilities, previously known as the PASSYS test facilities (Baker and van Dijk 2008; CSTB 1990; Leal and Maldonado 2008; Strachan and Vandaele 2008; van Dijk and van der Linden 1993). Only a few test facilities currently exist which consist of free-standing single-roomed small buildings and some of the free-standing buildings contain multiple thermal test chambers. The evolution from small building to test chamber seemed to reflect and acknowledge several issues including:

- A large portion of residential construction, internationally, was shifting from low to medium or high density building systems. This meant a change from each residence having four external walls to only one or two.
- Many previous studies, which used whole buildings experienced complications due to the quality of measurement of indoor and outdoor environmental conditions.
- Many previous studies, which used whole buildings, experienced complications due to the limited control of construction practice, which dramatically affected building simulation input data.
- There was a need to understand commercial, as differentiated from residential, building thermal performance.

#### 3.7 Background to Test Buildings for Empirical Validation

• There was a need to limit the number of experimental variables as much as possible for researchers to better understand building thermal physics for the development of detailed simulation programs.

An international network of facilities located in various climatic zones (Fig. 3.8), was sponsored by the European Commission and existed under the banner of the PASSYS and PASLink research programs (Baker 2008; CSTB 1990; Strachan and Vandaele 2008). The PASSYS and PASLINK test facilities met many of these criteria, where the test buildings were pre-fabricated, shipped to site and consisted of a super-insulated building with an interchangeable wall panel (Baker 2003; Strachan and Baker 2008). In most cases, the test building could be rotated to allow for the observation and measurement of a fabric assemblages' performance in both solar and non solar orientations, as in Fig. 3.9 (Clarke et al. 1994; Jimenez et al. 2008; van Dijk and van der Linden 1993).

The PASSYS/PASLINK test buildings were located in Europe, but detailed building simulation program developers from the USA and Canada (in some instances) compared their program outputs to the test results from these buildings. At the same time, the ETNA and other test facilities in Europe, the UK, Canada and the USA, were still being used for empirical validation studies to improve: the thermal transmittance, thermal capacity, ventilation and HVAC elements of jurisdiction-based detailed simulation programs.

However, in Australia limited research was undertaken in this area. Traditionally, this area of research had been conducted by the CSIRO, but due to nationally based research priorities, this was not seen as an area of importance. Instead, the Australian federal government had adopted a market based approach, where the market would demand products and industry would meet the market's demand. Due

Fig. 3.8 European network of PASLINK/PASSYS test facilities (Baker 2008, p. 182)



**Fig. 3.9** PASSYS/PASLINK test building photograph (Jimenez and Madsen 2008, p. 157)



to the affordability of energy in Australia and Australia's limited action on greenhouse gas emissions, there was very little market interest in the development of buildings with better thermal performance, or programs for use in the design and assessment of a proposed building's thermal performance (Wilkenfield et al. 1995). Despite the lack of government and industry support, concern by some stakeholders within the housing construction industry prompted some university researchers to conduct validation studies. Small test buildings were constructed at the University of Technology Sydney (UTS) and the University of Newcastle.

The UTS test buildings were constructed principally for the purposes of comparative thermal analysis of three types of external wall construction: mud-brick, brick veneer and autoclaved aerated concrete veneer. All three test cells had a concrete slab-on-ground floor (Heathcote and Moor 2007). Similarly, the University of Newcastle constructed two test cells to compare the thermal performance of cavity clay brick and clay brick veneer external wall construction (Clark et al. 2003; Sugo 2006a). Like the UTS test cells, they both had a concrete slab-on-ground floor. Later a third test cell of clay brick veneer with a northern window and a concrete slab-on-ground floor was added to the Newcastle test cells. Research involving the Newcastle test cells has concentrated on comparative analysis (Sugo et al. 2004, 2005).

A survey of the test buildings discussed above is included in Table 3.7. The key aspects of the review of the previously built test buildings included:

- the type of test building: test chamber with a single interchangeable panel, free standing single or co-joined building
- the area, depth and volume of the thermal test rooms
- the built fabric of the test buildings or chamber.

The variety of construction systems that exist in the test buildings reflected the local practices or research questions, at the time of construction. This was observable in the EMC ETSU (Lomas 1994; Lomas et al. 1994a), NBS Maryland (Lomas 1991c), ETNA (Girault 1994), Canadian direct gain (Judkoff 1985),

Table 3.7 Si	urvey of test buildin	ng for validation of d	letailed bu	ilding sin	nulation prog	rams		
Name	Country	Type	Width	Depth	Height	Floor area (m <sup>2</sup> )	Volume (m <sup>3</sup> )	Description
EMC ETSU	U.K	Co-joined pairs, with heavily insulated party- wall	1.51	2.35	2.28	3.54	8.07	Super-insulated with sun-facing changeable wall panel
PCL test cells	U.K	Two co-joined pairs, with heavily insulated party-wall	~1.65	$\sim 2.10$	$\sim$ 3.00	$\sim 3.46$	$\sim 10.40$	Super-insulated with sun-facing changeable wall panel
EMC gas	U.K	Single free standing test cell	2.03	2.03	2.33	4.14	9.66	Fixed construction but thermal mass and HVAC changed over time
ETNA	France	Semi-detached cells, with heavily insulated partition	3.50	4.65	2.54	16.28	41.30	Super-insulated with sun-facing changeable wall panel
NBS	U.S.A	Four co-joined cells, with heavily insulated party-wall	~3.60	$\sim 8.20$	~2.50 #1	29.52	$\sim 87.10$	Each test cells has access to a clerestory window #1: Plus clerestory
NBS Los alamos	U.S.A	Co-joined pair, with heavily insulated party- wall	1.57	2.18	3.05	3.42	10.45	Super-insulated with sun-facing changeable wall panel
NBS Maryland	U.S.A	Six stand alone test buildings	6.10	6.10	2.30	37.21	85.58	Insulated lightweight wood, uninsulated lightweight wood, insulated masonry outside mass, uninsulated masonry, log, insulated masonry inside mass

(continued)

Table 3.7 (c	ontinued)							
Name	Country	Type	Width	Depth	Height	Floor area (m <sup>2</sup> )	Volume (m <sup>3</sup> )	Description
Trombe wall	Switzerland	Stand alone single test cell	~2.30	~ 2.30	~2.30	$\sim$ 5.30	$\sim 12.20$	Super-insulated with sun-facing Trombe wall
Direct gain building	Canada	Co-joined pair, with heavily insulated party- wall	2.81	4.38	2.40	12.31	29.54	5 Rooms, 2× solar-facing, 2× non-solar, 1 service corridor. Super-insulated with sun- facing changeable window
PASSYS/ PASLINK test cells	Belgium, Denmark, France, tele	Stand alone single test cell	2.75	5.00	2.75	13.75	37.81	Super-insulated with sun-facing changeable wall panel. Some on turntable to allow for rotation of test cell
	Germany, Italy, Netherlands and U.K							
UTS test cells	Australia	Three stand alone cells with concrete slab-on- ground floor	4.00	4.00	2.40	16.00	38.40	One of mud-brick, brick veneer and AAC veneer construction
University	Australia	Three stand alone	5.44	5.44	2.45	29.59	72.50	Brick cavity construction
Newcastle test cells		cells with concrete slab-on- ground floor	5.52	5.52	2.45	30.47	74.65	Brick veneer construction

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PASSYS (CSTB 1990), UTS (Heathcote and Moor 2007) and the University of Newcastle test buildings (Clark et al. 2003). To validate empirically the AccuRate program, any new test buildings should be similar in nature to contemporary and conventional residential construction practices within Australia.

# 3.8 Conclusion

The validation of a detailed simulation program requires the comparison of a simulation output with data from another source. This research aimed to provide guidance to the CSIRO as to aspects of the software which may require improvement and calibration. Based on this review and in consultation with the CSIRO, industry groups and government agencies, it was agreed that the most suitable form of validation would be of the empirical type.

A review of the empirical validation of detailed simulation programs completed in other countries over the last 30 years established key areas of guidance for future empirical validation research. This included the construction of purpose-built test buildings, which allow for the fabric inputs, for the program being evaluated to be appropriately modified to correspond to the as-built condition (Baker 2008). The test building should include current building materials, assemblages and construction practices, to enable the verification of the program's capacity to model the building and its ability to calculate the temperature and energy use of the building. The test building would allow for modifications over time, to allow for the testing and retesting of the program being evaluated, so that continual improvement and calibration could occur. This process would be quite lengthy, as a full empirical validation would include the assessment of a program's capacity to calculate room temperature and energy use so to maintain a comfortable environment. For the immediate needs of government and industry, this research would concentrate on validating the capacity of the AccuRate program to predict the room temperature. The test buildings would be constructed to enable future validation of the heating energy model.

As with the previous Australian experience, many projects have not captured the essential data to perform an empirical validation of the program in question. From the review of test buildings and associated research for the purpose of validating detailed simulation and house energy rating programs, it was established that suitable test buildings should be constructed in an appropriate climate zone within Australia. The buildings and the site should be suitably measured, to enable the collection of appropriate data for comparison to an AccuRate simulation output temperature data. The methodology for this research, as discussed in Chap. 4, established:

- the general methodology that was established for the research program (Sect. 4.1)
- the type of test buildings (Sect. 4.2)
- the method of building thermal and climate measurement (Sect. 4.3)

- the process of undertaking a detailed thermal simulation with the AccuRate software (Sect. 4.4) and
- the methods to compare the simulated and observed temperatures (Sect. 4.5).

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# Chapter 4 Methodology

# 4.1 Introduction

Much has been published on the principles and practices of empirical validation (Agami Reddy et al. 2007; Dewsbury et al. 2009a; Lomas 1991a; Lomas et al. 1994a; Raftery et al. 2009). In all of these studies, there were general principles that were followed and these are represented in Fig. 4.1—A Validation Methodology.

Figure 4.1 shows four distinct types of house energy rating simulation, site climate observations and building thermal observations. The site climate observations are used for two of the house energy rating simulation types. Once the data is obtained from the HER simulation and the building thermal observations, a comparison can occur between the two data sets. The type of validation is dependent on the type of HER simulation. As the principle objective of the research was to validate the AccuRate software empirically, the as-built/measured climate type of house energy rating simulation was the desired method.

# 4.1.1 The Research Methodology

In empirically validating the house energy rating software AccuRate in cool temperate climates in Australia, the test cell method was adopted due to a mix of resource and financial constraints. The test cell type of building and typical research resource constraints required some aspects of the methodology in Fig. 4.1 to be further refined and developed, as per Fig. 4.2, without compromising the empirical validation process (Agami Reddy et al. 2007; Dewsbury 2009). This methodology allowed the separation of the research tasks and a structured progression through the research program (Lomas 1991a; Lomas et al. 1994a).

The research process was divided into four distinct stages, which assisted and promoted the separation of activities and functions during the research (Lomas 1994). As many researchers involved in the building thermal performance area have



Fig. 4.1 A validation methodology

had extensive experience, there can be an unconscious tendency to rationalise the data or the results based on personal experience, rather than allowing the data to tell its own story. The separation of the data by the staged approach to the research should correct this tendency. The four distinct elements for the empirical validation, as illustrated in Fig. 4.2, were:

- 1. a suitable physical building which can be measured
- 2. the measurement of the building and its external climate to obtain empirical data
- 3. a detailed HER software simulation, which provided suitable outputs for comparison
- 4. methods to analyse and compare the empirical and simulated data sets.

Each of these stages is detailed in much greater depth in Sect. 4.2. The Thermal Performance Test Cells, Sect. 4.3 Empirical Data, Sect. 4.4 Detailed Thermal Simulation by AccuRate and Sect. 4.5 Methods of Analysis. The analysis of the



Fig. 4.2 Launceston test cell methodology

As-built/Climate simulated data led to a greater understanding of the input variables within AccuRate and the refinement of some building fabric inputs.

The empirical validation results and analysis is discussed in Chap. 5.

# 4.1.2 Empirical Data

Empirical validation requires the collection of empirical data. The term empirical infers that the data has been obtained by means of experience, measurement or

Table 4.1 Minimum				
environmental elements to be collected	Building data	Climate data		
	Dry bulb temperature	Dry bulb temperature		
	Relative humidity	Relative humidity		
	Mean radiant temperature	Wind speed and wind direction		
	Air movement	Direct beam solar irradiance		
	Infiltration	Horizontal solar irradiance		
	Roof space data	Diffuse solar irradiance		
		Site shading		
		Atmospheric pressure		
		Cloud cover		
		Solar azimuth and altitude		

observation during the experiment. For the empirical validation of the HER software two key areas of empirical data are required (Agami Reddy et al. 2007; Bowman and Lomas 1985; Lomas et al. 1994a). Figures 4.1 and 4.2 illustrate the need to collect climate and building thermal performance data. Further investigation of other research and HER software inputs established the key elements as listed in Table 4.1.

The building data to be collected, as listed in Table 4.1, requires environmental measurement for all zones of the building. For all buildings this would include both the roof space and the room spaces and for buildings with a subfloor, detailed observations of the subfloor thermal condition are required. The collection of the empirical data provides a minimum thermal performance data set (Loutzenhiser et al. 2007) which can be used for comparison and analysis against the various simulation methods discussed above. The empirical building data can also be compared with other co-located test building empirical data. The collected empirical climate data is the minimum required to create a site specific climate file for use by the HER software to undertake a simulation for empirical validation purposes (Bowman and Lomas 1985; Lomas 1991a).

# 4.2 The Thermal Performance Test Cells

# 4.2.1 Introduction

The purpose of the research was to empirically validate the house energy rating software or detailed simulation programs in a cool temperate climate, with a specific emphasis on the Australian government sponsored software AccuRate. The thermal performance test cells formed a key element in the empirical validation of the HER software AccuRate for cool temperate climates. Figure 4.2, above, illustrates the four distinct stages of this empirical validation research. The focus of this chapter is research area 1: 'The Test Cell Buildings'. Research areas 2, 3 and 4 respectively are discussed in the following sections of this chapter.

### 4.2.2 Objectives of the Thermal Performance Test Cells

The research literature discussed in Chap. 3—Background to HER Program Validation, determined a number of factors critical to empirical validation. The primary objective of the thermal performance test cells was to provide buildings that would be thermally measured, for the purpose of empirically validating the HER software AccuRate in a cool temperate climate. From this primary objective, critical elements were identified which required attention (Dewsbury et al. 2007a, b), namely:

- the location of the test buildings
- the construction materials and systems of the test buildings
- the identification of a building fabric matrix, with known quantifiable properties, that could be factored into the HER thermal simulation
- a carefully managed construction process that would ensure that the physical material properties of the buildings are carefully controlled
- buildings should support the requirements of adequate environmental measurements, resulting in an empirical validation data set.

### 4.2.3 The Design of the Thermal Performance Test Cells

The thermal performance test cells were designed to measure the effect of the external environment on the internal environment of a lightweight residential building. The empirical validation would be performed by comparing the measured data from the building with HER software simulation data. This physical experiment was affected by a number of non–constant inputs (Fig. 4.3). Furthermore, the design of the test cell buildings required consideration of methods that minimised unmeasurable effects like unknown fabric variations, and ensured accurate measurement of relevant environmental values, such as air temperature (Dewsbury et al. 2007b; Lomas et al. 1994a). The objectives of the research would inform: the location of the test buildings, the type of structure, size and fabric of the buildings.

### 4.2.3.1 Test Cell Location

A cool temperate climate is often referred to as pleasant, or mild to warm, during summer but snowfall may still occur in mountainous regions and the winter is generally cold (BOM 2005b). The Building Code of Australia divides Australia into eight climate zones as per Fig. 4.4 (ABCB 2009a).

The BCA climate zone map of Australia in Fig. 4.4 reflects the approach suggested in "Energy Research for the Building Code of Australia Volume 1" (AGO 2000) which adopts a balance between accuracy and simplicity. This is clearly seen in climate zones 3 and 4, where the boundary follows state borders as opposed to a



Fig. 4.3 A building is affected by many differing non-constant environmental inputs



Fig. 4.4 Climate zone map, building code of Australia (ABCB 2009b)

probable climatic line. This is similarly being experienced in Tasmania, where there is an ongoing debate about the climate zone allocated to the milder east coast region of Tasmania. The definitions of the climate zones within the Building Code of Australia are further explained in the 'Guide to the BCA 2009' (Table 4.2) and are best described as:

- Zones 1 and 2: Predominantly require cooling
- Zones 3-6: Require both cooling and heating, to various degrees
- Zones 7 and 8: Predominantly require heating

In the process of choosing the best location to build in a cool temperate climate, (as defined by the BCA), southern Australia and Tasmania were considered. Using the BCA climate zones as a guide, the location of the test cells would be limited to the mountainous regions of Victoria, most of Tasmania, or extra cool snowy locations of Victoria or Tasmania. The Launceston climate fits well into the cool temperate definition, where the mountains that surround the city can have some snow cover in summer and the winters are generally cold. The University of Tasmania has a campus on the suburban fringes of Launceston. Even though Launceston is built at the head of a tidal river, it is approximately 50 km from the open sea and is minimally influenced by maritime weather conditions. A sample of Launceston climate data is shown in Table 4.3, as recorded by the Bureau of Meteorology (BOM), and displays the cool climatic nature of Launceston.

Therefore, the decision was made to locate the test cells on vacant land at the Newnham campus. Several key building elements required consideration for site selection, namely:

- no or minimal overshadowing during winter as maintaining a fully exposed situation for the thermal performance test cell buildings during winter was paramount
- access to electricity: The monitoring equipment, building operation and building construction required the use of electricity
- ready access to data transport through, LAN and WAN services: The long term plan was that the thermal performance test cells would be operated remotely, which would minimise access to the buildings and disruption to data collection. This required a location that was near or within the bounds of the existing University of Tasmania data network
- ready access to storm water services: All new buildings, with roof catchment, require connection to an existing storm water service or the appropriate on-site management of roof-based rain water
- university approval to build the test cells on the land selected.

With these criteria in mind, three possible locations were explored (Fig. 4.5). Each potential site was modelled with a three-dimensional computer-aided drafting software. Seasonal sun studies were undertaken to analyse potential site shading from trees and buildings (Lomas et al. 1994a). The agreed site, shown in Fig. 4.6, is predominantly open, with the majority of the wind and rain in Launceston coming from the north-west.

I able 4.2	Climate zone definitions, $(A)$	VBCB 2009c)			
Climate	Description	Average 3 p.m. January water	Average January maximum	Average July mean	Average annual
zones		vapour pressure (kPa)	temperature (°C)	temperature	heating degree days
1	High humidity	≥2.1	≥30	I	I
	summer, warm winter				
2	Warm humid summer,	≥2.1	≥30	I	I
	mild winter				
ю	Hot dry summer,	-2.1	<30	≥14 °C	1
	warm winter				
4	Hot dry summer, cool	<2.1	≥30	<14 °C	I
	winter				
5	Warm temperate	<2.1	<30	I	≤1,000
9	Mild temperate	<2.1	<30		1,000 to 1,999
7	Cool temperate	<2.1	<30	I	≥2,000 other than
					alpine areas
8	BCA Alpine areas, detern	nined as per BCA volume one defini	tions		

2009c)
(ABCB
definitions,
zone
Climate
Table 4.2

Statistic element	January	April	July	August	December	Annual
Mean maximum temperature (°C)	24.2	18.8	12.5	13.8	22.4	18.4
Mean number of days $>=30$ (°C)	1.7	0.0	0.0	0.0	0.8	4.4
Mean minimum temperature (°C)	12.2	7.5	2.2	3.6	10.6	7.2
Mean number of days <=2 (°C)	0.0	2.3	16.7	11	0.0	60.1
Mean daily ground minimum temperature (°C)	8.9	4.6	0.1	0.9	7.3	4.4
Lowest ground temperature (°C)	-2.7	-6	-9.1	-8.5	-3.2	-9.1
Mean number of days ground minimum temperature <=-1 (°C)	0.2	3.1	13.8	11.8	0.5	63
Mean rainfall (mm)	46.3	51.7	76.8	86.1	46.3	669.6
Mean daily solar exposure (MJ/(m*m))	25.5	10.9	5.9	9.0	25.8	15.3
Mean number of clear days	5.0	4.7	3.5	3.6	3.5	49.9
Mean number of cloudy days	12.5	12.9	16.2	16.1	12.5	163.9
Mean 9 a.m. temperature (°C)	16.6	11.7	5.2	7.1	15.7	11.3
Mean 3 p.m. temperature (°C)	22.7	17.8	11.7	12.8	20.9	17.3

Table 4.3 Summary of monthly climate statistics for Ti Tree Bend, Launceston (BOM 2005a)

The site consists of:

- open grass for approximately 20 m to the north-east
- single-storey buildings which provide a site boundary 23 m to the north (Fig. 4.7)
- open grass for  $\sim 40$  m before the two-storey AFRDI building to the south-east (Fig. 4.8)
- a car park and sports oval along the western boundary (Fig. 4.9)
- some well-established trees to the south (Fig. 4.8).

Once the site was approved by the University, further detailed analysis, both on site and with three dimension computer-aided drafting, was undertaken. The detailed analysis was to better evaluate shadow and weather shielding effects from nearby trees and buildings. During this assessment some trees of minor significance were noted on the northern boundary of the chosen site. These trees were removed prior to construction. This allowed for an empirical evaluation of the winter shading effect of the existing northern buildings, considering that it was late in May 2006, and there was less than a month until the shortest day in the year.

Having selected an appropriate location for the test cells, the next step was to determine the design of the test cells themselves.

### 4.2.3.2 Test Cell Building Types

A survey of thermal performance test buildings is discussed in Chap. 3. The survey revealed a mix of approaches, methods and purposes for test buildings constructed



Fig. 4.5 Site plan, Newnham Campus, University of Tasmania



Fig. 4.6 Site plan 2, Newnham Campus, University of Tasmania



Fig. 4.7 Northern aspect of site



Fig. 4.8 South and south-eastern aspect of site



Fig. 4.9 Western aspect of site

in various countries and climatic zones. As the thermal performance test buildings were to be utilised for testing Australian building practices and Australian house energy rating software, building types similar to standard Australian residential buildings were of greatest interest.

The Australian Bureau of Statistics collects data on dwelling type and dwelling approvals within Australia. Dwelling approvals for Australia from 1991 to 2007, as

Period	Houses no.	$\%^{\mathrm{a}}$	Other residential buildings no. <sup>b</sup>	$\%^{\mathrm{a}}$	Total dwelling units no. <sup>c</sup>
1991-1992	110,863	73.8	39,337	26.2	150,200
1992-1993	123,586	72.5	46,970	27.5	170,556
1999–2000	123,343	71.0	50,284	29.0	173,627
2000-2001	80,116	67.8	37,981	32.2	118,097
2005-2006	104,440	70.2	44,436	29.8	148,876
2006-2007	106,038	70.0	45,517	30.0	151,555

Table 4.4 Dwelling units approved, Australia (ABS 2008a)

<sup>a</sup> Percentage of total dwellings units

<sup>b</sup> Includes semi-detached, row and terrace houses: flats, units and apartments

<sup>c</sup> Includes dwellings attached to non-residential buildings

shown in Table 4.4, are broken into two groups: houses and other residential buildings. A graphical representation of this data is shown Fig. 4.10. What is apparent in both groups of data representing dwelling approvals is that, on average, 70 % or more of Australian residential accommodation is provided by freestanding houses. The focus of thermal performance test buildings for this project should therefore be freestanding buildings.

The University of Newcastle test buildings, (discussed in Sect. 3.7), were constructed to measure the benefits of thermal mass in the Newcastle climate. The research was funded by clay brick industries and the building types reflected the prevailing residential building systems, using clay brick cladding and concrete slabon-ground floors, in the Hunter Valley of New South Wales.

The choice of building type is further substantiated by the BCA. The BCA has a diagram representing the three standard building types for residential construction, as shown in Fig. 4.12. The building types are described as: (i) unenclosed-perimeter platform floor, (ii) enclosed-perimeter platform floor and (iii) concrete slab-on-ground floor (ABCB 2005). These are drawn as freestanding dwellings and not as a dwelling as part of a greater building. As the BCA is the Australian reference for standard and common construction practice, it was decided that the type of test building should resemble methods and practices as set out in the BCA.



By following this rationale, the research team and an industry advisory group (Dewsbury et al. 2007b; Nolan 2006) agreed that three appropriately sized test buildings should be built to reflect the BCA diagram in Fig. 4.12. It was decided that:

- Test Cell 1 is an unenclosed-perimeter platform-floored building
- Test cell 2 is an enclosed-perimeter platform-floored building
- Test Cell 3 is a concrete slab-on-ground floored building

By adopting this rationale some of the concerns raised by various building and industry bodies could be tested. The concerns included:

- the accuracy of the house energy rating software AccuRate to predict internal temperatures
- whether there was any unintentional bias in the thermal simulation of timber floor types compared to concrete floor types, where the elements of thermal mass and insulation may not be considered correctly.

The opportunity to build test cells having three standard flooring typologies, representative of the majority of Australian housing, would allow for:

- a close analysis of the effect of the differential thermal performance of the floor types
- the effect of each floor type on house energy rating thermal simulation
- the empirical validation of the simulated thermal performance of the three floor types

### 4.2.3.3 Test Cell Size

To establish the size (width, depth and height) of the test cells, international and national examples were examined (refer Chap. 3). The major concern discussed in other research was the requirement that the test cell should not be too small, such that normal room thermal fluid dynamics would be affected (Burch et al. 1982; Guyon et al. 1999a; Lomas et al. 1994a, b; Rees et al. 2002). The buildings were required to be large enough to allow for internal stratification and laminar airflows. In this context, most test cells were built to standard room heights.

In Australia the standard minimum room height for residential buildings as specified in BCA Volume 2, Sect. 3.8.2.2 Ceiling Heights is 2,400 mm (ABCB 2005). This is the height between the ceiling and the finished floor, including floor coverings. A survey of building practices showed a variety of construction methods for wall frames, with heights ranging from 2,420 to 2,440 mm. To allow for the future installation of floor coverings, a ceiling height of 2,440 mm was adopted for all three test cells.

Three test cells were constructed at the University of Newcastle in 2004–2005, as shown in Fig. 4.11 (Clark et al. 2003; Sugo et al. 2005). The University of Newcastle test buildings were constructed to an external dimension of 6 m with a



Fig. 4.11 University of Newcastle test buildings (photograph courtesy of Dr. Heber Sugo)

2,400 mm internal room height. So that future comparison across different climate zones can be made, it was decided to mimic the volumetric principles of the University of Newcastle test cells. The major difference is that the Tasmanian thermal performance test cells would use the internal volume and not the external dimension as the basic building measurement. The University of Newcastle's method of adopting an external measurement could create differing volumes for different building types, due to varying external fabric thicknesses. As the purpose of the University of Tasmania research was to provide empirical validation and comparative analysis, it was decided that the internal areas and volumes of all three tests buildings had to be identical. Collaboration with the University of Newcastle test cell research team provided a quality benchmark and the opportunity to learn from their positive and negative experiences. The sizes of the Launceston thermal performance test cells were established as detailed in Table 4.5.

### 4.2.3.4 Construction Materials

The materials used to construct the thermal performance test cells were informed by:

- the building type
- minimising fabric variables to allow for comparative analysis
- the standard building systems used in cool temperate climates

The building type informed the possible cladding systems which could be used on the test cells. The unenclosed platform test cell dictated, as is the norm in Australia, a lightweight cladding system, whilst the enclosed platform and concrete slab-on-ground test cells required a lightweight or massive cladding system (Fig. 4.12).

To produce an adequate comparative analysis between a platform-floored building and a concrete slab-on-ground floored building, the fabric matrices of the enclosed-perimeter platform-floored test cell and the concrete slab-on-ground floored test cell were made as similar as possible. Empirical validation entails a framework for a thorough comparison of measured and simulated data, wherein the input and fabric variables were minimised. In the conceptual stage, subtle differences in the fabric of three test buildings were accepted, as follows:

Table 1 E The dimensions of				
table 4.5 The dimensions of the Launceston thermal performance test cells	Element	Size		
	Internal length	5,480 mm		
	Internal width	5,480 mm		
	Internal height	2,440 mm		
	Internal floor area	30.03 m <sup>2</sup>		
	Internal volume	73.3 m <sup>3</sup>		
	External length and width	Determined by building fabric		
	Orientation	Solar north		



Fig. 4.12 Diagram from Sect. 3.12.1.1, volume 2 BCA 2005

- Test cells 1 and 2 would have a subfloor structure, where-as test cell 3 was a concrete slab-on-ground floored building
- The external cladding for test cell 1 would be different from that of test cells 2 and 3.

Australian residential construction practice includes many forms and materials but the majority of volume builders have adopted fairly similar construction systems. A simple examination of standard residential building systems was undertaken to establish the appropriate systems and materials for the test cells (Dewsbury et al. 2007b). The material selection was also influenced by industry sponsorship for the research, as many of the materials were provided by industry sponsors.

- Subfloor structure: The subfloor structure of the two platform-floored test cells was informed by the Australian Standard 1684.2–2006, which detailed residential timber-framed construction (Standards Australia 1999, 2006). Treated pine poles set in concrete were specified. Hardwood was prescribed so that the required span for the bearers could be met. The joists, having a much smaller span and load carrying capacity, were specified as softwood.
- Wall structure: Timber wall framing is divided into two segments: hardwood and softwood timber products. Hardwood is considerably more expensive than softwood, resulting in a large portion of new residential construction incorporating softwood stick built or prefabricated wall framing. The use of prefabricated wall frames was adopted to minimise construction variables between the wall structures of the three test cells.

- Ceiling and roof structure: The majority of new residential construction incorporates the use of softwood trusses which provide the structure for both the ceiling and roof. The use of trusses is often based on cost, but there are considerable savings from reduced material wastage in using prefabricated building systems. The use of prefabricated trusses, as opposed to stick-built roof, minimised construction variation between the three test cell ceiling and roof systems.
- Lightweight wall cladding: The unenclosed platform test cell required lightweight cladding. In contemporary Australian construction practice, plywood is the preferred cladding from a material and labour cost perspective. Additionally one of the major sponsors has plywood in their product range.
- Other wall cladding: In Australian residential construction, the most common form of cladding is clay brick veneer, which is used for both concrete slab-on-ground and enclosed platform building systems. Clay bricks provided by an industry sponsor were used for the test cells.
- Roof cladding: The two most commonly used roof cladding systems in Australia are sheet metal and clay or cement tiles. The extra weight of the tile systems required a greater volume of timber in each truss and an increased number of trusses. To keep costs of residential construction down, builders resort to the use of sheet metal roofing. To mirror current residential building trends sheet metal roofing was adopted.
- Access door: Each test cell had a single solid core access door. The use of a solid core construction also allowed for a better estimation of the door conductivity value.
- Wall linings: The majority of new residential construction in Australia use 10 mm paper-faced gypsum plasterboard for internal wall linings. This wall sheet is often glue and nailed or screw fixed to the wall structure.
- Ceiling lining: The majority of new residential construction in Australia use 10 mm paper-faced gypsum plasterboard for ceiling lining. The ceiling sheet is either screw fixed to the ceiling structure or to steel furring channels. The method of fixing ceiling sheet is defined by truss spacing and the manufacturer specifications.
- Platform Flooring: The construction of platform floors required a safe working platform. It was common practice that particleboard sheet is laid as a base regardless of the final floor covering. The thickness of the particleboard sheet is based on the span between supporting joists and the load carried by the floor.
- Concrete Flooring: The floor for the concrete slab-on-ground floored test cell adhered to contemporary practices as detailed in the Australian Standard: Residential Slabs and Footings—construction (Standards Australia 1996).
- Wall insulation: Common materials used for insulating the stud cavity of a wall frame in Australia include wool, polyester, glasswool and rockwool batts. At the time the test cells were being designed, the minimum required additional wall insulation for a clay cavity brick wall system was R1.36. The highest resistance value wall batt insulation available, which would fit in a 90 mm stud wall, was the R2.5 Rockwool wall batt. As it was intended that the test cells be constructed for long term research the R2.5 rockwool wall batt was specified.

#### 4.2 The Thermal Performance Test Cells

- Ceiling insulation: The most economical, hence most commonly used form of roof insulation in Australia is glass wool batt insulation. The minimum BCA requirement for roof insulation in the Launceston climate zone during the design process was R3.4 for sheet metal roofing. The highest thermal resistance value glasswool batt available at the time of the test cell design and construction was the R4.0. As it was intended that the test cells be constructed for long term research the R4.0 Glasswool batt was specified. The roof space would allow for additional layers of glasswool batts in future research.
- Building wrap: Building wraps within Australia consist of reflective or non-reflective and breathable or non-breathable. All four types are used for the purpose of providing a vapour barrier (Anis et al. 2007; CMHS 1982; Currie 2005; Lstiburek 2004, 2007; US DOE 2000) and to reduce infiltration losses (Swinton et al. 1990). As CSR Building Products was a major sponsor for the project, advice was sought as to the most suitable and most commonly used product. The CSR Bradford's Enviroseal product was used for both wall and roof wrapping.
- Roof sarking: The sarking of roof spaces assists in reducing roof space infiltration losses (Lstiburek 2006) and in the reduction of external moisture entering the roof space. As mentioned in Building Wrap, above, the CSR Bradford's Enviroseal product was suggested for roof wrapping.
- Floor coverings: To minimise construction variation in the first stage of the thermal performance test cell research, no floor coverings were installed as these would impact on the insulation, infiltration and thermal mass simulation input values.

Through an iterative process of analysing current residential building practice, industry sponsors and long term research implications of the thermal performance test cells, a fabric matrix was finalized as shown in Table 4.6.

## 4.2.4 Other Fabric Considerations

One of the aims of investing in the construction of the three test cells was to provide the opportunity for long term thermal performance studies of residential fabric systems, including the effect of glazing in various orientations. Care was required so that construction provisions for the future research were accommodated, without imposing too many initial fabric input variables. This resulted in design and construction practices which were not common in current residential construction. Some of these construction methods were used to further limit input variables for measuring the thermal performance of the three test cells. These design and construction practices are discussed below. It is worth noting that these practices are closer to best practice than those normally adopted by the house construction sector.

Element	Test cell 1 unenclosed- perimeter	Test cell 2 enclosed-perimeter	Test cell 3 slab-on-ground			
Roof	Colorbond sheet metal roofing					
Roof sarking	CSR bradford enviroseal reflective foil sarking					
Roof insulation	R4.0 glass wool batt insulation					
Ceiling material	10 mm plasterboard, screw fixed to furring channel					
Access door	40 mm solid core door					
Wall framing	Prefabricated $90 \times 35$ mm pine frames					
Wall lining	10 mm plasterboard, glue and screw fixed to wall framing					
Wall insulation	R2.5 rock wool wall batt (86 mm)					
Building wrap	CSR bradford enviroseal reflective foil sarking					
Wall cavity	21 mm reflective	50 mm reflective	50 mm reflective			
Wall cladding	12 mm plywood	110 mm clay brick	110 mm clay brick			
Floor	19 mm particle board	19 mm particle board	Concrete slab-on- ground			
Subfloor structure	Hardwood joists, hardwood bearers, treated pine poles	Hardwood joists, hardwood bearers, treated pine poles	Not applicable			
Subfloor enclosure	Nil	110 mm clay brick	Not applicable			

 Table 4.6
 Thermal performance test cell detailed fabric matrix

### 4.2.4.1 Sealing of Wall Cavity

There was the opportunity to provide a seal between the wall cavity and the sub floor zone, which is recommended for cooler climates in accordance with the BCA (ABCB 2009a). This condition can be accounted for in the AccuRate HER software. By inserting an air barrier between the subfloor zone and the wall cavity, there is a reduction in the chimney venting of the wall cavity. This practice promotes the possibility of a still air cavity. A reflective still air space has a much greater insulation value than a reflective ventilated air space (AFIA 2004; Baker 2008; Handisyde and Melluish 1971; Hassall 1977). The diagram in Fig. 4.13 details the design of the cavity seal to the unenclosed platform-floored, plywood clad test cell. The diagram in Fig. 4.14 details the design of the cavity seal in the enclosed platform-floored, brick veneer test cell.

### 4.2.4.2 Reducing External Wall Infiltration

Examination of literature on building thermal performance reveals an everincreasing awareness that building infiltration affects building thermal performance (Anis et al. 2007; Biggs and Bennie 1988; Biggs et al. 1987; Coldicutt et al. 1978; Guyon et al. 1999b; OEENR 2004; Quirouette 1986; Rudd et al. 1993; Sherman





Fig. 4.14 Enclosed platformfloored test cell—subfloor and wall cavity infiltration control

**Fig. 4.15** Building wrap with a minimum 50 mm overlap



2006; Willrath 1997). Past research (Swinton et al. 1990; US DOE 2000) and manufacturers (CSR 2003) recommend the careful installation and taping of building wraps. In volume 2, Sect. 3.12.1.1.B.IV of the 2004 edition of the BCA (ABCB 2004) there are two methods described for installing building wrap: either an overlap of not less than 50 mm, or with joints taped together (Figs. 4.15 and 4.16). In both methods the wrap is fixed to the wall frame with steel staples. For this research, the joints were to be taped as a means of reducing infiltration.





#### 4.2.4.3 Roof Space Infiltration and Reflective Insulation

Similarly the roof construction of the three test cells are identical (i.e., with the same truss, roofing and reflective foil sarking). In discussions with CSR Bradford's, one of the research sponsors and a manufacturer of reflective foil sarking (or roof wrap), and industry representatives, concern was raised with regard to current construction practice as opposed to installation guidelines for reflective foil roof sarking. The five principal purposes of installing reflective foil roof sarking are:

- to reflect heat back towards the roofing material
- to reflect heat back into the roof space
- to provide an insulation air space between the sarking and the roofing material
- to provide a location for moisture to condense and be drained from the roof space
- to reduce roof space infiltration rates

The two important factors to be considered at this stage of the research were the reduction of infiltration rates (Coldicutt et al. 1978; Hendron et al. 2003; Lstiburek 2006; OEENR 2004) and the maintenance of the reflective air space between the roofing and sarking materials (CSR 2003). To reduce heat losses or gains due to infiltration, the same taping of joints approach to be used for the wall wrap was adopted. The roof sarking was to be taped equally for all three test cells.

Additionally, for the reflective foil sarking to reflect heat, it required an air space (AFIA 2004; Hassall 1977). In the AccuRate software, the resistance value provided by reflective foil sarking varies from R0.0 for a contact joint between the sarking and sheet metal roofing to a possible R0.942 for a highly reflective sarking material with a nominal 40 mm vented air gap (AccuRate 2007). In the draped method of installation, the reflective foil sarking is to be draped between battens to maintain a reflective air space and to reduce bridging, which inhibit condensation forming on the outside surface of the material, as in Fig. 4.19 (Chadderton 2000). In many cases seen by the researchers and industry representatives, the sarking was pulled tight during installation (Fig. 4.17). This practice gives the assembly a cleaner appearance and makes installation easier. However, this method negates most of the insulation functions of the reflective foil sarking and has been observed to promote an increase of moisture and condensation in the roof space (Anis et al. 2007). In order to assess the ease of installation and guarantee the insulation functions of the reflective foil roof sarking, the research team agreed to install the sarking under the battens and over the rafters, as in Fig. 4.18, in all three test cells. This method of installation guaranteed a batten depth (35 mm) air gap between the sheet metal roofing and the reflective foil sarking (Fig. 4.19).



Fig. 4.17 Common practice of pulling roof sarking taut during installation



Fig. 4.18 Method for test cells-sarking installed over rafters, under battens

### 4.2.4.4 Reducing Infiltration Losses at Door Gaps and Services Penetrations

In an attempt to further reduce infiltration, some further measures were adopted to make the test cells 'tighter'. A closed cell foam strip was to be installed in the joint between the wall frame and the door frame (Fig. 4.20) and the building wrap was to be taped to the door jamb prior to fixing external trims. No electrical or data services were to be installed in the walls. All electrical services were installed within polyvinylchloride (PVC) conduits which were to be fixed to the inside face of the test cell external wall. All electrical and data services were to enter the test cells via a PVC conduit in the floor, which was sealed with silicone sealant.



Fig. 4.19 Draped roof sarking (CSR 2003, p. 26)

### 4.2.4.5 Window Framing

The test cells were purposely designed and constructed to be initially without windows for this first stage of empirical validation. Future research involving assessment of solar gain and heat loss will necessitate the installation of windows. To install windows in the future with minimal structural impact, the prefabricated wall frames included a 'knock-out' panel. The panel size would allow for the installation of a standard  $2100 \times 1800$  mm glazed sliding door unit. The panel included jamb studs and a lintel. This would allow for windows of varying sizes up to  $2100 \times 1800$  mm to be installed in future thermal performance research. The panel in each of the four external walls were identical, to enable a study of the thermal performance of windows on all four orientations. Figure 4.21 shows the concept for the prefabricated wall frame with the knock-out panel in place. In order to support the future addition of the window in the brick veneer cladding, control joints were place at the same point in the brickwork, as in Fig. 4.22.



Fig. 4.20 Door frame infiltration reduction measures



Fig. 4.21 Concept for prefabricated wall frame



Fig. 4.22 Control joints in brickwork for knock-out wall panel

# 4.2.5 Test Cell Placement and Orientation

Once the size and volume of the test cells were decided, the test cell positioning and overshadowing was analysed. The site was drawn in three-dimensional computeraided drafting (CAD) software. All surrounding buildings and trees were included in the model for the purpose of undertaking sun and shading studies. Sun study movies were generated for the summer solstice, winter solstice and equinox. Based on the movies, the placement and orientation of the test cells were determined, based on:

- the minimal set back from the northern elements (shrubs and one-storey building)
- the southern setback as provided by the established trees
- the western setback as provided by the road
- an eastern setback as defined by the shading provided by the two storey AFRDI building (see Fig. 4.6) and
- a suitable shade limited zone within the possible building area allotted for the test cells

Within the suitable zone, nine test cell arrangements were explored which included the location and distance required between test cells to eliminate overshadowing. After extensive studying of the most suitable options, a final site plan and arrangement was adopted, as shown in Fig. 4.23. This was principally a solar north–south arrangement. As the site sloped gently down-hill in the northern direction, a distance between the test cells of 7,500 mm eliminated winter overshadowing.



Fig. 4.23 Final test cell site plan

# 4.2.6 University and Council Approvals

As the project design evolved, as for any other university building project, approvals were sought from the university and the local council. Frequent discussions were conducted with the University Asset Management staff, to ensure that the University's requirements were being met. Once University approval was obtained for the project, the required planning and building applications for local council approval were undertaken. As the author was an accredited building practitioner, all documentation for the university and council were generated by the author. A local building surveyor firm provided certification.

# 4.2.7 Test Cell Construction

The bulk of the test cells' construction occurred in June and July 2006. Final finishing occurred in August 2006. The construction method for the test cells was to be as close as possible to the minimum allowable construction practice, with the exception of insulation and infiltration improvements mentioned earlier. The minimum standards for residential construction are defined by the BCA and a range of Australian Standards which the BCA refers to. The author coordinated construction of the test cells. To achieve the desired research outcome, regular meetings were required with: university staff, the builder, the builder's subcontractors (all trades) and environmental measurement consultants. The meetings ranged from general issues of programming to detailed meetings discussing BCA and Australian Standard requirements, as many contractors were not familiar with the BCA, nor pertinent Australian Standards.

During construction, the researcher was on site several times a day to assist and advise the builder or sub-contractors. This, in essence, was a construction supervision exercise. Rewarding relationships were developed between the researcher and key sub-contractors. The researcher became more aware of issues affecting the quality of construction practice and the sub-contractors were made aware of building thermal performance theory and BCA and Australian Standard requirements.

Industry sponsors provided many of the building materials, as it was a collaborative project of the University and the construction and allied industries. Materials provided by industry sponsors were:

- reinforcing steel
- concrete
- all timber materials
- clay bricks
- · plasterboard lining
- wall and ceiling batt insulation
- · truss and prefabricated wall assembly
- · roof space reflective foil sarking
- sheet metal roofing
- all minor items and construction fixing materials were purchased by the project.

The construction of the test cells was broken up into four stages, namely:

- preliminary works
- primary construction works
- finishing and
- the installation of environmental measuring equipment

### 4.2.7.1 Preliminary to Construction

Preliminary works were required to set project building parameters and to plan what the project would entail in terms of physical, financial and human resources. For this project this included the 'set-out' of the site and the project planning with the builder and university.

A Launceston surveyor was engaged to provide the site 'set out'. The site survey would define the location and orientation of the test cell buildings. A surveyor was engaged so that the test cells were positioned according to the results of the three dimensional computer modelling and sun studies. Once the site co-ordinates were established (Fig. 4.24), markers were put in place to identify True North. A line was marked to the side of the proposed building area, as a permanent reference during the construction process (Fig. 4.25). The surveyor then placed markers for the corners of the Test Cell buildings to ensure accuracy and consistency in the placement of the test cells during construction (Fig. 4.26).

Meetings were undertaken between the builder and university staff to establish construction goals and requirements. One of the first roles of the builder was to provide a proposed project program. The project program, which detailed construction stages, not only assisted the builder with co-ordination of subcontractor trades but allowed the researcher to:

- ensure that the building process and site practices met university requirements
- co-ordinate supply of sponsored materials
- · co-ordinate the supply and staged installation of environmental measuring equipment
- co-ordinate relevant university staff to ensure test cell building connection to existing university services.

It was also agreed during this stage that the builder and the researcher would have a formal meeting once a week to discuss financial, human and physical resource issues



Fig. 4.24 Surveyor establishing site co-ordinates


Fig. 4.25 Site markers for true north





and to revise the project plan. Furthermore, the researcher would be available most days to assist and guide the builder during the construction process. Guidance was required to inform the builder on specific construction methods desired and to ensure that the high quality of construction required for the test cells would be achieved.

### 4.2.7.2 Primary Construction of Test Cells

The builder commenced site works on June 5, 2006 and an official opening of the test cells occurred on August 23, 2006 to mark the completion of the test cell construction. Like any outdoor construction project, the works were subject to the vagaries of the weather. Several construction days were lost in late June and July due to consistent rain. A strong wind storm on the weekend of July 1 and July 2 removed the building wrap, which had been carefully installed in the days before. Key milestones during the construction of the test cells are shown in Figs. 4.27, 4.28, 4.29, 4.30, 4.31, 4.32, 4.33, 4.34, 4.35, 4.36, 4.37, 4.38 and 4.39.

### 4.2.7.3 Additional Infiltration Control Measures

Internationally, infiltration control has been recognised as an important aspect of building thermal performance (Biggs and Bennie 1988; Biggs et al. 1987; Coldicutt et al. 1978; Nolan and Dewsbury 2007; Rudd et al. 1993; Sherman 2006). Aside from the quality of building wall wrap and roof sarking, other elements of the building were examined during the construction process. As a part of this process two key areas were identified: the gaps between wall frame and door jamb and the standard method of affixing an access hatch to the roof space. Both of these areas received additional attention as described below.



Fig. 4.27 Exclusion fence and commencement of test cells set out (June 5, 2006)



Fig. 4.28 Turning the first sod-top soil removal for test cell 3 (June 6, 2006)



Fig. 4.29 Excavation for footings of test cell 2 (June 7, 2006)

### 4.2.7.4 Air Gap Between Door Jamb and Wall Frame

Gaps between the door jamb and wall frame ranged from 10 to 30 mm in width (Fig. 4.40). This is a non-insulated zone and when examined carefully, daylight is often visible (Fig. 4.41). This indicates that this zone would be a direct conduit for



Fig. 4.30 Poles in place before concrete put in footings, test cell 2 (June 13, 2006)



Fig. 4.31 The two-man process stage of erecting the prefabricated wall frames (June 20, 2006)

air leakage and infiltration (Potter 1999). As a remedy, a closed cell foam rubber bar was installed and forced into the gap at both sides and the top of the door jamb (Figs. 4.42 and 4.43).



Fig. 4.32 Roof trusses erected on test cell 2 (June 21, 2006)



Fig. 4.33 Site photograph at the completion of works on June 30, 2006. Test cell 1 to test cell 3 in receding order

# 4.2.7.5 Access Hatch to Roof Space

The standard access hatch is made from formed plastic and is screw-fixed to a subframe in the roof. Gaps of  $\sim 5$  mm exist on all four sides of the prefabricated insert. Then a square of plasterboard is cut to fit loosely within the frame. Both the gaps at the side of the insert and gaps around the plasterboard square provide ample opportunity for air leakage and infiltration losses. Additionally, under normal circumstances, changes in air pressure can make the access hatch rattle, due to air moving between the room and roof spaces.



Fig. 4.34 After the storm. Much of the building wrap and roof sarking was removed by strong winds and rain (July 3, 2006)

Fig. 4.35 Test cell 2—rockwool wall batt insulation (July 5, 2006)



To counteract each of these issues the following actions were undertaken for all three thermal performance test cells:

• High density foam rubber tape was applied as a backing rod, to the prefabricated plastic insert (Fig. 4.44). The insert was then compressed against the ceiling plasterboard (Fig. 4.45) and screw-fixed.



Fig. 4.36 Test cell 3-glasswool ceiling batt insulation installed (July 6, 2006)



Fig. 4.37 Another rainy day halts external works (July 5, 2006)

• To stop the hatch from lifting due to air pressure changes, high density foam rubber double sided tape was applied around the edges of the plasterboard sheet. Then two layers of 19 mm particle board were affixed to the plasterboard (Fig. 4.47). This gave the access hatch considerable weight, reducing the chance of air movement between the test cell room and roof spaces (Fig. 4.46).

#### 4.2.7.6 Test Cell 1 and Test Cell 2 Wall Cavity

The design of Test Cell 1 and 2 included a still-air reflective foil cavity between the plywood cladding and brick veneer wall and the prefabricated wall frame. As built, the cavity would provide a chimney vent and not provide the benefit of a still air reflective



Fig. 4.38 Test cell 1-application of wall and ceiling plasterboard (July 6, 2006)



Fig. 4.39 Test cell 3—bricklaying well under way with knock-out panels being left till last (July 10, 2006)

cavity. Closed cell foam rubber bar was installed at the line of the bottom plate, between the plywood cladding and the prefabricated wall frame of test cell 1 (Fig. 4.48). A plastic damp proof course was installed at the line of the bottom plate between the brick veneer wall and the prefabricated wall frame of test cell 2 (Fig. 4.49).

**Fig. 4.40** Gap between door jamb and wall frame is clearly visible (July 17, 2006)



**Fig. 4.41** Daylight is visible at the base of the gap between door jamb and wall frame (July 17, 2006)



# 4.2.7.7 Construction Joint in Brick Veneer Walls

The wall cavities of the brick veneered test cells were considered a relatively still-air space, with an accepted insulation value. The inclusion of the knock-out panel in each of the four external walls introduced a construction joint into the wall, providing egress for air into and out of the cavity. To maintain the still-air cavity, the construction joint had a polypropylene rod pushed into the gap (Figs. 4.51 and 4.52). The gap was then back-filled with an external flexible sealant (Figs. 4.53 and 4.50).



**Fig. 4.42** The installation of closed cell foam rubber in gap between door jamb and wall frame (July 17, 2006)

**Fig. 4.43** Closed cell foam rubber is installed in gap between door jamb and wall frame (July 17, 2006)



**Fig. 4.44** Application of high density foam rubber tape to prefabricated insert (July 21, 2006)



**Fig. 4.45** Pushing prefabricated insert hard-up against the plasterboard ceiling (July 21, 2006)



**Fig. 4.46** Close up-view of high density foam rubber compressed between prefabricated insert and ceiling (July 21, 2006)



Fig. 4.47 High density foam rubber and particle board sheet affixed to *top* face of access hatch (July 21, 2006)



**Fig. 4.48** Photograph of wall cavity sealing for test cell 1 (August 2006)



**Fig. 4.49** Photograph of subfloor and wall cavity separation of test cell 2



**Fig. 4.50** Sample of polypropylene rod (July 21, 2006)



Fig. 4.51 Close up view of polypropylene rod inserted into construction joint (July 21, 2006)



**Fig. 4.52** Polypropylene rod inserted into construction joint (July 21, 2006)





**Fig. 4.53** External flexible sealant in construction joint (July 21, 2006)

#### 4.2.7.8 The Electrical and Data Services

**Fig. 4.54** The surface mounting of electrical services (July 10, 2006)

An important consideration for the installation of electrical and data services was to minimise impact on the building fabric (Anis et al. 2007). To achieve this, no penetrations were made by the electrical services into the floor, walls or ceiling. All conduits, outlets, circuit boards and lamps were surface mounted (Fig. 4.54). The only penetration was a single hole through the floor of test cell 1 and test cell 2, for the conduit to bring the electrical and data services into the building. The gap between the conduit and particle board floor was sealed with silicone sealant, which



was injected into the conduit to fill the gaps between the cables and the conduit. The electrical and data services for the concrete slab test cell were brought up from underground through the concrete slab (Figs. 4.55, 4.56 and 4.57).



**Fig. 4.55** Detail of surface mounted circuit board, conduits and general purpose outlet (July 10, 2006)



**Fig. 4.56** Detail of surface mounted lamp and lamp switch (July 11, 2006)



**Fig. 4.57** Surface mounted electrical services when finished (August, 2006)

One concern was the electrical instability that may occur in a test cell. To reduce the risk of one test cell's electrical instability affecting another, each test cell had a separate power supply from the main switch board located in an adjoining building. All power circuits were monitored to ascertain electrical consumption.

### 4.2.7.9 Test Cell Heating

The test cell design included the consideration of various modes of test cell operation which could include free-running, constant heating and intermittent heating. The purpose of providing these various modes of operation was to mimic internal loads and to further test the capacity of HER software to simulate the building envelope and calculate heating energy requirements (Lomas et al. 1994a; Strachan et al. 2006; Travesi et al. 2001). In the free-running mode no heating or cooling is applied. In the constant-heated mode, a temperature is set and the energy required to maintain the set temperature is measured. In the intermittent-heat mode, an attempt is made to mimic a typical house operation with no overnight heating, but heating during the day and evening.

This required the consideration of the heater type, heater placement and heater control. An investigation was undertaken into the common types of heaters in use, including radiant, fan with resistor coil and heat pump systems. This choice of heaters was reduced, as the heat was required to be circulated throughout the test cell room and the radiant heater would be unable to perform this task. Discussions by the researcher with fellow researchers at the University of Newcastle cautioned against the use of the heat pump method, due to difficulties experienced by the University of Newcastle team in trying to quantify energy in and energy out equations. This left the fan-assisted resistor coil type of heater.

The use of the HER software provided a peak heating requirement projection of almost 2,400 W. This was discussed with researchers from CSIRO and it was agreed that the fan assisted resistor coil heater would be installed in all three test cells. It was also agreed that the heater would be of a 2,400 W capacity. A 2,400 W heater could run sensibly on a single phase power circuit with minimal current effect on lamp and measuring equipment power supply.

The heater was located in the middle of the western wall, low to the floor, to be close to the test cell circuit board and to maximise warm air distribution within the test cell. To continue the practice of not penetrating the wall fabric, plywood boxes were designed to suit the heater installation requirements and for surface mounting. The mounting boxes were cut on the computer controlled router at the School of Architecture. They were fabricated and installed onsite by the researcher and the School of Architecture technical assistant (Figs. 4.58, 4.59, 4.60 and 4.61).

#### 4.2.7.10 Other Factors Addressed During Construction

The design and construction of the three thermal performance test cells was an exhaustive and intensive learning experience for the researcher. Most weeks during the construction period were 7 day working weeks, with work starting prior to 7.00 a.m. and finishing well after 6.00 p.m. During the construction period the researcher was either on site, or readily available to the contractors. The researcher



Fig. 4.58 The school technical assistant screw-fixing the heater mounting box to test cell internal wall (July 11, 2006)

# 4 Methodology

**Fig. 4.59** The heater mounting box fixed to test cell internal wall (July 11, 2006)



**Fig. 4.60** Wall photograph showing proximity of heater to circuit board, and height above floor (July 11, 2006)





Fig. 4.61 Heater being inserted and screw-fixed into mounting box (July 11, 2006)

learnt much from all trades on site, as discussions centred on differences between current practices, training and legislated standards. Some key issues became apparent during the construction process which required continuous attention. The application and care of building wrap and roof sarking, an understanding of the effect of infiltration, insulation installation and an understanding of the effect of framing factors were all lacking within the tradespeople present.

#### 4.2.7.11 Building Wrap and Roof Sarking

The purpose of wrapping a building or applying roof sarking of a reflective nature is to provide a reflective insulation, an insulative air cavity (AFIA 2004; Hassall 1977), provide a vapour barrier (Anis et al. 2007; CMHS 1982; Lstiburek 2004; Mumovic et al. 2005; Quirouette 1986; US DOE 2000), and reduce infiltration losses from the building (Hendron et al. 2003; Lstiburek 2006; Swinton et al. 1990). To provide the vapour barrier and infiltration functions, a consistent quality of

material with no discontinuity is required. As observed in this project, conventional construction practises damaged the building wrap and roof sarking. The lack of knowledge by tradesmen involved with the project, particularly on the functions of the wrap and sarking, became apparent early in the construction process. Consistent supervision and awareness was required to maintain the constructed integrity of the building wrap and roof sarking. The contractors cooperated by using rolls of reflective foil tape, supplied by the researcher, to make repairs during the construction process. Repair of the building wrap and roof sarking is not a common practise, hence it is reasonable to suspect that many new buildings would have limited infiltration control and possible vapour barrier limitations.

The problems that were experienced during the construction included:

- Steel staples used to attach the building wrap and roof sarking would tear the material (Fig. 4.62).
- General lack of care by all trades resulted in puncturing and tearing of building wrap and roof sarking (Figs. 4.63 and 4.64).
- Brick layer forms punctured and tore building wrap.
- The insulation is installed by pushing the material into the timber framed wall until it stops. As the fixing of the building wrap is intermittent, often the wrap was torn off the steel staples, due to the forces exerted by the insulation installation.



**Fig. 4.62** Steel staples used to affix building wrap and roof sarking

Fig. 4.63 Building wrap torn off staples during construction process



Fig. 4.64 Building wrap torn during construction process



**Fig. 4.65** Reflective foil tape repairs to building wrap during construction



Due to vigilance by the researcher and the diligent repairs to the building wrap (Fig. 4.65) and roof sarking, it was felt that the integrity of the building wrap and roof sarking was achieved (Fig. 4.66).

### 4.2.7.12 Building Infiltration

Building infiltration control is an area rarely discussed in Australian residential construction practice. Much has been written on the amount of energy loss due to poor control of infiltration (Gettings et al. 1988; Nolan and Dewsbury 2007). For



**Fig. 4.66** The pattern of silver tape patches shows the location of staples and the effect of insulation installation

the thermal performance test cells there were three areas that posed opportunities for infiltration control. Two of these were addressed during construction and discussed earlier, namely: (i) the gaps between wall frame and the door jambs and (ii) the roof space hatch.

The third area which provides an opportunity to reduce infiltration was the corner joint between the plasterboard walls and ceiling. The gap between plasterboard sheets was up to 50 mm (Fig. 4.67). The only elements that could reduce infiltration were the cornices and the bulk ceiling insulation (Fig. 4.68). Depending on how the building wall wrap was applied to the outside face of the wall frame top plate, infiltration could also occur into the wall. Currently, the cornice is glued across this joint for presentation purposes, more than infiltration control. As these are lightweight buildings, the walls frames move over time; In addition an issue was the uncertainty of life expectancy of the cornice glue which apparently provides infiltration control.

**Fig. 4.67** Gap in ceiling corner between wall and ceiling plasterboard





Fig. 4.68 Diagram showing potential unrestricted infiltration losses

#### 4.2.7.13 The Installation of Insulation

The installation of insulation raised three areas of critical concern in contemporary Tasmanian insulation installation practice. These were: the gaps in insulation, the method of installation and its impact on building wrap and air cavities, and framing practices which leave portions of external walls uninsulated.

In the construction of the thermal performance test cells, the contractors had a reputation for better than average installation of insulation. Even with this reputation, the contractor was unaware of the Australian Standard (Standards Australia 1992) which describes the installation of insulation. They were also not aware of the effect of gaps in insulation. The installation of the wall batt insulation in the first test cell took three attempts before it finally satisfied the requirements of the Australian Standard and manufacturers specifications (Fig. 4.70). Assuming that the first attempt was better than average installation practise, there must be a lot of poorly insulated homes being built. Due to persistent pressure for quality installation, in this project the ceiling batts were installed more carefully, with only minor gaps requiring filling.

Another major concern with regard to insulation installation was the method of pushing the batts into the wall frame until something stopped the batt (Fig. 4.69). In the case of the thermal performance test cells, a lot of the building wrap was torn from its

**Fig. 4.69** Billowing of building wrap as a result of insulation installation



**Fig. 4.70** Insulation installation in test cell 1—third attempt

staples by using this method. Only after the researcher showed the contractors the resultant damage to the building wrap, did they slow down and take more care (Fig. 4.70).

The third aspect affecting insulation installation practice is caused by Australian residential framing practice and the use of double studs in external corners. As insulation contractors normally come to the building site after a building has had its wall wrap applied, there is no easy way of retrofitting the external corners of the timber frame and as such the corners remain uninsulated. In the case of the thermal performance test cells the researcher and Associate Professor Greg Nolan retrofitted insulation into the external corners.

Due to the diligence of the researcher, the final installation quality of insulation very satisfactorily met BCA and Australian Standard requirements.

#### 4.2.7.14 Framing Factor

The framing factor is a numerical value given to the proportion of the area occupied by the wall-framing to the entire plane of wall. As the amount of timber framing in a wall increases, the amount of wall insulation decreases. Various researchers have written with regard to the effect of the framing factor on overall wall conductivity values (Bell and Overend 2001; Fricker 2003; Kosny et al. 2006a, b, 2007; Lstiburek 2010; Syed and Kosny 2006). In the test cells, two different issues were encountered with regard to the framing factor.

All three test cells had identical roof framing. However, as much of the prefabricated wall frame for all three test cells was identical, additional timber members were placed within the wall of test cell 1, to assist in the fixing of the plywood cladding (Figs. 4.71 and 4.72). In discussions with the builders, it appeared that this was a common practice. This increased the ratio of timber framing as opposed to wall insulation in the external walls of the test cell 1.



**Fig. 4.71** Four additional *vertical* members inserted into wall for fixing plywood cladding





nb studs cnock-out

**Fig. 4.73** Triple jamb studs to support lintel in knock-out wall panel



Fig. 4.74 Double top plate

The framing factor was determined from data supplied by the framing prefabricator and site photographs. On examination of some current construction practises, there were some uninformed reductions to the insulation capacity of the walls and ceiling (Dewsbury et al. 2009b; Trethowen 2004). Current wall framing practice includes: double top plates (Fig. 4.74) and double, triple and quadruple jamb studs (Fig. 4.73).

# 4.2.8 Summary of the Thermal Performance Test Cells Construction

The objective of building the thermal performance test cells was to provide a research platform for the empirical validation of the AccuRate House Energy Rating software in a cool temperate climate.



Fig. 4.75 Official opening of thermal performance test cells (29 August, 2006)

To achieve this, three thermal performance test cells were designed and constructed in Launceston, an area of Australia defined as being a cool temperate climate based on an analysis of current materials and building systems, in consultation with industry (Fig. 4.75). The typology of the three thermal performance test cells was aligned to the BCA residential construction methods, namely:

- unenclosed-perimeter platform floored
- enclosed-perimeter platform floored
- concrete slab-on-ground floored

The construction of the thermal performance test cells was closely supervised to ensure a consistent quality of construction, which met Building Code of Australia and Australian Standard guidelines. The supervision also allowed for the rectification or repair of insulation installation, building wall wrap and roof sarking quality and infiltration controls. The detailed accounting of the framing factor of the thermal performance test cells was aimed at establishing modified planar conductivity values that were needed for the detailed thermal simulation of the test cells. Through strict supervision and implementation of relevant standards during the construction process, the physical properties of the thermal performance test cells were controlled based on establishing a quantitative fabric matrix. These provide the critical inputs for simulating the test cells' thermal performance (Agami et al. 2007; Lomas 1991c) using the AccuRate HER software for empirical validation purposes which are discussed in Sect. 4.3—Empirical Data, Sect. 4.4—Detailed Thermal Simulation by AccuRate and Sect. 4.5—Methods of Analysis respectively (Fig. 4.76).



Fig. 4.76 Thermal performance test cells (30 August, 2006)

# 4.3 Empirical Data

## 4.3.1 Introduction

Empirical validation required the comparison of empirical data with output data from the AccuRate HER software. The purpose of this stage of the research was to obtain empirical data from the test cells and the external climate. Detailed environmental measurement was required to obtain the empirical data, which involved data acquisition and data management. This section discusses the methods and systems that were developed in order to obtain an empirical data set.

## 4.3.2 Objectives

To obtain empirical data required the measurement of the thermal performance of the test cells and the site weather conditions (Agami et al. 2007; Lomas 1991a). A review of the issues was undertaken, in order that appropriate data were available for the comparative analysis (Delsante 2005b; Dewsbury et al. 2007b), as follows:

- the output data from the house energy rating software, AccuRate, which established the minimum required data for comparison
- methods of environmental measurement
- methods of data storage
- methods of data cleaning to provide a final data set for the empirical validation process

### **4.3.3 Environmental Conditions Requiring Measurement**

The environmental measurement would require particular measuring equipment, data acquisition equipment, data storage and associated support systems. To determine the appropriate environmental measuring equipment, an assessment of the house energy rating software AccuRate was undertaken. Once this assessment was undertaken, the required minimum group of environmental parameters to be measured was established (Delsante 2005b). Then an examination of measurement platforms and supporting equipment was undertaken. Following this, a more detailed assessment (of which environmental aspects required measurement and how to measure them) was undertaken.

## 4.3.3.1 AccuRate Data

The house energy rating software AccuRate has both input data requirements and resultant thermal simulation output data. The assessment of AccuRate (2007) established the required environmental input data and the environmental data that is provided in the output files.

#### 4.3.3.2 AccuRate Input Data

Inputs into the thermal simulation of AccuRate include the built fabric and the weather file. The built fabric is discussed in Sects. 4.2 and 4.4. The weather file provides a matrix of climate data, which the software uses to apply heating and cooling effects to the building being simulated. The AccuRate weather file consists of 27 inputs, as shown in Fig. 4.77.

Of the 27 inputs there are 14 inputs of significance for performing the thermal simulation. The relevant inputs are:

- month number
- day number
- hour number
- dry bulb (air) temperature (tenths of degree Celsius)
- moisture content (tenths gram per kilogram)
- atmospheric (air) pressure (tenths of kilopascal)
- wind speed (tenths of metres per second)
- wind direction (0–16)
- cloud cover (0–8)
- global solar radiation (Wh/m<sup>2</sup>)
- diffuse solar radiation (Wh/m<sup>2</sup>)
- normal direct solar radiation (Wh/m<sup>2</sup>)
- solar altitude (0–90°)
- solar azimuth (degrees)

Columns 1 and 2 contain a two letter code for the site (eg AD for Adelaide) Columns 3 and 4 contain the last two digits of the year number eg 67 for 1967 Columns 5 and 6 contain the month number (zero-filled) eg 01 for January Columns 7 and 8 contain the day number (zero-filled) eg 01 for first of the month Columns 9 and 10 contain the hour number 0-23 (0=midnight, 1=1am etc) Columns 11 to 14 contain the Dry Bulb (Air) temperature in tenths of degrees C Columns 15 to 17 contain the Moisture Content in tenths of g per kg Columns 18 to 21 contain the Atmospheric (Air) Pressure in tenths of kPa Columns 22 to 24 contain the Wind Speed in tenths of metres per second Columns 25 to 26 contain the Wind Direction 0-16 (0=CALM,1=NNE, ..., 16=N) Columns 27 contains the Cloud Cover 0-8 (0= no cloud, ..., 8= full cloud) Column 28 contains the Flag for Dry Bulb Temp. (0=Actual, 1=Estimated) Column 29 contains the Flag for Moisture Content (0=Actual, 1=Estimated) Column 30 contains the Flag for Atmospheric Pressure (0=Actual, 1=Estimated) Column 31 contains the Flag for Wind Speed (0=Actual, 1=Estimated) Column 32 contains the Flag for Cloud Cover (0=Actual, 1=Estimated) Column 33 contains the Flag for Wind Direction (0=Actual, 1=Estimated) Columns 34 to 37 contain Global Solar Radiation on a horizontal plane (Wh/m<sup>2</sup>) Columns 38 to 40 contain Diffuse Solar Radiation on a horizontal plane (Wh/m<sup>2</sup>) Columns 41 to 44 contain the Normal Direct Solar Radiation (Wh/m<sup>2</sup>) Columns 45 to 46 contain Solar Altitude in degrees (0 to 90) Columns 47 to 49 contain the Solar Azimuth in degrees (0 to 359, 0=N, 90=E, ...) Column 50 contains the Flag for Global Solar Radiation. (0=Actual, 1=Estimated) Column 51 contains the Flag for Diffuse Solar Radiation (0=Actual, 1=Estimated) Column 52 contains Flag for Normal Direct Solar Radiation (0=Actual, 1=Estim.) Columns 53 and 54 contain the first two digits of the year number eg 19 for 1967 Columns 55 to 60 are blank

Fig. 4.77 AccuRate weather file format (ACDB 2006, p. Appendix: ACDB climate data format)

The minimum requirement for site environmental measurement necessitated the direct collection of appropriate data to measure the items detailed above, or measure items from which the essential data could be calculated.

Other AccuRate inputs for the purposes of thermal simulation include default values for infiltration and internal heat loads in all zones (Delsante 2006). The infiltration rate is referred to as the 'air change rate' and is quantified by a value of air changes per hour. A measurement of the air change rate per hour of relevant zones in all three test cells was required. Similarly, the internal energy consumption of the thermal performance test cells required measurement to establish internal heat gains. The actual measured value for infiltration and internal heat loads replaced the default value within the AccuRate software in the validation simulation.

### 4.3.3.3 AccuRate Output Data

When a house energy rating simulation is undertaken by the AccuRate software, four output reports are created. The output reports include (AccuRate 2007; Delsante 1996):

- temperature file (\*.tem)
- energy.txt
- output.txt
- star rating report

The AccuRate temperature file shows the thermal simulations' resultant hourly temperature for each zone (Fig. 4.78). In AccuRate the house is divided into conditioned and non-conditioned zones. For the conditioned zones there are default values for heat inputs based on each zone's purpose. The non-conditioned zones also include the roof and subfloor areas of the house. The parameters and default values of AccuRate zones are discussed further in Sect. 4.4. Figure 4.78 shows the data from the enclosed-perimeter platform-floored test cell. They are listed as:

- month number (1 = January)
- day number (1–31)
- hour number (1–24)
- outdoor temperature (from AccuRate weather file)
- test cell (the resultant predicted temperature of the test cell room)
- roof space (the resultant predicted temperature of the test cell roof space)
- sub floor (the resultant predicted temperature of the test cell enclosed subfloor)

What was identified and subsequently informed the environmental measurement brief was that the predicted values from the AccuRate simulation were accurate to one tenth of a degree Celsius (or to one decimal place). Therefore, the equipment required would need to record data to a similar level of accuracy for the validation comparison.

```
2009-10-19 Test Cell 2 unbridged & bridged_Cell 2 - Bridged.tem
-41.4 CLIMAT23.txt
Total number of zones =
                                 3
                                                       Roof Space
16.9
17.0
                                     Test cell
Month Day Hour Outdoor
                                                                             Sub Floor
                                           17.4
                       17.2
                                                                                   17.9
          1
                 0
     1
      1
          1
                       16.9
                                           17.4
                                                                                   17.8
                 1
      1
           1
                 234
                       16.4
                                           17.4
                                                               16.8
                                                                                   17.7
                                                                                   17.5
      1
          1
                       15.7
                                           16.8
                                                               16.4
          1
                       15.4
                                           16
                                                               16.0
                                                                                   17.4
      1
                                                               15.6
          1
                 5
                       15.1
                                           16
                                                                                   17.2
          1
                 67
                       15.4
                                                               15.5
      1111
                                           16
                                                                                   17.1
          1
                       17.2
                                           16.8
                                                               15.9
                                                                                   17.2
          1
                 8
                       20.8
                                           19.1
                                                               17.4
                                                                                   17.7
          1
                 9
                                                               18.7
                                                                                   17.9
                       19.3
                                           19.0
      1
          1
               10
                       19.4
                                           19
                                                               19.0
                                                                                   18.1
                                              1
          ī
                       20.0
                                           19.5
                                                               19.4
                                                                                   18.3
               11
      1
                                                               20.3
          1
               12
                       22.5
                                           21.2
                                                                                   18.8
          1
                       25.1
               13
                                           22.8
                                                               22.0
                                                                                   19.4
```

Fig. 4.78 Sample of AccuRate output temperature file

The energy file provides the resultant calculated required energy to maintain a particular temperature bandwidth within conditioned zones of the simulated building.

The output.txt file details the calculated daily energy required to condition relevant zones. The information also includes a daily total and a peak energy requirement value.

The Star Rating report is the public front end document which is used for verification methods under the Nationwide House Energy Rating Scheme.

The energy.txt file, output.txt file and \*.tem file are all discussed in detail in Sect. 4.4.6.

From the analysis of the AccuRate output data, the only report of significance in relation to environmental measurement is the temperature file. Therefore, the minimum requirement of the environmental measurement process was to collect empirical data that could be compared with the AccuRate calculated temperature output file.

## 4.3.4 Parameters Requiring Measurement

Based on the assessment of the AccuRate input and output files and other international examples, a minimum data set of site-measured data was established. The items to be measured included the site and building elements. This was the starting point from which further research confirmed each of the values and methods that could be used to obtain environmental measurements. The brief to assess data acquisition platforms was also established. To further confirm what to measure, the experiences of a number of key international and Australian projects were assessed (Bowman and Lomas 1985; Lomas 1991a). These comprised: IEA projects (Judkoff 2008; Lomas et al. 1994a; Loutzenhiser et al. 2007; Torcellini et al. 2005a), PASSYSS and PASLINK projects (CSTB 1990; Leal and Maldonado 2008; Strachan 2008; Strachan and Vandaele 2008) and the University of Newcastle project (Clark et al. 2003). By combining the output data from AccuRate and the types of environmental measurements taken by previous projects, the minimum data collection requirements were established, as in Table 4.7.

# 4.3.5 Additional Environmental Measurements (or Supporting Data)

The vast difference in approaches to measure environmental performance of buildings became apparent when examining other projects. The exterior environment was easily defined by the AccuRate inputs and other international publications (ASHRAE 1997, 2005; Lomas 1991a, 1994; Strachan 2008; Torcellini et al. 2005b). In many

Site measurements	Dry bulb (air) temperature (tenths of degree celsius)	
	Moisture content (tenths gram per kilogram)	
	Atmospheric (air) pressure (tenths of kilopascal)	
	Wind speed (tenths of metres per second)	
	Wind direction	
	Cloud cover in octaves	
	Global solar radiation (Wh/m <sup>2</sup> )	
	Diffuse solar radiation (Wh/m <sup>2</sup> )	
	Normal direct solar radiation (Wh/m <sup>2</sup> )	
Thermal performance test cell measurements	Test cell room temperature (tenths of degree celsius)	
	Test cell roof space temperature (tenths of degree celsius)	
	Test cell subfloor space temperature (tenths of degree celsius)	

 Table 4.7 Items requiring environmental measurement

projects the default climate file within the house energy rating software was used, rather than providing site-measured data. In other examples, data from the closest weather station was used. However, this research found temperature variations of up to 5 °C between the local weather station and the temperature at the thermal performance test cells site (Dewsbury et al. 2007b). These variations would have a dramatic impact on the final data set provided by the simulation software.

Many papers have discussed the comparison of measured building data with simulation output data but there have been inconsistencies in measuring methodology. Recent projects in Australia placed Hobo sensors on bench tops or walls to establish room temperature (James et al. 2006). The problem with this approach was twofold in that the height of the sensor above the floor varied from room to room and that one sensor may receive a greater proportion of the mean radiant temperature of a surface, if the sensor is near it, as opposed to sensing the *general* temperature of the room. A historical analysis of the test buildings discussed in Sect. 3.7 and other relevant research (Chasar et al. 2002; D'Cruz and Duncan 1994) found the need to maximise data collection points, which is well-illustrated in Fig. 4.79.

Researchers of current test buildings who form the PASLINK research group have developed a comparable method of measuring the temperatures within the test buildings, as in Figs. 4.80, 4.81 and 4.82 (Baker 2008; Baker and van Dijk 2008; Jimenez and Madsen 2008; Jimenez et al. 2008; Strachan 2008). In Australia, the University of Newcastle test cell research team has developed a task-specific installation of measuring equipment to examine the effects of thermal mass in test cell buildings (Clark et al. 2003; Sugo 2005–2009, 2006a). As the Launceston thermal performance test cells were being built to specifically validate the AccuRate software, the methodology of the PASLink and Newcastle test cells was adopted, to provide comparative research with the Newcastle buildings and an adequate depth of data to support the key data requirements. Section 3.7 provides a more in-depth analysis of some international and Australian thermal performance test buildings.



Fig. 4.79 Longitudinal section of the NBS passive solar test building (Lomas 1991c, p. Fig. 15)



When analysing similar international research, particular differences in the approach to environmental measurement became apparent: some projects adopted the ASHRAE Standard 55 (ASHRAE 2004a), whilst other projects had a more generalist approach to the placement of measuring equipment. The focus of the ASHRAE Standard 55 is the measurement of "Thermal Environmental Conditions

Fig. 4.80 PASSLINK test building (Jimenez and Madsen 2008, p. 157)



Fig. 4.81 Thermal principles of PASSLINK test building (Jimenez and Madsen 2008, p. 157)

Fig. 4.82 Interior of EMPA test building (Loutzenhiser et al. 2006)



Table 10 Environmental			
Table 4.8 Environmental measurement heights as specified by ASHRAE Standard 55	Height above floor (mm)	Seated occupants	Standing occupants
	100	Ankle	Ankle
	600	Waist	
	1,100	Neck	Waist
	1,700		Neck

for Human Occupancy". The standard specifies the measurement of the environmental condition at the heights shown in Table 4.8. The heights specified were based on how an average human occupant would experience the environment. An understanding of the environment under these parameters allows for the appropriate design of heating and cooling plant (Bannister 2009; de Carli and Olesen 2002). The more generalist approach of providing an array of environmental measurements, in plan and elevation within the test building, is an endeavour to establish the average room temperature. As the primary purpose of the thermal performance test cells was the empirical validation of AccuRate, the research was not concerned with levels of comfort for human occupancy. Instead, the research was concerned with and needed to comprehend and measure the average room temperate within the thermal performance test cells. Based on the analysis of the approach taken by previous test building researchers and in consultation with CSIRO HER software developers and industry sponsors, it was agreed that the approach taken should be similar in nature to the PASLINK test buildings, where an array of temperature sensors would be installed initially and selected sensors would be used to establish an average room temperature.

### 4.3.5.1 The Measurement Profile of the Thermal Performance Test Cells

Based on the examination of the data requirements to empirically validate AccuRate and the analysis of other thermal performance test buildings, a measurement method was established. The choice of measurement locations allowed for a minimum group of essential sensors for the empirical validation exercise. A range of other sensors was installed to collect additional data, to support the minimum data set and to enable further study of the results. The method included the environmental measurement of horizontal and vertical profiles of the three thermal performance test cells. The environmental measurement vertical profile established for the thermal performance test cells is detailed in Table 4.9, Figs. 4.83 and 4.84. The environmental measurement horizontal profile established for the thermal performance test cells is detailed in Table 4.9, Figs. 4.85 and 4.86.

Once the location and purpose of environmental measuring equipment had been ascertained, the next step was to further define the environmental sensor types and the equipment that would be required to support the sensors.
Title	Description	Minimum data or supporting data	Empii
Outside sheet metal roof dry bulb surface temperature	To measure the external surface temperature of the sheet metal roofing	Supporting data	rical D
Inside sheet metal roof dry bulb surface temperature	To measure the internal surface temperature of the sheet metal roofing	Supporting data	ata
Inside reflective sarking dry bulb surface temperature	To measure the internal surface temperature of the reflective foil sarking	Supporting data	
Mid roof space dry bulb air temperature	To measure the air temperature of the middle of the roof space	Minimum data	
Mid roof space vertical air flow	To measure if there is any thermal chimney effect in the roof space	Supporting data	
Mid roof space relative humidity	To measure the relative humidity of the roof space	Supporting data	
Top of insulation dry bulb surface temperature	To measure the outside surface temperature of the ceiling batt insulation	Supporting data	
Outside plasterboard ceiling dry bulb surface temperature	To measure the outside surface temperature of the plasterboard ceiling	Supporting data	
Inside plasterboard ceiling dry bulb surface temperature	To measure the inside surface temperature of the plasterboard ceiling	Supporting data	
Centre of room +1,800 mm dry bulb air temperature	To measure the air temperature at a height of 1,800 mm in the centre of the room	Minimum data	
Perimeter of room +1,800 mm dry bulb air temperature	Eight poles around the internal perimeter of the test cell to measure the air temperature at a height of 1,800 mm	Supporting data	
Centre of room +1,200 mm dry bulb air temperature	To measure the air temperature at a height of 1,200 mm in the centre of the room	Minimum data	
Perimeter of room +1,200 mm dry bulb air temperature	Eight poles around the internal perimeter of the test cell to measure the air temperature at a height of 1,200 mm	Supporting data	
Centre of room +1,200 mm relative humidity	To measure the relative humidity at a height of 1,200 mm in the centre of the room	Supporting data (continued)	12

Table 4.9 Vertical measurement profile of the Launceston thermal performance test cells

Table 4.9 (continued)		
Title	Description	Minimum data or supporting data
Centre of room +1,200 mm mean radiant temperate	To measure the mean radiant temperature in the centre of the room at a height of $1,200 \text{ mm}$	Minimum data
Perimeter of room +1,200 mm mean radiant temperate	Eight poles around the internal perimeter of the test cell to measure the mean radiant temperature at a height of 1,200 mm	Supporting data
Centre of room +600 mm dry bulb air temperature	To measure the air temperature at a height of 600 mm in the centre of the room	Minimum data
Perimeter of room +600 mm dry bulb air temperature	Eight poles around the internal perimeter of the test cell to measure the air temperature at a height of 600 mm	Supporting data
Inside carpet dry bulb surface temperature	To measure the inside surface temperature of the carpet	Supporting data
Inside particle-board floor dry bulb surface temperature	To measure the inside surface temperature of the particle board floor of the unenclosed-perimeter and enclosed-perimeter platform floored test cells	Supporting data
Inside concrete slab floor dry bulb surface temperature	To measure the inside surface temperature of the concrete slab floor of the concrete slab-on-ground floored test cell	Supporting data
Outside particle-board floor dry bulb surface temperature	To measure the outside surface temperature of the particle board floor of the unenclosed-perimeter and enclosed-perimeter platform floored test cells	Supporting data
Outside slab floor dry bulb surface temperature	To measure the outside surface temperature of the concrete slab-on-ground floored test cell	Supporting data
Outside subfloor insulation dry bulb surface temperature	To measure the outside surface temperature of the subfloor insulation of the unenclosed-perimeter and enclosed-perimeter platform floored test cells	Supporting data
Mid-subfloor space dry bulb air temperature	To measure the air temperature in the centre of the subfloor space (unenclosed-perimeter and enclosed-perimeter platform floored test cells)	Minimum data
Mid-subfloor air speed measurement (adjustable for vertical and horizontal air flows)	To measure subfloor horizontal air flow and whether or not there is any vertical chimney affect in the subfloor space (unenclosed-perimeter and enclosed-perimeter platform floored test cells)	Supporting data
		(continued)

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# 4 Methodology

Table 4.9 (continued)		
Title	Description	Minimum data or supporting data
Mid-subfloor space relative humidity	To measure the relative humidity at the middle of the subfloor space (unenclosed- perimeter and enclosed-perimeter platform floored test cells)	Supporting data
Centre subfloor area ground air temperature	To measure the air temperature at ground level in the middle of the subfloor space (unenclosed-perimeter and enclosed-perimeter platform floored test cells)	Supporting data
Centre of building -1,000 mm dry bulb surface temperature	To measure the ground temperature 1,000 mm below ground surface	Supporting data



Fig. 4.83 Vertical measurement profile for the unenclosed-perimeter and enclosed-perimeter platform floored test cells

# 4.3.6 Platforms for Environmental Measurement

The brief for the environmental measurement of the thermal performance test cells was to support the notion of a long term research program, with the objective of providing a long term research platform. This brief required the following key elements:

- A flexibility of approach to allow for relocation of equipment to other building environmental measurement projects
- A flexibility of approach to allow for adding and removing sensors as the research questions evolved



Fig. 4.84 Vertical environmental measurement profile for the concrete slab-on-ground floored test cell

Table 4.10	Horizontal	measurement	profile,	providing	supporting	data,	of	the	Launceston
thermal perfe	ormance test	cells							

Title	Description
Outside brick veneer or plywood cladding dry bulb surface temperature (north and south walls)	To measure the external surface temperature of the brick veneer or plywood cladding
Inside brick veneer or plywood cladding dry bulb surface temperature (north and south walls)	To measure the internal surface temperature of the brick veneer or plywood cladding
Outside reflective foil building wrap dry bulb surface temperature (north and south walls)	To measure the outside surface temperature of the reflective foil building wrap
Inside reflective foil building wrap dry bulb surface temperature (north and south walls)	To measure the inside surface temperature of the reflective foil building wrap
Wall frame relative humidity (north and south walls)	To measure whether or not there are dangerously high relatively humidity levels within the wall- insulation batts in a cool temperate
Outside plasterboard dry bulb surface temperature (north and south walls)	To measure the outside surface temperature of the plasterboard wall lining
Inside plasterboard dry bulb surface temperature (north and south walls)	To measure the inside surface temperature of the plasterboard wall lining
Outside plasterboard ceiling dry bulb surface temperature	To measure the outside surface temperature of the plasterboard ceiling
Solar radiation (north, west, south and east walls)	To measure the amount of solar radiation hitting all external walls of the test cells

- A system which could be owned and managed by the research centre
- A system which could be technically managed by the research centre technical, information technology and research staff

While Centre for Sustainable Architecture with Wood was in its infancy, there appeared to be a growing demand for the environmental assessment of residential and commercial buildings in Australia. Australia was increasing its regulation with regard to building thermal performance and as a result industry and government were discussing the need to verify building environmental performance. Shortly after the completion of the construction of the thermal performance test cells, another developer provided three houses for environmental measurement. This research is currently being undertaken by a fellow Ph.D. candidate.

It was accepted that during the initial stages of the research many sensors would still be in transit. This would require that sensors could be gradually added to the logging system over time. It was also accepted that as the research progressed, new questions might arise and sensors might need to be removed or added depending on the research question. This would require a flexible platform from which the sensors could operate.

A survey of logging and measuring systems revealed three principle approaches: Building Management Systems, Digital Systems and Analogue Devices. Each of these approaches was investigated for their suitability for this research program. All the factors affecting data acquisition were considered, including:

- the types of probes or sensors that could be connected to equipment
- ease of operation and programming
- power supply requirements
- portability
- affordability

It was decided that the analogue data acquisition platform was the preferred method of acquiring data for the thermal performance test cells. This selection was based on the experience of many previous international projects, which emphasised the need for a stable data acquisition platform.

#### 4.3.7 Building and Site Environmental Measurement

As the purpose of sensors and the platform from which the sensors would operate had been defined, the next step was the selection of the specific sensing equipment that would be suitable for the task of environmental measurement of the thermal performance test cells. This aspect required the assessment of the technical skills available within CSAW and the technical resources available to manage the daily, weekly and monthly needs of the thermal performance test cells. Due to the



Fig. 4.85 *Horizontal* measurement profile for the unenclosed-perimeter and enclosed-perimeter platform floored test cells



Fig. 4.86 Horizontal measurement profile for the concrete slab-on-ground floored test cell

innovative nature of the research, most of the tasks associated with all aspects of the thermal performance test cells would be the responsibility of the researcher. As there was limited technical support for the researcher, the selection of pre-calibrated equipment became the preferred option. This approach enabled an installation of equipment with the expectation of a certain level of performance. The accuracy of each sensor was checked during installation.

Purpose	Description
Dry bulb air temperature (°C)	AD592CN
Mean radiant temperature (°C)	AD592CN suspended within a 150 mm copper ball
Relative humidity	Vaisala HMW40U
Air movement	TSI 8455 hot wire air velocity transducer
Solar radiation	SolData 80SPC pyranometer
Site weather station air temperature and relative humidity	Vaisala HUMICAP HMP45A/D
Site weather station wind speed and wind direction	Pacific data systems, PDS-WD/WS-10
Electricity consumption	Solid core CS-450 current transducer

Table 4.11 Probes and sensors for test cells and site weather station

After the review of equipment capabilities, the resultant probes or sensors that formed the basis of the environmental measurement were the items listed in Table 4.11.

#### 4.3.8 Infiltration

The measurement of infiltration was required to amend the default input values within the AccuRate software (Bowman and Lomas 1985; Dewsbury et al. 2007b; Lomas 1991a, 1994; Torcellini et al. 2005b). Measurements were required for:

- the roof space of all three test cells
- the room of all three test cells
- the subfloor of the enclosed-perimeter platform-floored test cell

After a review of airflow measurement methods (ATTMA 2006; Cosmulescu 1997; Feustel and Rayner-Hooson 1990; Hancock et al. 2002; McWilliams 2002; Palmiter and Francisco 1996; Potter 1999; Potter and Knights 2004; Sherman 1998) it was established that the measurement of infiltration losses required expert technical capabilities and associated equipment. As the research group had informal linkages with the Mobile Architecture and Built Environment Laboratory (MABEL) from Deakin University, MABEL was engaged to undertake the assessment of infiltration losses for the thermal performance test cells.

# 4.3.9 Infra-red Camera Imagery

Infra-red imagery has been observed as a suitable method to gain initial insights into the thermal performance of the built fabric (Pearson 2002; Torcellini et al. 2005b).

#### 4.3 Empirical Data

During the research, infra-red images were taken of the exterior and interior of the test cells. In the early stages this service was provided by the MABEL research team. After CSAW obtained its own infrared camera, the researcher took infra-red images at various stages. This was to inform and clarify the effects of construction practices on the test cell thermal performance (Dewsbury 2009; Dewsbury et al. 2009a; Fricker 2003). A sample of some infra-red images can be seen in Figs. 4.87 and 4.88.

Fig. 4.87 External infra-red image of the concrete slab-on-ground floored test cell



Fig. 4.88 Internal infra-red image documenting the variation of surface temperatures associated with the wall frame bottom plate connection



#### 4.3.10 Defining Room Temperature

Much has been written about the temperature that an HER software produces. The output temperature has been described as: an environmental temperature, a mean radiant temperature and as a combination of air and surface temperatures. Davies (1990) described the temperature as undefinable. This problem appears to arise from the method used to calculate the heat flows through a building fabric. The equations consider heat flow through materials and the subsequent surface film conductance before room air temperature is affected (Clarke 2001; Muncey 1979). This is an aspect that has been queried in other research (Barnaby et al. 2005; Davies et al. 2005; Lomas et al. 1994a; Loutzenhiser et al. 2006; Wong 1990). In the test cell room with a wall, floor and ceiling surface area of 112.7 m<sup>2</sup> there was a surface film conductance of 338 W/K.

Analogue devices were used to measure air temperature at 600, 1,200 and 1,800 mm, within the thermal performance test cells. Each of the analogue device probes was located within a PVC tube to reduce any effect by convective currents within the test cell and to reduce radiant errors (ASHRAE 2005, 2009; Guyon and Rahni 1997; Loutzenhiser et al. 2006; Sugo 2005–2009). During the periods when measurements were taken, the test cells were closed, with no ventilation. The only change to the test cells' air was caused through infiltration. Muncey (1979) and others (Ahmad and Szokolay 1993; Beausoleil-Morrison and Strachan 1999; Dewsbury et al. 2008) have recognised that temperature gradients are established in rooms with relatively still air. The simulation software presumes that the air within a room is well-mixed (Lomas et al. 1994a; Muncey and Holden 1967; Strachan et al. 2006). This infers that any stratification is removed due to the mixing of the air. In the thermal performance test cells, stratification was observed in all buildings (Figs. 4.89, 4.90, and 4.91). Acknowledging this affect, CSIRO AccuRate software developers



Fig. 4.89 Stratification of temperatures: unenclosed-perimeter, platform-floored test cell (July 29 to August 3, 2007)



Fig. 4.90 Stratification of temperatures: enclosed-perimeter, platform-floored test cell (July 29–August 3, 2007)



**Fig. 4.91** Stratification of temperatures: concrete slab-on-ground floored test cell (July 29–August 3, 2007)

requested that the temperature used for the empirical validation comparison be an average of the 600, 1,200 and 1,800 mm analogue temperature probe measurements.

The temperature calculated by the software is an average temperature for the whole room (Clarke 2001; Muncey 1979). As the software calculates heat flow through planes, this room temperature is a mix of air and mean radiant temperature. In considering the relationship between the mean radiant temperature and the air temperature in a room with no ventilation, of similar volume to the thermal performance test cells, Muncey established that the mean radiant temperature and room air temperature are equal (Ahmad and Szokolay 1993; Muncey 1979).

During the period that the thermal performance test cells were observed, globe thermometers had not yet been fabricated. Globe thermometers were fabricated in late June 2007 and installed within the thermal performance test cells. To establish possible mean room temperatures of the test cell rooms, a simple survey is



Fig. 4.92 Mean room temperature calculations: unenclosed-perimeter, platform-floored test cell (July 29-August 3, 2007)

provided, as shown in Figs. 4.92 and 4.93, and Tables 4.12 and 4.13. The comparisons show the values for:

- average measured air temperature ((600 + 1,200 + 1,800 mm)/3)
- room globe measurement



Fig. 4.93 Mean room temperature calculations: concrete slab-on-ground floored test cell (July 29–August 3, 2007)

Room av	/e	Room gl	obe	ASHRA (2009)	Е	Danter		Muncey and Spencer	
Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
3.6	13.9	4.4	14.9	4.4	14.9	4.1	14.6	4.2	14.5
3.6	13.8	4.4	14.8	4.4	14.8	4.1	14.5	4.1	14.4
7.5	12.6	8.3	13.4	8.3	13.4	8.0	13.1	8.1	13.0
11.8	14.7	12.8	15.6	12.8	15.6	12.4	15.3	12.4	15.3
11.1	11.5	12.0	12.3	12.0	12.3	11.7	12.0	11.6	12.0
7.5	12.7	8.4	13.6	8.4	13.6	8.1	13.3	8.1	13.2

 Table 4.12
 Test cell 1: comparison of minimum and maximum values for mean room temperature (July 29–August 3, 2007)

(ASHRAE 2009; Danter 1974; Muncey and Spencer 1966)

Table 4.13 Test cell 3: comparison of minimum and maximum values for mean room temperature (July 29–August 3, 2007)

Room av	/e	Room gl	obe	ASHRA (2009)	Е	Danter		Muncey and Spencer	
Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
10.7	12.2	11.5	13.1	11.5	13.1	11.3	12.8	11.2	12.8
10.5	12.1	11.3	12.9	11.3	12.9	11.0	12.6	11.0	12.6
11.2	12.0	12.0	12.8	12.0	12.8	11.7	12.5	11.7	12.5
11.9	12.6	12.7	13.3	12.7	13.3	12.4	13.1	12.4	13.0
11.9	11.9	12.7	12.7	12.7	12.7	12.4	12.4	12.4	12.4
11.0	11.8	11.8	12.7	11.8	12.7	11.5	12.4	11.5	12.3

- Danter (1974) and Loudon (1970) ratio of 1:2
- Muncey and Spencer (1966) ratio of 2:5
- ASHRAE Ratio: Eq. 4.1 (ASHRAE 2009).

Radiant temperature equation (ASHRAE 2009, p. F36.29)

$$\bar{t}_r = \left[ \left( t_g + 273 \right)^4 + \frac{1.10 \times 10^8 V_a^{0.6}}{\varepsilon D^{0.4}} \left( t_g - t_a \right) \right]^{1/4} - 273$$
(4.1)

where

- $\bar{t}_r$  mean radiant temperature, °C
- $t_g$  globe temperature, °C
- $V_a$  air velocity, m/s
- $t_a$  air temperature, °C
- *D* globe diameter, m
- $\epsilon$  emissivity (0.95 for black globe).

The variation between the various methods of calculating average room temperature and the observed average room air temperature ranges from +0.6 to +0.9 °C. This issue of room temperature for empirical validation requires further investigation and could utilise surface temperatures of the walls, floor and ceiling that were observed during the research period. A review of past research noted that many only used dry bulb air temperature for their analysis (Travesi et al. 2001). For this empirical validation, the method requested by the CSIRO AccuRate software developers was used, which was the average of the 600, 1,200 and 1,800 mm air temperature probes.

# 4.3.11 The Fabrication, Installation and Calibration of Environmental Measuring Equipment

The manufacture, installation and calibration of the environmental measuring equipment took more than a year to complete. The primary design of the environmental measuring system and its devices required completion by December 2005, to enable equipment to be ordered and installed within the desired time frame of the research program. The orders for equipment commenced in December 2005 and the building construction (which commenced in June 2006), required allowances during construction for the placement of some devices. As the principles of the environmental measuring system were known, a range of tests was carried out on each item of equipment as it arrived (prior to installation), to reduce the number of system or device faults that occurred. Many essential elements were installed within the thermal performance test cells prior to August 29, 2006 but a general debugging of equipment continued to occur until January 2007. There were many late nights and 7 day working weeks during the primary installation stage of the environmental measuring equipment. The installation of new equipment continued until February 2008. As the objectives included the need for system flexibility and mobility, several system wide options were explored.

The requirement for systemic flexibility led the principal researcher down the path of LAN and WAN network principles. The researcher had been involved in projects in the late 1990s with regard to data and telephony integration for the NSW government. The concept, as shown in Fig. 4.94, consisted of:

- DataTaker DT500 data loggers and channel expansion modules for primary data acquisition
- wiring from data logger terminals to RJ45 terminal blocks
- eight wire data cable from RJ45 terminal blocks to Krone connector near to the location of the particular measuring device
- two wires from Krone connector providing power to and return signal from each individual measuring device.



Fig. 4.94 Wiring diagram for environmental measuring equipment

From concept to execution took several months of testing and some experiences are discussed here. The chosen analogue environmental measuring devices required a two-wire connection. The adoption of an eight-wire data cable methodology enabled each data cable to carry the signal of four individual devices. Extensive planning and design of the measuring devices and their configuration was undertaken, to maximise the benefits of data cable connections. Figure 4.95 shows a sample of one of the channel allocation spreadsheets for Test Cell 2, Logger A. This methodology considered equipment type and requirements from an early planning stage, as each item of equipment required a different method of connection to the data logger.

Initially, each thermal performance test cell utilised two data loggers. A simple naming convention of test cell number (1, 2 or 3) and data logger A or B was adopted. The columns of the channel allocation spreadsheet provided the following functionality:

Cell 2	: Loaaer	A:										
				Se	nsorCo	de						
Chan No	Chan Type	DT Chan	DT Input	Colour	Prefix	Code	Program	Loc.	Descr 1	Descr 2	Descr 3	Function
1	AD592CN	1*	1A1	Blue/Wh	2A	P11	1*AD590("P1 600 AirT",X,N)	P1	Centre pole	Air Temp	(+)600	Air Temp
2	AD592CN	1+	1A2	Green/Wh	2A	P12	1+AD590("P1 1200 AirT",X,N)	P1	Centre pole	Air Temp	(+)1200	Air Temp
3	AD592CN	1-	1A3	Orange/Wh	2A	P13	1-AD590("P1 1200 Globe",X,N)	P1	Centre pole	Globe Temp	(+)1200	Globe Temp
4	AD592CN	2*	1A4	Brown/Wh	2A	P14	2*AD590("P1 1800 AirT",X,N)	P1	Centre pole	Air Temp	(+)1800	Air Temp
5	AD592CN	2+	1B1	Blue/Wh	2A	P21	2+AD590("P2SWC 600 AirT",X,N)	P2	SW Corner Pole	Air Temp	(+)600	Air Temp
6	AD592CN	2-	1B2	Green/Wh	2A	P22	2-AD590("P2SWC1200 AirT",X,N)	P2	SW Corner Pole	Air Temp	(+)1200	Air Temp
7	AD592CN	3*	1B3	Orange/Wh	2A	P23	3*AD590("P2SWC1200Globe",X,N)	P2	SW Corner Pole	Globe Temp	(+)1200	Globe Temp
8	AD592CN	3+	1B4	Brown/Wh	2A	P24	3+AD590(*P2SWC1800 AirT*,X,N)	P2	SW Corner Pole	Air Temp	(+)1800	Air Temp
9	AD592CN	3-	1C1	Blue/Wh	2A	P31	3-AD590("P3WWP 600 AirT",X,N)	P3	West Wall Pole	Air Temp	(+)600	Air Temp
10	AD592CN	4*	1C2	Green/Wh	2A	P32	4*AD590("P3WWP1200 AirT",X,N)	P3	West Wall Pole	Air Temp	(+)1200	Air Temp
11	AD592CN	4+	1C3	Orange/Wh	2A	P33	4+AD590("P3WWP1200Globe",X,N)	P3	West Wall Pole	Globe Temp	(+)1200	Globe Temp
12	AD592CN	4-	1C4	Brown/Wh	2A	P34	4-AD590("P3WWP1800 AirT",X,N)	P3	West Wall Pole	Air Temp	(+)1800	Air Temp
13	AD592CN	5*	1D1	Blue/Wh	2A	P41	5*AD590("P4NWC 600 AirT",X,N)	P4	NW Corner Pole	Air Temp	(+)600	Air Temp
14	AD592CN	5+	1D2	Green/Wh	2A	P42	5+AD590("P4NWC1200 AirT",X,N)	P4	NW Corner Pole	Air Temp	(+)1200	Air Temp
15	AD592CN	5-	1D3	Orange/Wh	2A	P43	5-AD590("P4NWC1200Globe",X,N)	P4	NW Corner Pole	Globe Temp	(+)1200	Globe Temp
16	AD592CN	6*	1D4	Brown/Wh	2A	P44	6*AD590("P4NWC1800 AirT",X,N)	P4	NW Corner Pole	Air Temp	(+)1800	Air Temp
17	AD592CN	6+	2A1	Blue/Wh	2A	P51	6+AD590("P5NWP 600 AirT",X,N)	P5	North Wall Pole	Air Temp	(+)600	Air Temp
18	AD592CN	6-	2A2	Green/Wh	2A	P52	6-AD590("P5NWP1200 AirT",X,N)	P5	North Wall Pole	Air Temp	(+)1200	Air Temp
19	AD592CN	7*	2A3	Orange/Wh	2A	P53	7*AD590("P5NWP1200Globe",X,N)	P5	North Wall Pole	Globe Temp	(+)1200	Globe Temp

Fig. 4.95 Sample of DT500 channel allocation spreadsheet

- Channel Number: Each data logger and channel expansion module could accommodate 30 analogue two wire sensors. This tally column allowed an easy reference to the number of sensors allocated to the data logger.
- Channel Type: This was where a sensor type was first noted. This allowed for planning of data logger connection requirements (i.e., AD592CN, Voltage, Voltage 4–20 mA).
- DT Channel: Data logger channel allocation where 1\* referred to data logger channel connection point and 31\* referred to the first channel on the channel expansion module.
- DT Input: This was the first reference to the RJ45 cable terminals. Each wall fixing plate could accommodate four RJ45 outlets. 1A3 referred to Wall Plate 1, RJ45 socket A and the third pair of wires (orange/white).
- Colour: this detailed the colour of the pair of data cable wires which were allocated to the sensor.
- Prefix: Test cell and data logger identification.
- Code: Each type of sensor was allocated an alphabetical prefix. Each type of sensor received a tally (P42 = AD992CN number 42).
- Program: This was the specific program wording that was used within the data logger.
- Location: Location of sensor within test cell (P1 = Pole 1).
- Description 1–3: This described the location of the sensor within the test cell building (centre of test cell room, measuring air temperature, at a height of 600 mm).
- Function: The function of the sensor, for example: Air temperature, surface temperature, relative humidity.

The channel allocation spreadsheets became an integral document for the planning and implementation of the environmental measurement of the thermal performance test cells. From the broad nature of the planning and co-ordination of the thermal performance test cells, individual elements of the environmental measurement required equal levels of detailed consideration and calibration.

## 4.3.12 DT500 DataTaker Data Loggers

The DT500 data loggers were the primary tools for data acquisition. Each data logger and channel expansion module was purchased new and arrived with a calibration certification. Even with the manufacturer's calibration certification, the data loggers were tested before any further work progressed. A range of tests, (which included the checking of the data logger system, battery, power supply, integrated circuit integrity and earthing) was completed for each DT500 data logger and channel expansion module.

The appropriate wiring between the data logger or channel expansion module and the RJ45 terminals was then installed offsite by an appropriately skilled contractor (Figs. 4.96, 4.97, 4.98 and 4.99). After the wiring to the RJ45 terminal was **Fig. 4.96** Newly arrived DT500 data loggers and channel expansion modules



**Fig. 4.97** DT500 data loggers and channel expansion module in secure metal case after primary wiring was installed between channels and RJ45 terminals



installed, each channel was checked again to make sure the data logger was still reading a nil or zero value. The data logger and connected channel expansion module were then installed into a secure metal box and delivered to the University.

From August 2006 to August 2009, five generations of wiring within the data logger metal box occurred. This was due to a balanced combination of maintenance, accessibility and time in considering the methods used to connect wires. With each generation of wiring, the data logger appeared cleaner and more professional in approach.

**Fig. 4.98** Interior view of metal data logger box and RJ45 terminals



Fig. 4.99 Exterior view of metal data logger box and RJ45 sockets



# 4.3.13 Data Logger Programming

Each data logger was programmed to be able to communicate with the sensors which recorded the environmental data. A sample of one of the data logger programs is shown in Fig. 4.100. The data logger program was broken up into five distinct sections. The first section establishes protocols within the data logger for operation. The second section defines the spans for the data logger operation. If a measuring device specifies a span number, the data logger looks in the span table to establish a resultant value for what is being measured. In the example shown in Fig. 4.100, there are three types of spans shown. Below is a description of how span 4 is applied:

• Spans S4 to S7 for solar irradiation devices. The span defined 0, 1.0, 156 "kW/m<sup>2</sup>" informs the data logger that there is a reading of zero equals 0 kW and a reading of 156 equals 1.0 kW of solar radiation. Any measured value above or below 156 is converted to a respective kilowatt value

```
' stage 1 - reset actions
  CLEAR
  \w1
  RESET
  \w4
        stage 2 - switches, parameters
  /н
                                                                                                                                                                                                                                                    Establishing data
  /e /R
/s
                                                                                                                                                                                                                                                     logger parameters
  P14=600 P17=120 P26=30
  99CV(W)=98989
P30=12
P36=0

S4=0,1.0,156"kw/m2" 'Irrad Sens No567

S5=0,1.0,159"kw/m2" 'Irrad Sens No556

S6=0,1.0,157"kw/m2" 'Irrad Sens No558

S7=0,1.0,156"kw/m2" 'Irrad Sens No559

S1=0,100,400,2000"%" 'Relative Humidity

S18=0,5,400,2000"m/s" 'Wind Speed hot wire anemometer

'stage 3 - Date, Time

D=\d
                                                                                                                                                                                                                                                    Defining Spans
                                                                                                                                                                                                                                                 ) setting Date & Time
BEGIN

RAIOM D T

*AD590("CentrelO I/S DeckT",X,N) 1+AD590("CentrelO I/SCarpetT",X,N)

1-AD590("O/SF501WarpAirT",X,N) 2*AD590("CentrelO I/SceilingT",X,N)

3*V(S17, "CentrelOI/S-RH",X,N) 3+V(S17, "MidRoofSpaceRH",X,N)

3*V(S17, "CentrelOI/S-RH",X,N) 3+V(S17, "MidRoofSpaceRH",X,N)

3*V(S4, "O/SNorthWallIrr",X,N) 5+V(S6, "O/SEastWallIrr",X,N)

5*V(S4, "O/SNorthWallIrr",X,N) 6*V(S7, "O/S WestWallIrr",X,N)

5-V(S5, "O/SSouthWallIrr",X,N) 6*V(S7, "O/S WestWallIrr",X,N)

1:1*AD590("NWall I/SP1YAirT",X,N) 1:1+AD590("NWall 0/SP1YAirT",X,N)

1:1*V(S17, "NWall I/SP1YAirT",X,N) 1:2*AD590("SWall 0/SP1YAirT",X,N)

1:2*AD590("SWall I/S P1YAirT",X,N) 1:3*V("SWall BaseWallHumid",X,N)

1:3*V(S17, "SWall MidWallHumid",X,N) 1:3*R("SWall BaseWallMoist",X,N)
  BEGIN
                                                                                                                                                                                                                                                 ) General
                                                                                                                                                                                                                                                 ) programming for all
                                                                                                                                                                                                                                                 ) environmental
                                                                                                                                                                                                                                                  ) measuring devices
                                                                                                                                                                                                                        .X.N)
  END
                                                                                                                                                                                                                                                  ) Informing the data
  /O
LOGON
                                                                                                                                                                                                                                                    logger to commence
                                                                                                                                                                                                                                                 ) recording data
  G
```

Fig. 4.100 Sample of data logger programming

The third section is very important, as it defines the date and time. As the research included three separate buildings and a site weather station, all data loggers were synchronised. This was established at this stage of the programming, where the logger date and time were synchronised with the attached computer.

The fourth section laid down the time step between measurements and the data loggers understanding of the measuring devices that were attached. The command 'RA10 M D T' informs the data logger that it is to record a reading every 10 min and that the recorded data is to have a date and time stamp (Bowman and Lomas 1985). The Channel Allocation spreadsheet, as shown in Fig. 4.100, was an intrinsic tool for data logger programming. The eighth column of the spreadsheet amalgamated all the information about the environmental measuring device into a programming and text form. An example of a line of programming is below:

#### 5 + AD590(P4NWC1200AirT, X, N)

The line of text can be broken down into its constituent parts:

- 5+: the channel of the data logger that the device was attached to
- AD590: coding that informed the logger of what type of data the logger would record. Another primary descriptor was V for volts

- "P4NWC1200 AirT": a text descriptor of the environmental measuring device
- X, N: Commands to inform the logger on how to deal with data.

The fifth section of the data logger programming instructs the data logger to commence operating.

During the course of this research, minor modifications to data logger programming were made. One example was that, as the research progressed, particular measurements were changed from a 10 min spot reading to an average reading for the 10 min period. This required the addition of the 'ave' command to an individual device programming text. If the average mode was defined, the data logger would take measurements from the required devices continuously, but only record the average value for the 10 min measuring cycle. This method was adopted for the measurement of electricity usage, as it allowed for a more thorough understanding of when particular electrical services were in use.

#### 4.3.13.1 Connecting the Sensors to the Data Loggers

The system cabling method, as shown in Fig. 4.94, utilised high quality eight wire data cable between the data logger RJ45 outlets and Krone terminals (Fig. 4.101) near the individual or group of measuring devices. As this was a new method of connecting environmental measuring equipment and a precise level of accuracy in measurement was required, a range of tests was undertaken before installing these in the test cells. Initially plain bell wire was used over varying distances to test the concept. As confidence grew, the shift to eight wire data cable occurred. As many

Fig. 4.101 Krone terminal: RJ45 type data plug with eight wire data cable on the right and *red/white* bell wire, which connects to an individual sensor, connected to the Krone terminal



of the points of measurement included four or more sensors, the eight wire data cable allowed for four sensors to be connected in a much easier format, where each wire colour within the data cable was allocated to a single sensor. The cable was laid out in varying lengths in both indoor and outdoor environments. The cable was laid over other active data cables and electrical services to measure any interference between cables. For exterior environmental testing, the cable was laid near to and across high voltage electrical services to record any interference that may occur. Generally no effect or distortion of measurements was measured in cable distances less than 10 m. Distortions that could potentially affect the sensor signal did occur, when that data cable ran alongside or crossed over electrical services. It was observed that if the cable was shielded when it crossed over electrical services, the distortion to the sensor signal was alleviated. Once there was a confidence in the new format of cabling, a final test included the parallel comparison of sensor readings, where one sensor was cabled from DT500 to the actual sensor, via the data cable, RJ45 terminals and Krone blocks and the comparison sensor was connected by a high quality two wire approach, in which the sensor was directly connected to the data logger.

To maintain a simple testing regime during the installation of the equipment within the thermal performance test cells, a simple step-by-step procedure was developed to enable efficient and reliable installation. The process was broken into two distinct stages involving either the data logger wiring or the wiring to an individual sensor.

The process for the installation of wiring within the data logger metal box was:

- Step 1: Empty data logger. Data logger tests were run and checked to ensure that all channels read zero.
- Step 2: The data logging program was installed into the data logger and all channels were checked to ensure that a zero reading was still being recorded. The zero value depended on the type of signal provided by the sensor to be installed on a particular channel.
- Step 3: Resistors and other wiring was installed to individual channels of the data logger. The data logger was tested to ensure a zero value was still being recorded.
- Step 4: Earth and reference wires were installed. The data logger was tested to ensure a zero value was still being recorded.
- Step 5: The data cables were attached to the RJ45 terminal blocks and the data logger was tested to ensure a zero value was still being recorded.

This method of installing wiring from the data logger channel to the RJ45 terminal block allowed the removal or repair of any item which may not be giving a true or clean signal. During the data collection period, occasional testing of the data loggers was completed in which all cables leaving the RJ45 terminals were removed and all wires were tested to ensure all data logger channels were still reading a zero value.

The process of installing individual sensors was as follows:

- Step 1: A new piece of data cable, which was cut to the desired length, had an RJ45 plug placed on one end. The RJ45 plug was plugged into the RJ45 terminal block on the data logger metal box. The data logger was tested to ensure a zero value was still being recorded.
- Step 2: An RJ45 plug was attached to the other end of the data cable and the data logger was tested to ensure a zero value was still being recorded.
- Step 3: The new RJ45 plug was placed on Krone terminal block and the data logger was tested to ensure a zero value was still being recorded.
- Step 4: Depending on sensor type, two methods occurred at this stage: For environmental sensors which had the bell wire soldered to output terminals, the two bell wires from the individual sensor were attached to the Krone block and a signal was then received from the individual environmental sensor. For environmental sensors which had screw type terminals, the bell wires were attached to the Krone terminal block and the data logger was tested to ensure a zero value was still being recorded. The wires were then connected to the individual environmental sensor and a signal was then received at the data logger.
- Step 5: Output readings were then compared between data from a data cabled sensor and data from a direct wired sensor in the same location. This was to check for any variation in data readings. If there was a variation, individual sensors were replaced

This method of installing individual environmental sensors allowed for a simple process of error recognition. Often the error was the result of a poorly terminated data cable. This was either from the data cable and RJ45 plug or from a poorly attached wire in the Krone terminal block. For the data cable, the cable would be trimmed and a new RJ45 plug would be attached and the cable re-tested. For the Krone block terminations the wires were removed, trimmed and re-terminated.

#### 4.3.13.2 Local Area Network (LAN) Connection and Logger Automation

The long term plan for the data collection from the thermal performance test cells was to allow for remote management of the data loggers and the automated download of data to a server located at a different site within the research centre's offices. This required a data logger, which could communicate with the DT500 data loggers and had the capability to communicate with an external server. The DataTaker DT80 had the capacity to connect limited digital and analogue devices, be programmed to collect data from the DT500 data loggers and to act as a server to send and receive data from computers and servers located within the research centre offices.

Another problem that affected data collection was the occasional disruption to power supply at the test cell site. Short interruptions were compensated for by the DT500 battery power supply. However, longer power disruptions caused data losses, which became more apparent in the enclosed-perimeter platform-floored test



Parallel wiring for local area network connection

Fig. 4.102 Connection diagram for local area network connectivity of the thermal performance test cells

cell. Closer examination revealed that the weather station devices quickly drained the battery power from the data logger and caused logger failure and data losses. To alleviate the power drain and data losses from the data logger, a separate data logger was acquired for the weather station.

The DT80 data logger provided an answer to both problems. The DT80 provided the automation and communication needs of the research and was able to provide the data acquisition functions for the site weather station. The cabling method required to connect the DT500 data loggers to the DT80 data logger was a parallel circuit. The parallel connection required two wires between each data logger with the wiring terminating at the DT80 data logger (Fig. 4.102). The DT80 was then connected to the University LAN and WAN networks via a standard eight wire data cable.

## 4.3.14 Calibration of Environmental Measuring Equipment

Calibration has often been discussed as an area of fault in empirical validation (Bowman and Lomas 1985). The calibration of the environmental measuring equipment occurred at least three times during the research discussed in this thesis. Each device was tested before and during installation as described in Sect. 4.3.10. During the operation of the test cells, devices were added and removed as the research progressed. In many instances the data logger was reprogrammed and each device was rechecked against a sample device. When a single device started showing erratic data or jumps in data, the checking and calibration included:

- testing of whole data logger
- testing of particular channel group on data logger
- testing of individual channel on the data logger
- testing of cabling from data logger to device as described above in Sect. 4.3.10
- the individual device output was compared to the output from a similar device

During the 18 months of thermal performance test cell operation particular to this research each device was checked at least three times.

# 4.3.15 Operational Control of the Thermal Performance Test Cells

The operational control of the thermal performance test cells included a detailed log of activity within the test cells and methods of controlling the temperature within the test cell room. Each thermal performance test cell included a fan assisted electric resistance heater capable of heating the test cell room. This would allow for future research to examine the differential heat energy required to condition the different thermal performance test cells and to progress the empirical validation into the energy calculation side of the house energy rating software (Torcellini et al. 2005a).

Within the 18 months the test cells were operated in various modes. These included: free-running, continuously heated and cyclic heating. Each of these methods is discussed below. It should be noted, however, that the data used for the empirical validation examines an extended period of free-running operation (Lomas 1991a).

The term 'free-running' refers to building operation where:

- No thermostatically controlled methods are used to condition the spaces within the building through either cooling or heating.
- No ventilation methods are invoked via doors windows or other means.
- No internal electrical loads (i.e., stove, refrigerator, television) are added to any space within the building.

This method allowed the building to respond naturally to the external environment. This method was appropriate for empirically validating the AccuRate software as this research is focused on the thermal simulation engine and not on the energy calculations of the software (Bowman and Lomas 1985; Strachan et al. 2006). The first step to empirically validate house energy rating software is the examination of the thermal simulation engine (Lomas 1991b). Only when there is confidence in the thermal simulation engine's calculation of zone temperatures, can a further investigation into the energy required to condition a space, (through either heating or cooling) be explored. The thermal performance test cells were operated primarily in free-running mode throughout the majority of the 18 months of operation particular to this research.

#### 4.3 Empirical Data

As mentioned above, each test cell was equipped with an electric heater. The heater was sized based on the thermal simulation of the unenclosed-perimeter platform-floored test cell. A discussion of the method undertaken to choose and size the heater is discussed in this chapter. A 3.6 kW wall heater was installed within each thermal performance test cell room (Fig. 4.103). During the first few months of operation several trials at controlling test cell temperature using the inbuilt thermostat control of each heater was attempted (Dewsbury et al. 2007b). It was found that there was little similarity between dial positions and temperature set point between each of the three heaters. To add further confusion the cut in and cut out activity of the inbuilt thermostatic controls of the heaters was unreliable. To overcome these, and for a more precise heating control, an electrical relay was installed. Several methods were trialled over a few months but the simplest method which provided adequate heating control required (Fig. 4.104):



**Fig. 4.103** 3.6 kW wall heater being installed during thermal performance test cell construction





Fig. 4.105 Relay control for heater installed within box enclosing current transducer sensors



- the installation of a relay switch within the box enclosing current transducer sensors (Fig. 4.105)
- the rerouting of heater power supply via the relay switch
- the programming of an alarm based on the temperature being measured by the dry bulb air temperature sensor located in the middle of the test cell room. The programming of the reading of data from this sensor was modified from a spot reading each 10 min to a constant reading
- when the air temperature dropped 0.1 °C below the programmed value, the alarm would send a signal to a relay to close a circuit, providing electricity supply to the heater
- when the air temperature increased to 0.1 °C above the programmed value, the alarm would send a signal to a relay to open a circuit, stopping the provision of electricity supply to the heater
- the internal thermostat controls of the heaters were modified by the principal researcher, such that they would not impede heater operation.

Once the method of controlling the heaters was established and installed, two methods of heating the room of the thermal performance test cell were tested. The two methods were to continuously heat the test cell room and to cyclically heat the test cell room.

The continuous method of heating a test cell room involved programming the data logger to a fixed alarm or temperature setting. Once the program was loaded, it was found that the temperature was maintained by the heater with minor variations between test cells. The concrete slab-on-ground floored test cell had the most even temperature, with variations of  $\pm 0.1$  °C. The enclosed-perimeter platform-floored test cell would increase to +0.1 °C but would occasionally drop to -0.2 °C before the heat in the room became distributed. Due to the lightness of fabric in the unenclosed-perimeter platform-floored test cell, the temperature would increase to +0.1 °C, but would occasionally drop to -0.3 °C before the heat in the room became distributed. Throughout this research this method was used to recognise relative loss of heat between the three thermal performance test cells and for thermal

imaging where thermal bridging was analysed. However, no data from this preliminary research of constantly heated thermal performance test cells is included in the empirical validation process.

The original research plan included an assessment of the relative energy required to provide cyclic heating for each of the thermal performance test cells. In a normal house situation the house energy rating protocol (ABCB 2006) defines the times when a room type is heated and the temperature that the room is heated to. The house energy rating software calculates an under or over heating hours value, which it uses to calculate the energy required to maintain the room at the thermostat setting and leads to a resultant star rating. To assess whether the energy use calculation part of the house energy rating software was functioning appropriately, it was envisaged that a study of the three thermal performance test cells in a cyclic heating mode would be undertaken. Due to the complexities and time involved in the empirical validation process, the cyclic heating research was planned for the future and is not covered in this research.

Once the method of providing a constantly heated thermal performance test cell room was achieved with appropriate levels of temperature control, the method required to heat a test cell room cyclically was explored. This was only undertaken in a preliminary way during the research. After some initial problems with the data logger programming, it was possible to have the scripting of the alarm, which controlled the heater relay switch, overlaid with a time clock control. This allowed for the data logger to activate and de-activate heater control, based on time of day. Via the specification of the time settings in the data logger program, it was confirmed that the test cell room could be heated from 07:00 to 24:00 to 20.0 °C mimicking the house energy rating protocol requirements of a living room (ABCB 2006).

## 4.3.16 Thermal Performance Test Cell Data

The data acquisition process required several steps and was further developed during the research to improve data management. The management of the data included the development and implementation of systems for the:

- data loggers
- · download methods
- research centre data storage
- data cleaning and averaging

#### 4.3.16.1 Data Logger Data Acquisition

The DT500 data logger had an on-board memory capacity which could store between 2 and 3 weeks of data, depending on the data being collected. This was the first method used for initial data collection from the environmental measuring devices. The test cell room was accessed at fortnightly intervals to download the data from the data logger. The data was saved in two formats to reduce the risk of data being amended during the research process. The data was saved in a native format of the data logger and as a comma separated file. To open the data logger native format file required the renaming of the file. This method ensured data integrity. During the data cleaning process the reference file was always the data logger native format file.

After a series of data losses due to unannounced or unexpected electricity supply disruptions at the University, static memory cards were purchased and added to the data loggers. This method of data storage involved the data logger saving recorded environmental information directly to the static memory card. Even if the logger had a total failure or there was an extended power outage, the data on the static memory card was retained. The static memory card was able to store nearly 6 weeks of logging data. The static memory capacity had some positive attributes, as it reduced the need to access the test cell room to download data but it also increased the amount of faulty data. The fortnightly download of data allowed for a quick scan of the downloaded data, which made the researcher aware of any environmental measuring devices which were not functioning correctly. A better method was still required to both store data and to recognise faults promptly.

As the research progressed the DT80 data logger was acquired and installed on site. The installation of the DT80 data logger established a new paradigm for data collection and storage (Fig. 4.102). Initially the programming of the DT80 data logger allowed for the data from all three thermal performance test cells to be collated on a single data logger. Only one test cell required access to download the data. This improved test cell operation but once again did not provide an adequate means for the researcher to be aware of environmental measurement faults, until some time after the fault commenced. Once the local area network infrastructure was established between the thermal performance test cells and the Newnham campus and the wide area network infrastructure was established between the Newnham and Inveresk campuses automated downloading of data was established. The data storage process, as discussed below, required the acquisition of a suitable server. The server was installed with appropriate software and programming to enable the server to communicate directly with the DT80 data logger. Once this link was established, data from the test cells was automatically downloaded to the offsite server every 10 min. The download program was further amended to automatically check data and raise an alarm when measuring devices provided data out of expected ranges, or when there was too dramatic a step between 10 min readings (Table 4.14).

To create a secure environment for data storage, the new server which stored the downloaded data was password protected to limit access. The programming was further developed such that the downloaded data was placed in one file and a second mirror file was created which research staff could use to access and analyse data.

Data storage method	Collection period	Data storage capacity
Data logger 'on board' memory	Maximum of every 10 min	2-3 weeks data
Data logger with static memory card	Maximum of every 10 min	Up to 6 weeks data
DT80 data logger: stage 1	Copied from DT500 data loggers every 10 min	Up to 8 weeks data
DT80 data logger: stage 2	Copied from DT500 data loggers every 10 min	Unlimited (server dependent)
	Transmitted to research centre server every 10 min	Back up of up to 6 weeks of data still stored on static memory card

Table 4.14 Data storage methods

#### 4.3.16.2 Data Storage

The management and storage of data collected from the thermal performance test cells was an integral part of the research program. There were three thermal performance test cells and for the first 12 months of the research there were two data loggers per building. As the quantity of files increased and the dedicated server was acquired, new systems were put in place.

During the first stages of the research prior to the DT80 data logger being installed, all data was downloaded by the researcher. A simple naming convention was placed on all files which consisted of date and building descriptors:

- 2006-08-23TC1A: date of data download was the 23rd of August 2006 and the data came from data logger A from test cell 1
- To simplify documentation the northern most thermal performance test cell, unenclosed-perimeter platform-floored test cell, was named Test Cell 1. As the site was a north-south layout, the enclosed-perimeter platform-floored test cell was named Test Cell 2 and the concrete slab-on-ground floored test cell was named test cell 3.

Each download was completed in the native format of the data logger and in comma separated files. All files were kept on the researcher's computer with a backup copy located on a computer within the research centre and a second backup copy on an offsite computer. The tripling of copies of the data was a result of the experiences of the researcher, where personal computers became faulty and required replacement and the research centre's server failed on several occasions resulting in the loss of data.

Towards the end of 2007, all the individual fortnightly downloaded comma separated files were combined into annual and thermal performance test cell specific single spreadsheet files. By this time the arrival and installation of the new server was imminent and the server was to include database software. The combining of files into single annual files stretched the capabilities of the spreadsheet program to its limits with files 52,560 rows and up to 60 columns of data but it allowed for a simple migration to data base tables. When the server was installed an automated back up to an offsite server was put in place to reduce the chances of data loss. A few months after the server was installed, there was a major computer failure within the research centre but a full copy of the data was retrieved from the off site back up.

When the DT80 data logger and server connectivity was completed, a self appending table was developed with the server. There was a separate table for each data logger and the data within the table was configured to a new table per calendar year.

#### 4.3.16.3 Data Cleaning

The planning of methods and processes required for the cleaning of thermal performance test cell data commenced in mid 2007. An assessment of data quantities, computer hardware requirements, database software requirements and methods of cleaning the data were investigated.

The quantity of data that was collected was large and was increasing in volume with each 10 min download. The data was simple in form. The combining of thermal performance test cell data into annualised spreadsheets created files in excess of 50 MB in size. This most basic form of the data required 300 MB of data storage capacity before any data cleaning could commence. The server which was acquired had a hard disk greater than 1 TB in size.

Two principal forms of software were required for the server. The first was the software required to enable the automated downloading of data from the DT80 data logger located with the thermal performance test cells. The software for this purpose was governed by the type of data loggers in use and was provided by the manufacturer of the DT80 data logger. The second software which was required was a suitable database software. A brief of preferred capabilities of the database software was developed and after an analysis of current database software in 2007, the MYSQL form of database was acquired. Once the new database software was installed, templates for tables within the database were created. Each table was for a separate data logger and tables relative to a particular thermal performance test cell were linked together. The spreadsheet data was then imported into table templates. The relevant data for the empirical validation process was now all located within a few files and in a form ready for data cleaning.

In consultation with CSIRO scientists, a data cleaning procedure was developed for the test cell data. Table 4.15 details the step by step process undertaken to clean the test cell and site weather station data. Throughout the process a new version of the database was created with the completion of each step. This enabled a history of the data cleaning process to be kept for future reference. Based on this method, Version 1 of the database was the original raw data and Version 10 was the final data set for empirical validation purposes. Throughout this process the researcher

Table 4.	15 Data cleaning method	
Stage	Title	Description
-	10 min data range check	Each environmental measuring device was allocated an expected range of measurement. All data for each device was checked to ensure it was within the expected range
2	10 min data null value check	All data was analysed to ascertain periods with missing data or corrupted data. All values for these periods were converted to a null value
3	10 min data step value check	Each environmental measuring device was allocated a step value, which was an estimate of the expected change in measurement between each 10 min reading. All data for each device was checked, to ensure that the data did not have steps in value greater than those defined
4	Modification of test cell data based on test cell log book entries	The log books of the thermal performance test cells were analysed and an additional notes column was added to the test cell database tables. If there was activity within a thermal performance test cell, which would affect the free-running nature of the data, the data was modified to a null value
5	10 min data graphical analysis	A final checking process for the 10 min data was the use of graphing software, which converted the data into graphical form. This analysis allowed for the researchers to notice any phase shift or other anomalies in the pattern of the data
9	Averaging 10 min data into average hourly format	The data from the 40, 50, 0, 10, 20 and 30 min readings were averaged to establish a new average hourly value. The only exception to this method was the wind direction which used a mix of mode, mean and wind speed to establish an average hourly wind direction value
7	Average hourly data range check	Each environmental measuring device was allocated with an expected range of measurement. All data for each device was checked to ensure it was within the expected range
8	Average hourly data step value check	Each environmental measuring device was allocated with a step value, which was an estimate of the expected change in measurement between each average hourly data value. All data for each device was checked to ensure that the data did not have steps in value greater than those defined
6	Average hourly graphical analysis	A final checking process for the average hourly data was the use of graphing software which converted the data into graphical form. This analysis allowed for the researchers to notice any phase shift or other anomalies in the pattern of the data
10	Test cell notes cross check	A final cross check of the thermal performance test cell log book entries was undertaken, to ensure that no data which would be affected by test cell access had a value within the final data set

performed none of the data checking, to avoid personal biases, based on previous building science experience. The researcher did analyse all errors raised by the data checking staff and made amendments to data when required, in co-operation with the information technology staff. For most measurement locations, the data checking involved the cross-comparison of data from a nearby similar device and/or data from the site weather station.

As the data cleaning progressed, data from key test cell measuring devices was separated from the overall data. Much of the data within the database was supporting data to be used to better understand the test cell physical thermal activity when dramatic variations in the AccuRate predictions were encountered. The key data points which were extracted to form the final empirical validation data set were:

- centre of roof space dry bulb air temperature
- 1,800 mm centre of test cell room dry bulb air temperature
- 1,200 mm centre of test cell room dry bulb air temperature
- 1,200 mm centre of test cell room mean radiant temperature
- 600 mm centre of test cell room dry bulb air temperature
- mid subfloor dry bulb air temperature (unenclosed and enclosed-perimeter platform-floored test cells)
- site weather station environmental measurements

#### 4.3.17 Empirical Data Summary

The primary objective of the environmental measurement process was to provide an adequate empirical data set of site-measured data for the empirical validation of the house energy rating software AccuRate. To achieve this objective, detailed internal and external environmental measurement of the thermal performance test cells and the test cells site were taken. The data collected required appropriate levels of cleaning to ensure its suitability for the empirical validation process.

The entire environmental measurement stage commenced with system design in June 2006 and the data cleaning was completed in December 2008. Further analysis of the site-measured environmental data identified anomalies which occurred in late June of 2007. With these items in mind and the required integrity of the data set for empirical validation, the final data consisted of relevant site and thermal performance test cell environmental measurements from January 1 to June 23, 2007.

This stage of the research collected the critical empirical measurements to allow for the comparison between empirical and simulated data. The next Sect. 4.4 discusses the detailed simulation of the test cells using the AccuRate HER software, which provided the simulation data sets. Section 4.5, then discusses methods that were explored to compare and analyse the two data sets.

# 4.4 Detailed Thermal Simulation by AccuRate

## 4.4.1 Introduction

The observed temperatures from the zones of the thermal performance test cells provided the empirical data for the validation process. The building thermal simulation data set was produced by the AccuRate software. The standard house energy rating simulation with the AccuRate software was not suitable for empirical validation purposes. A more detailed thermal simulation was required to produce a suitable data set for empirical validation purposes (AccuRate 2007; Dewsbury 2009; Dewsbury et al. 2009a; Lomas et al. 1994a; Stazi et al. 2007; Torcellini et al. 2005b). This chapter discusses the steps taken to complete the detailed thermal simulation of the test cells.

The AccuRate house energy rating software included a range of simplified input parameters, default values and assumptions which are used in a standard house energy rating simulation (Delsante 1996; Soebarto and Williamson 2001). Variables that could have a significant impact on the simulation were modified and prior to empirical validation other national and international research projects were examined (Allen et al. 1985; Bannister 2009). This examination revealed significant differences in approach and at times, a misunderstanding of the type of validation undertaken. In this research the thermal simulation using the AccuRate house energy rating software had three key components:

- a detailed knowledge of the materials and construction of the test cells
- the application of this detailed knowledge in the AccuRate simulation
- a correctly formatted climate file which comprised site-observed data, which was synchronised with the building environmental measurements.

The initial AccuRate simulation was completed in December 2008. The process was a co-operative effort between the University of Tasmania and the CSIRO AccuRate software developers. From this initial simulation model several improvements were made to the input variables, throughout 2009 and 2010. During this process the thermal modelling of the test cells was revised and improved.

# 4.4.2 Objectives of the AccuRate Detailed Thermal Simulation

The empirical validation process required the production of suitable data sets for comparison of simulated and observed temperatures. The simulated data set was produced by the AccuRate HER software, in the form of an output text file detailing an hour by hour temperature for each zone of the test cell for a period of 1 year. Within the framework of this study, the primary objective of the detailed thermal

simulation was to provide an informed AccuRate output temperature data set suitable for empirical validation.

The AccuRate software includes many default values to make standard house energy ratings simple and quick to undertake (ABSA 2005). However previous research has shown significant variance in simulation results and to achieve an informed output temperature data set, a number of default parameters required amendment (Guyon 1997). This required an understanding of the data input parameters to the AccuRate software and the impacts they have on the thermal simulation process. This established a second tier of objectives, as follows:

- determine 'as built' values for roof, ceiling, wall and floor assemblages to modify fabric thermal properties
- determine 'as built' values for shading elements that would affect fabric thermal performance
- obtain observed data for site shading elements
- · determine appliance-generated heat loads that occurred within the test cells
- determine infiltration values for each zone of the test cells
- modify thermostat settings within the software to recognise the free-running operation of the thermal performance test cells
- acquire synchronised site-measured climate data for use in the AccuRate simulation

Only when each of these values was established for each test cell, was there confidence that the output simulation temperature data from the AccuRate software would correctly reflect the building being modelled (Allen et al. 1985; Lomas 1991a; Lomas et al. 1994a; Raftery et al. 2009; Stazi et al. 2007). Through this process, four distinctly different detailed thermal simulations, as shown in Fig. 4.1, were completed for each thermal performance test cell, which is best illustrated by Fig. 4.106.

Each type required different levels of improved data inputs for the simulation. The final version, (the As-Built/Measured Climate version) was used for the empirical validation process (Dewsbury 2009). The four AccuRate simulation types are referred to as:

• Default Fabric/Default Climate: This AccuRate simulation utilised the default values for built fabric and climate. This type of thermal simulation was the standard method used by house energy rating assessors and some past validation research exercises.

		Test Cell Built Fabric				
		Default Built Fabric	As-Built Fabric			
ate	Default Climate File	Default fabric / Default climate (A standard house energy rating)	As-built fabric / Default climate (Mixed Inputs)			
Clim	Site Observed Climate File	Default fabric / Measured climate (Mixed Inputs)	As-built fabric / Measured climate (Empirical Validation Simulation)			

Fig. 4.106 AccuRate detailed simulation matrix

- Default Fabric/Measured Climate: This AccuRate simulation utilised the default values for built fabric but the site-observed climate data were used to create an empirical validation climate file.
- As-Built/Default Climate: This AccuRate simulation utilised an intricate assessment of the 'as-built' materials and systems, by which modifications to the default values within the AccuRate software were made. Default values for climate were used. This type of thermal simulation has been used for some past validation research exercises.
- As-Built/Measured Climate: This AccuRate simulation utilised an intricate assessment of the 'as-built' materials and systems, by which modifications to the default values within the AccuRate software were made. The site-observed climate data were used to create an empirical validation climate file. This method of building thermal simulation had been used for some past validation research activities (Torcellini et al. 2005a). This was the only method suitable for providing the resultant AccuRate simulation data set for comparison to the measured building thermal performance for empirical validation (Delsante 2005b; Lomas 1991a).

## 4.4.3 The AccuRate House Energy Rating Software

The AccuRate software was developed over many years within the CSIRO in Australia. A brief history of the development of AccuRate is discussed in Chap. 3. The National House Energy Rating Scheme within Australia prescribes the requirements of HER software for Australia. NatHERS was an initiative of the Ministerial Council on Energy to develop potential energy saving measures in new Australian homes (Delsante 2005a; Drogemuller et al. 1999; Thwaites 1995). The AccuRate software was considered to be the most comprehensive of the approved second generation softwares (Isaacs 2005).

The AccuRate HER software requires building specific, (including a range of default), user-modifiable and non-standard modifications (NatHERS 2000, 2007). The AccuRate outputs are a mix of text and data files, which cannot be modified by the user.

The standard user-type modified inputs comprised:

- the input of a postcode which defined the climate file the software used for the thermal simulation
- the definition of roof, ceiling, wall, floor, door and window construction elements
- the definition of the zone types for all volumes within the built fabric
- the definition of external shading features
- the detailed definition of built elements and their relationships
- a general orientation of the building for infiltration calculations

In this study the non-standard modified inputs were:

- the modification of fabric assemblages to account for framing factors
- the modification of sensible internal heat gains to account for free-running operation
- the modification of latent internal heat gains to account for free-running operation
- the modification of heating thermostat controls to account for free-running operation
- the modification of cooling thermostat controls to account for free-running operation
- the modification of infiltration values from default to observed values
- the development and use of a site observed climate file.

Once all the appropriate standard and non-standard input values were suitably modified for each thermal performance test cell, the AccuRate thermal simulation was completed. The output files included the resultant energy use and temperature by zone. The energy use by zone provided a final checking mechanism to ensure that the simulation inputs were appropriately configured for free-running operation. The resultant simulation temperature file was used for the empirical validation.

## 4.4.4 AccuRate—Standard Inputs

To validate empirically and to enable ongoing calibration to the AccuRate software required the elimination of programming or input variable simplifications and speculation, which affect the underlying physics of the building thermal simulation (Agami Reddy 2006; Ahmad and Culp 2006; Bannister 2009; Clarke 2001; Donn 2001; Sullivan and Winkelmann 1998). Previous research has documented extensive scattering of resultant data when input errors relating to fabric variations occurred (Diamond et al. 1985; Guyon 1997). This required a detailed analysis of the built fabric, which enabled informed data entry modifications. The four iterations of the AccuRate model, which were developed for each of the three thermal performance test cells, were: Default Fabric/Default Climate, Default Fabric/Measured Climate, As-Built Fabric/Default Climate, and As-Built Fabric/Measured Climate, modes of simulation. Each of the iterations required a greater depth of and modification to AccuRate data entry inputs for test cell operation, building fabric and climate data. These are discussed in Table 4.16 for the four types of AccuRate simulation undertaken.

Prior to the data entry, a critical analysis of the built fabric and nearby elements was completed for each of the thermal performance test cells. The required inputs for the empirical validation process were standard and improved front end user interface data entry and modifications to the software's 'Scratch' file. The software generated a 'scratch' file when the front end user interface data entry was completed and the 'check' button was selected. When the inputs match defined parameters for the house energy rating, the software produces a scratch file, which is used by the simulation engine to calculate house energy use, for heating and cooling. The front end user interface input modifications were performed in the same order as a standard HER process occurs. The modifications which required direct data entry
Iteration	AccuRate front end data entry	Scratch file modifications	Default or actual climate data
Default fabric/ default climate	Standard data entry based on plans	Thermostat, heating and cooling parameters	Default climate file
Default fabric/ measured climate	Standard data entry based on plans	Thermostat, heating and cooling parameters	Observed climate data
As-built fabric/ default climate	Modified conductivity values based on as- built analysis	Thermostat, heating, cooling, internal energy loads and infiltration parameters	Default climate file
As-built/measured climate	Modified conductivity values based on as- built analysis	Thermostat, heating, cooling, internal energy loads and infiltration parameters	Observed climate data

**Table 4.16** Default fabric/default climate, default fabric/measured climate, as-built fabric/default climate, as-built fabric/measured climate data entry iterations

within the AccuRate Scratch file were completed after the scratch file was automatically created. Each test cell had a default and As-built scratch file. This method allowed for a logical approach to what became a very complex exercise.

Each of the methods and processes involved in the data entry of the variables is discussed below. The order of the discussion follows the order of data entry within the AccuRate software and includes: project data, constructions, zones, shading, elements and ventilation.

#### 4.4.4.1 Project Data: Postcode and Exposure

When a new file was commenced for each thermal performance test cell, the first screen requested details which located the building within Australia and other general text descriptors for the project. In this study, the postcode for Launceston was entered and this automatically assigned the Launceston climate file to the simulations. The use of the observed climate file is discussed later. The other two key data inputs for this tab were the exposure and ground reflectance values.

The software defined exposure as:

Exposed: Flat open country with few or no trees or buildings (this should rarely occur) Open: Normal countryside with some trees and scattered buildings Suburban: Low-rise built-up areas in the suburbs of towns and cities Protected: High-density inner city or CBD, with tall buildings nearby (AccuRate 2007)

In consultation with CSIRO researchers, the selected exposure for the thermal performance test cells was 'open'.

The software defined ground reflectance as:

The proportion of solar radiation that is reflected by the ground immediately adjacent to the building (AccuRate 2007)

	Name	Post code	Climate zone	Exposure	Ground reflectance
Unenclosed-perimeter platform-floored test cell	Test cell 1	7250	23	Open	0.2
Enclosed-perimeter platform-floored test cell	Test cell 2	7250	23	Open	0.2
Concrete slab-on-ground floored test cell	Test cell 3	7250	23	Open	0.2

Table 4.17 Project data-data entry for thermal performance test cells

 Table 4.18 Project data—iteration variations for data entry

Iteration	Default fabric/ default climate	Default fabric/ measured climate	As built fabric/ default climate	As built fabric/ measured climate
Post code	7250	7250	7250	7250
Climate zone	23 (default file)	23 (observed climate data)	23 (default file)	23 (observed climate data)
Exposure	Open	Open	Open	Open
Ground reflectance	0.2	0.2	0.2	0.2

The default value within the AccuRate software is 0.2, which corresponds to a grassed surface. As the thermal performance test cells were located within a grassed area, the default value of 0.2 for ground reflectance was chosen. Table 4.17 details the values entered for each thermal performance test cell for this tab and Table 4.18 details the variations in data entered based on the AccuRate simulation iteration.

# 4.4.4.2 Construction Information

The second data entry tab in the software was constructions, where the data entry of all built fabric elements was entered. The fabric elements of the thermal performance test cells were: external walls, doors, floors, ceilings and roofs. All of the fabric elements required the selection of materials from the inbuilt materials library to create an assemblage which corresponded to the designed or as-built fabric matrixes. Once an assemblage was defined, the internal and external surface colours and solar absorptance values were selected. These values were selected jointly with CSIRO researchers. Table 4.19 details the variations in the construction data which allowed for each of the four simulation iterations.

# 4.4.4.3 Zone Types

The zone types tab is where the zone definitions for all volumes within the thermal performance test cells were entered. The data entry in this tab comprised: the name of the zone, the type of zone, its volume, floor height and ceiling height. The selected zone type set the heating and cooling parameters (Table 4.20), and

Iteration	Default built fabric	As built fabric
External walls	Application of AccuRate pre-determined values	Modified values based on analysis of test cell as built
Windows	Nil	Nil
Doors	Application of AccuRate pre-determined values	Modified values based on analysis of test cell as built
Floor	Application of AccuRate pre-determined values	Modified values based on analysis of test cell as built
Ceiling	Application of AccuRate pre-determined values	Modified values based on analysis of test cell as built
Internal wall	Nil	Nil
Roof	Application of AccuRate pre-determined values	Modified values based on analysis of test cell as built
Skylight and roof window	Nil	Nil

Table 4.19 Construction data—iteration variations for data entry

 Table 4.20
 Zone types and definitions (AccuRate V1.1.4.1)

Zone type	Assumptions and comments	Input variables	Infiltration variables
Living	Conditioned from 0700 to 2,400 Daytime occupancy No cooking heat gains	Volume Floor height Ceiling height	Chimney vents Wall vents Ceiling vents
Bedroom	Conditioned from 1,600 to 0900 Night-time occupancy		Exhaust fans Vented down lights
Living/Kitchen	Conditioned from 0700 to 2,400 Daytime occupancy Cooking heat gains included		Ceiling fans
Other (daytime usage)	If heated and/or cooled, conditioned from 0700 to 2,400 No occupancy heat gains	Volume Floor height Ceiling height	
Other (night- time usage)	If heated and/or cooled, conditioned from 1,600 to 0900 No occupancy heat gains	Heating Cooling	
Garage	If heated and/or cooled, conditioned from 0700 to 2,400 No occupancy heat gains		
Roof space	Invokes special roof space model	Volume	Roof space sarking Roofing material type Roof space vents
Subfloor	Invokes special subfloor space model	Volume Floor height Ceiling height	Open or enclosed subfloor Area of subfloor vents for enclosed subfloors

Zone type	Unenclosed-perimeter platform floored	Enclosed-perimeter platform floored	Concrete slab-on- ground floored
Roof space	Roof space	Roof space	Roof space
Test cell room	Other (daytime usage)	Other (daytime usage)	Other (daytime usage)
Subfloor	Not applicable	Subfloor (enclosed)	Not applicable

Table 4.21 Zone types chosen for thermal performance test cells

additional zone specific ventilation profiles. For the test cell with an enclosed subfloor, the cross sectional area in square millimetres of ventilation was nominated. For a living room, the chimney, down-light and other forms of infiltration and ventilation would normally be selected (AccuRate 2007).

The zone types used for the empirical validation of AccuRate are shown in Table 4.21. The selection of the 'Other (daytime usage)' zone type for the test cell room allows for scratch file modification of standard inputs for a heated and cooled room. The roof space selection included the selection of the sarked, sheet metal roof and no roof space vent options. Once the scratch file was produced with the default values, amendments could be made for the 'as-built' configurations.

#### 4.4.4 Shading Features

All external shading features which shaded the external walls of the thermal performance test cells were defined within this tab. When the shading feature was input, it was then linked to an external wall within the 'built elements' tab of the software. Common shading features are eaves and pergolas. If the shading feature had a different height or depth for differing walls, the elements were input as a different shading feature for different walls.

The thermal performance test cells had several elements which shaded the walls: the eaves of the test cells, nearby trees and buildings. In discussions with CSIRO researchers about how the AccuRate engine operates, it was decided that the eaves would have their values input in this tab. The nearby trees and buildings would be input as independent shading devices within the 'built elements' tab. As the three thermal performance test cells had identical framing but differing wall fabric, there were two types of eave definitions established as shown in Table 4.22. Site measurements after construction was completed confirmed that the designed eave width matched the as-built eave width. The shading value included eaves and gutters. It has been found in many instances that the gutter is not included in the depth of the shading element (HER Users 2005–2011).

#### 4.4.4.5 Built Elements

The input of data into the built elements tab was the most complex stage of the data entry process. This stage of the data entry created material linkages and relationships that developed the three dimensional object for the thermal simulation. Each

Test cell	Fabric unenclosed- perimeter platform floored	Enclosed-perimeter platform-floored	Concrete slab-on-ground floored
Wall system	Plywood veneer wall: – 12 mm Plywood – Air gap vertical 21 mm – 90 frame – Plasterboard 10 mm	Brick veneer wall: - 110 mm clay brick - Air gap vertical 50 mm - 90 frame - Plasterboard 10 mm	Brick veneer wall: – 110 mm clay brick – Air gap vertical 50 mm – 90 frame – Plasterboard 10 mm
Wall width	133 mm	260 mm	260 mm
Eave Elements	Eave, barge board and gutter	Eave, barge board and gutter	Eave, barge board and gutter
Eave width	710 mm	580 mm	580 mm

Table 4.22 Eave width calculations for thermal performance test cells

of the zones defined within the 'zones' tab were enclosed with elements that were chosen from a list of built systems which had been defined in the 'construction information' tab. The perimeter elements of a zone were: the ground, floor, wall, ceiling or roof. The width, height and area of each plane were defined. For external walls, the azimuth was also defined for solar and wind calculations. Once the perimeter was defined, other elements within the plane were defined and, for the thermal performance test cells, this included the access door located in the southern wall. To further define the impact the external environment would have on the perimeter, a range of elements, which included fixed shading and external screens, was identified.

As mentioned above in external shading features, the nearby trees and buildings were to be modelled as external screens within the built elements tab. When an external screen feature is applied to a wall, the entire wall is shaded by the element depending on altitude and azimuth of the sun (NatHERS 2007). The data entry process for external screens allowed for the shading object to be defined individually for each external wall plane. The distance from horizontal offset, height and opacity of the object was defined for each month and wall. Each wall could have up to three external screens, and the number used varied from wall to wall. For a solid building or evergreen tree, 100 % opacity was selected, whilst for a deciduous tree the shading percentage was modified to follow seasonal trends.

The data entry for external doors allowed for the definition of air gaps around the door which would impact on infiltration. The options available are large, medium and small which correspond to a credit card gap, paper gap or very tight fitting door (AccuRate 2007; Clarke 2001; Delsante 2006). For the thermal performance test cells, the small option was chosen because the full perimeter of the door was weather stripped. This is an interesting definition, as many houses examined had a much wider than credit card gap on the top and sides of the door, and up to a 25 mm gap at the bottom of the door.

Items that were applicable for the three thermal performance test cells are defined in Tables 4.23, 4.24 and 4.25.

$T_{-1}$				
data input requirements for	Zone	Applicable data input requirements		
each zone in the unenclosed-	Subfloor	Floor (ground)		
perimeter test cell		Ceiling (test cell floor)		
	Test cell room	External walls		
		External wall fixed shading (eaves)		
		External screens (nearby buildings and		
		trees)		
		Floor		
		Ceiling		
		Doors in walls (access door)		
	Roof space	Floor (test cell ceiling)		
		Roof		

 Table 4.24
 Built elements'

 data input requirements for
 each zone in the enclosed 

 perimeter test cell
 each zone

Zone	Applicable data input requirements	
Subfloor	External wall	
	External wall fixed shading (eaves)	
	External screens (nearby buildings and	
	trees)	
	Floor (ground)	
	Ceiling (test cell floor)	
	Doors in walls (access door in northern	
	wall)	
Test cell External walls		
room	External wall fixed shading (eaves)	
	External screens (nearby buildings and tress)	
	Floor	
	Ceiling	
	Doors in walls (access door)	
Roof space	Floor (test cell ceiling)	
	Roof	

Table 4.25Built elements'data input requirements foreach zone in the concreteslab-on-ground test cell

Zone	Applicable data input requirements		
Subfloor	Nil		
Test cell room	External walls		
	External wall fixed shading (eaves)		
	External screens (nearby buildings and trees)		
	Floor		
	Ceiling		
	Doors in walls (access door)		
Roof space	Floor (test cell ceiling)		
	Roof		

# 4.4.4.6 Ventilation

The final tab which required standard data entry was the Ventilation tab. The data input into this tab was for the application of the simplified inbuilt natural ventilation model. The general orientation, simplified perimeter size and north facing plane of the building were confirmed. As the test cells were square in shape and facing true north, this tab required little consideration of input values.

# 4.4.5 AccuRate—Non-standard Inputs

To simulate the thermal performance test cells in a suitable manner for empirical validation, a range of non-standard inputs was required (Agami Reddy et al. 2007; Bannister 2009; Lomas 1991a, b). The required modifications were: climate file assignment, heating and cooling parameters, energy loads, infiltration and built fabric conductivity values (Table 4.16). These modifications were either performed by amending values via the software front end user interface, or within the output scratch file, prior to the simulation being undertaken.

#### 4.4.5.1 Modified Thermostat and Internal Heat Gains

As the current version of the AccuRate software has been specifically developed to meet the NatHERS protocol for house energy ratings, there are zone dependant presumed times for room occupancy (ABCB 2006). The room occupancy includes heating/cooling settings and internal heat gains. As there was to be no heating or cooling of the test cells in the free-running stage, all thermostat settings which would invoke heating or cooling processes were removed from the test cell specific scratch file prior to simulation (Table 4.26). A check of the output energy file was completed to ensure that no heating or cooling rules had been invoked by the software.

Zone	Line	Scratch file modification	Value
Test cell	3-1,401	Sensible internal heat gains (hours 1-12)	Modified to 30 W
Test cell	3-1,402	Sensible internal heat gains (hours 13-24)	Modified to 30 W
Test cell	3-1,403	Latent internal heat gain (watts), (hours 1-120)	Modified to 0 W
Test cell	3-1,404	Latent internal heat gain (watts), (hours 13-24)	Modified to 0 W
Test cell	3-1,501	Heating thermostat settings (hours 1-12)	Modified to 0.0°
Test cell	3-1,502	Heating thermostat settings (hours 13-24)	Modified to 0.0°
Test cell	3-1,503	Cooling thermostat settings (hours 1-12)	Modified to 0.0°
Test cell	3-1,504	Cooling thermostat settings (hours 13-24)	Modified to 0.0°

 Table 4.26
 As-built scratch file modifications 1—concrete slab-on-ground floored test cell

Similarly, the sensible and latent heat loads were amended within the test cell scratch files. Normally these have values based on room type and possible occupancy levels (NatHERS 2007). However, as the test cells were to be unoccupied (Lomas 1991a) with no variable appliance loads, the value was amended to a constant value of thirty (30) W to account for power use by the data logging equipment (Table 4.26). The amendment of the thermostat and internal heat gain values within the AccuRate scratch files was applied to all four simulation types.

#### 4.4.5.2 Climate File Assignment

The climate files within AccuRate were developed from ten or more years of postcode specific BOM measured data (Delsante and Mason 1990). The data in many cases has portions missing and mathematical methods have been utilised to fill gaps in the mean data set (Boland 1995, 2002; Delsante 1996; Delsante and Mason 1990; Stokes 2007). As a comparative simulation tool the use of the mean data climate file is a sensible approach; however, for validation purposes much of this data is unsuitable (Lomas 1994), as variations of up to 7.0 °C were observed between hourly values in the AccuRate climate file and site-measured data. Those variations would distort the software validation dramatically. As the Accurate built-in default climate file was unsuitable, a project specific climate file was required.

The external environment was monitored by a site weather station mounted on the roof of one of the test cells. This location ensured that security and an obstruction-free environment was provided for the equipment. The weather station took measurements every 10 min of air temperature, relative humidity, wind speed, wind direction and global solar radiation. This data was combined with BOM data to complete a site specific climate file of 1 year's duration.

A typical AccuRate climate file consists of 60 columns of data. Each column provides a space for required data or flag values. When the flag values were removed, 39 columns of measured data were required. The first step was to identify what input values would be unchanged, or could use BOM data or required site observed data (Table 4.27). This stage was completed with inputs from the software developers from the CSIRO.

The BOM collected data from the Launceston airport at half hourly intervals. However, Launceston airport weather station was 18 km from the test cell site and at a different altitude. The Launceston airport weather station collected half hourly air pressure values and calculated mean sea level air pressure. Therefore, the mean sea level pressure was amended to account for the test cell site which was 15 m above sea level. The revised value was then averaged to an hourly value, to suit the AccuRate climate file.

The cloud cover was not measured on site. From discussions with BOM satellite imagery software developers, it was intended that calculated values would be used. Due to constraints of time and financial resources the BOM had not established this service within the research time frame. Discussions with CSIRO AccuRate software developers established that the cloud cover value was only used for night sky loss

Col. no.	Description	Method
5-6	Month number	On site data acquisition
7–8	Day number	On site data acquisition
9–10	Hour number	On site data acquisition
11-14	Dry bulb temperature	On site data acquisition
15–17	Moisture content	On site data acquisition
18–21	Atmospheric (air) pressure	Bureau of meteorology
22–24	Wind speed	On site data acquisition
25-26	Wind direction	On site data acquisition
27	Cloud cover	Not measured
34–37	Global solar radiation	On site data acquisition
38–40	Diffuse solar radiation	Not measured—calculated from observed global solar radiation
41–44	Normal direct solar radiation	Not measured—calculated from observed global solar radiation
45-46	Solar altitude	Data adopted from existed Launceston climate file
47–49	Solar azimuth	Data adopted from existed Launceston climate file

 Table 4.27
 Climate file input sources

calculations for the roof space of a building. A series of simulations were undertaken by CSIRO software developers, with varying values for cloud cover. It was found that there was a minimal effect on test cell room temperature during these iterations. Based on these tests, a cloud cover figure of four (4) was adopted, inferring a cloud cover of 50 % at night.

At the time of this research, the site weather station included a probe measuring global solar radiation. The data from this device was used to calculate values for Diffuse and Normal Direct Beam solar radiation. After a review of mathematical methods (Bird and Riordan 1986; Halthore and Schwartz 2001; Halthore et al. 1996; Myers 2003; Peterson and Dirmhirn 1981; Scanes 1974; Subhakar and Thyagarajan 1994; Ulgen and Hepbasli 2004), this service was performed with CSIRO software developers, using the Moriarty (1991) and Bolland and Ridley (Boland et al. 2007; Ridley and Boland 2005, 2008) methods for establishing diffuse radiation and Spencer's (Spencer 1981) method for establishing direct beam radiation (Delsante 2009; Spencer 1981). It was found that the calculated low sun angle diffuse solar radiation values were not suitable. Therefore, values for low sun angle times were manually modified to suitable values. Once the global and diffuse solar radiation values were ascertained, the normal direct beam values were calculated.

All the climate data for the AccuRate climate file were combined into a single table within the research database. A program was written to read the data from the database table and provide an output file in the correct format. Once the file was produced, it was checked against other climate files and against the observed values, which were to be included to ensure the formatting and scripting was correct. This process was repeated a few times, as faults in the scripting and data order were gradually removed before the final site-measured climate file was obtained.

The observed climate file was given the same name as the default climate file within the AccuRate software, as the software has a limited library of climate files it is able to read. The default and observed climate files were copied into the climate files folder to suit the simulation type that was undertaken.

# 4.4.5.3 Infiltration Parameters

The AccuRate software includes zone-dependant default values for infiltration. Many studies have found considerable differences in the measured infiltration of standard and research buildings (Lomas 1991a; Stazi et al. 2007; Stein and Meier 2000). As discussed in Sect. 4.3.7, the Mobile Architecture and Built Environment Laboratory (MABEL) from Deakin University were engaged to measure infiltration within the test cells. This study was conducted over a 2 day period, under varying wind speeds and day and night conditions. Zones measured included the roof space and the room of all three test cells and the subfloor space of the enclosed-perimeter platform-floored test cell. Researchers from MABEL and within the School of Engineering, (University of Tasmania), calculated values for the constant and wind speed multiplier values. The default values within the test cell scratch files were then manually modified to the calculated values, for the simulations considering the as-built parameters (Table 4.28).

# 4.4.5.4 Framing Factor

To establish correct as-built conductivity values for the floor, walls and ceiling of the test cells, the AccuRate model and other internationally accepted methodologies were first analysed. The individual conductivity values for materials within the AccuRate software and the method by which the software created assemblages for thermal simulation were examined. It became apparent that the AccuRate Software, like many other house energy rating software applications around the world, did not consider the framing factor appropriately or at all (Barnaby et al. 2005; Bell and Overend 2001; Belusko et al. 2010; Dewsbury et al. 2009b; Syed and Kosny 2006).

Zone	Line	Scratch file modification	Value
Test cell	3-1	Infiltration data: A for infiltration rate (air changes per hour)	Modified to 0.28
Test cell	3-1	Infiltration data: B for infiltration rate (air changes per hour)	Modified to 0.0
Roof space	3-2	Infiltration data: A for infiltration rate (air changes per hour)	Modified to 0.0
Roof space	3–2	Infiltration data: B for infiltration rate (air changes per hour)	Modified to 0.275

Table 4.28 As-built scratch file modifications 2-concrete slab-on-ground floored test cell

Fig. 4.107 Test cell 3—southern wall



Fig. 4.108 Test cell 3 northern wall



Figures 4.107 and 4.108 illustrate the timber framing within two external walls of the concrete slab-on-ground floored test cell. The framing factor in these figures consists of bottom plates, studs, noggins, lintels, jamb studs and top plates. An analysis of the framing factor was completed for each floor, wall and ceiling of the test cells, as shown in Table 4.29.

The framing factor can have a significant effect on the thermal performance of housing (Bell and Overend 2001; Belusko et al. 2010; Cox-Smith 2001; Dewsbury et al. 2009b; Fricker 2003; Kosny and Childs 2002; Kosny et al. 2006a, b; Kosny et al. 2007; Lstiburek 2010). To understand the importance of the framing factor in the context of the thermal performance test cells and empirical validation, a quick analysis of the resistance values of framing elements of the thermal performance test cells was completed. This provided key information for two independent examinations. The first was to quantify the timber framing within a typical wall and what effect it had on the resistance value of the floor, wall or ceiling. The second was the

Wall structure							
Member	Qty	Depth	Length	Width	Area m <sup>2</sup>		Wall area m <sup>2</sup>
Nth wall studs	11	0.090	2.325	0.035	0.895	0.035	
Nth wall 2100	8	0.090	2.030	0.035	0.568	0.025	
Nth wall TP	2	0.090	5.480	0.035	0.384	0.006	
Nth wall BP	1	0.090	5.480	0.045	0.247	0.004	
Nth wall noggins	1	0.090	4.905	0.035	0.172	0.003	
Nth wall window head	1	0.090	2.000	0.035	0.070	0.003	
Nth wall lintel	1	0.063	2.000	0.200	0.400	0.013	2.735
Sth wall studs	10	0.090	2.325	0.035	0.814	0.032	
Sth wall 2100	10	0.090	2.030	0.035	0.711	0.032	
Sth wall TP	2	0.090	5.480	0.035	0.384	0.006	
Sth wall BP	1	0.090	5.480	0.045	0.247	0.004	
Sth wall noggins	1	0.090	3.970	0.035	0.139	0.003	
Sth wall window head	1	0.090	2.000	0.035	0.070	0.003	
Sth wall lintel	1	0.063	2.000	0.200	0.400	0.013	
Sth wall door head hor	1	0.090	0.900	0.035	0.032	0.003	
Sth wall door head vertical	1	0.035	0.900	0.090	0.081	0.003	2.876
East wall studs	11	0.090	2.325	0.035	0.895	0.035	
East wall 2100	8	0.090	2.030	0.035	0.568	0.025	
East wall TP	2	0.090	5.480	0.035	0.384	0.006	
East wall BP	1	0.090	5.480	0.045	0.247	0.004	
East wall noggins	1	0.090	4.905	0.035	0.172	0.003	
East wall window head	1	0.090	2.000	0.035	0.070	0.003	
East wall lintel	1	0.063	2.000	0.200	0.400	0.013	2.735
West wall studs	11	0.090	2.325	0.035	0.895	0.035	
West wall 2100	8	0.090	2.030	0.035	0.568	0.025	
West wall TP	2	0.090	5.480	0.035	0.384	0.006	
West wall BP	1	0.090	5.480	0.045	0.247	0.004	
West wall noggins	1	0.090	4.905	0.035	0.172	0.003	
West wall window head	1	0.090	2.000	0.035	0.070	0.003	
West wall lintel	1	0.063	2.000	0.200	0.400	0.013	2.735

Table 4.29 Wall-framing area—concrete slab-on-ground floored test cell

use of the revised total resistance value for the floor, wall or ceiling (Belusko et al. 2010; Trethowen 2004), to modify the fabric input data within AccuRate. For the software to be validated empirically, the correct resistance values for the various fabric elements of the entire thermal performance test cell required careful consideration (Lomas 1991b).

The thermal performance test cells included a mix of standard building materials for walls, ceiling and roof. The mix of materials is shown in Figs. 4.109 and 4.110. Each of the materials shown in the diagrams had a different value for conductivity

and resistance. The value for conductivity describes the amount of energy that is conducted through a material (Flux), over time, to affect the temperature of the opposing surface, in steady state conditions (ASHRAE 2009; Clarke 2001). Other factors which can affect this process are: air flow, reflectance and emissivity. The air flow, reflectance and emissivity may affect how heat flow impacts on the surface of the material but not the heat flow through the material. ASHRAE describes thermal resistance as:

the mean temperature difference between two defined surfaces of material or construction under steady-state conditions that induces a unit heat flux, in  $(m^2 \text{ K})/W$ . (ASHRAE 2009), 26.1

An example of the method to calculate a given materials conductivity and resistance values is shown in Table 4.30.

Each of the materials used to construct the test cells, had a different conductivity value. Table 4.31 presents data from two sources for conductivity and resistance values for the materials used to construct the test cells (Table 4.32).



Fig. 4.109 Brick veneer wall detail



Fig. 4.110 Ceiling detail

Table 130 Calculation of					
conductivity and resistance values for plasterboard lining	Plasterboard: 10 mm thick				
	Conductivity value: 0.16 W/m K				
	To calculate resistance value: (m <sup>2</sup> K/W)				
	Method 1	Method 2			
	a. $R = (1/K) \times Depth$	b. R = Depth/K			
	$R = (1/0.16) \times 0.010$	R = 0.010/0.16			
	$R = 6.25 \times 0.010$	R = 0.0625			
	R = 0.0625	1			

An analysis of methods to calculate the framing factor was undertaken in consultation with the CSIRO software developers. Three well-published methods were investigated:

- the parallel path method
- the isotherm planes method and
- the zone method

The parallel paths method is used when the differing materials of the built plane have similar conductivity values (ASHRAE 2009; Dewsbury et al. 2009b; Standards New Zealand 2006). The insulated ceiling space and wall frames of the thermal performance test cells had materials of differing conductivity values, ranging from 0.033 to 0.614 (Table 4.31). Sample walls and ceilings were analysed with the parallel path method to compare resultant conductivity values of wall planes (Table 4.33).

The isotherm planes method is used when the differing materials of the built plane in question have conductivity values with a level of magnitude difference

		AccuRate (20	007)		ASHRAE (2009)	
Material	Depth (mm)	Res. 1,000 mm	Cond. (K) 1,000 mm	Res. value	Conductivity (K) (W/m K)	Resistance value
Extruded clay brick	0.110	1.63	0.614	0.18	0.360-1.470	0.31-0.07
Plasterboard	0.010	5.90	0.170	0.06	0.160	0.06
Plywood	0.012	7.14	0.140	0.09	0.091-0.106	0.13-0.11
Particle board	0.019	8.30	0.121	0.16	0.102–0.135	0.19–0.14
Pine	0.035	10.00	0.100	0.35	0.090-0.160	0.39–0.22
Pine	0.090	10.00	0.100	0.90	0.090-0.160	1.00-0.56
Rockwool batt R2.5	0.083	30.30	0.033	2.52	0.036	2.31
Glasswool batt R4.1	0.181	22.73	0.044	4.11	0.043-0.048	4.21–3.77

Table 4.31 Conductivity and resistance values (D/K)

(AccuRate 2007; ASHRAE 2009)

1	Select differing assemblages on parallel planes of the building, where the elements will have varying resistance values and number them	R1: insulated wall	R2: framed wa	11
2	For each differing assemblage establish the percentage fraction of total planar area that this assemblage encompasses	76 %	24 %	
3	Calculate the differing resistance value for each assemblage	R2.5 wall insulation—R2.5	90 mm timber- R0.90	
4	Calculate the revised	$1/R_{\rm b} = 0.76/2.5 + 0.24/0.90$		
	resistance value for the	$1/R_{\rm b} = 0.304 + 0.267$		
	assemblage $1/R_b = f_1/R_1 + f_2/R_2 + f_3/R_3 + \cdots$	$1/R_{\rm b} = 0.57$		
5	Then $R_{\rm b} = 1/(1/R_{\rm b})$	$R_{\rm b} = 1/(0.57)$		
		$R_{\rm b} = 1.75$		
6	Then $R_T = R_{s_1} + R_1 + R_2 + \cdots +$	$R_{n} + R_{se}$	OS surface	0.03
			12 ply	0.09
			Non reference cavity	0.18
			Bridged plane	1.75
			10 plasterboard	0.06
			IS surface	0.12
	where:	R <sub>T</sub> : is the total resistance	R <sub>T</sub>	2.23
		R <sub>si</sub> : is the internal surface resistance		
		$R_1 + R_2 + \cdots + R_n$ : are the thermal resistances of each layer, including the bridged layers		
		R <sub>se</sub> : is the external surface resistance		

Table 4.32 Isotherm planes method: test cell wall

(ASHRAE 2009; Standards New Zealand 2006). In this method, the built plane is broken into its constituent parts and the fractional values are only applied to the elements that are different. In the insulated wall of the test cells this consists of the 90 mm timber stud and the R2.5 wall batt insulation. The conductivity values of the 90 mm stud is 0.1 and the R2.5 wall batt is 0.033 (see Table 4.31), illustrating a considerable difference in their order of magnitude. Sample walls and ceilings were

Material	R value		Material	R value
OS surface	0.03		OS surface	0.03
110 clay brick	0.18		110 clay brick	0.18
Reflective cavity	0.28		Reflective cavity	0.28
R2.5 insulation	2.50		90 timber	0.90
10 plasterboard	0.06	10 plasterboard		0.06
IS surface	0.12		IS surface	0.12
Total	3.17		Total	1.57
Framing fraction	80 %			20 %
Resultant conductivity values				
Parallel path method		Isotherm planes method		
$R^{av} = 2.63 \ (m^2 \ K)/W$		$R^{av} = 2.40 \ (m^2 \ K)/W$		

Table 4.33 Parallel paths method and isotherm planes method comparison (north wall of enclosed-perimeter platform-floored test cell)

analysed with the isotherm planes method to compare resultant conductivity values of wall planes (Table 4.33).

The zone method is used for built wall planes where the magnitude of difference in conductivity values is high. An example is a large steel structural member within a highly insulated wall, where the steel member spans from the inside skin to the outside skin of the fabric (Fig. 4.111). If the isotherm planes method is used in this type of situation, the revised average resistance value can be too low (ASHRAE 2009). As no part of the thermal performance test cell, floor, walls or ceiling had this type of construction, this method was not used.

The analysis of the methods and their criteria for use suggested that the isotherm planes method should be used to establish the revised conductivity values for the floor, walls and ceiling of the test cells. In personal discussions with the CSIRO software developers the merits of the parallel path and isotherm planes methods were considered. In previous CSIRO research, (with uninsulated building assemblages), the parallel path method had been selected. Now that insulated assemblages were being analysed, there was a difference of magnitude between the materials, which suggested that the isotherm planes method should be used (Delsante 2005–2010). This was further supported by the New Zealand Standard, Methods of determining the total thermal resistance of parts of buildings (Standards New Zealand 2006), which proposed the isotherm planes method for calculating the resistance values for external walls. An example of the isotherm method is shown in Table 4.32.



Fig. 4.111 Wall type suitable for zone method (ASHRAE 2009, p. Sect. 27)

Particle-board resistance value (19 mm)	R0.16
Desired resistance value based on framing factor	R0.18
To obtain revised particle-board thickness	= (R0.18/R0.16) × 19 mm
	= 21 mm

Table 4.34 Establishing particleboard thickness to suit revised resistance value of floor

Table 4.35 Establishing insulation thickness to suit revised resistance value of wall

Insulation resistance value (83 mm)	R2.5	
Desired resistance value based on framing factor	R1.795	
To obtain revised particle-board thickness	R = Thickness/k	
	$R \times k = Thickness$	
Rockwool insulation ( $k = 0.033$ )	$R1.795 \times 0.033 = 59 mm$	

Once the revised average resistance value was obtained for each floor, wall and ceiling, a new version of each AccuRate building model was saved. Two plane-specific methods were then used to modify the resistance values of the as-built fabric. To amend the resistance values of the platform floors, the resistance value of the particle board floor was established. To increase the insulation of the platform floor, the thickness of the particle-board floor was increased (Table 4.34). The revised particleboard floor thickness was used for all simulations requiring as-built inputs.

A similar method was used to revise the insulation values of the wall and ceiling planes. The isotherm planes method established the average resistance value for the stud/insulation or joist/insulation portions of the walls and ceiling planes respectively. For each wall and ceiling a new construction was established. For the walls, the north, east and west walls of most test cells was identical, while the south wall with the access door, had a different framing factor. This required the input of two external wall types. To modify the resistance value, rather than selecting a preset resistance value for the insulation material, the conductivity of that material was selected. Then, in a similar manner to the particle-board floor, a revised thickness for the insulation material was obtained (Table 4.35).

Once the revised thickness of the materials was established, they were modified within the constructions tab of the standard front-end user interface. In the example of the revised thickness of the rockwool insulation illustrated above, the rockwool thickness was defined in the material data entry process. Through this process, two scratch files were established for each test cell (Default and As-built).

# 4.4.6 The AccuRate Simulations

Once the observed climate file and the scratch files for each test cell were established, they were checked several times before the simulations were undertaken. Once the checking was completed, the thermal simulations by AccuRate commenced. The first simulation completed was the Default Fabric/Default Climate simulation. This became the check simulation and the output data was examined for logical patterns, which reflected the effects of the default climate file inputs. The second was the Default Fabric/Measured Climate simulation, which allowed for an analysis of the effect on test cell zone temperatures from the observed climate file, as opposed to the default climate file. The third simulation was the As-Built fabric/ Default Climate, which allowed for the first exploration of the effects of the as-built inputs, when the output data was compared to the Default Fabric/Default Climate output data. The final simulation completed was the As-Built Fabric/Measured Climate configuration. This was compared to the two previous simulations, using the observed climate and as-built building fabric for a progressive development change in the output temperature files. When an AccuRate simulation is completed, four output reports are provided: 'energy', 'output', 'star rating' and 'temperature' files. Each of these reports was analysed as part of the verification of simulation correctness, as discussed below.

The energy report provided the calculated energy required to maintain a particular temperature bandwidth, within conditioned zones of the simulated building. The report lists the projected energy for each hour of an annual thermal simulation cycle. For the empirical validation of AccuRate the test cells were 'Free-running': which required that the buildings' environmental condition responded to the environmental context in which they were built (Lomas 1991c), i.e., no heating or cooling was introduced within the building. To achieve a zero energy result, the thermostat settings were changed within the scratch files, as discussed above. The Energy.txt file then became a checking mechanism to ensure that the scratch file and other inputs were correct. After each simulation, the file was checked to ensure that all energy values for heating or cooling were zero (Fig. 4.112).

Like the energy.txt report, the output.txt report summarises the energy projections for the conditioned zones of the modelled test cell (Fig. 4.113). This report provides a daily and monthly summary of the calculated heating and cooling energy requirements of the conditioned zones of the simulated building. Normally, the software utilises this data to provide a House Energy Star Rating. For the empirical validation of the test cells, this report was checked to ensure that all values were zero, as an indication that all thermostat settings had been removed.

energy.txt

Total	numb	per of	conditioned	zones	= 1
Month	Day	Hour		Test	ce11
			Heat	COOIS	COOIL
1	1	0	0.0	0.0	0.0
1	1	1	0.0	0.0	0.0
1	1	2	0.0	0.0	0.0
1	1	3	0.0	0.0	0.0
1	1	4	0.0	0.0	0.0
1	1	5	0.0	0.0	0.0
1	1	6	0.0	0.0	0.0

Fig. 4.112 Energy.txt AccuRate output file

output.txt

AccuRate Engine \* 4 \* Version 2.13 October 2006 4 \* - Developed with funding support from the
 Commonwealth, State and Territory Governments,
 through the Energy Management Task Force of
 the Australian and New Zealand Minerals and ÷. -.... Energy Council -\*\*\*\*\*\* Johname: Weather data filename: C:\Program Files\AccuRate aust\WEATHER\CLIMAT23.txt Window glass data filename: C:\Program Files\AccuRate aust\LIB\ALL\_WINDOWS.BWG Date: 19/10/09 Ground floor area = 30.00 m2 JAN 2003 DAY 1 DAY HEATING ENERGY (MJ): Test cell 0.0 PEAK HEATING DEMAND (kw): Test cell 0.0 DAY SENSIBLE COOLING ENERGY (MJ): DAY LATENT COOLING ENERGY (MJ): Test cell 0.0 Test cell 0.0 PEAK SENSIBLE COOLING DEMAND (kW): Test cell PEAK LATENT COOLING DEMAND (kW): Test cell 0.0 0.0 DAY 2 JAN 2003 DAY HEATING ENERGY (MJ): Test cell 0.0 PEAK HEATING DEMAND (kw): Test cell 0.0 DAY SENSIBLE COOLING ENERGY (MJ): DAY LATENT COOLING ENERGY (MJ): Test cell Test cell 0.0 ŏ.ŏ PEAK SENSIBLE COOLING DEMAND (kW): Test cell PEAK LATENT COOLING DEMAND (kW): Test cell 0.0 0.0

Fig. 4.113 Output.txt AccuRate output file

Normally the AccuRate software produces a House Energy Star Rating report for regulatory purposes. When the test cells were simulated with no heating or cooling requirements, the software was operating outside its regulatory parameters. As the software was operating in this mode, no House Energy Star Rating report was produced.

The AccuRate software calculates the temperature of each zone of a simulated building. Once the temperature is calculated, the energy required to condition the space can be calculated. For the empirical validation project, this was the most important file, as all other output reports from the AccuRate software are calculated from this report. For the test cells the temperature report listed the calculated temperature, for each hour, and each zone of the simulated building (Fig. 4.114). This report provided the data for comparison to observed data for the empirical validation analysis.

		2	009-10-19	Test Cell	2 unbrid	aed &	bridged Cel	2 - Bridged.tem
-41.4 0	T TMA	T23.1	xt					
Total	num	per of	zones =	3				
Month	Day	Hour	Outdoor	Test	cell	Roof	Space	Sub Floor
1	1	0	17.2		17.4		16.9	17.9
1	1	1	16.9		17.4		17.0	17.8
1	1	2	16.4		17.4		16.8	17.7
1	1	3	15.7		16.8		16.4	17.5
ī	ī	4	15.4		16.7		16.0	17.4
1	1	5	15.1		16.4		15.6	17.2
1	1	6	15.4		16.2		15.5	17.1
1	1	7	17.2		16.8		15.9	17.2
1	1	8	20.8		19.1		17.4	17.7
1	1	9	19.3		19.0		18.7	17.9
1	1	10	19.4		19.1		19.0	18.1
1	1	11	20.0		19.5		19.4	18.3
ī	1	12	22.5		21.2		20.3	18.8
1	1	13	25 1		22 8		22 0	10 4

Fig. 4.114 AccuRate temperature.tem report

# 4.4.7 Summary of the Detailed Thermal Simulation by AccuRate

The objective of the AccuRate detailed simulation was to provide a suitable output temperature report for each test cell, which could be used for the empirical validation analysis. The AccuRate software normally provides an output temperature report file for all simulations but this was not suitable for empirical validation.

The standard data entry included a careful consideration of all built elements. This incorporated the shading caused by the test cell on itself and by surrounding trees and buildings. The AccuRate software did not consider the effect of the framing factor on suspended floors, external walls or ceilings; hence the framing factor was the first element of non-standard data entry. The values for these external fabric elements were amended to account for the calculated insulation value, caused by the framing factor. The software then produced a scratch file for each test cell. This file was further amended with other non-standard adjustments.

The non-standard modifications made to the scratch file changed some AccuRate default values to observed values. The thermostat settings and internal energy loads were amended to values that matched the test cell in free-running operation. The infiltration values for each test cell zone were modified from Accurate default values to those that were measured. The postcode-driven climate file was the final non-standard amendment. The default climate was unsuitable for empirical validation purposes, so a site-measured climate file was prepared from observed data. The site-measured climate file was used for all empirical validation AccuRate simulations.

The inclusion of these amendments and inclusions allowed for an 'As-Built Fabric/ Measured Climate' AccuRate simulation to be completed for each test cell. The resultant output temperature report file was then suitable for further empirical analysis.

This research had now obtained a detailed simulation data set as discussed above and an empirical data set from the measurement of the test cells, as discussed in Sect. 4.3. Before any analysis could commence to compare the two data sets, a review of methods of analysis was completed, which is discussed next.

# 4.5 Methods of Analysis

The environmental measurement and the detailed thermal simulation of the three test cells produced two data sets: a measured data set and a simulation data set. Table 4.36 shows the type, specific environmental parameters and format of these data sets.

Below, the specific objectives of analysing the data gathered, and the various methods of analysis used in this study, are discussed. The primary objectives for analysing the data are:

- 1. to compare the measured and simulated time series data
- 2. to identify which of the built and environmental inputs contributed significantly to the differences, if any, between the observed and simulated data sets.

The first objective was achieved by visually examining time series graphs drawn using the graphing functions of Microsoft Office Excel (2003). As this research had collected a considerable amount of data as shown in Table 4.37, viewing superimposed time series graphs was the most suitable method to determine differences in absolute values at any one time, as well as trends and patterns over a certain period.

Data type	Parameter	Format
Measured data	Temperatures from test cells	Numerical format, stored in database
	Site weather station	suitable for comma separated values output
Simulated data	Temperatures predicted by	Original output was a text file. This was
	the AccuRate software	imported to the database. Suitable for
		comma separated values output
AccuRate	Default AccuRate climate	Inbuilt text based TMY climate files
default data	data	

Table 4.36 Description of the empirical validation data used in this study

Table 4.37 Quantity of external and internal environmental parameters measured

Data source	Element observed	Data quantity (hours)
Test cell buildings	Subfloor air temperature	$4,100 \times 2$ (unenclosed and enclosed-perimeter platform-floored test cells)
	Test cell room	4,100 × 3
	temperature	All three test cells
	Roof space air	4,100 × 3
	temperature	All three test cells
Site weather	Air temperature	4,100
station	Relative humidity	4,100
	Wind speed	4,100
	Wind direction	4,100
	Solar radiation	4,100

The second objective of the analysis aimed to determine the climate or heat transfer algorithms within the software that may require improvement (Agami Reddy 2006). To undertake this task, statistical analysis was the preferred method and was conducted using the STATISTICA 7.1 software.

# 4.5.1 Graphical Analysis

This type of analysis made use of superimposed time series graphs and allowed for quick visual comparisons of the measured and simulated data sets, as in Fig. 4.115 (Agami Reddy 2006; Ahmad and Szokolay 1993; Clarke et al. 1994; Guyon et al. 1999a; Judkoff et al. 1983a; Lomas et al. 1994a; Meldem and Winklemann 1995; Moinard and Guyon 1999; Neymark et al. 2005). As the data sets being analysed were either temperature or other environmental parameters, similarity in pattern between the two data sets was a good indicator of the AccuRate software's capacity to perform a meaningful thermal simulation (Dewsbury 2009; Dewsbury et al. 2009a). If the values were different but the pattern similar, it could indicate a sensor calibration fault or a fault in the software's algorithm.

This form of graphical analysis was also used during the data cleaning stage of this research, as it allowed the prompt detection of outlying data and their subsequent rectification. This form of analysis was used to examine differences between:

- measured on site climate data versus TMY climate data
- HER simulation types
- measured and simulated zone temperatures



Time (Hours)

Fig. 4.115 Time series based graphical analysis

# 4.5.2 Statistical Analysis

The linear graphical analysis discussed above provided the first illustrations of differences between data sets. However, this form of analysis only allowed for small groups of univariate data to be compared visually. Further investigation required a greater understanding of the difference between the observed and simulated data and the analysis of the interaction or non-interaction between the data sets (Palomo del Barrio and Guyon 2002; Palomo et al. 1991). Previous research projects have often referred to differences in mean averages (Lomas et al. 1994a; Travesi et al. 2001) which is not suitable for this type of research. The primary purpose of the AccuRate HER software is to calculate the amount of energy that would be required to maintain human comfort within prescribed habitation conditions (ABCB 2006; NatHERS 2009a, b).

In comparing time series data with similar patterns and trends, the error is the difference between the measured and simulated values at any particular time. The error will be referred to from hereon as the residual value. The residual values were obtained by subtracting the simulated temperature from the measured temperature, as in Eq. 4.2.

Establishing residual values for a test cell zone

$$T_{\rm f} = T_{\rm O} - T_{\rm S} \tag{4.2}$$

where:

- T<sub>r</sub> Residual value °C
- T<sub>o</sub> Measured temperature °C
- T<sub>s</sub> Simulated temperature °C

A positive residual value meant that the AccuRate software under-predicted the zone temperature, whereas, a negative residual value meant that the software overpredicted the zone temperature. Using the residual values, the following statistical graphs were used to determine algorithms that may need improvement:

- histograms
- time series
- scatter plots

The research data suggested the usefulness of two types of statistical illustration: the first was the provision of a simple graph illustrating any relationships between data sets based on time. The second was the capacity to analyse and illustrate any instance of correlation between observed and residual values.

The exposition of data relationships via a statistical framework provided valuable insights regarding the meaning of the data and provided possible future directions for research (Ahmad and Culp 2006; del Mar Izquierdo et al. 1995; Dewsbury 2009; Dewsbury et al. 2009a; Palomo del Barrio and Guyon 2002; Palomo et al. 1991). Rather than relying on an abstract understanding of the data, linear graphical analysis, univariate and multivariate statistical analysis allowed for

Statistical analysis type	Elements compared	Purpose
Scatter plot diagram – Observed/simulated	All test cell zones	Show correlation between the calculated and observed temperatures
Residual histogram show	All test cell zones	Show zone residual values
Time series plot	All test cell zones	Show zone residual values based on time
Scatter plot diagram – Zone A residual/zone B residual	Adjoining test cell zones	Show correlation between zone residual values
Scatter plot diagram – Zone residual/climate variable	All test cell zones	Show correlation between zone residual values and observed climate variable

Table 4.38 Univariate and multivariate analysis tasks completed

the data to be viewed in a more coherent manner, which reveals trends and patterns and allows for a richer understanding of the data. To provide these forms of analysis three primary types of statistical analysis were utilised, namely: histograms, time series plots and scatter plots.

After several preliminary statistical data analyses were completed (Dewsbury 2009), a final schedule for the univariate and multivariate analysis was established, as shown in Table 4.38. These methods allowed for a staged analysis, which:

- checked the capability of the AccuRate simulation engine and
- provided the capacity for in-depth analyses of the difference between the simulated and measured temperatures, which could reveal relationships between zones and climatic variables.

# 4.5.2.1 Histograms

To better understand and illustrate the volume of data being analysed, histograms were used to plot the residual value for each test cell zone (Anderson 1989; Ramsey and Schafer 2002; Rees 1989). The histograms were used to illustrate the density of the residual values along the X axis for each zone. The histograms allowed for the assessment of normality, skewness or kurtosis within the residual graphs (Mansour et al. 1998).

#### 4.5.2.2 Time Series Analysis

Time series analysis was the second statistical method used. The use of time series graphs allowed for the comparison of residual values individually in a single test cell and of zones in different test cells (Clarke et al. 1994; Jimenez and Madsen 2008; Jimenez et al. 2008).

A greater understanding of the residual values was gained through the observation of patterns relative to climatic inputs. In cases where the trend and/or pattern behaved in an unexpected way, this was compared to the graphs of adjoining zones in the same test cell and other test cells.

# 4.5.2.3 Scatter Plots

The previous forms of analysis were all univariate, that is; they involved a single variable. The scatter plot diagram was used as a preliminary multivariate analysis method to examine correlations between two variables (Agami Reddy 2006; Palomo et al. 1991). The use of the scatter plot diagram form of analysis commenced in the analysis process and allowed for the identification of:

- unexpected differences that informed AccuRate input correction or improvement before the final empirical validation data set was obtained. As the scratch file was a character spaced input file written in Fortran format, any modifications that were not entered correctly would affect the AccuRate thermal simulation
- outlying data that required further cleaning or removal from the data analysis
- defining data relationships as homoscedastic—A scatter plot showing a homoscedastic pattern indicates a finite variance between the data on the X and Y axes. This infers a strong relationship between the two variables and can inform further investigations.
- defining data relationships as heteroscedastic—A scatter plot showing a heteroscedastic relationship indicates a variability in the relationship between the data on the X and Y axes, which may include sub-groupings of data. This may indicate that there is no relationship between the data sets or that there are other variables interfering with the data. When there are sub-groupings of data, this can indicate that the data needs to be broken into smaller groups before further analysis can occur.

# 4.6 Conclusion to Methodology

Empirical validation of the house energy rating software AccuRate, for lightweight housing in a cool temperate climate, required the development of a suitable validation methodology based on the examination of previous Australian and international research. The method established in this research comprised of the following:

- construction of suitable test buildings;
- measurement of the test buildings and their external climate to obtain empirical data;

- detailed AccuRate house energy rating software simulation, which provided suitable outputs for comparison; and
- adoption of suitable methods to analyse and compare the empirical and simulated data sets.

The objective of constructing the thermal performance test cells was to provide test buildings constructed according to contemporary Australian building practices, as discussed in Sect. 4.2. The three test cells that were constructed for this research adhered to contemporary Australian building practices and the BCA. The close supervision of their construction ensured a good quality of construction and provided information for the as-built data inputs in the detailed house energy rating thermal simulation.

The second objective was to obtain suitably measured empirical data from the test buildings, as was discussed in Sect. 4.3. To meet this requirement an array of synchronised environmental measurements was obtained from each of the test cells and the external climate. The data from key test cell zone temperature sensors and the site climate was cleaned and provided the empirical data for comparison with the detailed AccuRate thermal simulation. The empirical climate data were used to develop a synchronised site climate file for use in the AccuRate software.

The detailed thermal simulation using the AccuRate HER software is discussed in Sect. 4.4. The final As-built Fabric/Measured Climate AccuRate Envelope Thermal Simulation considered many inputs of a standard and non-standard nature to limit variables which could affect the calculated zone temperatures. Non-standard inputs included modifications to reflect: a free-running building, the framing factor and infiltration rates for each zone of the test cells. The resultant output temperature file from AccuRate provided a suitable data set for each zone, for comparison with the empirical data obtained from the test cell measurements.

The final objective of the methodology was to provide suitable methods to explore similarities or dissimilarities between the observed and simulated data sets, as discussed in Sect. 4.5. The graphs provided an intuitive platform from which to discuss the research results and analysis. The use of linear, graphical, correlation scatter plot diagrams and other forms of univariate and multivariate residual analysis allowed for the exposition of differences between the simulated and empirical data sets. This analysis provided a robust exposition of the complex and large volumes of data analysed.

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# Chapter 5 Results, Analysis and Discussion of Empirical Validation

# 5.1 Introduction

This chapter presents and discusses selected data that were collected and analysed in graphical form. The data are presented in four distinct analytical groupings, as follows:

- 1. The AccuRate default climate file is compared with data from the site weather station.
- 2. The various AccuRate simulation types are compared to demonstrate the appropriateness of using the As-built Fabric/Measured Climate simulation type for empirical validation.
- 3. Temperature measurements from each test cell zone are compared with the AccuRate simulated temperature data.
- 4. Statistical analysis of simulated and measured values.

The quantity of data collected and analysed was large. All data sets were of a consistent format to allow for comparison. This chapter presents only key data which reveal general trends and variations.

The detailed simulations performed with the HER software AccuRate in this study were more comprehensive than previous HER validation activities in Australia. However, this previous research was not deemed sufficient for empirical validation, as research projects in the past used limited input adjustments such as climate, wall fabric and ventilation. However, none combined all the input variables sufficiently for an empirical validation activity (Delsante 2005–2010). Delsante (2005, 2006a) reported the need to validate empirically the AccuRate HER software, to explore the similarity or dissimilarity between simulated and measured temperatures, for the purpose of determining algorithms within the AccuRate HER software that may require improvement.

The data for empirical validation came from both site environmental measurements and an AccuRate simulation. The output from the AccuRate software was in the form of a text file that listed the simulated hourly temperature for each zone, for a calendar year. The 10 min environmental measurements were cleaned and processed into average hourly values, to accommodate the AccuRate software input requirements. The data cleaning process is described in Sect. 4.3.

The data presented here are limited to key data gathered from as few as five sensors out of a total number of 69 sensors in each test cell. Data from the remaining sensors provide supporting data, which are not discussed in this thesis, but in the future could be used to provide further analysis and guidance as to why variations between the simulated and measured data may be occurring.

# 5.2 Climate Data

The AccuRate software has an in inbuilt climate file for 70 differing climates within Australia. Each of these climate files was developed through the averaging of twenty or more years of data and is called a Typical Meteorological Year (TMY). In many cases there are gaps in the available data used to establish the TMY file, therefore mathematical methods were used to fill in values (Boland 1995; Stokes 2007). The gap-filling and averaging of many years of climate data create a climate file (which could be a sum of averages), which may not reflect the low and high climatic conditions of a standard day. This is examined in this section by comparing TMY: air temperature, global solar radiation and diffuse solar radiation with the corresponding site-measured values.

In discussions with CSIRO researchers, it was established that only a few key inputs were important for the detailed AccuRate thermal simulation of the test cells' thermal performance. The test cells were windowless boxes and were not heated or cooled, hence there was no need to consider climatic effects on the ventilation, cooling and heating models. The levels of importance of individual climate file parameters are summarised in Table 5.1.

Similarly, the values for calendar year, moisture content and atmospheric pressure were deemed as not relevant to this research based on advice from CSIRO researchers (Delsante 2005–2010), as follows:

- The year number is not used during the envelope simulation.
- The moisture content of the air is only used within the ventilation model for the simulation of evaporative cooling benefits. As these elements were not a part of this research, their values were included in the climate file but were not required to be exact.
- At the time of this research, the value for atmospheric pressure was not used during the envelope simulation.

At the time of this undertaking, there was no method of establishing night time cloud cover for the location of the test cells. In consultation with CSIRO researchers, a cloud cover value of four (4) was adopted to indicate a night sky cloud coverage of 50 %. The selection of this value was based on studies by CSIRO
Critical importance	Not important	Not known
Month	Year	Cloud cover
Day	Moisture content	
Hour	Atmospheric	
Air temperature	pressure	
Wind speed		
Wind direction		
Global solar radiation		
Diffuse solar radiation		
Normal direct solar radiation		
Solar altitude		
Solar azimuth		(Cloud cover is only used for the night time Sol-Air calculations of the building's roof space) (Delsante 2005–2010)

Table 5.1 Levels of importance of climatic values for AccuRate simulation

researchers involving simulations with differing values for cloud cover (Delsante 2005–2010). Their studies found that there was a very small difference, (considered to be of no significance in room temperature), when different values for cloud cover were used. The data used to establish the measured climate file for this study were: a combination of site environmental measurements, mathematically derived values and the default climate file within the AccuRate software (Table 5.2).

Global solar radiation was measured on site and the measured values were used by CSIRO researchers to calculate diffuse solar radiation and normal direct solar radiation values, as discussed in Sect. 4.4.

The graphs below were selected for discussion purposes from the complete data set. The graphs present typical comparisons of the TMY file and site-measured data for air temperature, global solar radiation and diffuse solar radiation.

TMY climate file	Site-measured values	Mathematically derived
Month	Air temperature	Diffuse solar radiation
Day	Atmospheric pressure	Normal direct solar radiation
	Wind speed	
Hour	Wind direction	
Moisture content	Global solar radiation	

Table 5.2 Sources of data for climate file

### 5.2.1 Air Temperature

Figure 5.1 compares site-measured and TMY air temperatures from midnight on February 19, 2007 to midnight on February 24, 2007, which was near the end of summer and traditionally the hottest period of the year. The two data sets are significantly different in pattern and temperature value. The data sets have obvious differences in value, with the maximum temperatures for the TMY data normally following a generally curved form, whereas the measured temperatures show the dramatic effects of cloud cover or other events which have produced a more dynamic form to the graphed data. The form of the curve for the minimum temperatures was generally similar for the measured and TMY data sets. However, the diurnal range between the measured and TMY data sets are significantly different.

Table 5.3 shows a 1.4 °C difference on February 20th but for the other days the difference between default and measured minimums was between 4.1 and 6.9 °C. The daily maximum air temperature also varied dramatically on a daily basis, where the variation between measured and default climate file values ranged from 2.5 to 13.7 °C.



Fig. 5.1 Graph of measured and TMY air temperature values: February 2007

			-			
Date	TMY min (°C)	Measured min (°C)	Variation (M-TMY) (°C)	TMY max (°C)	Measured max (°C)	Variation (M-TMY) (°C)
19/02/2007	14.1	18.3	4.2	24.7	27.2	2.5
20/02/2007	14.5	15.9	1.4	21.4	31.3	9.9
21/02/2007	9.9	16.8	6.9	21.6	32.6	11.0
22/02/2007	12.1	16.2	4.1	21.9	35.6	13.7
23/02/2007	11.5	15.4	3.9	20.0	29.6	9.6

Table 5.3 Measured and TMY climate file air temperatures (19/02/2007–24/02/2007)

Figure 5.2 shows a comparison of data from midnight on June 19, 2007 to midnight on June 24, 2007. June is the first month of winter and this data set includes the winter solstice. This week was the coldest week of the period of observation. The measured minimum temperatures are consistently lower than those of the TMY data, whereas the maximum values are somewhat similar. The pattern of the rise and fall between the maximum and minimum values is distinctly different between the two data sets.

Table 5.4 shows the variation in the minimum values across the 5 days ranged from -2.2 to -9.6 °C and the variation between maximum values ranged from -0.9 to 3.6 °C.

The trends in the figures show that the general daily pattern is similar, but that the maximum and minimum values are consistently different. This would cause a considerable difference between HER simulations using either the default climate file or the measured air temperature data.



Fig. 5.2 Graph of measured and TMY air temperature values: June 2007

Date	Default min (°C)	Measured min (°C)	Variation (Ob-Def) (°C)	Default max (°C)	Measured max (°C)	Variation (Ob-Def) (°C)
19/06/2007	4.8	2.6	-2.2	11.8	10.9	-0.9
20/06/2007	2.7	-4.4	-7.1	11.0	10.3	-0.7
21/06/2007	4.5	-0.3	-4.8	11.3	11.3	0.0
22/06/2007	4.4	-5.2	-9.6	11.8	15.4	3.6
23/06/2007	5.3	-3.6	-8.9	16.1	15.6	-0.5

Table 5.4 Measured and default climate file air temperatures (19/06/2007-23/06/2007)

## 5.2.2 Global Solar Radiation

Figure 5.3 shows a comparison of site-measured and TMY global solar radiation from midnight on April 16, 2007 to midnight on April 21, 2007. The graph shows several key features, which include: the beginning and end of positive solar radiation values are identical, the maximum values differ daily by significant quantities and the TMY data shows a mathematically derived smooth form, whereas the measured data shows the daily effects of cloud cover and other events which affect global solar radiation.

Table 5.5 shows the daily variation between the maximum values for the TMY and measured global solar radiation ranged from -54 to 195 W.

Figure 5.4 shows a comparison of site-measured and TMY global solar radiation from midnight on June 19, 2007 to midnight on June 24, 2007. Similar to the discussion for Fig. 5.3, this graph shows that the maximum values vary consistently between the measured and TMY data sets. Likewise, the mathematically derived form of the TMY data is by contrast starkly different to the measured data. In this sample the maximum values are significantly higher, with the variation, as shown in Table 5.6, ranging from 75 to 204 W values.



Fig. 5.3 Graph of measured and TMY global solar radiation values: April 2007

		0	
Date	TMY max (W)	Measured max (W)	Variation (M-TMY) (W)
16/04/2007	428	491	63
17/04/2007	282	477	195
18/04/2007	606	552	-54
19/04/2007	324	289	-35
20/04/2007	469	592	123

Table 5.5 Measured and TMY climate file global solar radiation (16/04/2007–20/04/2007)



Fig. 5.4 Graph of measured and TMY global solar radiation values: June 2007

Date	Default max (W)	Measured max (W)	Variation (Ob-Def) (W)
19/06/2007	276	382	106
20/06/2007	324	399	75
21/06/2007	204	364	160
22/06/2007	190	394	204
23/06/2007	216	392	176

Table 5.6 Measured and TMY climate file global solar radiation (19/06/2007–23/06/2007)

When comparing the two types of data, the presence of a single smooth peak in the default climate file contrasts with the measured multiple peaks. The site-measured data (at times) has dramatic falls and rises due to the effect of cloud cover. However, the default climate file values do not appear to account for the effect of cloud cover at all. The smooth peaks of the default climate files curve reveal that the data might have been mathematically smoothed, most likely from daily satellite values. The measured radiation varies dramatically from hour to hour; hence the impact on the thermal model would be significantly different from simulations where the more erratic solar radiation values have been smoothed, as in the case of the default climate file.

### 5.2.3 Diffuse Solar Radiation

Figure 5.5 shows a comparison of the mathematically derived diffuse solar radiation, which was calculated from the site-measured global solar radiation, and the TMY climate file diffuse solar radiation values from midnight on January 14, 2007 to midnight on January 19, 2007. As with the graphs which compared global solar radiation values, the pattern of the measured data is significantly different from the



Fig. 5.5 Graph of measured and TMY diffuse solar radiation values: January 2007

pattern of the TMY data in this graph. One difference is the result of the mathematically derived smooth form of the TMY data, as opposed to the fluctuating pattern of the site-measured data and the second is the significant difference in the maximum values, as shown in Table 5.7, which vary day to day, with the variation ranging from -27 to -231 W.

Figure 5.6 compares the mathematically derived diffuse solar radiation and default climate file diffuse solar radiation values from midnight on February 19, 2007 to midnight on February 24, 2007. The two data sets present very little in common, with the exception of sunrise and sunset. The measured data show the dramatic effects of cloud cover and other events that cause shifts between global and diffuse solar radiation. The TMY data once again present a mathematically derived smoothed form to the data. The graph shows that the maximum values vary consistently, with the variation ranging from 66 to 275 W, as shown in Table 5.8.

As in the global solar radiation values, the shape of the peaks for diffuse solar radiation reveal the significant difference between the measured and default climate file values. The values for diffuse solar radiation, derived mathematically from site-measured global solar radiation, differ consistently and dramatically from hour to hour and from the default climate file values. The multi-peaked pattern of the diffuse radiation impacted on the fabric of the building continuously, hence the actual thermal gains on the test cell external fabric would be different compared to the gentle curves of the default climate which must affect the thermal gains of the test cell.

Date	TMY max (W)	Measured max (W)	Variation (M-TMY) (W)
14/01/2007	454	223	-231
15/01/2007	322	188	-134
16/01/2007	399	205	-194
17/01/2007	474	412	-62
18/01/2007	309	282	-27

**Table 5.7** Measured and TMY climate file global solar radiation (14/01/2007–18/01/2007)



Fig. 5.6 Graph of measured and TMY diffuse solar radiation values: February 2007

Date	Default max (W)	Measured max (W)	Variation (Ob-Def) (W)
19/02/2007	116	357	241
20/02/2007	234	300	66
21/02/2007	121	396	275
22/02/2007	211	284	73
23/02/2007	119	320	201

Table 5.8 Measured and default climate file global solar radiation (19/06/2007–23/06/2007)

### 5.2.4 Summary

The site-measured air temperature and global solar radiation were significantly different from their corresponding values in the TMY climate file. Similarly, the mathematically-derived values for the diffuse solar radiation showed significant differences compared to their corresponding values in the TMY climate file. Variations of this magnitude would impact on the AccuRate thermal simulation for empirical validation purposes (Judkoff 1985). To confirm this hypothesis, the four simulation methods (as discussed in Sect. 4.4), were completed for each test cell and are presented in Sect. 5.3.

### 5.3 Variation Between Simulation Types

There is an ongoing discussion, within Australia and abroad, about the appropriateness of the methodology used to validate empirically building energy rating software. Researchers and software developers have suggested the use of sitemeasured climatic data and as-built fabric inputs to account for more realistic climate and construction variables than the default values in the building energy rating software (CSTB 1990). To better understand the effect of these input variables, four types of simulation were undertaken, namely:

- Default Fabric/TMY Climate
- Default Fabric/Measured Climate
- As-built Fabric/TMY Climate
- As-built Fabric/Measured Climate.

As discussed in Sect. 4.4, the amendments to the input parameters for the AccuRate simulations are insulation value (based on the actual percentage of framing factor), and infiltration rates. Two types of climate files and two types of software 'scratch' files were created. The two climate files were the TMY climate file and a measured climate file. The two scratch files were a default scratch file and an 'as-built' scratch file. These files were mixed and matched, based on the type of HER simulation that was undertaken.

In the following sections, all four simulation types are superimposed in one graph for comparison. The graphs presented here are selected from the complete collection of graphs to present key trends.

For all graphs the legend is:

- B-B: Default Fabric/TMY Climate
- B-C: Default Fabric/Measured Climate
- AB-B: As-built Fabric/TMY Climate
- AB-C: As-built Fabric/Measured Climate.

### 5.3.1 Test Cell Subfloor

The subfloor condition varied for each test cell. The subfloor of the unenclosedperimeter platform-floored test cell is the most affected by the external environment, whilst the concrete slab-on-ground floored test cell room is the most affected by the ground temperature. As expected there is an apparent heat loss through the uninsulated platform floors (Harris and Dudek 1997). The subfloor of the enclosedperimeter platform-floored test cell is affected by the ground and environmental temperatures.

This discussion concentrates on test cell 2, which had an enclosed subfloor zone. The variation between simulation types for the subfloor of the enclosed-perimeter platform-floored test cell (Fig. 5.7) is significant. Compared to the changes in the fabric inputs, the measured climate has a more significant effect in the simulation. Compared to the unenclosed-perimeter there was an obvious difference in AccuRate HER simulation results in the enclosed-perimeter test cell, when the As-built fabric data was applied to the model.

The As-built/Measured Climate simulation produced a colder subfloor zone, taking into account the much cooler temperatures measured on-site. The variation



Fig. 5.7 Test cell 2 subfloor: B-B, B-C, AB-B, AB-C results: June 2007

between the As-built and Default fabric for this zone was principally caused by the infiltration rate and interaction with the test cell room. It can be seen that as each modification was made, (climate type and as-built fabric), the simulated temperatures became significantly cooler for this four day period.

An analysis of the daily minimum subfloor air temperatures, as shown in Table 5.9, illustrates that the minimum simulation temperature for June 19, ranged from a highest minimum value of 9.1 °C for the Default Fabric/TMY Climate (B-B) simulation, to a lowest minimum value of 6.4 °C for the As-built Fabric/Measured Climate (AB-C) simulation. For all 4 days the highest minimum air temperature was produced by the Default Fabric/TMY Climate (B-B) simulation and the lowest minimum air temperature was produced by the As-built Fabric/Measured Climate (AB-C) simulation.

An analysis of the daily maximum zone air temperature values, as shown in Table 5.9, illustrates that the maximum simulation temperature, (for June 20), varied from 11.3 °C for the Default Fabric/TMY Climate (B-B) simulation to a minimum of 9.1 °C for the As-built/Measured Climate (AB-C) simulation. For all 4 days in this table the highest maximum air temperature is produced by the Default Fabric/TMY Climate (B-B) simulation and the lowest maximum is generally produced by the As-built Fabric/Measured Climate (AB-C) simulation.

(19/06/2007–22/06/2007)								
Date	B-B	B-B	AB-B	AB-B	B-C	B-C	AB-C	AB-C
	min	max	min	max	min	max	min	max
19/06/2007	9.1	11.0	8.6	11.0	7.1	10.3	6.4	10.0
20/06/2007	8.7	11.3	8.1	11.0	5.8	6.4	4.7	9.1

10.5

10.6

7.1

5.0

7.1

10.4

6.4

3.9

6.5

10.5

21/06/2007

22/06/2007

8.9

8.8

10.6

10.6

8.4

8.3

**Table 5.9** Test cell 2: comparison of minimum and maximum values of simulated subfloor air temperature (19/06/2007–22/06/2007)

The above mentioned trends for the subfloor zone maximum and minimum simulation air temperatures of the enclosed-perimeter platform-floored test cell were common for the months of May and June but as for the subfloor simulations of the unenclosed-perimeter platform-floored test cell, the trend varies from month to month.

As shown in Fig. 5.7, the use of the measured climate data significantly shifts the simulated temperature curve. Hence, if the measured climate data is not used in the simulation and then compared to measured temperatures from the subfloor zone, the comparison would be unreliable for empirical validation purposes. What is apparent for the enclosed-perimeter subfloor zone is the need to include the As-built fabric inputs and measured infiltration. In simulations using measured climate data, the difference in temperatures with default fabric inputs compared to As-built fabric inputs ranges from 0 to 2 °C. The purpose of empirical validation is to improve or fine-tune the HER software (Strachan et al. 2005). These results demonstrate that if As-built Fabric and Measured on-site Climate inputs were used in the validation process, more realistic trends and climatic effects can be adequately examined to inform the process of improving HER software.

## 5.3.2 Test Cell Room

The main variation in the AccuRate HER simulation output air temperatures of the concrete slab-on-ground floored test cell room appears to be caused by the climate data (Fig. 5.8). The two simulations which applied the TMY climate file are similar. The same observation can be made for the two simulation types which applied the measured climate data. For this test cell room the effect of the As-built Fabric modifications is quite visible, with consistent differences between the HER



Fig. 5.8 Test cell 3 room: B-B, B-C, AB-B, AB-C results: January 2007

Date	B-B	B-B	AB-B	AB-B	B-C	B-C	AB-C	AB-C
	min	max	min	max	min	max	min	max
15/01/2007	17.4	19.5	17.6	19.9	18.6	20.4	18.8	20.8
16/01/2007	18.4	19.3	18.6	19.6	18.2	21.6	18.4	22.2
17/01/2007	17.9	19.6	18.2	19.9	19.9	21.4	20.2	21.8
18/01/2007	18.1	19.6	18.3	20.1	19.8	21.6	20.0	22.1

 Table 5.10
 Test cell 3: comparison of minimum values of simulated test cell room air temperature (15/01/2007–18/01/2007)

simulation types. During this four day period the As-built/Measured climate simulation produced significantly warmer air temperatures for the test cell room.

An analysis of the daily minimum and maximum HER simulation values, as shown in Table 5.10, illustrates that on January 17, the variation in minimum simulation air temperatures ranged from 17.9 to 20.2  $^{\circ}$ C and the maximum air temperatures varied from 19.6 to 21.8  $^{\circ}$ C. This data reveals two distinct trends:

- the data presented from the various HER simulations for the 15th to the 18th of January varied by up to 2.2 °C for the maximums and up to 2.3 °C for the minimums
- when the site climate file was used, the maximum HER simulation temperatures were consistently much higher in value than the default climate file.

The As-built/Measured Climate (AB-C) simulated air temperatures for this test cell room were consistently warmer than the Default Fabric/TMY Climate (B-B) simulations from January to May 2007. For the month of June this pattern was reversed, with the As-built/Measured Climate (AB-C) and the Default Fabric/Measured Climate (B-C) simulations, having a lower maximum temperature than the Default Fabric/TMY Climate (B-B) and the As-built Fabric/TMY Climate (AB-B) simulations. The shift in pattern reinforces the earlier observation that the TMY climate file may be reducing the climatic temperature differences between winter and summer.

Considering all three test cell rooms, the analysis of the results from the four types of AccuRate HER simulation revealed that if a measured on-site climate file is not used for the simulation, unacceptable variations in simulation output data would occur, making the comparison of measured test cell room and HER simulation temperatures very unreliable.

What is also apparent from the analysis of data for the test cell room simulations is the varying impact of the As-built Fabric inputs. The inputs had the least visible impact on the unenclosed platform-floored test cell room and a greater impact was observable on the enclosed-perimeter platform-floored and concrete slab-on-ground floored test cell rooms.

### 5.3.3 Test Cell Roof Space

Although the subfloor and external wall materials and systems varied for the three thermal performance test cells, the roof space construction was identical. Each had a timber truss roof, sarking and sheet metal roofing, with bulk insulation on the ceiling to the room below. During the empirical validation period, each roof space was exposed to the same level of solar radiation, external air temperature and wind. Principal elements that could cause differences in the HER simulation of the three roof spaces included the infiltration rate and heat flow to or from the test cell room below. The infiltration method used by the AccuRate HER software utilises the formula shown in Eq. 5.1 (AccuRate 2007; Delsante 2006b). As the three test cells had the same terrain category (Clarke 2001) and the same external wind speed, the only difference was the measured infiltration rates. The measurement of the infiltration rates for the three test cells is discussed in Sect. 4.3. The calculated values of A and B infiltration factors (which were based on the tracer gas measurements) are shown below in Table 5.11.

Infiltration Formula Used by the AccuRate HER Software

Infiltration in Air Changes per Hour (ACH)

$$Inf. = A + B^* v \tag{5.1}$$

where:

A = Infiltration constant (ACH)

B = Increased effect based on wind speed (ACH)

V = Wind speed in m/s multiplied by a terrain factor (Delsante 2006b).

This diversity in infiltration rate is surprising, considering the constructed similarity of the roof spaces. While there are several possible explanations, and this would require further testing, one likely cause may be variations in the eave construction. This difference in infiltration rates should be evident in the results for the Accurate HER simulations.

The results of the roof space HER simulations for the enclosed-perimeter platform-floored test cell (Fig. 5.9) reveal that the primary factor affecting roof space air temperature is the climate. However, as much as the minimum values are alike for simulations using the same climate file, there is an obvious difference between the mid to maximum temperatures when the As-built and Default Fabric simulations

Test cell	A (ACH)	В
Unenclosed-perimeter platform-floored test cell (Test cell 1)	0.438	0.568
Enclosed-perimeter platform-floored test cell (Test cell 2)	0.160	0.190
Concrete slab-on-ground floored test cell (Test cell 3)	0.000	0.275

Table 5.11 Measured roof space infiltration rates



Fig. 5.9 Test cell 2 roof space: B-B, B-C, AB-B, AB-C results: January 2007

are compared. For this four day period the hottest roof space temperatures result from the As-built Fabric/Measured Climate (AB-C) simulation and the significantly different coolest roof space results from the Default Fabric/TMY Climate (B-B) simulation.

For the enclosed-perimeter platform-floored test cell, Table 5.12 shows that the minimum temperatures ranged from 8.6 to 15.4 °C and the maximum values ranged from 28.0 to 51.6 °C on January 16. The simulated minimum temperatures for the Default and As-built simulations are identical for each type of climate file. The Default and As-built maximum values vary by up to 2.8 °C which can be attributed to the As-built Fabric modifications.

For all three test cells, the roof space HER simulations were most affected by the climate file in use, with 23.6 °C difference in maximum temperature, (on January 16), for Test Cell 2. When comparing the data from the other roof space graphs, the HER simulation which incorporates the Measured Climate and As-built Fabric inputs has higher maximum values until either May or June. During the months of May and June the maximum values are lower for the HER simulations using the site-measured climate file. This further supports the notion that the default climate file has reduced the peak temperatures of the warmer months and raised the minimum temperatures of the colder months.

Date	B-B min	B-B max	AB-B min	AB-B max	B-C min	B-C max	AB-C min	AB-C max
15/01/2007	11.5	32.7	11.5	34.8	11.0	36.1	11.0	38.9
16/01/2007	15.4	28.0	15.4	29.1	8.6	48.8	8.6	51.6
17/01/2007	17.4	31.5	17.4	33.0	17.5	34.4	17.5	35.7
18/01/2007	16.0	33.8	16.0	36.3	15.8	42.0	15.8	44.3

 Table 5.12
 Test cell 2: comparison of minimum and maximum values of simulated test cell roof space air temperature (15/01/2007–18/01/2007)

# 5.3.4 Summary

When all the data from the four types of HER simulation of the three test cells are examined, some definitive trends are observable, namely:

- Regardless of zone type and the temperature ranges, climate has the greatest impact on the HER simulation output. If a site-measured climate file were not used for empirical validation purposes, differences of up to +12.5 and -16.8 °C would significantly effect any envelope simulation and compromise any comparison.
- The As-built Fabric inputs had a wide range of effects on the simulation results, primarily on the maximum values for the zone temperatures. In most cases the minimum temperature values were unchanged, which is an interesting phenomenon requiring further investigation. The subtle differences created by the inclusion of the As-built Fabric inputs in the HER simulations were apparent and demonstrate a requirement for their inclusion for the envelope simulation.
- In the warmer months, all the AccuRate simulations which used the TMY climate file tended to have calculated temperatures which were cooler than the simulations using the site-measured climate file. In the cooler months, all the AccuRate simulations which used the TMY climate file tended to have calculated temperatures which were warmer than the simulations using the site-measured climate file. This indicates that there has been a flattening of the maximum and minimum temperature values, during the development of the TMY climate files used by the software. Using the TMY climate file will therefore lead to an underestimation of cooling and heating requirements.
- Other research has shown that the conductivity values for built assemblages and individual materials can differ from the values built into the HER software (Ahmad and Szokolay 1993; Guyon et al. 1999a; Lomas et al. 1994). This could be a factor in the differences shown here between the measured and simulated temperatures and requires further investigation.

# 5.4 Empirical Validation Graphs

## 5.4.1 Unenclosed-Perimeter Platform-Floored Test Cell

It has been shown that for empirical validation purposes, the simulation used should be of the As-Built Fabric/Measured Climate (AB-C) type. In the following discussions, the term "simulation" refers to the AB-C type.

The unenclosed-perimeter platform-floored test cell has three zones, namely: a subfloor, a room and a roof space. It was expected that this test cell would have the greatest range of temperatures because it is, relatively, the most lightweight of the three test cells and it is the most open to the external environment. The unenclosed

subfloor was in a direct environmental exchange with the external climate, with some heat loss to or gain from the test cell room and the ground. The roof space was affected by:

- the external environmental temperature
- day time solar radiation gains and night time losses, and
- heat gain from or losses to the room.

The test cell room exchanged heat with the external environment through the walls, as well as the subfloor and roof space. To simplify the analysis Table 5.13, below, details the average differences between the measured and simulated minimum and maximum temperatures from the three zones of the unenclosed-perimeter platform-floored test cell. Thus to better understand the relationship between the adjacent zones, the data below is presented in the order: subfloor, roof space, room.

### 5.4.1.1 Test Cell Subfloor

Figures 5.10, 5.11, 5.12 and 5.13 show the variation between the simulated and measured temperatures in the subfloor zone of this test cell. The graphs show that the measured maximum value was:

- lower than simulated values in the hottest month of February
- of a similar value in the months of April and June
- higher in value in the months of March and May
- however, in all three graphs, the simulated minimum temperatures are significantly lower than the measured temperatures.

Figure 5.10 shows the variation between the assumed subfloor condition, where it is the same as the on-site climate and the micro-climate that has been established by the test cell building. The temperature within the subfloor zone does not get as hot or as cold as the on-site climate. On February 22 there was a 5  $^{\circ}$ C difference

	Daily minimum temperature (Measured – Simulated)			Daily maximum temperature (Measured – Simulated)		
	Subfloor	Room	Roof	Subfloor	Room	Roof
January	2.64	5.08	5.44	0.65	2.3	0.98
February	2.56	4.96	5.48	-2.29	1.73	-0.98
March	2.97	4.70	4.95	1.47	3.28	1.91
April	2.58	4.43	4.94	-0.75	1.98	1.31
May	1.98	3.75	5.37	1.16	2.95	3.53
June	3.24	4.38	3.58	-0.32	1.48	1.16

 Table 5.13
 Average difference between measured and simulated minimum and maximum temperatures (unenclosed-perimeter platform-floored test cell)



Fig. 5.10 Test cell 1 subfloor: AB-C and measured results: February 2007



Fig. 5.11 Test cell 1 subfloor: AB-C and measured results: April 2007

between the measured and simulated maximum temperature. The differences for the maximum temperatures fluctuate from month to month, as shown in Table 5.13 and there seems to be no obvious link to seasonal conditioning.

In the graph for May 2007 (Fig. 5.12) the simulated temperature of the subfloor zone is 1.5-2.0 °C warmer when compared to measured temperatures for both day and night time conditions. However, for all months the difference in the minimum values ranged from 1.98 to 3.24 °C, with the highest difference being in June (Fig. 5.13). The month to month variation can be explained largely by the fact that the AccuRate software assumed that the temperature of the subfloor zone was the same temperature as the site air temperature (Delsante 2005–2010).

The results in this study show that this is not the case. The results could indicate that in the hotter months (January–March) there is a partial ground keying effect



Fig. 5.12 Test cell 1 subfloor: AB-C and measured results: May 2007



Fig. 5.13 Test cell 1 subfloor: AB-C and measured results: June 2007

that cools the subfloor in the day time and, due to environmental thermal lag, warms the subfloor at night. This concept is further reinforced in the cooler months (May– June) when the measured temperature is warmer than the simulated temperature during both day and night, indicating that some heat is given off by the ground to the subfloor, creating an intermediate subfloor zone temperature, softening the full effect of the cool winter air temperature. Whether the intermediate ground zone effect fluctuates will be explored in further analysis.

If there is a partial ground keying effect in the test cell, (which is quite small in size, compared to a standard house), the much larger footprint of a standard house would produce a much larger intermediate subfloor zone. The effect of this variation in subfloor zone temperature on test cell room temperature will be examined further using statistical analysis in Sect. 5.5.4.

#### 5.4.1.2 Test Cell Roof Space

Figures 5.14, 5.15, 5.16 and 5.17 show the variation between the simulated and measured temperatures in the roof space for the months of February, April, May and June. The general shape of the simulated and measured data is somewhat similar, indicating that the simulation is reasonably accounting for the heat transfers in this zone. A closer examination revealed that the maximum measured and simulated temperatures are often somewhat similar but the minimum values in all graphs vary dramatically, by up to 5.44 °C (Table 5.13). This difference in the minimum temperatures is evident in all 6 months. This may indicate that either the roof space is better insulated, hence less heat losses to the night sky, or that there is a heat gain from the room.



Fig. 5.14 Test cell 1 roof space: AB-C and measured results: February 2007



Fig. 5.15 Test cell 1 roof space: AB-C and measured results: April 2007



Test Cell 1 Roof Space: AB-C & Observed - May

Fig. 5.16 Test cell 1 roof space: AB-C and measured results: May 2007



Fig. 5.17 Test cell 1 roof space: AB-C and measured results: June 2007

As shown in Table 5.13, the difference between measured and simulated maximum temperatures does appear to have some form of climatic conditioning where the hottest month of February has a negative average residual value of -0.98 °C, whilst the residual value for May is much higher at 3.53 °C. This indicates that further analysis is required to ascertain whether this might reflect a relationship to the adjoining room, or occur as a result of site climate conditions. The effect of wind speed and direction are suggested as possible contributing causes to the differences observed at this point, however further analysis is required.

Table 5.13 shows that the average difference between daily minimum measured and simulated temperatures are relatively larger than the average difference in daily maximum temperatures. This indicates that the heat transfer model for night time conditions appears to be more accurate than the day time model for this zone.



Fig. 5.18 Un-insulated eave with compressed cement sheet cladding

There is no obvious trend that can be explained by seasonal temperature swings, hence further analysis will be conducted to examine the effects of:

- wind speed and direction
- effects of southern shading by the relative position of the test cells.

The data entry for the roof space simulation did not include inputs for roof shading and eaves, as is evident in the fabric input requirements discussed in Sect. 4.4. Advice from CSIRO researchers confirmed that the software did not consider any form of shading of the roof elements (Delsante 2009). The thermal performance test cells were constructed with an eave, as in Fig. 5.18, having a compressed fibre cement sheet soffit area of ~11.6 m<sup>2</sup> and a resistance value of R0.01. It was expected that these two items could have an additional cooling affect to the roof space which is not apparent in the differences between the measured and simulated temperatures presented here. However this requires further investigation.

#### 5.4.1.3 Test Cell Room

The similarity in the general shapes of the two data sets (as shown in Figs. 5.19, 5.20, 5.21 and 5.22) indicates that the AccuRate HER software is accounting



Fig. 5.19 Test cell 1 room: AB-C and measured results: February 2007



Fig. 5.20 Test cell 1 room: AB-C and measured results: April 2007

reasonably well for various climatic and built fabric effects. This is particularly reinforced by the graph in Fig. 5.21, where both data sets tracked evenly the distinct change between a relatively cool day (May 18) and a relatively warm day (May 19). This suggests that the model is able to account for the effects of subtle external environmental impacts.

Figures 5.19, 5.20, 5.21 and 5.22 illustrate that the measured maximum temperatures were nearly always warmer than the simulated temperatures. On February 22 (Fig. 5.19) and June 22 (Fig. 5.22) the maximum values are very similar. Future examination of the external climatic conditions during these 2 days will provide an explanation for the close resemblance of the simulated and measured maximum temperatures. However, even on these 2 days there is a 5 °C or more variation in the minimum temperatures. The difference between the measured and simulated minimum values during the empirical validation period ranged from 3.75 to 5.07 °C



Fig. 5.21 Test Cell 1 room: AB-C and measured results: May 2007



Fig. 5.22 Test cell 1 room: AB-C and measured results: June 2007

(Table 5.13). This difference in minimum temperature would have a substantial impact on the energy required to maintain comfort and the resultant House Energy Star Rating. The difference between measured and simulated maximum values is a little smaller though, with an average of 1.48–3.28 °C (Table 5.13).

The test cell room temperature is affected by the external environment through its walls, and its interaction with the sub floor and roof space. It was noted above, in Figs. 5.10 and 5.11, that the maximum measured subfloor temperatures were cooler than the simulated temperature in February. As the maximum measured room temperatures in February were warmer than the simulated temperatures there seems to be little interaction between the two zones in the daytime. However the measured minimum subfloor temperatures in February were warmer than the simulated temperatures, which could account for some of the difference between simulated and measured room minimum temperatures. In May, the measured temperatures for the subfloor (Fig. 5.12) were consistently warmer than the simulated temperatures for the subfloor, and the temperatures for the test cell room (Fig. 5.21) show a similar difference between the measured and simulated temperatures. This could indicate a relationship between the subfloor and room that requires further examination. The possible relationship between the room and subfloor is explored further in the statistical analysis section.

It was noted that, except for the month of May, the maximum measured roof space temperatures were often similar in value to the simulated values: i.e., the maximum measured and simulated roof space temperatures were quite similar but the room measured temperatures were warmer than those simulated. This may indicate a heat transfer effect between the roof space and room, which is not accounted for fully and requires further investigation. However, it was also noted above that the roof space measured temperatures (Figs. 5.14, 5.15, 5.16 and 5.17) never became as cool as the simulated temperatures. As the test cell room minimum measured temperatures were warmer than the minimum simulated temperatures, this may indicate that there is either a reduced heat loss to, or gain from, the roof space. On February 22 (Fig. 5.19) the measured minimum test cell room temperature was 23.1 °C, which was warmer than both the subfloor and roof spaces (Table 5.14). This may indicate that it is not a heat gain but a reduced heat loss, or a thermal mass effect that has not been considered. When the heat transfer concept is considered in the context of data from May 19 (Fig. 5.21) a similar result is noticed; that is, the test cell room was warmer than the subfloor and roof spaces (Table 5.14). To ascertain if there is a relationship between the differences in the measured and simulated temperatures of the room and roof zones, further investigation is undertaken in the statistical analysis section. If there is a thermal mass effect at play, further analysis of the structural mass of the test cell is required.

## 5.4.2 Enclosed-Perimeter Platform-Floored Test Cell

This test cell has an enclosed subfloor zone, a room and a roof space. The key differences between this test cell and the unenclosed-perimeter platform-floored test cell are the enclosure of the subfloor and the external cladding. The external walls of the unenclosed-perimeter platform-floored test cell are plywood cladding, whereas the external walls of this test cell are brick veneer, which provided

7			2010212007	10	05/0007		
(February 2	and May 19	9, 2007)					
Table 5.14	Minimum	measured	temperatures	unenclosed-perimeter	platform-floored	test	cell

Zone	22/02/2007	19/05/2007
Roof space	20.3	15
Room	23.1	15.6
Subfloor	19	13.4

	Daily minimum temperature (Measured – Simulated)			Daily maximum temperature (Measured – Simulated)		
	Subfloor	Room	Roof	Subfloor	Room	Roof
January	-	4.65	-	-	4.18	-
February	-	4.48	-	-	3.40	-
March	4.75	5.58	9.24	4.83	5.40	3.45
April	4.31	4.90	8.85	3.13	4.00	3.25
May	3.93	4.50	8.87	3.83	4.55	6.31
June	5.67	4.08	8.76	3.66	3.08	5.07 <sup>a</sup>

 Table 5.15
 Average difference between measured and simulated minimum and maximum temperatures (enclosed-perimeter platform-floored test cell)

<sup>a</sup> This does not include the maximum value for June 22

*Note* The measured data for the months of January and February is unavailable for the subfloor and roof space

cladding and subfloor enclosure. Like the previous test cell, the roof space temperature was affected by: the external environment, day time solar radiation, night time losses and heat gain or losses to the test cell room. The test cell room temperature was affected by the external environmental temperature through its walls and received a tempered environmental effect from the subfloor and roof space.

Table 5.15 below summarizes the average difference between the daily measured and simulated minimums and maximums for each month. When compared to the data presented in Table 5.13, the following was observed:

- There is a significant increase in the differences between the measured and simulated minimum and maximum temperatures for the subfloor zone.
- The differences between the measured and simulated minimum values for the room are similar. However, there is a significant increase in difference between the measured and simulated maximum temperatures.
- There is a significant increase in the differences between the measured and simulated minimum and maximum temperatures for the subfloor zone.

In all graphs, there is a similarity in pattern, which gives the researcher confidence that the software is adequately considering the mix of multi-variant climatic inputs.

### 5.4.2.1 Test Cell Subfloor

The enclosed subfloor zone has wall vents to minimise moisture levels. The perimeter wall creates a zone that is conditioned by the exterior climate, ground and test cell room temperatures. The external walls of the enclosed subfloor comprise a single skin clay brick wall with an area of 33.41 m<sup>2</sup>. This comprises 15.9 % of the subfloor surface area (Table 5.16). The ground temperature was cooler than air temperature in summer but warmer than air temperature in winter. The ground

Surfaces	M <sup>2</sup>	% area	Resistance value
Subfloor—Test cell room	30.03	39.8	R0.90
Subfloor-External walls	11.93	15.9	R0.18
Subfloor—Ground	33.41	44.3	-

Table 5.16 Enclosed-perimeter platform-floored test cell subfloor surface areas

surface area comprises 44.3 % of the surface area of the subfloor zone. Even though the external wall comprises a small portion of the subfloor zone surfaces, it has a lower resistance value and is in direct contact with the external environment through the wall vents. Note that the measured infiltration rates were used for the subfloor detailed simulation.

Figures 5.23, 5.24, 5.25 and 5.26 show the variation between the simulated and measured temperatures in the subfloor zone of this test cell. The graphs show that for all months the measured temperatures were always warmer than the simulated temperatures. Each of the graphs show that the measured minimum and maximum temperatures are always warmer than the simulated temperatures.

The data analysis in Table 5.15 reveals some noticeable patterns. It is commonly accepted that the ground temperature is an average of the diurnal average temperature of a location (Delsante 2005–2010). If this is the case, then the effect of the ground temperature model on the maximum subfloor zone temperature would be most obvious in March, the hottest month for subfloor measurements and as it is the last of the hotter months, there may be a cooling effect. This is supported by the data in Table 5.15, where the greatest maximum difference in subfloor temperature occurs in March. This could indicate that the AccuRate subfloor model is not considering the ground effect appropriately, or that the ground model is not giving a true ground temperature. This hypothesis can be tested against the minimum values in Table 5.15. If the subfloor model is not considering the ground effect appropriately in winter, the minimum values would show the greatest difference. For the



Fig. 5.23 Test cell 2 subfloor: AB-C and measured results: March 2007



Fig. 5.24 Test cell 2 subfloor: AB-C and measured results: April 2007



Fig. 5.25 Test cell 2 subfloor: AB-C and measured results: May 2007

month of March, April and May, the differences in the minimum temperatures were 4.75, 4.30 and 3.93 °C, respectively. For the month of June the difference was 5.67 °C. This could indicate that the subfloor model requires further examination, as a constant difference between the simulated and measured temperatures of the subfloor can impact on the test cell room temperature.

As with the subfloor zone of the unenclosed-perimeter platform-floored test cell, a similar concern is raised here. If the subfloor model and/or the ground model is not providing a true indication of the subfloor air temperature, the much larger footprint of a standard house would produce a much larger intermediate subfloor zone. Therefore the effect the variation in subfloor zone temperature has on test cell room temperature is explored further in the statistical analysis.



Fig. 5.26 Test cell 2 subfloor: AB-C and measured results: June 2007

#### 5.4.2.2 Test Cell Roof Space

The roof spaces of all three test cells were constructed alike. The results presented for the enclosed-perimeter platform-floored test cell roof space (Figs. 5.27, 5.28, 5.29 and 5.30) show the variation between the simulated and measured temperatures. The general shape of the simulated data is somewhat similar to the measured data, indicating that the simulation considered most of the environmental thermal impacts.

The graphs show that, at times, the simulated and maximum temperatures are similar, as on March 21 (Fig. 5.27), April 16 (Fig. 5.28) and June 22 (Fig. 5.30). However, for most of the time the maximum values are different, which is in contrast to the graphs for the unenclosed-perimeter platform-floored test cell, where the maximum values were very similar. The average difference between the



Fig. 5.27 Test cell 2 roof space: AB-C and measured results: March 2007



Fig. 5.28 Test cell 2 roof space: AB-C and measured results: April 2007



Fig. 5.29 Test cell 2 roof space: AB-C and measured results: May 2007

measured and simulated maximum values ranged from 3.25 to 6.31 °C (Table 5.15). The higher differences occurred in the colder months of May (Fig. 5.29) and June (Fig. 5.30). This is a point when the roof space is in full sun, as the southern trees only shaded the roof in January. Conversely, the average minimum difference in all the graphs (Figs. 5.27, 5.28, 5.29 and 5.30) is of a much greater magnitude and ranges from 8.76 to 9.24 °C, with the greatest difference occurring in March. This discrepancy could indicate several different scenarios, including:

- The roof space is retaining more heat than expected.
- More heat is being conducted from the test cell room.
- The roof model is not allowing the true amount of heat energy to enter the roof space.
- The calculated night-time losses are incorrect.



Fig. 5.30 Test cell 2 roof space: AB-C and measured results: June 2007

The differences in this data are also of concern, as the much lower resistance value of the eave to the outside air, which was not modelled in the simulation, should have made the measured values for the roof space cooler than the simulated values in winter, due to night and day time conductive losses. This is not apparent in the data and requires further examination in the future.

The section below discusses the test cell room. Differences in the roof space temperature of this magnitude may have had some impact on the measured temperatures of the room.

#### 5.4.2.3 Test Cell Room

As with this test cell's roof space and subfloor, there is a seemingly constant difference between the measured and simulated values for the test cell room (Figs. 5.31, 5.32, 5.33 and 5.34). The general shape of the two data sets is similar, reflecting that the AccuRate software is taking into account various climatic and built fabric effects. There is an anomaly in Fig. 5.33, where the measured data documents a rise in room temperature on May 19, which is not reflected in the simulated data. This will be investigated further.

Simulated and measured temperatures of the test cell room illustrate that for all months of the empirical validation process, the measured temperatures were always warmer than the simulated temperatures. The test cell room temperature was affected by the external environment through its walls and its interaction with the subfloor and roof space. It was noted above that the minimum measured temperatures of the test cell subfloor and roof space zones were always warmer than the simulation results. The surface area of the test cell room, as shown in Table 5.17, is evenly split between the external walls (46.8 %) and the roof and subfloor (53.2 %).



Fig. 5.31 Test cell 2 room: AB-C and measured results: February 2007



Fig. 5.32 Test cell 2 room: AB-C and measured results: April 2007

This could indicate either a heat gain from or at least a reduced heat loss to the subfloor and roof space zones.

When the difference between measured and simulated minimum and maximum values for the test cell room are compared to the subfloor and roof space zones, some key elements become apparent (Table 5.15). For the test cell room, differences in the average minimum temperatures range from 4.08 to 5.58 °C and the differences in the average maximum temperatures ranged from 3.08 to 5.40 °C. The average differences in the minimum and maximum temperatures for the subfloor were 4.67 and 3.86 °C, respectively. In addition, the test cell room average minimum and maximum temperature differences are higher than the subfloor temperature differences for March, April and May. In June the higher value of the



Test Cell 2 Room: AB-C & Observed - May

Fig. 5.33 Test cell 2 room: AB-C and measured results: May 2007



Fig. 5.34 Test cell 2 room: AB-C and measured results: June 2007

Surfaces	M <sup>2</sup>	% area	Resistance value
Test cell Room—Roof space	30.03	26.6	R3.59
Test cell Room-External walls	52.68	46.8	R2.50
Test cell Room—Subfloor	30.03	26.6	R0.90

 Table 5.17
 Enclosed-perimeter platform-floored test cell room surface areas

differences between the test cell room and the subfloor move in favour of the subfloor, indicating an increasing, unaccounted for, thermal influence in the subfloor zone.

The comparison of the differences between measured and simulated temperatures for the roof space are also of interest, where the difference in the roof space minimum temperatures was always higher than the like value for the test cell room. In March and April, the maximum difference between the simulated and measured roof space temperatures was less than the like values for the room, but in May and June the differences for the roof space temperatures were higher.

These observations between the measured and simulated temperatures and their differences could indicate a few different scenarios, as follows:

- The measured subfloor temperature is always warmer than the simulated subfloor temperature implying that the heat loss from the test cell room to the subfloor could be reduced.
- The difference between the maximum values for the measured and simulated subfloor temperatures is always positive, indicating that there may be some heat given off by the subfloor to the test cell room in winter (June) when the difference is higher in the subfloor.
- The difference between the maximum values for the measured and simulated temperatures for the roof and test cell room is greater in May and June, indicating there may be a greater amount of heat given off by the roof space to the test cell room.
- The average difference between the roof space and test cell room minimum temperatures is constantly greater than the average difference in maximum temperatures, indicating there may be a reduced quantity of heat loss from the test cell room to the roof space at times of cooler temperatures.

These propositions will be examined in the statistical analysis (see Sect. 5.5).

## 5.4.3 Concrete Slab-on-Ground Floored Test Cell

The concrete slab-on-ground floored test cell was the simplest of the three test cells, since it included only the room and a roof space. The key differences between this test cell and the platform-floored test cells, is the absence of the subfloor zone and the inclusion of an uninsulated concrete slab-on-ground floor. Like the enclosed-perimeter platform-floored test cell, this test cell had a brick veneer cladding and its roof space received the temperature effects of the external environment, solar radiation day time gains and night time losses and heat gain or losses to the test cell room. The test cell room was affected by the external environment through the walls, and received a tempered environmental effect from the roof space, and its floor temperature was conditioned by the ground temperature.

Table 5.18 details the average differences between the measured and simulated minimums and maximums for the empirical validation graphs. To better understand relationships between the roof space and test cell room, the graphs below are presented with the roof space first, followed by the test cell room.

Month	Daily minimum temperature (Measured – Simulated)		Daily maximum temperature (Measured – Simulated)		
			(Internet Simulated)		
	Room	Roof space	Room	Roof space	
January	4.15	7.96	4.3	1.93	
February	4.88	8.17	4.98	0.80	
March	4.65	7.95	4.68	3.06	
April	4.45	8.84	4.30	4.10	
May	3.48	7.61	3.58	5.28	
June	1.85	4.87	1.35	3.08	

 
 Table 5.18
 Average difference between measured—Simulated minimum and maximum temperatures (concrete slab-on-ground floored test cell)

#### 5.4.3.1 Test Cell Roof Space

The test cell roof space was constructed alike for all three test cells. The earlier discussion on eave and shading HER modelling matters also applies to this test cell. The results presented for the concrete slab-on-ground floored test cell roof space (in Figs. 5.35, 5.36 and 5.37) show the variation between the simulated and measured temperatures. The general shape of the simulated data is somewhat similar to the measured data, indicating that the simulation has considered most of the environmental thermal impacts.

The resultant graphs for the slab-on-ground floored test cell roof space are very similar in shape to those of the other two test cells. For this roof space on particular days in February (Fig. 5.35) and June (Fig. 5.37) the measured and maximum temperatures are very similar; however, there are also many times where there is a significant difference, as on April 19 (Fig. 5.36) and May 16–19 (Fig. 5.37). In all the graphs there is a significant difference between the measured and simulated minimum temperatures.



Fig. 5.35 Test cell 3 roof space: AB-C and measured results: February 2007



Fig. 5.36 Test cell 3 roof space: AB-C and measured results: April 2007



Fig. 5.37 Test cell 3 roof space: AB-C and measured results: May 2007

The average difference between the measured and simulated maximum temperatures ranged from 0.8 to 5.28 °C (Table 5.18). There appear to be some monthly or climatic effects, as the maximum measured and simulated roof space temperatures are very similar in value in the hottest month, February, and May, one of the cooler months. However, the data for June shows a reduced difference, which may indicate that it is not just a seasonal modelling issue. The difference between the measured and simulated minimum temperatures tells a different story and is very similar to the previous test cell roof spaces, where the average difference for the months of January, February, March, April and May are close to or greater than 8 °C, and for the month of June 4.87 °C. These differences must affect the heat energy exchange between the test cell room and the test cell roof space. Similar to the enclosed-perimeter platform-floored test cell, these discrepancies could indicate several different scenarios including:



Fig. 5.38 Test cell 3 roof space: AB-C and measured results: June 2007

- The roof space is retaining more heat than expected.
- More heat is being gained from the test cell room.
- The roof model is representing the true amount of heat energy transferring into the roof.
- The calculated night time losses may be incorrect, particularly affecting the minimum temperatures (Fig. 5.38).

This roof space received early morning shading in the months of January and February, yet this is not reflected in either the simulated or measured data. This indicates that the roof space temperature is not greatly affected by the reduced solar radiation in the morning. As discussed for the roof space of the other two test cells, the much lower resistance value of the eave to the outside air, (which was not modelled in the simulation), should have made the measured values for the roof space cooler than the simulated values in winter, due to night and day time conductive losses. This is not apparent in the data and requires further examination in the future.

#### 5.4.3.2 Test Cell Room

There is a seemingly constant difference between the measured and simulated temperatures for the room of this test cell, in all months (Figs. 5.39, 5.40, 5.41 and 5.42). The general shape of the two data sets is similar, reflecting that the AccuRate HER software is taking into account various climatic and built fabric affects.

The test cell room simulated and measured temperatures illustrate that for all months of this research, the measured temperatures were always warmer than the simulated temperatures. The test cell room temperature was affected by the external environment through its walls, and its interaction with the ground (Anderson 1991) and roof space. It was noted above, that the measured temperatures of the test cell roof space were always warmer than the simulated temperatures. The surface area



Fig. 5.39 Test cell 3 room: AB-C and measured results: February 2007



Fig. 5.40 Test cell 3 room: AB-C and measured results: April 2007

of the test cell room is evenly split between the external walls (46.8 %) and the roof and subfloor (53.2 %) as shown in Table 5.19. This may indicate either a heat gain from or a reduced heat loss to the roof space zone.

When the average difference between measured and simulated minimum temperatures for the test cell room are analysed, several key elements become apparent (Table 5.18). The first is that the average differences of the minimum temperatures of the test cell room differ from 4.15 to 4.88 °C for the months of January to April, with the average difference dropping 3.48 and 1.85 °C for May and June respectively. The second is that the average differences of the maximum temperatures are extremely similar in value and range to the minimum difference values. The average difference in the maximum temperatures for the test cell room range from 4.30 to 4.98 °C for the months of January to April and the average difference reduced to 3.58 and 1.35 °C for May and June respectively.

Test Cell 3 Room: AB-C & Observed - February


Fig. 5.41 Test cell 3 room: AB-C and measured results: May 2007



Fig. 5.42 Test cell 3 room: AB-C and measured results: June 2007

Table 5.19 Concrete slab-on-ground floored test cell room surface a	areas
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Surfaces	M <sup>2</sup>	% area	Resistance value
Test cell Room—Roof space	30.03	26.6	R3.59
Test cell Room-External walls	52.68	46.8	R2.50
Test cell Room—Ground	30.03	26.6	R0.90

This monthly or seasonal pattern and the average differences between measured and simulated temperatures may indicate that the AccuRate HER software is modelling thermal performance more accurately in the cooler months, as the data shows the greatest average difference between measured and simulated temperatures occurring in the hottest month and the smallest difference occurring in the coldest month.

Another aspect which requires further investigation is the ground model and its interaction with the concrete slab-on-ground floor. Delsante has developed improvements to the ground model in AccuRate (Delsante 1988, 1989, 1993; Delsante et al. 1983) but if there is an anomaly in the ground model, which has been discussed in the context of the subfloor spaces of the other two test cells, there may be an influence on the relative ground-keying affect of the concrete floor for this test cell.

# 5.4.4 Summary for Empirical Validation Graphs

The variations between the measured and simulated temperatures for all zones in all three test cells are significant. Some suggest monthly climatic or seasonal influences, whilst others seem to indicate a general under-acknowledgement of a number of inputs affecting the heat energy flows within the test cells. This is clearly apparent in the two platform-floored test cells, where the subfloor model, (and perhaps the ground model), appear not to be considering real-life interactions.

The measured minimum subfloor temperatures are consistently warmer than the simulated temperatures. This consistent under-estimation of the subfloor temperatures could indicate that the subfloor provides heat to or a reduced heat loss from the test cell room. This could indicate an error in the subfloor model and the partial ground keying affect of the ground, or an error in the ground model. If there is an error in the ground model, then the simulation of the concrete slab-on-ground floored test cell is also questionable.

The roof zone data appears to show monthly climatic or seasonal trends for the average difference between the measured and simulated maximum temperatures in each test cell. The average difference between the measured and simulated minimum temperatures for all three test cells was consistently positive. As with the subfloor, this may indicate additional heat transfer to or reduced heat loss from the test cell room.

The detailed AccuRate HER simulation of all three test cells consistently underestimated the room zone temperature. The difference between the measured and simulated minimum values was often 4-5 °C. If this was a conditioned room in a normal HER assessment, differences of this magnitude would have a large impact on the energy calculation and the resultant House Energy Star Rating.

The purpose of the empirical validation graphs was to examine whether the AccuRate HER software was considering environmental and built fabric energy flows and whether there were similarities between measured and simulated values. The visual analysis of the empirical validation graphs gives some confidence that the software is accounting for substantial environmental and built fabric inputs as the two data sets often ran parallel to each other. However, this simple analysis also

revealed some significant differences between the measured and simulated temperatures. Most of these differences were an under-estimation of zone temperatures by the software. This under-estimation was observed in the subfloor, roof and test cell room zones of all three test cells.

The subfloor and ground modelling method and capacity of many thermal simulation softwares has been called into question (Adjali et al. 2000; Akinyemi and Mendes 2008; Barnaby et al. 2005; Deru 2003; dos Santos and Mendes 2003; Rock and Ochs 2001; Williamson and Delsante 2006) and depending on the type of ground model used, it has been shown that results can differ by between 15 and 60 % (Crowley 2009; Neymark et al. 2009). The differences shown in these graphs may indicate that this aspect of the AccuRate software requires further investigation and improvement.

To examine these differences in terms of interaction between zones, the following statistical analysis section assesses whether the difference between the measured and simulated temperatures in the subfloor and roof spaces have a relationship with the differences observed in the test cell room temperatures. The statistical analysis also explores any relationship between key external environmental parameters and the differences between measured and simulated temperatures which have been identified.

# 5.5 Statistical Analyses

The graphical analysis provided a basis for an initial assessment of the responsiveness of the AccuRate HER software to environmental influences and its capacity to predict zone temperatures. In this section, more detailed analyses of the differences between measured and simulated data were conducted using statistical analyses. The results discussed below are based on selected graphs from the full dataset of analyses. The residual values (Measured – Simulated) for each zone of the three test cells are examined. The purpose of the analyses is to investigate the relationships between the residual value (or error) for a zone and the residual value for its adjoining zone, or a climatic variable. The analyses conducted were:

- correlations between the measured and simulated temperatures for each test cell zone;
- histogram and time series analyses of the residual values for each test cell zone;
- · correlations of the residual values of adjoining zones; and
- correlations between residual values for each test cell zone and measured climatic conditions.

These forms of analysis were conducted using data from the entire validation period, and monthly data. Previous research has used the mean temperatures for a given period to examine differences between the measured and simulated data sets. It will be shown here that there is little value in using mean temperatures. Instead, the residual values were analysed to examine daily and seasonal trends. After preliminary analyses of the full data set (January–June) were completed, monthly data subsets were also examined. This revealed distortions in the full data set for each variable, thus highlighting any seasonal influences or misrepresentations (Frank and Althoen 1994).

# 5.5.1 Scatter Plot of Measured and Simulated Temperatures

These analyses compared the measured and simulated temperatures for each zone within the three test cells. Scatter plots and determining the line of best fit are used to determine how or if two variables are related, and to indicate the strength of that relationship (Palomo et al. 1991). A second key factor for this type of analysis was the consideration that AccuRate was principally an energy balance program. The software calculates energy flows within a building until balance is achieved. If the software under-predicts the temperature of a zone, the software assumes that either this additional energy is not transferred from another zone, or is correspondingly transferred to adjoining zones, depending on the other zone's temperature, fabric conductivity, emittance and infiltration values. Likewise, if the software over-predicts a zone temperature, it assumes that the zone proportionately absorbs energy from an adjoining zone, or is not transferring energy to an adjoining zone, depending on the other zone's temperature, fabric conductivity, emittance and infiltration values. These assumptions (Kokogiannakis et al. 2008) are the basis of the algorithms, which may need to be improved. If the software has a problem in particular algorithms or a zone, it will also impact on the residual values of adjoining zones. If there is a strong correlation between the residual values of adjoining zones, improving the algorithm in one zone can reduce the level of error in the other zones.

In the following discussions of scatter plots, the X axis is the measured temperature and the Y axis is the simulated temperature. To best illustrate the correlation between data sets, the scales on the X and Y axes of the scatter plots vary.

#### 5.5.1.1 Unenclosed-Perimeter Platform-Floored Test Cell

The scatter plots for the unenclosed-perimeter platform-floored test cell (Figs. 5.43, 5.44, 5.45, 5.46, 5.47 and 5.48) show a strong positive linear correlation between the measured and simulated values. On closer examination of Figs. 5.43, 5.45 and 5.47, (which include data from January to June), a few elements become apparent. The trend lines show a difference between the measured and simulated temperatures of 0-2 °C for the subfloor, 2-4 °C for the test cell room and 1-4 °C test cell roof spaces. In all cases, the variance increases with higher measured temperatures. The data below the trend line in all three zones is quite defined and this could suggest a boundary condition that is working effectively in this model. The data above the



Fig. 5.43 TC1 subfloor measured versus simulated: January–June 2007 (r = 0.97)



Fig. 5.44 TC1 subfloor measured versus simulated: March/April 2007 (r = 0.96)



Fig. 5.45 TC1 room measured versus simulated: January–June 2007 (r = 0.97)



Fig. 5.46 TC1 room measured versus simulated: March/April 2007 (r = 0.96)



Fig. 5.47 TC1 roof measured versus simulated: January–June 2007 (r = 0.99)



Fig. 5.48 TC1 roof measured versus simulated: March/April 2007 (r = 0.99)

T-LL 500 T-111				
rable 5.20 Test cell 1 measured temperature and simulated temperature correlation ratios		Subfloor	Room	Roof space
	Full data set	r = 0.97	r = 0.97	r = 0.99
	January	r = 0.96	r = 0.96	r = 0.99
	March/April	r = 0.96	r = 0.96	r = 0.99
	May	r = 0.97	r = 0.95	r = 0.97
	June	r = 0.96	r = 0.93	r = 0.98

trend line for all zones becomes dispersed when compared to the data below the trend line.

When the scatter plots which show the data from mid-March to mid-April (Figs. 5.44, 5.46 and 5.48) are examined, this observation is prominent in the subfloor scatter plot. The test cell room data (Fig. 5.46) above the trend line shows some disparity but not as broad as for the subfloor (Fig. 5.44). The test cell roof space (Fig. 5.48) has the widest range of measured and simulated data, due to the degree of exposure to external environment and the thermal properties of the roof space fabric. In addition, similar to the other zones, the data above the trend line is more dispersed than data below the trend line.

The correlation ratios for this test cell are all very strong, as in Table 5.20, which also shows the variation that occurs between different subsets of the data. In the case of the subfloor, the ratio sits comfortably between 0.96 and 0.97. However, the test cell room ratio declines from 0.96 in January to 0.93 in June, indicating a possible seasonal influence. The roof space has a similar trend to the test cell room, where the ratio declines from the value of 0.99 for January, February, March and April to 0.97 and 0.98 for May and June, respectively. This table illustrates the inherent risks when analysing the full data set, especially in the case of the test cell room, where the full data set had a ratio of 0.97, but none of the individual monthly data sets had a ratio of a similar value.

If the variance is not constant, it means the data are heteroscedastic and, in that case, correlation is not a good measure of association. Based on the observations of all six cases, it is apparent that there seems to be a temperature above which the relationship between the measured temperature and simulated temperature tends to be more variable; that is, there seems to be a boundary condition operating. These scatter plots also show that the data under the line of best fit is homoscedastic, whilst the data above the line of best fit becomes heteroscedastic.

### 5.5.1.2 Enclosed-Perimeter Platform-Floored Test Cell

The scatter plots for this test cell (Figs. 5.49, 5.50, 5.51, 5.52, 5.53 and 5.54) are somewhat different in character to those of the unenclosed-perimeter platform-floored test cell. The subfloor zone, (Fig. 5.49), has a broad data spread above and below the line of best fit. The scatter plot up to 15 °C has a distinctly different shape to the data above 15 °C. This temperature is very close to the ground temperature of



Fig. 5.49 TC2 subfloor measured versus simulated: March–June 2007 (r = 0.96)



Fig. 5.50 TC2 subfloor measured versus simulated: April 2007 (r = 0.85)



Fig. 5.51 TC2 room measured versus simulated: January–June 2007 (r = 0.98)



Fig. 5.52 TC2 room measured versus simulated: March/April 2007 (r = 0.93)



Fig. 5.53 TC2 roof measured versus simulated: March–June 2007 (r = 0.97)



Fig. 5.54 TC2 roof measured versus simulated: April 2007 (r = 0.98)

this locality (BOM 2010). This could indicate some form of heat exchange between the ground and subfloor zone that is not accounted for fully. The correlations between the measured and simulated values of the test cell room (Fig. 5.51) are much tighter. However, similar to the subfloor zone, there is a bend in the data pattern below 15 °C indicating some relationship between the observations noted in the subfloor and the test cell room. The roof space correlation graph (Fig. 5.53) shows a strong correlation between the measured and simulated data sets until the temperature reaches 25 °C, at which point the data becomes more dispersed. As discussed earlier, due to technical difficulties the data for the roof space and the subfloor zones for this test cell were not available until March. To facilitate the comparison of the scatter plots for the test cell room from all three test cells, the time period included in the analysis is identical (i.e., March/April). However, the data analysis for the roof space and the subfloor zones for this test cell were conducted with monthly subsets (i.e., March, April, May and June). If correlations or other sub groupings of data became apparent, future research could redefine the date-based groupings of the data.

When the data sets from mid-March to mid-April are analysed, these trends become more evident. In the case of the subfloor zone (Fig. 5.50) the data above and below the trend line becomes ovoid, with the widest range being between 18 and 22 °C. This indicates that there was a wide range of variance above and below the trend line from 18 to 22 °C. Below 18 °C and above 22 °C the variation from the trend line reduces.

The test cell room data for mid-March to mid-April retains a similar pattern to the room of the unenclosed-perimeter platform-floored test cell, where the data under the trend line is quite solid, but the data above becomes more dispersed (Fig. 5.52).

The correlation between the roof space measured and simulated temperatures for mid-March to mid-April (Fig. 5.54), for this test cell appears more dispersed than the unenclosed-perimeter platform-floored test cell roof space (Fig. 5.48).

The correlation ratios for this test cell, (as in Table 5.21) show the variation that occurs between different subsets of the data. In contrast to the stable ratios of the unenclosed-perimeter test cell, the subfloor of this test cell has a ratio of 0.90 for March and April, but a lower ratio of 0.85 and 0.86 for the cooler months of May and June, respectively. The ratios for the test cell room are quite similar for most months with the exception of May where a lower ratio exists. The roof space has a slight trend, where the ratio declines from the value of 0.97 for March and April to

T-LL 501 T-100				
measured temperature and simulated temperature correlation ratios		Subfloor	Room	Roof space
	Full data set	r = 0.96	r = 0.98	r = 0.97
	January	-	r = 0.93	-
	March/April	r = 0.90	r = 0.93	r = 0.97
	May	r = 0.85	r = 0.88	r = 0.95
	June	r = 0.86	r = 0.92	r = 0.96

0.95 and 0.96 for May and June, respectively. As noted with the previous test cell, there is a risk in only analysing the full data set, where for this test cell the full data set ratios for the subfloor and room are significantly different from the monthly ratios.

### 5.5.1.3 Concrete Slab-on-Ground Floored Test Cell

The scatter plots for the room of this test cell (Figs. 5.55, 5.56, 5.57 and 5.58) show a strong linear correlation between the measured and simulated values. The data for the test cell room (Fig. 5.55) is quite tightly grouped along the trend line, much more so than for the previous two test cell rooms. This could be in part due to the much smaller range of temperatures, where the room temperature of the unenclosed-perimeter platform-floored test cell ranged from 10 to 40 °C, whereas this room's temperature ranges from 10 to 28 °C. The strength of the correlation is reflected, with this test cell room having the highest correlation ratio of 0.99, as compared to strong ratios of 0.97 and 0.98 for the previous test cell rooms. As this test cell does not have a subfloor, climatic elements can only affect the external walls and roof space. The test cell room scatter plot shows some interesting data groupings with differing relationships to the trend line. The distinct groupings of data are from 10 to 12 °C; 12 to 16 °C; 16 to 20 °C; and all measured data above 20 °C. This is somewhat similar to the observation noted for the subfloor of the



Fig. 5.55 TC3 room measured versus simulated: January–June 2007 (r = 0.99)



Fig. 5.56 TC3 room measured versus simulated: March/April 2007 (r = 0.98)



Fig. 5.57 TC3 roof measured versus simulated: January–June 2007 (r = 0.97)



Fig. 5.58 TC3 roof measured versus simulated: March/April 2007 (r = 0.97)

enclosed-perimeter platform-floored test cell. This test cell does not have a subfloor, but the concrete slab-on-ground floor is keyed directly to the ground. The ground temperature ranges from  $\sim 18$  °C in February to 11 °C in June (BOM 2010). When the measured temperatures for the room were below the ground temperature, the room would be cooler than the ground temperature and would be warmed by the ground. This could indicate that the ground model for the ground keyed slab requires calibration. Matters pertaining to the ground model within various softwares, internationally, have been explored and discussed by researchers, who have identified this as an area requiring improvement and calibration (Deru 2003; Krarti and Ihm 2009; Neymark et al. 2008), which has included revisions to the IEA BESTEST documentation (Neymark et al. 2008). This should be explored in the context of the AccuRate software.

The test cell roof space (Figs. 5.57 and 5.58) shows similar trends to the previous two test cells. However, the two scatter plots show some distinctly different patterns. The full data set (Fig. 5.57) shows a curvilinear relationship where the curve is below the trend line and the March and April data has the curve above the trend line. In the full data set there is a distinct shift in the pattern below 10 °C when this phenomenon occurs.

As noted for the previous test cells, the full data set correlation ratio can be notably different to the ratio for individual months, as shown in Table 5.22. With the exception of the March/April data, the test cell room ratios decline in value from

rable 5.22 Test cell 3 measured temperature and simulated temperature correlation ratios		Room	Roof space
	Full data set	r = 0.99	r = 0.97
	January	r = 0.96	r = 0.94
	February	r = 0.94	r = 0.99
	March/April	r = 0.98	r = 0.97
	May	r = 0.92	r = 0.92
	June	r = 0.89	r = 0.97

0.96 in January to 0.89 in June; this could indicate the ground temperature hypothesis mentioned above, or some seasonal effect. The roof space correlation ratios for each month are more inconsistent than the test cell room in terms of indicating any monthly trends.

## 5.5.1.4 Summary

- The correlation ratio for the zones of the three test cells ranged from 0.85 to 0.99, indicating a very strong correlation between the measured and simulated temperatures. The AccuRate HER software is under-estimating the temperature for subfloor, test cell room and roof space zones, consistently and frequently.
- In most cases, the data points are concentrated below the trend line, implying that the model works best within a boundary condition. However, the data above the trend line and at higher temperatures often becomes more dispersed. This could suggest that particular algorithms are not appropriately considering some inputs.
- There is a shift in the relationship of the measured and simulated temperatures in the subfloor zone, and it occurs when the measured temperature drops below the ground temperature. This requires further investigation as it appears to affect the room temperature within the enclosed-perimeter platform test cell. A similar effect is noticeable in the concrete slab-on-ground floored test cell.
- The correlation of the full January to June data sets is not a good indicator of association as the data are heteroscedastic and the monthly scatter plots show a different ratio for each month.

# 5.5.2 Residual Histograms

This analysis was completed to enable a quick visualisation of the difference, hereafter referred to as the residual value, between the measured temperature and simulated temperature. In all cases, the residual value has been obtained by subtracting the simulated temperature from the measured temperature (Measured Temperature – Simulated Temperature = Residual Value). To best illustrate the residual values, the scales on the X and Y axes of the histograms vary.

### 5.5.2.1 Unenclosed-Perimeter Platform-Floored Test Cell

The temperature of the subfloor for the period of January to June (Fig. 5.59) had a predominant residual value of 1–3 °C, with more than 2,650 (65 %) observations having a 2 °C variation (Table 5.23). When the data for January (Fig. 5.60) is examined, a very similar profile to the 6 month data set was recognisable.

The residual values for the test cell room (Fig. 5.61) are concentrated between 2 and 6 °C, with an average residual value of 3.5 °C (Table 5.23). There were nearly 2,900 (72 %) observations where the residual value was 3 °C or higher. As each observation is equivalent to one hour of simulation or measured time, this equates to 2,900 h where the simulated temperature was lower than the measured room temperature by more than 3 °C. The data from mid-March to mid-April (Fig. 5.62) reinforces this observation, where the majority of the residual values were between 2 and 7 °C. This level of error would have a dramatic affect on the calculation of energy for heating or cooling.



Fig. 5.59 TC1 subfloor residual: January–June 2007

	Mean residual value T (°C) Full data set (°C)	Mean residual value T (°C) Monthly sample (°C)
Subfloor	2.0	1.5 (January)
Room	3.5	4.0 (March/April)
Roof space	3.5	3.5 (January)

Table 5.23 Unenclosed-perimeter platform-floored test cell mean residual values



Fig. 5.60 TC1 subfloor residual: January 2007

The residual values for the roof space (Fig. 5.63) show similar trends to those in the subfloor and test cell room, with an average residual value of 3.5 °C (Table 5.23). In a similar pattern to the test cell room, the roof space residual values for the 6 month data set range from -6 to 9 °C, with the majority of the values between 2 and 6 °C. The residual values from January (Fig. 5.64) also have the majority of the data in the range of 2–7 °C. Note however, that the roof space residual histograms are slightly skewed negatively, and the mean value is used here for the purpose of preliminary examination. The mean monthly data is also presented in the table for comparison.

Although there was no insulation between the subfloor and the test cell room, there was R4.0 glass wool insulation in the ceilings of the test cells, providing a thermal break between the room and roof space. This level of insulation would have



Fig. 5.61 TC1 Room residual: January–June 2007



Fig. 5.62 TC1 room residual: March/April 2007



Fig. 5.63 TC1 roof space residual: January–June 2007



Fig. 5.64 TC1 roof space residual: January 2007

tempered the exchange of heat between the room and roof spaces. If there is a relationship between the roof space residuals and room residuals, a similar principle of heat flow would apply to all three test cells. To assess whether or not there is any correlation between the residual values of adjoining zones, a correlation study was conducted, as discussed later in Sect. 5.5.4.

### 5.5.2.2 Enclosed-Perimeter Platform-Floored Test Cell

Similar to the unenclosed-perimeter platform-floored test cell, this test cell had significant residuals. The subfloor residuals principally ranged from 2 to 5 °C (Figs. 5.65 and 5.66), with an average residual of 3.5 °C (Table 5.24).

The test cell room residuals were within a similar range, with residual values for a large portion of the data being between 2.5 and 4.5 °C (Fig. 5.67) and an average residual value of 3 °C (Table 5.24). The data from mid-March to mid-April had an average residual value closer to 5 °C (Fig. 5.68). Based on the 6 month histogram, there were more than 1,650 (40 %) hours when the test cell room was 3 °C warmer than the simulated temperature.

The roof space of this test cell had a much greater average residual value than the previous test cell, with considerable quantities of data having a residual value 4-10 °C (Fig. 5.69), and an average residual of 6.5 °C (Table 5.24 and Fig. 5.70).



Fig. 5.65 TC2 subfloor residual: March–June 2007



Fig. 5.66 TC2 subfloor residual: April 2007

Table 5.24 Enclosed-perimeter platform-floored test cell mean residual values

	Mean residual value T (°C) Full data set (°C)	Mean residual value T (°C) Monthly sample (°C)
Subfloor	3.5	3.75 (April)
Room	3.0	4.75 (March/April)
Roof space	6.5	6.50 (April)

### 5.5.2.3 Concrete Slab-on-Ground Floored Test Cell

The AccuRate simulation of the concrete slab-on-ground floored test cell provided output temperatures for two zones only, namely, the test cell room and the roof space. Similar to the two previous test cells, the histograms consistently presented positive residual values. The test cell room had significant residual values, ranging from 3 to 5 °C (Fig. 5.71), with an average residual value of 3.75 °C (Table 5.25). The residual values for mid-March to mid-April (Fig. 5.72) were higher, with an average of 4.25 °C. There were in excess of 3,500 (85 %) hours when the simulated temperature was lower than the measured temperature by 3 °C or more.

The residual values for the roof space of this test cell were somewhere between the values from the two previous test cells, with a range of 2-10 °C (Figs. 5.73 and 5.74), with an average of 6.0 °C (Table 5.25).



Fig. 5.67 TC2 room residual: January–June 2007



Fig. 5.68 TC2 room residual: March/April 2007





Fig. 5.69 TC2 roof space residual: March–June 2007



Fig. 5.70 TC2 roof space residual: April 2007



Fig. 5.71 TC3 room residual: January–June 2007

Table 5.25 Concrete slab-on-ground floored test cell mean residual values

	Mean residual value T (°C) Full data set (°C)	Mean residual value T (°C) Monthly sample (°C)
Room	3.75	4.25 (March/April)
Roof space	6.00	6.00 (March/April)

## 5.5.2.4 Summary

- For all three test cells the average residual value for the test cell room was 3 °C or higher. This may be caused by an error in the algorithms.
- The subfloor spaces of the unenclosed-perimeter and enclosed-perimeter platform-floored test cells had an average residual value of 2 and 3 °C respectively. This level of error for the simulated subfloor can impact on the test cell room temperatures.
- The roof spaces for the three test cells, even though constructed alike, performed quite differently. Similar to the subfloor residual values, the consistent underprediction of the roof space temperatures could have some impact on the simulated room temperatures.



Fig. 5.72 TC3 room residual: March/April 2007



Fig. 5.73 TC3 roof space residual: January–June 2007



Fig. 5.74 TC3 roof space residual: March/April 2007

• In all cases the histograms were not normal, but skewed to the right or the negative. This requires further investigation, as it indicates that the software was consistently under-predicting all zone temperatures.

# 5.5.3 Residual Value Time Series Plots

This analysis was completed to enable a quick visualisation of any long term trends, short term cyclical movements, seasonal patterns, and unexplained fluctuations. To best illustrate the changing residual values and the periods of data analysis, the scales on the X and Y axes of the time series plots vary.

### 5.5.3.1 Unenclosed-Perimeter Platform-Floored Test Cell

The time series plots for the unenclosed-perimeter platform-floored test cell (Figs. 5.75, 5.76 and 5.77) revealed the strong trend along the 2 °C value for the subfloor and the 4 °C value for the test cell room and roof spaces. Depending on the zone, the residual values ranged from -6 to +7 °C (Table 5.26). The roof space had the widest range of residual values above and below the average, whilst the subfloor graph shows many residual values dropping well into the negative values, indicating times where the software has over-predicted the zone temperature.



Fig. 5.75 TC1 subfloor residual time series plot: January-June 2007



Fig. 5.76 TC1 room residual time series plot: January-June 2007

The other notable aspect from the time series graphs of this test cell is the two short periods (in early and late May), when the range of residual values became more confined. This occurrence is observable in the time series plot for all three zones, and as the data has been collected from two different data loggers and five different temperature probes, this indicates a phenomenon that requires further investigation.



Fig. 5.77 TC1 roof space residual time series plot: January-June 2007

perimeter platform-floored test cell minimum and maximum residual values (°C)		Minimum	Maximum	
	Subfloor	-6	+4	
	Room	-2	+7	
	Roof space	-5	+7	

# 5.5.3.2 Enclosed-Perimeter Platform-Floored Test Cell

The residual time series plots for the enclosed-perimeter platform-floored test cell revealed similar trends to those of the previous test cell, however this time the residual values are greater for the subfloor (Fig. 5.78) and test cell room (Fig. 5.79) zones. The subfloor presents continuously increasing residual value in late June, which can be related to the ground temperature, discussed earlier. In particular instances, the minimum and maximum residual values in the subfloor occur at the same time (as in the room zone). This could indicate that the residual value of one zone is impacting on the residual value of the adjoining zone. The roof space residual time series plot (Fig. 5.80) is more similar to that of the unenclosed-perimeter platform-floored test cell than the concrete slab-on-ground floored test cell. Despite these similarities and differences, the residual values ranged from -4 to +7 °C (Table 5.27) in all three zones.



Fig. 5.78 TC2 subfloor residual time series plot: March-June 2007



Fig. 5.79 TC2 room residual time series plot: January-June 2007

As with the plots for the unenclosed-perimeter platform test cell, two distinct patterns are observable, namely:

- the daily shift between the minimum and maximum residual values; and
- the two periods in May when the data became much more condensed and closer to the average residual value.



Fig. 5.80 TC2 roof space residual time series plot: March-June 2007

Table 5.27Enclosed-perimeter platform-flooredtest cell minimum andmaximum residual values(°C)		Minimum	Maximum
	Subfloor	0.0	+6.0
	Room	0.5	+6.5
	Roof space	-4.0	+7.0

### 5.5.3.3 Concrete Slab-on-Ground Floored Test Cell

The residual time series plots for the room of the concrete slab-on-ground floored test cell were somewhat different in appearance to the two previous test cells. This test cell had the highest thermal mass and an uninsulated ground keyed concrete floor, resulting in a much tighter range in the daily temperatures (as expected).

In the residual time series plot for the test cell room (Fig. 5.81) there is a peak in late February, which corresponds to the hottest week during the research. This same occurrence is observable, but not as pronounced, in the time series plots for the room of the other two test cells (Figs. 5.76 and 5.79). This can indicate an increasing thermal gain and/or reduced heat loss that the software is not recognising. This could be related to a thermal mass algorithm and requires further investigation.

The roof space residual time series plot (Fig. 5.82) is very similar in pattern and nature to the roof space of the unenclosed-perimeter platform-floored test cell.

Depending on zone, the residual values for this test cell ranged from -4 to +10 °C (Table 5.28). Similar to the plots from the two previous test cells, there was:



Fig. 5.81 TC3 room residual time series plot: January-June 2007



Fig. 5.82 TC3 roof space residual time series plot: January–June 2007

Table 5.28 Enclosed-		Minimum	Maximum
test cell minimum and	Room	0.0	+6.0
maximum residual	Roof space	-4.0	+10.0
values (°C)			

#### 5.5 Statistical Analyses

- a daily shift between the minimum and maximum residual values; and
- condensing of data during the two short periods in May, (which occurred in the other two test cells), is less noticeable in the plot for this test cell, indicating that the anomaly could be the result of the subfloor and/or the thermal mass algorithms.

## 5.5.3.4 Summary of Residual Value Time Series Plots

The eight time series plots reveal some key characteristics of the residual values from the three test cells, namely:

- An event in mid and late May created a much tighter range in the residual values for all three test cells.
- There is a consistency of data trends, which was collected by fourteen different temperature probes connected to six different data loggers, providing confidence in the data collection process.
- There is an observable daily pattern where the software is under-predicting and over-predicting the zone temperatures.

# 5.5.4 Correlation of Adjoining Zone Residual Values

The purpose of this correlation analysis was to ascertain if there was any relationship between the residual values of adjoining test cell zones (Palomo et al. 1991). The previous analysis consistently documented positive residual values; that is, the software was predominantly under-predicting the temperature within all three zones.

The AccuRate software calculates temperature based on an energy balance within a building. In the context of the test cells, this energy balance equation considers: the zone temperature, fabric conductivity and emittance values, infiltration, thermal capacitance and climatic inputs. If the software has not appropriately considered an energy input, the zone model will in reality store, receive or give more energy to adjoining zones than the software has predicted. As the residual values for the test cell zones have been predominantly positive in nature, this implies that the zone or zones receive or store more energy than the software has predicts. Conversely, when the residual is negative, the zone receives or stores less energy than the software has predicted. The additional energy being received or lost may be transferred in or out of an adjoining zone. The use of correlation analysis in this context could indicate that the residual value, or simulation error, in one zone may be impacting on the residual value, or simulation error, of an adjoining zone.

As with the previous analysis, this analysis provides scatter plots for the full data set and a sample month to illustrate differences or similarities between the two types of data. To best illustrate the correlation between data sets, the scales on the X and Y axes of the scatter plots vary.

## 5.5.4.1 Unenclosed-Perimeter Platform-Floored Test Cell

The unenclosed-perimeter platform-floored test cell had three zones with possible correlations between:

- the test cell room and subfloor
- the test cell room and the roof space.

In each of the four correlation diagrams (Figs. 5.83, 5.84, 5.85 and 5.86) the plots have a general ovoid form, but with varying numbers of outlying data. The trend line has a positive slope, indicating that an increase in error in one zone is associated with an increase in error in the adjoining zone.

The subfloor/test cell room scatter plots for January to June (Fig. 5.83) and March/April (Fig. 5.84) have correlation factors of 0.68 and 0.71 respectively, indicating a medium to strong relationship between the residual values. The two diagrams illustrate three visible profiles, as follows:



Fig. 5.83 TC1 room and subfloor residual correlation: January–June 2007 (r = 0.68)



Fig. 5.84 TC1 room and subfloor residual correlation: March/April 2007 (r = 0.71)



Fig. 5.85 TC1 room and roof space residual correlation: January–June 2007 (r = 0.77)



Fig. 5.86 TC1 room and roof space residual correlation: March/April 2007 (r = 0.78)

- There is a strong cluster of data having an ovoid shape when the subfloor residual values are above 1 °C. This sub-group of data would provide a higher correlation ratio if considered on its own.
- When the subfloor residual value is less than 1 °C, the data is dispersed, hence a much lower correlation ratio.
- There is a significant subgroup of data, more vertical in form, when the subfloor residual value is 2 °C, which should be investigated further (Fig. 5.84).

The relationship between roof space and test cell room residual values (Figs. 5.85 and 5.86) are distinctly different in form from the subfloor and room correlation discussed above, with much stronger correlation factors of 0.77 and 0.078 respectively. Similar to the subfloor, when the roof space residual value falls below 1 °C, the scatter plots become more dispersed.

### 5.5.4.2 Enclosed-Perimeter Platform-Floored Test Cell

The enclosed-perimeter platform-floored test cell has three zones with possible correlations between:

- the test cell room and subfloor zones
- the test cell room and the roof space zones.
The four correlation scatter plots (Figs. 5.87, 5.88, 5.89 and 5.90) are generally ovoid in shape but with varying numbers of outlying data. The trend line has a positive slope indicating that an increase in residual in one zone is associated with an increase in the other zone. However, the subfloor/room scatter plots, for this test cell, have significantly different shapes compared to the roof/room scatter plots.

The two scatter plots comparing the subfloor test cell room residuals for March– June (Fig. 5.87) and April (Fig. 5.88) show a very strong correlation with factors of 0.85 and 0.88, respectively. They are more ovoid and tightly grouped, compared to the unenclosed-perimeter platform-floored test cell (Fig. 5.83) indicating a stronger correlations.

The roof space/test cell room scatter plots for March–June (Fig. 5.89) and April (Fig. 5.90) presented much lower correlation factors: 0.37 and 0.50, respectively. These scatter plots were quite different in form to the subfloor/room residual scatter plots, with the data being spread quite widely above and below the trend line, reflecting a much weaker linear relationship.

Both the subfloor and roof space residual values show a positive relationship to the residual value of the test cell room. The correlation co-efficient and tightness of scatter plot indicate a much stronger relationship between the subfloor and room zones than that between the roof space and room zones.



Fig. 5.87 TC2 room and subfloor residual correlation: March–June 2007 (r = 0.85)



Fig. 5.88 TC2 room and subfloor residual correlation: April 2007 (r = 0.88)



Fig. 5.89 TC2 room and roof space residual correlation: March–June 2007 (r = 0.37)



Fig. 5.90 TC2 room and roof space residual correlation: April 2007 (r = 0.50)

## 5.5.4.3 Concrete Slab-on-Ground Floored Test Cell

As this test cell did not have a subfloor space, there is only one type of residual scatter plot for this test cell, namely, the roof space and the test cell room. The correlation scatter plots for January–June (Fig. 5.91) and March/April (Fig. 5.92) for this test cell are flatter than the graphs from the previous test cells. The correlation factors vary from month to month for this analysis. This is best observed by the more solid ovoid form of Fig. 5.91, which includes data from the full research period and has a weak correlation ratio of 0.42. The data from March/April shows a medium correlation, at 0.64. For this test cell, the monthly residual correlation diagrams vary for each month. This may indicate a seasonal variation, which is not easily teased out when viewing residual scatter plots for the full data set.

#### 5.5.4.4 Summary

- In all cases, whether it was in reference to the relationship between the subfloor and test cell room, or the roof space and test cell room, there was a positive linear relationship.
- The correlation ratios for the subfloor and test cell room residual values ranged from 0.68 to 0.88, indicating a medium to strong relationship for the



Fig. 5.91 TC3 room and roof space residual correlation: January–June 2007 (r = 0.42)



Fig. 5.92 TC3 room and roof space residual correlation: March/April 2007 (r = 0.64)

#### 5.5 Statistical Analyses

unenclosed-perimeter platform-floored test cell and a strong relationship for the enclosed-perimeter platform-floored test cell.

• The correlation ratios, for the roof space and test cell room analysis ranged from a weak value of 0.37 to a strong value 0.78, exposing dramatically different relationships for each test cell. The correlation ratios improved in scatter plots of monthly data subsets.

As these analyses documented a strong correlation between the residual values of the subfloor and test cell room of the two platform-floored test cells, algorithms in these zones require examination. The ground model algorithm should also be reviewed, as it was shown here that monthly climatic effects on the subfloor were correlated to the room temperature residuals.

The next stage of the statistical analysis was the analysis exploring any correlation between climatic inputs and the residual values for each of the test cell zones.

# 5.5.5 Correlation of External Air Temperature and Zone Residuals

This analysis was intended to examine the correlation between the site-measured air temperature and the calculated residual values for each zone of the test cells. To best illustrate the correlation between data sets, the scales on the X and Y axes of the scatter plots vary.

### 5.5.5.1 Subfloor Residual and Air Temperature Correlations

Figures 5.93 and 5.94 are scatter plots of the subfloor residuals and air temperature for the unenclosed-perimeter subfloor, Figs. 5.95 and 5.96 are scatter plots of the subfloor residuals and air temperature for the enclosed-perimeter subfloor and Table 5.29 summarises the correlation ratios for both subfloors.

The scatter plots of the unenclosed-perimeter (Figs. 5.93 and 5.94) and the enclosed-perimeter (Figs. 5.95 and 5.96) platform-floored test cells show a general negative linear relationship between the external air temperature and the subfloor zone residual values. This means that the simulation error in the subfloor decreases at higher outside temperature. This negative relationship is especially important for the unenclosed-perimeter subfloor, as the AccuRate software assumes that the unenclosed subfloor zone is the same temperature as the outside environment. Figures 5.93 and 5.94 show that the relationship of subfloor temperature residual and external air temperature is more heteroscedastic if longer periods are considered. There seems to be a concentration of negative residuals around 20–25 °C outside air temperature. The data that falls outside the general ovoid form should be examined first, to establish what differing climatic conditions are occurring and how the unenclosed subfloor zone is being affected.



Fig. 5.93 TC1 subfloor residual and air temperature correlation: January–June 2007 (r = -0.52)



Fig. 5.94 TC1 subfloor residual and air temperature correlation: March/April 2007 (r = -0.65)



Fig. 5.95 TC2 subfloor residual and air temperature correlation: March–June 2007 (r = -0.47)



Fig. 5.96 TC2 subfloor residual and air temperature correlation: April 2007 (r = -0.76)

and air temperature correlation ratios		Test cell 1	Test cell 2
	Full data set	-0.52	-0.47
	January	-0.57	$-^{a}$
	March	-0.65	-0.53
	April	-0.66	-0.76
	May	-0.62	-0.39
	June	-0.76	-0.79

<sup>a</sup> Data only available from March

The monthly analysis reveals a significant increase in correlation factor, compared to the full data set. Table 5.29 shows that for the full data set, the correlation ratio was 0.52, where-as for the monthly data sets, the ratio varied from a medium value of -0.57 for January, to a strong value of -0.76 for June, revealing some distinct monthly or seasonal trends. Another observation in the scatter plot for mid-March to mid-April (Fig. 5.94) and the full data set (Fig. 5.93) is the dispersal of data below the trend line, compared to those above the trend line.

By contrast, the scatter plots for the enclosed-perimeter subfloor (Figs. 5.95 and 5.96), have a more ovoid form, without the tail of negative residuals present in the unenclosed-perimeter subfloor. There appears to be a pattern of higher residuals occurring at times of lower outside temperature and lower residuals at times of higher outside air temperature. The correlation ratio for the 4 months of data ranged from a weak value of -0.39 in May to a strong value of 0.79 in June, with the correlation ratios for March and April being -0.53 and -0.76 respectively (Table 5.29). The difference between the full data set and monthly subsets of data reinforces the requirement that detailed analysis should be conducted on smaller subsets of data.

#### 5.5.5.2 Test Cell Room Residual and Air Temperature Relationships

Figures 5.97 and 5.98 are scatter plots of the room residuals and air temperature for the unenclosed-perimeter platform-floored test cell, Figs. 5.99 and 5.100 are scatter plots of the room residuals and air temperature for the enclosed-perimeter platform-floored test cell, Figs. 5.101 and 5.102 are scatter plots of the room residuals and air temperature for the concrete slab-on-ground floored test cell, and Table 5.30 is a summary of correlation ratios for the entire data set as well as monthly subsets.

The correlation between the site air temperature and the test cell room residual value was different for each building. The scatter plot of the full data set for the unenclosed and enclosed-perimeter platform-floored test cells, as shown in Figs. 5.97 and 5.99 respectively, documented a negative linear correlation between site and room air temperatures. On the other hand, the scatter plot of the full data set for the concrete slab on ground floored test cell shows a positive linear correlation

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Fig. 5.97 TC1 room residual and air temperature correlation: January–June 2007 (r = -0.59)



Fig. 5.98 TC1 room residual and air temperature correlation: March/April 2007 (r = -0.83)



Fig. 5.99 TC2 room residual and air temperature correlation: January–June 2007 (r = -0.27)



Fig. 5.100 TC2 room residual and air temperature correlation: March/April 2007 (r = -0.33)



Fig. 5.101 TC3 room residual and air temperature correlation: January–June 2007 (r = 0.33)



Fig. 5.102 TC3 room residual and air temperature correlation: March/April 2007 (r = -0.37)

Table 5 20 Tast sell reserve				
and air temperature correlation ratios		Test cell 1	Test cell 2	Test cell 3
	Full data set	-0.59	-0.27	+0.33
	January	-0.76	-0.27	-0.32
	March	-0.83	-0.33	-0.37
	May	-0.80	-0.22	-0.11
	June	-0.92	-0.57	-0.17

(Fig. 5.101). It is interesting to note that each of the monthly scatter plots for the concrete slab-on-ground floored test cell exposed a negative linear correlation.

The full data set scatter plot for the unenclosed-perimeter platform-floored test cell (Fig. 5.97) has a general ovoid form. The negative linear relationship reveals that as the outside air temperatures decrease the room residual increases and conversely, as the outside air temperature increases, the room residuals decrease. The scatter plot for March/April (Fig. 5.98) is fairly linear in form but with a heteroscedastic tail. The lowest and negative residual values occur when the outside air temperature is highest. The full data set correlation ratio is a medium value of -0.59, whereas the monthly correlation ratios ranged from 0.76 to 0.92, indicating the need for monthly analysis.

The scatter plots for the enclosed-perimeter platform-floored test cell are considerably different in form from those of the unenclosed-perimeter platform-floored test cell. This could, in part, be due to the difference in external wall systems. The clay brick veneer of this test cell, as opposed to the previous test cell's plywood cladding, should provide some thermal lag, causing a reduction in the immediate impact of the outside air temperature on the inner fabric of the building. The full data set scatter plot (Fig. 5.99) is more circular than ovoid with low correlation ratio of -0.27, whereas the scatter plot for March/April (Fig. 5.100) is skewed, heteroscedastic and arrowhead shaped where the data becomes more dispersed above 5 °C. The other monthly scatter plots vary in form between ovoid and heteroscedastic. The full data set correlation ratio is a medium value of -0.59, whereas the monthly correlation ratios ranged from 0.76 to 0.92, indicating a stronger correlation and the need for monthly analysis. The room of this test cell has a similar trend to the previous test cell for the monthly correlation ratios, where the coolest month of June has the highest correlation ratio (Table 5.30).

The correlation scatter plots for the concrete slab-on-ground floored test cell room are significantly different from the previous two buildings. The 6 month data set (Fig. 5.101) shows a positive correlation and the scatter plot shows horizontal stratification of data, as opposed to a general random distribution form as observed in the previous test cells. When individual monthly data sets are analysed (Fig. 5.102) the trend shifts to a strong linear and negative correlation. The shift to a negative linear correlation occurs for all the monthly data sets for this test cell room and heightens the need, not only to assess data for long periods of time, but also to examine data for seasonal and other short term trends. The data is well-grouped along the trend line, showing a linear relationship with a very subtle negative

correlation ratio of -0.37. The scatter plot (Fig. 5.102) illustrates that when the outside air temperature was between 0 and 25 °C, the room air temperature residual either increased or decreased by 1 °C. This suggests that the outside air temperature had little association with the test cell room residual value. The weak correlation between the room and outside air temperature for this test cell is supported by the other monthly correlation ratios, which ranged from -0.32 to -0.11 (Table 5.30).

#### 5.5.5.3 Roof Space Residual and Air Temperature Relationships

Figures 5.103 and 5.104 are scatter plots of the roof space residuals and air temperature for the unenclosed-perimeter platform-floored test cell, Figs. 5.105 and 5.106 are scatter plots of the roof space residuals and air temperature for the enclosed-perimeter platform-floored test cell, Figs. 5.107 and 5.108 are scatter plots of the roof space residuals and air temperature for the concrete slab-on-ground floored test cell, and Table 5.31 is a summary of correlation ratios for the entire data set, as well as monthly subsets.

The roof space scatter plots for each test cell have a similar semi-circular shape and negative linear correlations between outside air temperature and the residual values for the roof space air temperatures. Each of the scatter plots for the full data set illustrated a heteroscedastic pattern.



**Fig. 5.103** TC1 roof space residual and air temperature correlation: January–June (r = -0.46)



Fig. 5.104 TC1 roof space residual and air temperature correlation: March/April 2007 (r = -0.66)



Fig. 5.105 TC2 roof space residual and air temperature correlation: March–June 2007 (r = -0.72)



Fig. 5.106 TC2 roof space residual and air temperature correlation: April 2007 (r = -0.76)



Fig. 5.107 TC3 roof space residual and air temperature correlation: January–June 2007 (r = -0.50)



Fig. 5.108 TC3 roof space residual and air temperature correlation: March/April 2007 (r = -0.77)

	Test cell 1	Test cell 2	Test cell 3
Full data set	-0.46	-0.72	-0.50
January	-0.58	_ <sup>a</sup>	-0.70
March/April	-0.66	-0.76	-0.77
May	-0.61	-0.66	-0.58
June	-0.74	-0.75	-0.67

**Table 5.31** Test cell roofspace and air temperaturecorrelation ratios

<sup>a</sup> No data available

The full data set scatter plot for the unenclosed-perimeter platform-floored test cell (Fig. 5.103) has a semicircular top to the data which inclines slightly from 5 to 20 °C, at which point the data declines toward the trend line. The bottom of the scatter plot is more linear in form, when compared to the semi-circular top. These two distinctly different patterns to the data produce the heteroscedastic form. The March/April (Fig. 5.104) scatter plot for this test cell is more ovoid in form and retains the semicircular nature to the top of the data. There is a tail of low residuals at times of higher outside air temperatures. Similar to previous analyses the higher residual values occur at times of lower outside air temperature. Another significant aspect to this scatter plot is that when the outside air temperature is less than 5 °C, all the

data is below the trend line. The correlation ratio of the full data set for the unenclosed platform-floored test cell is of a medium value of -0.46 (Table 5.31). Similar to the previous analyses, the monthly correlation ratios are much stronger, with values ranging from -0.58 to -0.74.

The general shape of the full data set scatter plot (Fig. 5.105) for the enclosedperimeter platform-floored test cell retains the semicircular top and heteroscedastic form that was observed in the previous test cell's scatter plot. The scatter plot for March/April (Fig. 5.106) appears more linear, but retains the semicircular pattern to the top edge of the data. Similar to the trend in the unenclosed-perimeter test cell, when the outside air temperature is 5 °C or lower, all the data is below the trend line and there is a consistency of high residuals at times of low outside air temperature, and low residuals at times of high outside air temperature. The correlation ratio of the full data set for the enclosed platform-floored test cell room is much stronger than the previous test cell with a value of -0.72 (Table 5.31). Unlike the previous analyses, the monthly correlation ratios for this test cell are very similar to that for the full data set, with values ranging from -0.66 to -0.76.

The full data set correlation diagram of the concrete slab-on-ground floored test cell (Fig. 5.107) is very similar in pattern and ratio to the previously discussed unenclosed platform-floored test cell. Like the other two roof spaces, the bottom edge of the diagram is consistently dispersed. The March/April data set for this test cell provides a more linear grouping of the data along the negative trend line (Fig. 5.108) very similar in pattern to the enclosed-perimeter platform-floored test cell discussed above, namely; when the outside air temperature was below 5 °C all the data is below the trend line, and predominantly the higher residuals occur at times of lower outside air temperature. The correlation ratio of the full data set for this test cell was a medium value of -0.50 (Table 5.31). Similar to the previous analyses, the monthly correlation ratios are much stronger with values ranging from -0.58 to -0.74.

#### 5.5.5.4 Summary

For all zones, there was a negative linear correlation between the outside air temperature and the subfloor, room and roof space residual values for each test cell. All of these scatter plots show a linear correlation, where the higher positive residual values occur when the outside air temperature is low; as the air temperature increases the scatter plot becomes more dispersed and the residual values often shift to a negative value. This phenomenon requires further investigation. The monthly correlation ratios were significantly lower in value than the monthly data subsets, reinforcing the need for further analysis to occur at the monthly data set level.

The level of correlation varied dramatically between each zone and each test cell. Some key findings included:

- Generally, the subfloor zone residual values and site air temperature correlations documented medium to strong ratios, which should be investigated further.
- The software's presumption that the outdoor and subfloor air temperature for the unenclosed-perimeter platform-floored test cell are the same, should be examined, as previously discussed analysis in this chapter and this correlation analysis show that negative residuals occur in the unenclosed-perimeter subfloor as temperature increases, but not in the enclosed-perimeter subfloor.
- The test cell room residual values and site air temperature correlations varied significantly for each test cell. The correlation ratios for the unenclosed plat-form-floored test cell were the highest and very strong, whereas the enclosed platform-floored test cell room documented low correlation ratios and the concrete slab-on-ground floored test cell demonstrated the lowest correlation ratios.
- The roof space residual values and site air temperature correlations documented a strong correlation ratio for the unenclosed and enclosed-perimeter platformfloored test cells. The concrete slab-on-ground floored test cell had a medium correlation ratio for the full data set, but the monthly data sets generally provided stronger correlation ratios.

## 5.5.6 Correlation of Wind Speed and Test Cell Residuals

This analysis was completed to examine any correlation that may exist between the site wind speed and the calculated residual values from each zone of the test cells. Figures 5.109, 5.110, 5.111 and 5.112 are the scatter plot analyses for the unenclosed-perimeter platform-floored test cell, Figs. 5.113, 5.114, 5.115 and 5.116 are the scatter plot analyses for the enclosed-perimeter platform-floored test cell, and Figs. 5.117, 5.118, 5.119, 5.120 and 5.121 are the scatter plot analyses for the concrete slab-on-ground floored test cell. To best illustrate the correlation between data sets, the scales on the X and Y axes of the scatter plots vary.

### 5.5.6.1 Unenclosed-Perimeter Platform-Floored Test Cell

Figures 5.109, 5.110 and 5.111 are the full data set scatter plots of wind speed and the subfloor, test cell room and roof spaces, respectively, Fig. 5.112 is the month of May subset scatter plot of wind speed and test cell room residuals, and Table 5.32 is a summary of correlation ratios for this test cell.

The scatter plots for the unenclosed-perimeter platform-floored test cell show some interesting results (Figs. 5.109, 5.110, 5.111 and 5.112). The analyses for the January to June data set produced scatter plots with an arrowhead form. The greatest negative and positive residual values for all zones occurred when there was little or no wind speed. As the wind speed increased the data became more aligned



Fig. 5.109 TC1 subfloor residual and wind speed correlation: January–June 2007 (r = -0.17)



Fig. 5.110 TC1 roof space residual and wind speed correlation: January–June 2007 (r = -0.31)

Test Cell 1



Fig. 5.111 TC1 room residual and wind speed correlation: January–June 2007 (r = -0.40)



Fig. 5.112 TC1 room residual and wind speed correlation: May 2007 (r = -0.43)



Fig. 5.113 TC2 subfloor residual and wind speed correlation: March–June 2007 (r = -0.31)



Fig. 5.114 TC2 roof space residual and wind speed correlation: March–June 2007 (r = -0.42)



Fig. 5.115 TC2 room residual and wind speed correlation: January–June 2007 (r = -0.30)



Fig. 5.116 TC2 room residual and wind speed correlation: May 2007 (r = -0.40)



Fig. 5.117 TC3 room residual and wind speed correlation: January–June 2007 (r = -0.06)



Fig. 5.118 TC3 roof space residual and wind speed correlation: January–June 2007 (r = -0.40)



Fig. 5.119 TC3 room residual and wind speed correlation: February 2007 (r = -0.26)



Fig. 5.120 TC3 room residual and wind speed correlation: May 2007 (r = -0.18)



Fig. 5.121 TC3 room residual and wind speed correlation: June 2007 (r = -0.21)

	Subfloor	Cell room	Roof space
Full data set	-0.17	-0.40	-0.31
January	-0.06	-0.38	-0.24
March/April	-0.22	-0.50	-0.37
May	-0.25	-0.43	-0.48
June	-0.37	-0.42	-0.46

**Table 5.32** Test cell 1 zoneresidual values and windspeed correlation ratios

to the trend lines. Negative residuals are sparse compared to positive ones, and are concentrated at lower wind speeds. These are very clear examples of heterosced-astic residuals, often described as "bell" shaped. The only exception was the month of May (Fig. 5.112), where the breadth of the residual values is at its narrowest for all three zones. This phenomenon is discussed further in the summary for this section.

The correlation ratios for this test cell, including the full and monthly data set analyses are listed in Table 5.32. The correlation ratios vary between each zone and all are negative. The negative correlation would make sense for this climate, as the wind would provide an additional cooling function. There is a general trend in the correlation ratio values, from a lowest and weak value in January, to higher and medium values in May and June. This would indicate some seasonal trends that require further investigation; however, as the data is heteroscedastic, the correlation ratios are unreliable, due to the non linear relationship that exists between the two variables being analysed. The correlation ratios are still included for general information.

#### 5.5.6.2 Enclosed-Perimeter Platform-Floored Test Cell

Similar in nature to the unenclosed platform-floored test cell, the residual to wind speed scatter plots for the subfloor (Fig. 5.113), roof space (Fig. 5.114), and test cell room (Fig. 5.115) of this test cell produced heteroscedastic arrowhead forms. In all cases, the greatest negative and positive residual values for all zones occurred when there was little or no wind speed. As the wind speed increased, the data became more aligned to the trend lines. The subfloor scatter plot for this test cell is less defined when compared to that of the unenclosed-perimeter subfloor and this should be expected due to the enclosure of the subfloor. A similar irregularity in the form of the scatter plot occurs in May (Fig. 5.116) where the correlation ratio is much higher and the diagram is more horizontal and condensed in form.

As observed for the previous test cell, the heteroscedastic nature of the scatter plots reflects the non-linear relationship between these two variables, making the correlation ratios unreliable. However, the correlation ratios are still included for general information in Table 5.33.

## 5.5.6.3 Concrete Slab-on-Ground Floored Test Cell

Similar in nature to the two previous test cells, the zone residual and wind speed scatter plots for the test cell room (Fig. 5.117); and the roof space (Fig. 5.118) for this test cell produced heteroscedastic arrowhead forms. A similar anomaly in the form occurs in May (Fig. 5.120) where the scatter plot is more horizontal and condensed along the trend line. However, another key pattern is observable in the correlation diagrams for this test cell, where in Figs. 5.119, 5.120 and 5.121 there is a shift in the pattern of the diagram, such that:

- the data from February forms the top portion of the horizontal arrowhead
- the data from May forms the middle portion of the horizontal arrowhead and
- the data from June forms the bottom portion of the horizontal arrowhead.

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residual values and wind speed correlation ratios		Subfloor	Cell room	Roof space
	Full data set	-0.31	-0.30	-0.42
	January	_ <sup>a</sup>	-0.35	$-^{a}$
	March/April	-0.24	-0.20	-0.48
	May	-0.50	-0.40	-0.45
	June	-0.21	-0.22	-0.37

<sup>a</sup> No data available

Table 5.34  Test cell 3 zone    residual values and wind  speed correlation ratios		Cell room	Roof space
	Full data set	-0.06	-0.40
	January	-0.21	-0.37
	March/April	-0.29	-0.53
	May	-0.18	-0.40
	June	-0.21	-0.39

The occurrence of this phenomenon between the monthly data sets strengthens the notion that any further analysis must consider monthly subsets of data.

The correlation ratios for this test cell are listed in Table 5.34 but as raised above in the discussion for the previous two test cells, these ratios are unreliable, due to the heteroscedastic non-linear relationship between the two variables.

### 5.5.6.4 Summary

- For all zones of the three test cells there was a negative correlation between wind speed and residual values.
- For most cases the bivariate analysis produced scatter plots which were heteroscedastic in pattern.
- The form of the heteroscedastic pattern illustrates that the broadest range of residual values occurs when the wind speed is low or nil. As the wind speed increases, the residuals move closer to the trend line.
- As the variables produced a non-linear relationship, the correlation ratios are unreliable for this analysis.
- The wind speed is used to calculate surface heat transfer and infiltration. The strongly heteroscedastic pattern of the scatter plots can indicate an error in algorithms, hence requires further investigation.
- These results indicate that further analysis should examine relationships which include: wind speed, terrain category and the zone residual values. Previous research has documented variations in the effect of terrain categories and sitemeasured wind speed in building envelope thermal simulations (Guyon et al. 1999b; Moghtaderi 2005; Palmiter and Francisco 1996; Pereira and Ghisi 2009).
- Many HER softwares have simplified infiltration models which have been found to affect the energy balance equations significantly (Deru and Burns 2003). These results indicate that further analysis should examine relationships which include: wind speed, wind direction and the zone residual values.

## 5.5.7 Correlation of Wind Direction and Test Cell Residuals

This analysis aimed to examine any correlation that may exist between the measured site wind direction and the residuals from each zone of the test cells. The climate file within AccuRate incorporated numerical values for wind direction. A zero value for wind direction indicates that there was no wind speed for that hour (ACDB 2006). When there was a measured wind speed, a value of 1–16 was assigned, dependent on wind direction (Clarke 2001). As the test cells are detached buildings on flat terrain without adjacent buildings and trees, it was expected that wind direction could affect their thermal performance. Figures 5.122, 5.123 and 5.124 are the scatter plot analyses for the unenclosed-perimeter platform-floored test cell, Figs. 5.125, 5.126 and 5.127 are the scatter plot analyses for the enclosedperimeter platform-floored test cell, and Figs. 5.128 and 5.129 are the scatter plot analyses for the concrete slab-on-ground floored test cell. To best illustrate the correlation between data sets, the scales on the X and Y axes of the scatter plots vary.



Fig. 5.122 TC1 subfloor residual and wind direction correlation: January-June 2007



Fig. 5.123 TC1 room and wind direction correlation: January-June 2007



Fig. 5.124 TC1 roof space residual and wind direction correlation: January-June 2007



Fig. 5.125 TC2 subfloor residual and wind direction correlation: January-June 2007



Fig. 5.126 TC2 roof space residual and wind direction correlation: March-June 2007



Fig. 5.127 TC2 room residual and wind direction correlation: January–June 2007



Fig. 5.128 TC3 room residual and wind direction correlation: January-June 2007



Fig. 5.129 TC3 roof space residual and wind direction correlation: January-June 2007

#### 5.5.7.1 Unenclosed Platform-Floored Test Cell

The zone residual and wind direction correlation scatter plots for all zones of this test cell are heteroscedastic and show an inverted triangle pattern. The scatter plots of the full data for the subfloor (Fig. 5.122) and roof space (Fig. 5.124) zones, are quite flat along the top, or the upper positive residual values, but form a downward facing arrowhead for the negative residual values. The diagram for the test cell room (Fig. 5.123) follows the same general form as the subfloor and roof space zones; however it is more tempered due to this zone being more removed from the external climate than the subfloor and roof space zones. This same pattern appears in each of the monthly diagrams, with the exception of May. This arrowhead relationship is significantly associated with negative residual values and appears to relate to times when the wind was coming from a southerly direction.

The scatter plots document no wind coming from directions 1 and 2, which would be highly unlikely and requires further investigation.

The scatter plots are heteroscedastic and show a non-linear relationship between the two variables, and correlation ratios are not good indicators of association between residuals and wind direction. However, they are still included in Table 5.35 for general interest. The correlation ratios for the three zones of this test cell vary from month to month.

Table 5.25 Test call 1 mens				
residual values and wind		Subfloor	Cell room	Roof space
direction correlation ratios	Full data set	-0.08	-0.30	-0.15
	January	-0.04	-0.24	-0.07
	March/April	-0.12	-0.44	-0.19
	May	-0.29	-0.52	-0.49
	June	-0.19	-0.26	-0.21

#### 5.5.7.2 Enclosed-Perimeter Platform-Floored Test Cell

The zone residual and wind direction correlation scatter plots for the subfloor (Fig. 5.125) and roof space (Fig. 5.126) zones in this test cell are generally of a similar form to those of the previous test cell, with a tempered, skewed, inverted triangle pattern to the data. The tempering of the subfloor zone should be expected due to the semi-enclosed nature of the subfloor. The roof space tempering could be caused by the differing infiltration rates. The results from the tracer gas tests of the test cells produced significantly different constant and multiplier infiltration values for the roof spaces. The infiltration rate, in air changes per hour, is specified as A + B \* v, where v is the wind speed multiplied by a terrain category factor. The value of the unenclosed-perimeter platform-floored test cell. This smaller level of infiltration, when compared to the roof space of the unenclosed-perimeter platform-floored test cell, should impact the relative infiltration of the two differing roof spaces.

The scatter plot for this test cell room (Fig. 5.127) is quite mixed in form, with a dip in both the high and low residual values for times when the wind was from a southerly direction. This could be caused by a wind shading effect from the concrete slab-on-ground floored test cell. With the exception of periods when the wind was from a due south direction, the scatter plot forms the downward facing arrowhead. The general tempering of the diagram for this zone would reflect the greater insulation of the test cell room from the external climate.

With the exception of May, the monthly scatter plots produce the same pattern for each of the correlation scatter plots, for each zone.

As with the previous test cell, the scatter plots are heteroscedastic and show a non-linear relationship, causing the correlation ratios to be unreliable. However, they are included for general information and except for the month of May, the zone

Table 5.36    Calculated roof      space infiltration values		A Value	B Value
	Test cell 1	1.260	0.700
	Test cell 2	0.400	0.258
	Test cell 3	0.340	0.156

Table 5.37  Test cell 2 zone    residual values and wind		Subfloor	Cell room	Roof space
direction correlation ratios	Full data set	-0.24	-0.16	-0.34
	January	_ <sup>a</sup>	-0.20	_ <sup>a</sup>
	March/April	-0.14	-0.10	-0.29
	May	-0.58	-0.50	-0.48
	June	-0.06	-0.11	-0.21

<sup>a</sup> No data available

residual and wind speed correlation ratios for this test cell are quite weak (Table 5.37). For all three zones the ratios for May are much stronger with values of -0.58, -0.50 and -0.48 for the subfloor, test cell room and roof space zones respectively.

## 5.5.7.3 Concrete Slab-on-Ground Floored Test Cell

 
 Table 5.38
 Test cell 3 zone
residual values and wind direction correlation ratios

The scatter plots for the room of the concrete slab-on-ground floored test cell show the least correlation (Fig. 5.128). The dip in the maximum and minimum residual values when the wind came from a southerly direction could reflect wind shading caused by the established trees to the south of this test cell, but this requires further investigation. Otherwise, the scatter plot for this room is very similar in heteroscedastic pattern to that of the enclosed-perimeter platform-floored test cell. The roof space residual value and wind direction scatter plot for this test cell (Fig. 5.129) was very similar in heteroscedastic pattern to that of the enclosed-perimeter platformfloored test cell. This would strengthen the link between the calculated infiltration rates and the diagram pattern discussed for the previous test cell. Both scatter plots for this test cell show a non-linear relationship between the two variables. As with the previous two test cells, the monthly analyses produced similar forms of scatter plot for each zone, with the exception May.

The correlation ratios for this test cell are unreliable, due to the non-linear relationship between the two variables and are only included for general information in Table 5.38.

	Cell room	Roof space
Full data set	-0.01	-0.24
January	-0.08	-0.20
March/April	-0.17	-0.34
May	-0.28	-0.45
June	-0.08	-0.21

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#### 5.5.7.4 Summary Test Cell Residual Analysis—Wind Direction

- All graphs show a lack of data for wind from directions 1, 3 and 16. This requires further investigation.
- As all the scatter plots are heteroscedastic and non-linear, the correlation ratios are unreliable for the purpose of establishing any relationship between the wind direction and zone residual values.
- The scatter plots often documented greater negative residuals when the wind was not coming from a northerly direction (4–12). If the software is not adequately considering the movement of wind around the test cell walls, the calculations for surface conduction would be affected. Further analysis is required and must include surface temperature data from each wall.
- Any further analysis would need to include zone residual, wind speed and wind direction in a multivariate analysis.

# 5.5.8 Correlation of Global Solar Radiation and Test Cell Residuals

This analysis examined any correlation that may exist between the site-measured global solar radiation and the residual values for each zone of the test cells. Past research has shown this to be an area requiring calibration in other softwares (Lomas et al. 1994; Loutzenhiser et al. 2007; Manz et al. 2005; Travesi et al. 2001). All the scatter plots show a negative linear relationship between the zone residual value and the measured global solar radiation. Figures 5.130, 5.131, 5.132, 5.133, 5.134 and 5.135 are the scatter plot analyses for the unenclosed-perimeter platform-floored test cell, Figs. 5.136, 5.137, 5.138 and 5.139 are the scatter plot analyses for the enclosed-perimeter platform-floored test cell, and Figs. 5.140, 5.141, 5.142, 5.143, 5.144 and 5.145 are the scatter plot analyses for the concrete slab-on-ground floored test cell. To best illustrate the correlation between data sets, the scales on the X and Y axes of the scatter plots vary.

#### 5.5.8.1 Unenclosed-Perimeter Platform-Floored Test Cell

The full data set (Fig. 5.130) and March/April (Fig. 5.131) correlation scatter plots for the subfloor zone of this test cell show an apparent boundary condition from a residual value of around 4–5 °C at 0 W/m<sup>2</sup>, dropping down to a constant value of around 3 °C from 200 W/m<sup>2</sup> onwards. The scatter plots show a strong grouping of the data up to 200 W/m<sup>2</sup>, at which point the data becomes heteroscedastic. Another observable phenomenon is the steep positive increase in residual values as the global solar radiation value fell below 100 W.



Fig. 5.130 TC1 subfloor residual and global solar radiation correlation: January-June 2007



Fig. 5.131 TC1 subfloor residual and global solar radiation correlation: March/April 2007


Fig. 5.132 TC1 room residual and global solar radiation correlation: January-June 2007



Fig. 5.133 TC1 room residual and global solar radiation correlation: May 2007



Fig. 5.134 TC1 roof space residual and global solar radiation correlation: January-June 2007



Fig. 5.135 TC1 roof space residual and global solar radiation correlation: March/April 2007



Fig. 5.136 TC2 subfloor residual and global solar radiation correlation: March-June 2007



Fig. 5.137 TC2 room residual and global solar radiation correlation: January–June 2007



Fig. 5.138 TC2 roof space residual and global solar radiation correlation: March-June 2007



Fig. 5.139 TC2 roof space residual and global solar radiation correlation: April 2007



Fig. 5.140 TC3 room residual and global solar radiation correlation: January-June 2007



Fig. 5.141 TC3 room residual and global solar radiation correlation: February 2007



Fig. 5.142 TC3 room residual and global solar radiation correlation: March/April 2007



Fig. 5.143 TC3 room residual and global solar radiation correlation: June 2007



Fig. 5.144 TC3 roof space residual and global solar radiation correlation: January-June 2007



Fig. 5.145 TC3 roof space residual and global solar radiation correlation: March/April 2007

The test cell room residual and global solar radiation correlation scatter plots Figs. 5.132 and 5.133) for this test cell are still heteroscedastic; however this did not have the top boundary condition that is observable in the scatter plots for the subfloor but a broad negative linear grouping of data. The monthly May scatter plot (Fig. 5.133) shows the distinct wide-ranging residual values when the solar radiation value is zero and a nearly horizontal trend in the data at around the 3 °C residual value. This pattern appears in most scatter plots for all three test cells and it shows that when there is no solar radiation, large quantities of measurements are associated with simulation errors, ranging from 1 to 7 °C.

The form of the correlation scatter plots for the roof space of this test cell (Figs. 5.134 and 5.135) shows a reasonably well-grouped pattern of negative linear related data. This pattern was observable in both the full data set (Fig. 5.134) and the March/April data set (Fig. 5.135). The scatter plots of the other monthly data sets show similar patterns. The pattern of this scatter plot shows a higher linear correlation than the subfloor or room of this test cell. As with the other two zones of this test cell, there appears to be a boundary condition in action between 0 W and 200 W, after which the data becomes more dispersed.

As the scatter plots for the subfloor and test cell room are heteroscedastic correlation ratios in Table 5.39 are unreliable. However, the roof space scatter plots show a more homoscedastic form, giving some value to their correlation values. For the subfloor, with the exception of May, all the correlation ratios are strong. If the ratio for May is discounted, the ratios progress from -0.70 to -0.91, as the climate moves from summer to winter. The ratios for the test cell room, with the exception of May, are much more stable and provide a similar correlation ratio for most months. The roof space correlation ratios are strong for all months, including May.

#### 5.5.8.2 Enclosed-Perimeter Platform-Floored Test Cell

The global solar radiation correlation scatter plots for the subfloor (Fig. 5.136) and test cell room (Fig. 5.137) of this test cell are very similar in form to the room of the unenclosed-perimeter platform-floored test cell. There is a broad sweep of negative linear correlated data and a broad range of positive and negative residual values when the solar radiation value was zero in both the full and monthly data sets.

Table 5.39 Test cell 1   residual value and global solar radiation correlation ratios				
		Subfloor	Cell room	Roof space
	Full data set	-0.72	-0.57	-0.79
	January	-0.70	-0.66	-0.83
	March/April	-0.79	-0.65	-0.87
	May	-0.62	-0.45	-0.73
	June	-0.91	-0.58	-0.87

The scatter plot for the subfloor (Fig. 5.136) is significantly different to that of the unenclosed-perimeter platform-floored test cell. In many respects, it is similar to the room of this test cell (Fig. 5.137) and the unenclosed-perimeter platform-floored test cell discussed above (Fig. 5.132). The subfloor and room scatter plots for this test cell show a very broad range of residual values, from around 1.5–6.5 °C when the solar radiation is 0 W/m<sup>2</sup>. For the subfloor, this represents the residuals with the highest value. For the room, this represents the residuals with both the highest value. For 0 to 200 W/m<sup>2</sup> there appears to be a subtle funnelling of the data towards the trend line before the general dispersed pattern of the data along the trend line continues for the rest of the solar radiation values. Aside from the condition at 0 W/m<sup>2</sup>, the remainder of the data patterns for both scatter plots appear to show a broad linear relationship.

The roof space residual and global solar radiation correlation scatter plots for this test cell (Figs. 5.138 and 5.139) show a clear negative correlation, similar in nature to the scatter plots of the unenclosed-perimeter platform-floored test cell, where the negative residuals occur only when the global solar radiation is greater than  $300 \text{ W/m}^2$ . Similar to the subfloor, the highest residual values occur when the solar radiation has a value of  $0 \text{ W/m}^2$ . Aside from this anomaly, the remainder of the scatter plots retain a negative linear relationship.

The correlation ratios for this test cell (Table 5.40) are significantly different from the unenclosed-perimeter platform-floored test cell discussed above. The subfloor scatter plot is slightly heteroscedastic in form, due to the anomaly when the solar radiation is 0 W/m<sup>2</sup>, giving some unreliability to the correlation ratios. However, the scatter plots for the room and roof spaces are more homoscedastic in pattern, making the correlation ratios more useful. The room correlation ratios decline in value from 0.44 to 0.17 from January to June, indicating some form of seasonal variation. The roof space correlation ratios, with the limited data available, have the lowest correlation ratio for the June data subset.

#### 5.5.8.3 Concrete Slab-on-Ground Floored Test Cell

The test cell room residual and global solar radiation correlation scatter plots for this test cell (Figs. 5.140 and 5.141) are significantly different from those of the previous two test cells. There is a general horizontal grouping of data along the

Table 5.40 Test cell 2   residual values and global solar radiation correlation   ratios ratios				
		Subfloor	Cell room	Roof space
	Full data set	-0.39	-0.31	-0.84
	January	_ <sup>a</sup>	-0.44	$-^{a}$
	March/April	-0.55	-0.29	-0.88
	May	-0.33	-0.25	-0.77
	June	-0.35	-0.17	-0.84

<sup>a</sup> No data available

trend line, representing the average residual value for this zone, which may be reflecting the effect of the thermal mass and ground keying of the concrete slab-onground floor. The scatter plot pattern for the full data set of the test cell room (Fig. 5.140) highlights the wide breadth of residual values when the global solar radiation value is 0 W/m<sup>2</sup>, which may indicate some unaccounted for thermal mass benefit, or other inputs in the night time model may not be functioning appropriately. When the monthly subset scatter plots for the room of this test cell are analysed, a distinct pattern appears, where:

- The hottest month, February (Fig. 5.141), provides the upper residual values in the full data set scatter plot.
- The months of January, March/April (Fig. 5.142) and May provide the infill residuals in the full data set scatter plot.
- The coldest month, June (Fig. 5.143) provides the lowest residual values in the full data set scatter plot.

This may indicate some form of seasonal variation that requires further investigation.

The roof space residual and global solar radiation correlation scatter plots for this test cell (Figs. 5.144 and 5.145) are reasonably similar in form and nature to those of the of the previous two test cells, with a negative linear relationship and wide ranging residual values when the solar radiation value was zero. For this test cell, the residuals include negative values for the roof space, which do not occur in the test cell room.

The correlation ratios for this test cell (Table 5.41) provide two distinctly different profiles. The correlation ratios for the full data set are not reliable due to the heteroscedastic form of the scatter plots; however, most of the monthly subset scatter plots are more homoscedastic in distribution, making their correlation ratios more reliable. The ratios for the test cell room commence with a reasonably high medium value of -0.58 in January and then progress downward continually to -0.20 in June. This could reflect the conflicting forces of the solar radiation, the ground keyed concrete floor or a thermal mass effect. However this requires further investigation. The ratios for the roof space also reflect some form of seasonal or monthly variation but again, this requires further analysis.

Table 5.41 Test cell 3   residual values and global solar radiation correlation   ratios ratios		Cell room	Roof space
	Full data set	-0.05	-0.77
	January	-0.58	-0.85
	March/April	-0.43	-0.88
	May	-0.24	-0.67
	June	-0.20	-0.78

#### 5.5.8.4 Summary

- There is a negative relationship between the global solar radiation and most zone residuals. This generally shows that the highest residual values occur at the time of lowest global solar radiation and conversely, the lowest residuals occur at the time of highest global solar radiation (with the exception of 0 W/m<sup>2</sup>). Similar to the discussion on the relationship between outside air temperature and the zone residuals, it would be expected that, for the majority of the time when the global solar radiation increases, the test cell room temperature increases or decreases respectively. The negative correlation indicates that the software is either underpredicting the zone temperature, or over-predicting zone temperature at times of high global solar radiation. Previous research (Djunaedy et al. 2005; Guyon et al. 1999a; Guyon and Rahni 1997; Moghtaderi 2005; Pollard et al. 2001; Zweifel and Zelenka 2007) has observed an unaccounted for solar radiation effect, which appears to be occurring in these graphs.
- There appears to be a boundary condition in some zones for global solar radiation values ranging from 0 to  $\sim 200 \text{ W/m}^2$ , which requires further examination.
- The range of residual values when the global solar radiation value is zero (Table 5.42) is quite large when the monthly correlation diagrams are examined. There may be algorithms within the night time model that require further examination.
- The significantly different scatter plots for the room of the concrete slab-onground floored test cell, where a monthly stratification of the data is visible, indicate some unaccounted for seasonal effect, which could include the ground model, thermal mass or other climatic influences. This requires further investigation.
- Previous research has queried the measured values for global solar radiation and the mathematical methods used to calculate normal direct and diffuse solar radiation (Lomas et al. 1994). In this research, each pyranometer was tested and calibrated, and the latest methodology for calculating diffuse radiation to minimise errors that could occur, was used.

	Unenclosed-perimeter platform floored	Enclosed-perimeter platform floored (°C)	Concrete slab on ground floored (°C)
Subfloor	-1.0 to 4.5	1.5 to 6.5	-
Room	0.0 to 7.0	1.5 to 6.5	1.0 to 6.0
Roof space	1.0 to 7.0	4.0 to 11.0	3.0 to 11.0

Table 5.42 Variation in zone residual value when global solar radiation equals zero

# 5.5.9 Correlation of Diffuse Solar Radiation and Test Cell Residuals

This analysis examined any correlation between the calculated diffuse solar radiation and the residual values from each zone of the test cells. The diffuse solar radiation values were calculated from the observed global solar radiation values. Figures 5.146, 5.147, 5.148, 5.149, 5.150 and 5.151 are the scatter plot analyses for the unenclosed-perimeter platform-floored test cell, Figs. 5.152, 5.153, 5.154, 5.155, 5.156 and 5.157 are the scatter plot analyses for the enclosed-perimeter platform-floored test cell, and Figs. 5.158, 5.159, 5.160 and 5.161 are the scatter plot analyses for the concrete slab-on-ground floored test cell. To best illustrate the correlation between data sets, the scales on the X and Y axes of the scatter plots vary.

#### 5.5.9.1 Unenclosed-Perimeter Platform-Floored Test Cell

Similar in nature to the global solar radiation correlation analyses, there appears to be a boundary condition on the maximum residual values in the subfloor model (Fig. 5.146), which is less defined in the monthly data sets (Fig. 5.147). The other significant observation is the generally heteroscedastic distribution that shifts



Fig. 5.146 TC1 subfloor residual versus diffuse solar radiation: January–June 2007



Fig. 5.147 TC1 subfloor residual versus diffuse solar radiation: March/April 2007



Fig. 5.148 TC1 room residual versus diffuse solar radiation: January–June 2007



Fig. 5.149 TC1 room residual versus diffuse solar radiation: March/April 2007



Fig. 5.150 TC1 roof space residual versus diffuse solar radiation: January–June 2007



Fig. 5.151 TC1 roof space residual versus diffuse solar radiation: March/April 2007



Fig. 5.152 TC2 subfloor residual versus diffuse solar radiation: March-June 2007



Fig. 5.153 TC2 room residual versus diffuse solar radiation: January-June 2007



Fig. 5.154 TC2 subfloor residual versus diffuse solar radiation: March 2007



Fig. 5.155 TC2 room residual versus diffuse solar radiation: March/April 2007



Fig. 5.156 TC2 roof space residual versus diffuse solar radiation: March-June 2007



Fig. 5.157 TC2 roof space residual versus diffuse solar radiation: March 2007



Fig. 5.158 TC3 room residual versus diffuse solar radiation: January–June 2007



Fig. 5.159 TC3 room residual versus diffuse solar radiation: March/April 2007



Fig. 5.160 TC3 roof space residual versus diffuse solar radiation: January-June 2007



Fig. 5.161 TC3 roof space residual versus diffuse solar radiation: March/April 2007

between a negative linear relationship and a near vertical relationship at the diffuse radiation value of  $100-200 \text{ W/m}^2$ .

The full data set scatter plot for the room of this test cell (Fig. 5.148) is considerably different in form to those of the subfloor. The data has a much wider spread across the residual values for all diffuse solar radiation values, revealing larger variances. However, the diagram for March/April data (Fig. 5.149) reveals a tempered version of the vertical alignment of data, observed in the subfloor scatter plots, when the diffuse radiation values were between 100 and 200 W/m<sup>2</sup>.

The scatter plots for the roof space and diffuse solar radiation of this test cell presented some significant similarities to the subfloor diagrams for this test cell, including: the negative linear trend, the heteroscedastic form and the vertical alignment of data when the diffuse radiation values were between 100 and 200 W/m<sup>2</sup>. The other significant observation in the roof space diagrams is the spread of residual values when the diffuse radiation had a value of zero, which is similar in nature to the subfloor and was discussed earlier in the global solar radiation correlation analysis.

The correlation ratios for this test cell, in Table 5.43, are interesting but unreliable, due to the heteroscedastic distribution of the scatter plots and are included for general information and not detailed analysis.

T-11. 5 42 T-14 -11 1				
rable 5.45 Test cell 1 residual values and diffuse solar radiation correlation ratios		Subfloor	Cell room	Roof space
	Full data set	-0.61	-0.50	-0.67
	January	-0.51	-0.35	-0.63
	March/April	-0.62	-0.54	-0.69
	May	-0.57	-0.40	-0.67
	June	-0.83	-0.56	-0.80

#### 5.5.9.2 Enclosed-Perimeter Platform-Floored Test Cell

The correlation scatter plots for the diffuse solar radiation and the subfloor (Fig. 5.152) and room (Fig. 5.153) of this test cell are significantly different from those of the previous test cell. However, there are two significant observations: the wide range of positive and negative residual values when the solar radiation value is zero and the vertical alignment of data along the lower residual values when the radiation levels were  $100-200 \text{ W/m}^2$ . This is clearly visible in Fig. 5.154 but also observable in Figs. 5.152, 5.153, 5.154, 5.155, 5.156 and 5.157 and is similar in nature to this phenomenon identified in the diagrams from the previous test cell.

The scatter plots for the roof space residual and diffuse solar radiation for this test cell (Figs. 5.156 and 5.157) show a negative correlation, though it is heteroscedastic and includes the vertical alignment of data between 100 and 200 W/m<sup>2</sup>, similar in nature to that of the roof space in the previous test cell. Similarly, there is a wide range of residual values when the diffuse radiation value was zero.

The correlation ratios for this test cell, in Table 5.44, are interesting but unreliable, due to the heteroscedastic pattern of the scatter plots and are included for general information and not detailed analysis.

#### 5.5.9.3 Concrete Slab-on-Ground Floored Test Cell

For this test cell, the correlation scatter plot of the full data set for the test cell room (Fig. 5.158) illustrates a stronger convergence of data along the almost horizontal trend line: that is, no distinct relationship. However, in the full data set there is a subtle vertical grouping of data between 100 and 200 W/m<sup>2</sup> and a very wide spread of positive and negative residual values when the radiation level is zero, which is not visible in the March/April data set (Fig. 5.159). Similar to the scatter plots for

Table 5.44 Test cell 2   residual values and diffuse   solar radiation correlation   ratios				
		Subfloor	Cell room	Roof space
	Full data set	-0.31	-0.24	-0.72
	January	_ <sup>a</sup>	-0.25	_ <sup>a</sup>
	March/April	-0.38	-0.16	-0.69
	May	-0.24	-0.17	-0.68
	June	-0.32	-0.15	-0.75

<sup>a</sup> No data available

Table 5.45 Test cell 3   residual values and diffuse   solar radiation correlation   ratios				
		Cell room	Roof space	
	Full data set	-0.03	-0.66	
	January	-0.44	-0.66	
	March/April	-0.31	-0.66	
	May	-0.17	-0.62	
	June	-0.18	-0.70	
	March/April May June	-0.31 -0.17 -0.18	-0.66 -0.62 -0.70	

the global solar radiation correlations for this test cell, there is stratification within the full data set which is made up of monthly subsets.

The correlation scatter plots for the roof space of this test cell (Figs. 5.160 and 5.161) document a negative correlation with a heteroscedastic form, a wide range of residual values when the diffuse radiation value is zero and a vertical alignment of data, between 100 and 200 W/m<sup>2</sup>, similar in nature to that of the roof space in the previous two test cells.

Similar to the two previous test cells, the correlation ratios for this test cell, (see Table 5.45), are interesting but unreliable, due to the heteroscedastic pattern of the scatter plots and are included for general information and not detailed analysis.

#### 5.5.9.4 Summary

- Similar in some aspects to the analyses involving the global solar radiation, there was a negative linear relationship between the residual values for each zone and the value for diffuse solar radiation, however, their distribution was heteroscedastic.
- With the exception of 0 W/m<sup>2</sup>, higher positive residual values occur when the global solar radiation values are low.
- With the exception of 0 W/m<sup>2</sup>, lower positive and negative residual values occur when the global solar radiation values are high, indicating that the software is under-predicting the zone temperatures at specific values of diffuse solar radiation measurements, in this case between 100 and 200 W/m<sup>2</sup>.
- The other significant observation in these analyses was the heteroscedastic distribution and the vertical grouping of data for times when the diffuse solar radiation value was between 100 and 200 W/m<sup>2</sup>. This phenomenon requires further investigation.

## 5.6 Summary for Results, Analysis and Discussion

Many important and relevant elements have been identified during this empirical validation research which has been discussed in this chapter. A summary of key findings are as follows:

## 5.6.1 Climate Data Analysis (Sect. 5.2)

- There were significant differences between the individual climate values of the TMY data and site-measured climate for air temperature, wind speed, global solar radiation and diffuse solar radiation.
- The smooth curved form of the graphed TMY global and diffuse solar radiation values bear little resemblance to the measured global solar radiation and calculated diffuse solar radiation values, (as shown in Sects. 5.2.2 and 5.2.3).
- The measured climate has distinct saw-tooth peaks and troughs but there appears to be a general flattening of the minimum and maximum temperature values within the TMY climate file, (as shown in Sect. 5.2.1). This could create a thermally beneficial situation for house energy star ratings, where the minimum cold temperatures of winter and the maximum warm temperatures of summer are disregarded in a standard simulation.

## 5.6.2 Detailed Envelope Simulation (Sect. 5.3)

- Measured climate data input had the greatest impact on the simulated temperatures in all zones.
- The inclusion of the as-built fabric details had a varying impact on the detailed house energy rating simulations, in part dependent on building fabric and zone type.
- The impact of the as-built fabric details particularly affected the maximum and minimum simulated temperature values.
- The current method of roof modelling in the AccuRate software does not include the input of eaves or roof shading and this is suggested as a detail which might reduce the residual temperature in the test cell rooms, (as discussed in Sect. 5.3.3).

## 5.6.3 Empirical Validation Graphs (Sect. 5.4)

- The empirical validation graphs show that the AccuRate software substantially accounted for fabric and environmental inputs, as shown by the similarity in wave pattern between simulated and measured temperature data.
- The empirical validation graphs illustrate that the simulated temperatures for each zone were consistently different from the measured temperatures.
- The empirical validation graphs show that the AccuRate software under-predicted and over-predicted the maximum temperature and predominantly under-

predicted the minimum temperature for the subfloor zone of the unenclosedperimeter platform-floored test cell, (as shown in Sect. 5.4.1).

- The empirical validation graphs show that the AccuRate software consistently under-predicted the temperatures for the subfloor zone of the enclosed-perimeter platform-floored test cell, (as shown in Sect. 5.4.2).
- The empirical validation graphs show that the AccuRate software consistently under-predicted temperatures for the test cell room of the unenclosed platform, enclosed platform and the concrete slab-on-ground floored test cells, (as shown in Sects. 5.4.1–5.4.3).
- The empirical validation graphs show that the AccuRate software consistently under-predicted the minimum temperatures for the test cell roof space of the unenclosed platform, enclosed platform and the concrete slab-on-ground floored test cells, (as shown in Sects. 5.4.1–5.4.3).

## 5.6.4 Statistical Analysis (Sect. 5.5)

- The scatter plots show that the simulated and measured data for each zone of the test cells demonstrated very strong linear relationships and high correlation ratios, confirming the software's capacity to model the multi-variant inputs, (as shown in Sect. 5.5.1).
- The residual histograms show mostly normal and some skewed distribution for each zone of the three test cells. These results would impact greatly on energy calculations and subsequent house energy star ratings, (as shown in Sect. 5.5.2).
- The residual time series analysis illustrates a daily pattern of shifting between minimum and maximum values, (as shown in Sect. 5.5.3).
- The residual time series analysis show a constant thermal gain during the hottest week of February within the concrete slab-on-ground floored test cell.
- The residual time series analysis show periods in May where a factor or factors significantly affected the residual values for all zones of the three test cells.
- All the scatter plots of the residual values for the adjoining zones of the test cell subfloor and test cell room had a positive linear relationship with strong correlation ratios, indicating a relationship exists between the simulation errors in these two zones, (as shown in Sect. 5.5.4).
- All the scatter plots of the residual values for the adjoining zones of the test cell room and the test cell roof space had a positive linear relationship with correlation ratios varying monthly, from medium to very strong in value, indicating a relationship exists between the simulation errors of these two zones.
- All the scatter plots of the zone residual values and the site air temperature had a negative linear relationship with correlation ratios that varied each month and between zones, indicating a relationship between these values, (as shown in Sect. 5.5.5).

- All the scatter plots which analysed the zone residual values and the site air temperature show the occurrence of high positive residual values occurring at low outside air temperature and low residual values occurring at high outside temperature for the roof space and subfloor zones of all three test cells. This occurrence is also apparent in the room of the unenclosed platform-floored test cell.
- All the scatter plots of the zone residual values and the site wind speed were heteroscedastic and had a horizontal arrowhead shape, where there were a wide range of positive and negative residual values at times when the wind speed was low; in addition the data concentrated along the negative linear trend line as the wind speed increased, (as shown in Sect. 5.5.6).
- The shape of scatter plots of the zone residual values and the site wind direction varied between months and zones, where several had a downward arrowhead shape, documenting a relationship between negative residual values and wind from the southerly direction, (as shown in Sect. 5.5.7).
- All the scatter plots of the zone residual values and global solar radiation documented varying levels of negative correlation. The shape varied for each zone, with many of the roof space scatter plots exhibiting a heteroscedastic distribution, (as shown in Sect. 5.5.8).
- Many of the scatter plots of the zone residual values and diffuse solar radiation show a negative relationship, with varying values for the correlation ratio and are heteroscedastic in distribution as the radiation value increased, (as shown in Sect. 5.5.9). Another significant observation of many of these analyses was a vertical cluster of data, when the value for diffuse solar radiation was between 100 and 200 W/m<sup>2</sup>. Both of these phenomena require further investigation.
- The scatter plot analyses of the zone residual values and global/diffuse solar radiation presented a broad range of data range of residual values, when the radiation values were zero.

# 5.6.5 Linking of Specific Analyses

- Previous research has documented differences between the conductivity values of the individual materials used to construct a building, their gross conductivity value as a assemblage and the value given to them by the HER software (Ahmad and Szokolay 1993; Guyon et al. 1999a; Lomas et al. 1994; Moinard and Guyon 1999). This effect is expected to be minor but could be a factor within this research and requires further investigation.
- Similarly, previous research has questioned assumptions with respect to internal surface heat transfer (Barnaby et al. 2005; Beausoleil-Morrison and Strachan 1999; Davies et al. 2005; Lomas et al. 1994; Neymark et al. 2005; Strachan et al. 2006; Wong 1990). In Sect. 4.3.9 it was noted that for the test cells there was a

surface film conductance of 338 W/K. If the assumed values are incorrect this would impact on the differences between measured and simulated temperatures.

- The statistical analysis show that the subfloor model of the unenclosed-perimeter platform-floored test cell requires further examination of the relationship between subfloor and room residual values.
- The statistical analysis shows that the subfloor model of the enclosed-perimeter platform-floored test cell requires further examination of the relationship between subfloor and room residual values.
- As the results show that the room of the concrete slab-on-ground floored test cell had similar residual values to those of the enclosed-perimeter platform-floored test cell, the errors observed in the subfloors and test cell rooms may be due to errors in the subfloor model or the ground model.
- Internationally (Adjali et al. 2000; Akinyemi and Mendes 2008; Crowley 2009; dos Santos and Mendes 2003; Krarti and Ihm 2009; Neymark et al. 2009; Rantala 2005; Rock and Ochs 2001; Shadd 2009; Trethowen and Delsante 2000; Winkelmann 1998; Zoras and Kosmopoulos 2009) and in Australia (Chen et al. 2010; Delsante 1988, 1989, 1993; Landman and Delsante 1987; Williamson and Delsante 2006) there has been concern raised about and a continual improvement of, subfloor and ground models within detailed simulation programs. The limited development support and the simplifications applied within the AccuRate software, and the results discussed above, indicate that the subfloor and ground model aspects require further investigation.
- An aspect that has not been discussed is thermal mass. The current AccuRate roof model does not consider any thermal mass effect from the roofing structure. Some of the graphs for the simulated roof space temperature could become more similar to the measured temperatures if a thermal mass effect was considered. Similarly there is no consideration of the thermal contribution of structural elements for the test cell room and subfloor. The subfloor structure of the platform-floored test cells may be storing more energy than currently considered. This has not been explored in this research but should be investigated further (Barnaby et al. 2005).
- The irregular distribution that appears to exist in the residual values from day time or night time operation, which is reflected in the analyses for solar radiation, indicates that there may be a night time modelling error. This notion is supported by the daily shifts between positive and negative residual values that were observed in the residual time series plots. For further analysis, the day and night time data should be separately analysed, so that the night sky losses, fabric heat flows and the climatic inputs can be better analysed.

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# Chapter 6 Conclusion

The aim of this research was to validate empirically the AccuRate house energy rating software for lightweight buildings in a cool temperate climate. This has involved the establishment of several key components and methods, namely:

- the construction of three thermal performance test buildings in Launceston, which has been identified as a cool temperate climate. The building types were an unenclosed-perimeter platform-floored test cell, an enclosed-perimeter platform-floored test cell and a concrete slab-on-ground floored test cell, built to Australian standards and regulations.
- the installation of equipment to measure the internal and site environmental conditions, which included the installation of data acquisition and storage systems
- the use of the AccuRate HER software to complete detailed building envelope simulations for each of the three test buildings
- the collation and cleaning of measured and simulated data sets
- the graphical and statistical analysis of the measured and simulated data sets.

This research established four key hypotheses to address the concerns of government and industry as discussed in Sect. 2.5. The findings for each of these are detailed below.

The first hypothesis was that the predicted temperature produced by a detailed thermal simulation, using the AccuRate software, is not identical to the observed temperature within a lightweight detached building located in a cool temperate climate. This research documented that the measured zone temperatures differed significantly from the simulated zone temperatures. The analysis of the differences between the measured and simulated temperatures for the test cell rooms were 3 °C or more for 2,900 (72 %) hours (unenclosed-perimeter platform floored test cell), 1,650 (40 %) hours (enclosed-perimeter platform-floored test cell) and 3,500 (85 %) hours (concrete slab-on-ground floored test cell). This observed difference would have a significant effect on energy calculations, if the buildings were simulated for house energy star rating purposes. The analysis of differences between the measured and simulated temperatures for the subfloor and roof spaces, of each test cell, also documented significant differences, which would impact on the thermal

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performance of the test cell rooms. This would indicate that improvements or calibration is required for the roof space, subfloor and ground models prior to any further development of the test cell room model.

The second hypothesis was that the external environmental inputs representing the climate are not appropriately accounted for by the AccuRate software. The variety and type of correlations shown in the graphical and statistical analysis indicate that the AccuRate software may not be accounting for climatic inputs appropriately, which would be contributing to the discrepancies between the measured and simulated zone temperatures for each zone, namely:

- This research documented significant differences between the site-measured and TMY climate inputs. The analysis showed that there appears to be a flattening of the TMY temperature data, which is reducing the maximum (up to 13.7 °C) and increasing the minimum (up to 6.9 °C) temperatures for each day, and has established mathematically smooth profiles for solar radiation, which often bears little resemblance to measured values. For many Australian climates there is a limited need for heating or cooling during the average temperature of each day. However, during the times of maximum or minimum temperatures, heating or cooling is required and differences in outside air temperature of this magnitude would significantly affect the envelope simulation and subsequent energy requirements to heat or cool a building.
- The graphical analysis of the measured and simulated temperatures for each zone of the three test cells often documented a similarity in profile giving assurance that the software was considering the climatic inputs. However, the graphical analysis also documented varying and significant differences between the simulated and measured temperatures for each zone of the three test cells.
- Statistical analysis of relationships between zone residual values and site measured air temperature, wind speed, wind direction, global solar radiation and calculated diffuse solar radiation established significant differences in the type and form correlation. The linearity of the relationships was often negative indicating that the software may be under-valuing some inputs. Many factors require further investigation, including seasonal variations, but the greatest variability appears to occur at times of low wind speed and zero solar radiation.

The third hypothesis was that the effect of infiltration through the built fabric and its relationship to the external climate are not appropriately accounted for by the AccuRate software. The detailed envelope simulation included the input of measured infiltration rates for the enclosed subfloor, rooms and roof spaces of the three test cells. The statistical analysis documented significant range (8 °C) in the variability in the residual values at times of low wind speed, which became more correlated at times of higher wind speed. This indicates that the infiltration model requires further calibration generally but especially for times of low wind speed.

The fourth hypothesis was that the elements of the built fabric of contemporary lightweight detached housing area not accounted for by the AccuRate software. Some aspects including thermal mass and the conductivity values for individual elements and assemblages have been found as areas requiring calibration in other softwares and still require further investigation. However, this research identified software input requirements that were lacking, namely:

- The AccuRate software did not include default or other values for the framing factor. The framing factor was manually calculated for the platform floors, external walls and ceilings of each test cell. The inclusion of the framing factor for the external walls reduced the average thermal resistance value by up to 25 %. The analysis of default inputs versus as-built inputs showed the significant effect of the reduced levels of insulation on daily minimum and maximum temperatures. For this research the affect was limited due to the free-running nature of the test cells but if the test cells were heated, these differences would significantly affect the resultant thermal performance.
- At the time of this research the method of inputting data for the roof space in AccuRate did not include a provision for eaves. The roof space only considered a floor to the room below and a roof material. Many houses have eaves ranging from 200 to 900 mm, which dependent on house size can provide a large amount of the roof space with a low thermal resistance value to the outside air temperature. The effect of this was not quantified in this research and requires further investigation.
- At the time of this research the data entry for shading elements in AccuRate was applied to the external walls but not the roof. Any element that provides shade would significantly affect the incidence of solar radiation on the roof and the subsequent simulated temperature of the roof space. As this research identified a correlation between the residual value of the roof space and test cell room, any reduced heat in the roof space would lessen day time heat flows to the test cell room.

This research has identified the urgent need to re-examine the TMY methodology, the ground model, subfloor model and roof models of the AccuRate software. Concern of the capacity of each of these aspects was established through the graphical and statistical analysis of the measured and simulated temperatures. Once the associated algorithms have been improved, the simulations should be undertaken a second time to establish if the zone temperatures have become closer to or further from the observed temperatures. Only then should further calibration of the room model commence.

When these findings are compared to other international examples, the need for a continuous and ongoing improvement and empirical validation process is required for quality assurance purposes for AccuRate and other Australian envelope and energy simulation softwares (Kummert et al. 2004; Strachan et al. 2005).

The research has identified significant differences in simulated temperatures resulting from default climate file, building fabric input and algorithm simplifications. These would significantly affect the simulation of zone temperatures and therefore the calculated heating and/or cooling energy requirements. Consequently, the tool's ability to predict energy use and its capacity to rank the intricacies of built fabric assemblies may be compromised. These problems are common to all building

simulation programs and only through ongoing research and international collaboration can the performance of software tools be improved and that improvement verified in a scientifically consistent manner.

## 6.1 Areas for Future Research

This research established significant differences between simulated and measured temperatures from the three purpose built test cells in Launceston. The research has shown the need for further investigation in several areas, namely:

- 1. There should be a detailed analysis of ground temperatures under buildings (unenclosed-perimeter, enclosed-perimeter and concrete slab-on-ground) compared to the assumed ground temperatures within the AccuRate software.
- 2. There is a need for further development of the subfloor model to reduce the variation between simulated and measured temperatures identified in this research.
- 3. There is a need for further development of the roof model, together with the inclusion of roof shading and eaves, to reduce the variation between simulated and measured temperatures identified in this research.
- 4. There is a need to examine the algorithms within the AccuRate software that use the wind speed values to reduce the level of error that occurs at times of low wind speed.
- 5. The method for establishing infiltration values from tracer gas tests should also be investigated, in case the cause of the relationship between residual values and wind speed lies within this process.
- 6. The choice of terrain category within the AccuRate software and its effect on the building relative to wind direction and wind speed should be examined further.
- 7. Previous research has documented differences between the assumed conductivity and internal surface film conductance values when compared to those in test buildings. If the assumed values within the AccuRate software are incorrect, this would impact on the amount of difference between the measured and simulated temperatures. This infers the need to examine the radiant heat flow through, and thermal capacitance of contemporary building materials to ensure that the current models within the software are appropriate.
- 8. This research identified varying relationships between zone residual values and global solar radiation and diffuse solar radiation, all of which require further investigation.
- 9. The analysis documented periods when the simulated and measured temperatures were very similar. This needs to be investigated further, as it might provide indicators to algorithms which require calibration.

- 10. This research analysed data from only 14 of the 207 sensors installed within the test cells. There is a large amount of empirical data which could be used to further inform the thermal properties of buildings and to assist with the questions raised in this research.
- 11. The initial research plan included empirically testing the thermal performance of the test cells under varying heating profiles. This was to explore the relationships between the three building types, their varying thermal mass and its impact on the energy required to maintain room temperatures in accordance with NatHERS prescribed values. This research requires action, as it is the second logical step in the process and allows for the empirical validation of heating and energy calculation algorithms within the AccuRate software.
- 12. The test buildings were constructed such that various insulation, wall fabric, glazing and thermal mass elements could be added and removed to provide empirical data. To act as a quality assurance tool, an ongoing research program is required to empirically validate and calibrate envelope and building energy simulation programs for existing and future building materials and HVAC equipment. This would enable the comparison of different construction and glazing systems and their relative impact on thermal performance.
- 13. Further research should be conducted on whole houses to examine relationships between multiple rooms and the external climate, as the level of complexity is much greater than that analysed in these single room test cells.
- 14. This research identified a variety of approaches to validation within Australia. An empirical validation guide is required, such that funding bodies can make informed decisions on current and future projects, and a suitable data set of measured buildings can be developed.
- 15. Due to research program limitations, analysis using the Williamson (1995) confirmation technique was not undertaken. The data from this research could be of benefit to test Williamson's method and to further test the capability of the AccuRate software.



Based on current Australian residential thermal performance requirements the fifteen items above have been prioritised, as shown in Fig. 6.1. However, if this same method was used for residential buildings constructed prior to 2000 the certainty of effect and perceived importance values would change due to the significantly different envelope thermal performance requirements.

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